Designing Clinical Trials for Combination Immunotherapy: A Framework for Glioblastoma

Authors:

- 1. Kirit Singh, Duke University, Durham, NC, USA
- 2. Kristen A. Batich, Duke University, Durham, NC, USA
- 3. Patrick Y. Wen, Dana-Farber Cancer Institute, Boston, MA, USA
- 4. Aaron C. Tan, National Cancer Centre Singapore, Singapore
- 5. Stephen J. Bagley, University of Pennsylvania, Philadelphia, PA, USA
- 6. Michael Lim, Stanford University, Stanford, CA, USA
- 7. Michael Platten, Medical Faculty Mannheim, MCTN, Heidelberg University and German Cancer Research Center, Heidelberg, Germany
- 8. Howard Colman, Huntsman Cancer Institute, Salt Lake City, UT, USA
- 9. David M. Ashley, Duke University, Durham, NC, USA
- 10. Susan M. Chang, University of California, San Francisco (UCSF), San Francisco, CA, USA
- 11. Rifaquat Rahman, Dana-Farber Cancer Institute, Boston, MA USA
- 12. Evanthia Galanis, Mayo Clinic Rochester, Rochester, MN, USA
- 13. Alireza Mansouri, Penn State Cancer Institute, Hershey, PA, USA
- 14. Vinay K. Puduvalli, MD Anderson Cancer Center, Houston, TX, USA
- 15. David A. Reardon, Dana-Farber Cancer Institute, Boston, MA, USA
- 16. Solmaz Sahebjam, Moffitt Cancer Center, University of South Florida, Tampa, FL, USA
- 17. John H. Sampson, Duke University, Durham, NC, USA
- 18. John Simes, NHMRC Clinical Trials Centre, University of Sydney, NSW, Australia
- 19. Donald A. Berry, MD Anderson Cancer Center, Houston, TX, USA
- 20. Gelareh Zadeh, University of Toronto, Toronto, ON, Canada.
- 21. Tim F. Cloughesy, University of California Los Angeles
- 22. Minesh P. Mehta, Miami Cancer Institute, Miami, FL, USA
- 23. Steven Piantadosi, Brigham and Women's Hospital, Boston, MA, USA
- 24. Michael Weller, University Hospital and University of Zurich, Department of Neurology, Zürich, Switzerland
- 25. Amy B. Heimberger, Northwestern University, IL, USA
- 26. Mustafa Khasraw*, Duke University, Durham, NC, USA

*Corresponding Author:

Mustafa Khasraw, MD

Duke University | Box 3624, Durham, NC 27710

E-mail: mustafa.khasraw@duke.edu | Phone: +1 919.684.6173

Running title: Combining Immunotherapy for Glioblastoma

Author contribution: MK, PW, SB, KS conceived of and designed the work. MK and KS drafted, and subsequently all authors revised the manuscript. KB and KS developed the figures, which were revised with input from all authors. All authors approved the documents for submission.

Disclosures

- 1. KS reports no conflicts of interest.
- 2. KAB reports no conflicts of interest.
- 3. PYW reports consultant or advisory roles for Agios, Astra Zeneca, Bayer, Boston Pharmaceuticals, CNS Pharmaceuticals, Elevate Bio Immunomic Therapeutics, Imvax, Karyopharm, Merck, Novartis, Nuvation Bio, Vascular Biogenics, VBI Vaccines, Voyager and QED; research funding from Agios, Astra Zeneca/Medimmune, Beigene, Celgene, Eli Lily, Genentech/Roche, Kazia, MediciNova,

Merck, Novartis, Nuvation Bio, Oncoceutics, Vascular Biogenics and VBI Vaccines; is an editor for UpToDate and Elsevier.

- 4. ACT reports consultant or advisory roles for Amgen.
- 5. SJB reports research grants from Incyte, Eli Lilly, Novocure, GSK; consultant or advisory roles for Bayer and Novocure; and US patent for "combination therapies of EGFRvIII chimeric antigen receptors and PD-1 inhibitors".
- 6. ML reports consultant or advisory roles for Tocagen, SQZ Technologies, VBI, InCephalo Therapeutics and Pyramid Bio; non-research consulting roles for Stryker; research support from Arbor, Bristol-Myers Squibb, Accuray, DNAtrix, Tocagen, Biohaven and Kyrin-Kyowa; and has patents for Focused radiation + checkpoint inhibitors and Local chemotherapy + checkpoint inhibitors.
- 7. MP reports consultant or advisory roles for non-financial support from Roche, personal fees and non-financial support from Bayer, personal fees from Novartis, personal fees from Apogenix, non-financial support from Pfizer, personal fees from Affiris outside the submitted work. In addition, MP has a patent EP2753315B1 licensed to Bayer, a patent EP2800580B1 issued, a patent US20180155403A1 pending, a patent US20180246118A1 pending, a patent US20170254803A1 pending, a patent WO2018146010A1 licensed to Bayer, a patent WO2019101643A1 licensed to Bayer, a patent WO2019101641A1 licensed to Bayer, and a patent WO2019101642A1 licensed to Bayer.
- 8. HC reports consultant or advisory roles for Best Doctors/Teladoc, Karyopharm Therapeutics, Private Health, Orbus, Bayer, Forma Therapeutics and Adastra Pharmaceuticals; research funding (Inst) from Newlink Genetics, Plexxikon, Kadmon, Orbus, Merck, DNATrix, Abbvie, Beigene, Forma Therapeutics, GCAR, Array BioPharma, Karyopharm, Nuvation Bio, Bayer and Bristol Meyer Squibb.
- 9. DMA reports stock and other ownership interests in Diverse Biotech; consulting or advisory roles for Istari Oncology and Jackson Laboratory for Genomic Medicine; patents, royalties, other intellectual property for "Methods for predicting tumor response to immunotherapy, U.S. Provisional application no. 62/787" and "Methods for predicting tumor response to immunotherapy, U.S. Provisional application no. 62/620,577"; and expert testimony for Tanoury, Nauts, McKinney & Gabarino, PLLC.
- 10. SMC reports research funding (Inst) from Agios.
- 11. RR reports Research funding from Project Data Sphere, LLC
- 12. EG reports consultant or advisory roles (Inst) for MedImmune, Inc., Agios Pharmaceuticals and Karyopharm; consultant or advisory roles for Gradalis, Inc. and Kiyatec, Inc.; research funding (Inst) from MedImmune, Inc., Tracon, Genentech and Bristol-Myers Squibb.
- 13. AM reports no conflicts of interest.
- 14. VKP reports clinical research support from Abbvie, Beigene, Novocure, Bayer, Bexion, Prelude Therapeutics; Research funding from Bexion, Prelude Therapeutics; Advisory board for Orbus Therapeutics, Bayer, Novocure.
- 15. DAR reports consultant or advisory roles for Abbvie, Advantagene, Agenus, Amgen, Bayer, Bristol-Myers Squibb, Celldex, DelMar, EMD Serono, Genentech/Roche, Imvax, Inovio, Medicenna Biopharma, Inc., Merck, Merck KGaA, Monteris, Novocure, Oncorus, Oxigene, Regeneron, Stemline, Sumitono Dainippon Pharma and Taiho Oncology, Inc.; honoraria from Abbvie, Advantagene, Agenus, Bristol-Myers Squibb, Celldex, EMD Serono, Genentech/Roche, Imvax, Inovio, Medicenna Biopharma, Inc., Merck, Merck KGaA, Monteris, Novocure, Oncorus, Oxigene, Regeneron, Stemline, Sumitono Dainippon Pharma and Taiho Oncology, Inc.; research funding (Inst) from Acerta Phamaceuticals, Agenus, Celldex, EMD Serono, Incyte, Inovio, Omniox and Tragara.
- 16. SS reports consultant or advisory roles for Merck and Boehringer Ingelheim; research funding from Merck, Bristol-Myers Squibb, and Brooklyn ImmunoTherapeutics.
- 17. JHS has an equity interest in Istari Oncology, which has licensed intellectual property from Duke related to the use of poliovirus and D2C7 in the treatment of glioblastoma. JHS is an inventor on

Author Manuscript Published OnlineFirst on September 24, 2021; DOI: 10.1158/1078-0432.CCR-21-2681
Author manuscripts have been peer reviewed and accepted for publication but have not yet been edited.

Combining Immunotherapy for Glioblastoma

patents related to PEP-CMV DC vaccine with tetanus, as well as poliovirus vaccine and D2C7 in the treatment of glioblastoma. JHS has an equity interest in Annias Immunotherapeutics, which has licensed intellectual property from Duke related to the use of the pepCMV vaccine in the treatment of glioblastoma.

- 18. JS reports research funding to his institution from Bayer, Roche, Bristol Myers Squiib, Abbvie, Astra Zeneca, MSD, AbbVie and Astellas.
- 19. DB is co-owner of Berry Consultants, LLC, a company that designs adaptive Bayesian clinical trials for pharmaceutical and medical device companies, NIH cooperative groups, patient advocacy groups, and international consortia.
- 20. GZ reports no conflicts of interest.
- 21. TFC is a co-inventor on patent 62/819,322 licensed to Katmai Pharmaceuticals and a Member of the board for the 501c3 Global Coalition for Adaptive Research.
- 22. MPM reports consultant or advisory roles for Zap, Mevion, Karyopharm, Tocagen and Astra-Zeneca; and Board of Directors options from Oncoceutics.
- 23. SP reports consultant or advisory roles for Syntalogic, the MITRE Corporation, and Omnitura.
- 24. MW has received research grants from AbbVie, Adastra, BMS, Merck, Sharp & Dohme (MSD), Merck (EMD), Novocure, Quercis, and Roche; and honoraria for lectures or advisory board participation or consulting from AbbVie, BMS, Celgene, MSD, Merck (EMD), Novocure, Orbus, Roche, and Tocagen.
- 25. ABH reports consultant or advisory roles for Caris Life Sciences and WCG Oncology; royalties on licensed intellectual property from Celldex Therapeutics and DNAtrix; research funding from Celularity, Carthera, Codiak, and Moleculin.
- 26. MK reports consultant or advisory roles for Janssen, AbbVie, Ipsen, Pfizer Roche, and Jackson Laboratory for Genomic Medicine; research funding from AbbVie, Bristol-Myers Squibb, and Specialized Therapeutics.

Keywords

Glioblastoma; immunotherapy; clinical trial design; combination therapy; drug development.

Manuscript Details

Abstract Word Count: 138 Body Text Word Count: 3687

Figures: 2

ABSTRACT

Immunotherapy has revolutionized treatment for many hard-to-treat cancers but has yet to produce significant improvement in outcomes for patients with glioblastoma. This reflects the multiple and unique mechanisms of immune evasion and escape in this highly heterogeneous tumor. Glioblastoma engenders profound local and systemic immunosuppression and is remarkably effective at inducing T cell dysfunction, posing a challenge to any immunotherapy-based approach. To overcome these mechanisms, multiple disparate modes of immune-oriented therapy will be required. However, designing trials that can evaluate these combinatorial approaches requires careful consideration. In this review, we explore the immunotherapy resistance mechanisms that have been encountered to date and how combinatorial approaches may address these. We also describe the unique aspects of trial design in both pre-clinical and clinical settings and consider endpoints and markers of response best suited for an intervention involving multiple agents.

1 INTRODUCTION

Patients with glioblastoma survive for 12-15 months on average despite treatment with surgery, focal irradiation, alkylating chemotherapy and tumor treating fields (1-4). While several immunotherapies are currently under investigation for glioblastoma, none have yet demonstrated a significant survival benefit (5-7). Glioblastoma originates in an immune privileged compartment and is adept at escaping immune surveillance (8). Precision immunotherapy also requires a uniformly expressed tumor-specific antigen (TSA) which remains elusive in highly heterogeneous isocitrate dehydrogenase (IDH) wild type gliomas or glioblastoma (9). Further, glioblastoma disrupts immune function both locally and systemically, degrading the ability of immunotherapy to act (10).

Given these significant obstacles, it is clear that a single agent will be insufficient. To unlock the true potential of immunotherapy, combinations with additive and/or synergistic mechanisms of action is required. However, testing these combinations poses unique technical, logistical, and regulatory challenges. In this review, we will explore current opportunities and describe strategies for conducting trials of combination immunotherapy.

2 CURRENT AND FUTURE COMBINATORIAL STRATEGIES

Glioblastoma induces immune dysfunction through multiple mechanisms (10-15). To overcome these, several immunotherapy classes are under investigation, including immune checkpoint blockade (ICB), chimeric antigen receptor T cells (CAR-Ts), bi-specific T cell engagers (TCEs), tumor antigen vaccination, oncolytic viruses, and immunomodulatory cytokines (16-21). Given the large number of permutations for combination therapy, we must rationalize the available options.

2.1 Combinations of multiple immune checkpoint blockade

Single agent ICB has failed to yield benefit in patients with glioblastoma (22). Given this, studies have been performed using multiple ICB agents, based on effective strategies in other difficult malignancies such as melanoma or advanced renal cell carcinoma (23). A well described combination in oncology is that of nivolumab (anti-PD1) and ipilimumab (anti-CTLA4) which has been explored in multiple trials (NCT02017717 - Checkmate 143, NCT040145115, NCT03233152, NCT04003649, NCT03422094, NCT02311920 and NCT3707457). However, subsequent work has demonstrated that glioblastoma exhibits cancer lineage specific resistance to the reversal of T cell exhaustion, which may reduce the impact of this particular combination (24). Retrospective genomic and transcriptomic analysis of patients who received PD-1 inhibitors found that the degree of response to treatment was associated with specific evolutionary pathways resulting in certain molecular and immune expression profiles. This would indicate that only certain subsets of patient may benefit from this form of checkpoint blockade (25). The timing of checkpoint blockade therapies relative to standard of care treatment also may play a key role in efficacy. Cloughesy et al report upregulated T cell and interferon-y (IFNy) gene expression and downregulated cellcycle gene expression within the tumor when anti-PD1 therapy was used in the neoadjuvant setting. This effect was however not observed for those patients that received adjuvant therapy alone, suggesting a transient window of opportunity for checkpoint blockade (26). Schalper et al also found positive immune

effects associated with neoadjuvant PD-1 blockade, reporting enhanced levels of immune cell infiltration and greater TCR diversity amongst tumor infiltrating lymphocytes, suggesting that this may be a useful partner with other immunotherapies (27).

Other checkpoint inhibitory molecules highly expressed by tumor infiltrating lymphocytes (TILs) in glioblastoma include indoleamine 2,3-doxygenase (IDO1), T cell immunoglobulin-mucin-domain containing-3 (TIM-3) and lymphocyte activation gene 3 (LAG3) (28). Phase I clinical trials exploring combination approaches against both PD-1, LAG-3 (NCT02658981) and IDO1 (NCT03707457) are now underway. Despite this, experience of combinatorial PD-1 and IDO1 blockade in the CNS (albeit in metastatic melanoma) have failed to improve outcomes in phase III studies (29). Other combination approaches using IDO1 inhibitors with temozolomide (NCT02052648) remain under evaluation in glioblastoma. While these findings may suggest that combinatorial ICB may still struggle in the CNS, lack of success may actually reflect incomplete checkpoint blockade. Opitz et al have described metabolic pathways in glioma such as activation of the aryl hydrocarbon receptor (AHR) by tryptophan catabolites which results in enhanced malignancy and immunosuppression (30). While the AHR pathway was initially associated with IDO1 or the tryptophan-2,3-dixoygenase 2 (TDO2) enzyme, recent work has demonstrated that interleukin-4-induced-1 (IL4I1) is more significantly associated with AHR activity. ICB can induce IDO1 and IL4I1, while IDO1 inhibitors previously trialed in combination with ICB do not result in IL411 blockade (31). Future combinatorial pairings of ICBs should consider the potential for anti-PD1 agents to induce metabolic agents which upregulate metabolic pathways of immunosuppression.

2.2 Immune checkpoint blockade and T cell directed immunotherapy

Intratumoral heterogeneity in glioblastoma poses a significant barrier to antigen-specific immunotherapies such as CAR-T cells or bispecific T cell engagers. CAR-T cells specific for epidermal growth factor receptor variant III (EGFRvIII) have proven ineffective when treating recurrent tumors due to antigen escape (9). The intended selective targeting of cells or spontaneous elimination of target cells at recurrence produces an outgrowth of antigen negative cells resulting in recurrence (9,32,33). A high degree of clonality and, contrary to other cancer, a high mutational burden in glioblastoma has also been associated with resistance to ICB (34,35). Of note for clinical trials, high tumor mutational burden can be induced by temozolomide (TMZ) which causes defects in DNA mismatch repair genes. One potential approach to overcoming this is by targeting IDH1-R132H - a shared clonal neo-epitope in IDH mutated gliomas. This uniformly expressed TSA in a subset of glioma patients has been successfully targeted in recent Phase I trials (NCT02454634), and was found to be both safe and immunogenic (36).

While prior phase Ib trials (NCT02287428) of neoantigen vaccination in glioblastoma have reported neoepitope-specific systemic immune responses with increased numbers of TILs, these have also been shown to express a profoundly exhausted phenotype (37). Combining ICB with a vaccine strategy targeting a shared clonal neoepitope may therefore work synergistically to overcome ICB resistance while enhancing the neo-epitope immune response. This is supported by pre-clinical evaluation of multivalent neoantigen vaccines with ICB which generated greater anti-tumor responses than monotherapy, even in models with

reduced anti PD-L1 sensitivity (38,39). Such an approach would therefore be logical to evaluate for other multi-epitope vaccine-based approaches such as that used in the GAPVAC trial (NCT02149225)(40). As mentioned previously, neoadjuvant anti-PD1 blockade has been associated with enhanced clonal expansion of T cells and greater immune infiltration/TCR diversity (26,27). This would also likely benefit immunotherapy approaches that rely heavily on T cell expansion such as vaccination or CAR-T cell therapy. Further, CAR-Ts targeting EGFRvIII have been shown to upregulate expression of programmed cell death ligand 1 (PD-L1) within gliomas, contributing to CAR-T cell dysfunction and treatment failure (9). The addition of anti-PD1 blockade to such approaches may therefore increase both the diversity and potency of the immune response to CAR-T therapy while reducing T cell exhaustion. This is supported by work by Choi et al who designed a CRISPR-Cas9 modified EGFRvIII CAR-T cell with the endogenous PD-1 receptor knocked out, thereby preventing PD-L1 binding. This CAR-T-EGFRvIII PD-1 construct resulted in prolonged survival in mice bearing EGFRvIII+ glioma compared to CAR-T-EGFRvIII cells with an intact PD-1 receptor (41). In this vein, trials are underway evaluating CAR-T cell therapy (NCT04003649) and vaccination (NCT04201873, NCT02529072, NCT02287428) alongside ICB. Newer trial designs are also being deployed such as the AMPLIFY-NEOVAC surgical window-of-opportunity trial (NCT03893903). This will evaluate IDH1R132H vaccination with avelumab (anti-PD-L1) to explore predictive biomarkers for response to ICB in patients with IDH mutated gliomas.

It is notable that studies such as that performed by Choi et al report prolonged survival with direct intracerebral or intraventricular delivery of CAR-T therapy but that this therapeutic effect is lost with peripheral administration. This finding serves to demonstrate that transiting the blood brain barrier (BBB) remains a formidable obstacle for many systemically delivered immunotherapies (42). Even in the pathological glioma state, regions of the BBB likely remain intact, shielding sections of tumor from immunotherapy which may then act as the focal point for recurrence (43). While systemic anti-PD1 therapy has been noted to induce changes in the CNS, it is unclear where this interaction with the immune system occurs and indeed what concentration is necessary to induce an effect at the intracranial tumor site (44). Although one solution may be direct intracranial delivery of agents, this highly invasive approach will not be suitable for all patients and faces significant challenges in achieving equal and persistent drug distribution throughout the tumor (33,45,46). Another potential approach may be the use of ex vivo activated autologous T cells combined with T cell engaging therapies. These activated T cells would theoretically adhere to the brain microvascular endothelium and traffic into the brain, carrying their immunotherapy payload on their surface (18,47). However, this effect has also been associated with neurotoxicity and must therefore be investigated with caution (48). Accordingly, such an approach is entering Phase I safety trials where a hEGFRvIII-CD3 Brain Bi-Specific T Cell Engager (BRiTE) will be evaluated alongside peripheral autologous T cell infusion (NCT04903795).

2.3 Other strategies to enhance the T cell repertoire and overcome immunosuppression

Combination of immunotherapy with radiotherapy has been demonstrated in melanoma to expand the compartment of effector memory T cells and TILs, while also inducing a more diverse T cell receptor population when combined with ICB (49). Similar promise has been demonstrated pre-clinically in

glioblastoma where TIM-3 and PD-1 antibodies combined with radiotherapy achieved long-term survival (50). Other strategies to expand TCR diversity may involve the use of dendritic cell vaccines which carry antigen from the tumor to draining lymph nodes, presenting them to effector T cells. Chemokines such as the macrophage inflammatory protein-1 alpha (MIP-1α, CCL3) may aid in enhancing lymph node chemotaxis of dendritic cell subsets both to tumor and from tumor to lymph node, resulting in greater diversity of antigen presentation and more potent antigen-specific T cell responses (51). Dendritic cells may also enhance the polyfunctionality of adoptively transferred T cells targeting tumor specific antigens in glioblastoma (52).

Enhancing T cell functionality may be supported by the use of costimulatory agonists such as CD27, 4-1BB, OX40 or CD40, which are now entering early clinical trials (e.g., NCT04547777, NCT02658981, NCT03688178) (53-56). Newer constructs that combine both anti-inhibitory and pro-stimulatory strategies are under development such as bispecific antibodies targeting both CD27 and PD-L1 (NCT04440943) or TGFβ and PD-L1 (57). Novel CAR-T constructs including synNotch and armored CARs with expression of cytokines such as IL-12 have also been demonstrated to enhance anti-tumor efficacy in the context of oncogenic immunosuppression (58,59). When considering immunosuppression, thought should also be given to the role of dexamethasone, which may induce systemic depletion of memory and naïve CD4/CD8 T cells, reducing the efficacy of immunotherapy (60). In this context, agents that have failed to show efficacy when combined with checkpoint blockade such as bevacizumab (anti-VEGF) may also be worth reevaluating as an adjunct, specifically for its ability to reduce the need for immunosuppressive corticosteroids (61,62).

A summary of potential combinatorial approaches is depicted in Figure 1. However, as described above, enhanced additive synergism between immunotherapies may well extend beyond a bimodal approach. It is reasonable to consider trial designs which involve 3 or more elements. This will require flexible trial designs to swiftly identify the optimal combinatorial schedule which are discussed in the following section.

3 RECOMMENDATIONS FOR COMBINATORIAL CLINICAL TRIAL DESIGN

3.1 Population Selection

When considering any new combinatorial therapy, a proof-of-principle study is necessary to determine efficacy. Outcome measures in this context usually consist of specific biologic endpoints. However, these studies are typically performed on small numbers of patients with late-stage disease who have received highly variable treatment courses. This can make interpretation of said biologic endpoints difficult. Selecting patients earlier in the disease course with a less heterogeneous and slower growing pathology may make interpretation of biological markers easier, while also allowing combination immunotherapies sufficient time to synergize and induce maximal biological effect. Particular consideration should also be given to patients with an unmethylated O6-methylguanine-DNA methyltransferase (MGMT) promoter gene, who are less likely to respond to TMZ. In such cases, omission of TMZ entirely would be clinically justifiable and would

Author Manuscript Published OnlineFirst on September 24, 2021; DOI: 10.1158/1078-0432.CCR-21-2681
Author manuscripts have been peer reviewed and accepted for publication but have not yet been edited.

Combining Immunotherapy for Glioblastoma

allow evaluation of new therapies without additional toxicities from TMZ or inducing hypermutation as described previously (63,64).

3.2 Regimen selection

The optimal dosing schedule may be extremely broad when designing trials for two or more agents and is further complicated by the fact that true synergy may exist at non-maximal doses. Although it is generally not acceptable to reduce doses of standard of care agents in combination, an appreciation for the unique pharmacodynamic interplay between combined immunotherapy agents is required. Although this might suggest a need for extensive pre-clinical testing, newer designs such as Phase 0, translational, surgical 'window-of-opportunity' or neoadjuvant trials may offer a route to bypass potentially laborious steps (65,66).

Phase 0 trials use a micro-dosing strategy to allow for assessment of potential pharmacodynamic (PD) and pharmacokinetic (PK) properties while minimizing risk. This allows for rapid determination of the biological activity of a potential combination and allows for early termination if said combination does not meet its predefined PD/PK endpoints. Window-of-opportunity studies take an alternative route, using a pre-defined therapeutic dose and typically aim to define target engagement and/or immune modulatory endpoints. Both trial approaches aim to determine biological and immunomodulatory impact, rather than clinical effect, although window-of-opportunity trials also allow for correlation with eventual patient outcomes. While these are both useful approaches for evaluating new combinations, perhaps the most sensitive way to determine synergism between two agents is by using neoadjuvant studies in which treatment is administered preoperatively and tumor samples are taken for analysis at time of resection.

Neoadjuvant studies have already been used successfully to determine the effect of immune checkpoint inhibition on the intratumoral T cell compartment (26). The neoadjuvant approach may also be superior to post-surgical biopsies of tissue, which are prone to sampling error and often have limited tissue availability, resulting in a non-representative immune analysis. A large volume of tissue will allow for not just a determination of raw numbers of TILs but functional activity. Such analyses would be superior to peripheral immune interrogation which may not be equivalent to events occurring at the tumor site.

3.3 Moving beyond Phase I

If a combination proves safe and tolerable while also demonstrating evidence of immune response, trials should proceed to phase II trials to assess clinical benefit. However, traditional single-arm phase II trials often use response rates (RR) based on historical controls as the main endpoint, which may be inappropriate for combined immunotherapy. An efficient approach would be to use seamless phase I/II, II/III clinical trials, whereby the protocol specifies when to transition the study from a certain phase (e.g., phase II to III) without the need for a new protocol or regulatory process (67,68). Similarly, large phase Ib trials and 'expansion baskets' of the combination in phase I trials allow for increasing the number of patients enrolled once the recommended phase II dose has been determined. This allows for the phase I study to aid establishing preliminary efficacy in addition to determining the safety of the combination. Basket and platform trial designs using master protocols allow for within-basket immune monitoring depending on the

approaches being evaluated (e.g., markers of T cell activation if blocking regulatory T cell receptors) (69). The use of adaptive designs in this setting allows for adjustment according to evolving data so that poorly performing combinations can be abandoned early, while additional treatment cohorts which test other combinations to be added.

Many basket trials to date (Lung-MAP, NCT02154490; NCI-MATCH, NCT02465060; and My Pathway, NCT02091141) use response rate (RR) to evaluate targeted therapies, as is typical for traditional single-arm early phase trials. However, this may not be an appropriate endpoint for the evaluation of immunotherapies which can yield clinical benefit without a high RR. Indeed, immunotherapies have been noted to induce imaging changes interpreted as indicative of progression (70). To address this, adaptive designs have been initiated including the INSIGhT adaptive platform trial (NCT02977780), the glioblastoma AGILE phase II/III adaptive platform trial (NCT03970447) (64,71) in the US and the NCT neuro master match umbrella phase I/IIa trial (NCT03158389) in Germany. Overall survival (OS) can be used in these trials as the primary endpoint rather than RR (72,73). These designs are mostly used to evaluate targeted therapies to circumvent lengthy pauses between trial phases, but their usage still lags behind for immunotherapy based approaches (74).

4 RECOMMENDATIONS FOR ENSURING SAFETY AND DETERMINING OUTCOMES

In the context of combinatorial immunotherapy, toxicity considerations are complicated by the need to determine the appropriate regimen for commencing two or more agents at once. Multiple agents may act synergistically in both efficacy and toxicity, which could result in potentially fatal complications such as cytokine release syndrome (CRS) (75). Model-assisted designs are a useful tool to assess the pre-study probability of toxicity and can inform dose-escalation decisions using real-time adverse event data (76,77). These can maximize the number of patients treated at or near the maximum tolerated dose (MTD) and outperform traditional designs such as 3 + 3 dose-escalation which have yielded inconsistent dose-toxicity or dose-escalation correlations (78,79). However, while the flexibility and accuracy of model-assisted designs may be of particular use when evaluating immunotherapy combinations, these require sustained biostatistical collaboration, which can be time and resource intensive. A detailed evaluation of the dose escalation strategy and how best to determine response is therefore vital before commencing clinical trials.

4.1 Determining the starting dose

Many combinatorial trials use agents with a known safety profile and where the biologically active dose is known. For that reason, such trials could begin at the optimal dose rather than using conservative escalations. When neither component is known to be effective or approved for the indicated use, and when neither will be effective alone, a two-arm design comparing combination to a control agent (or placebo) can be considered (shown in Figure 2). However, while this minimizes exposure of patients to inactive therapies, this design may not demonstrate the inactivity of specific components. When the safety profile or optimal dose is unknown for either component in a combination, the optimal immune response may be an appropriate endpoint for early phase trials, providing there are no significant toxicities. However,

establishing response with multiple agents and titrating each element to maximize efficacy may not be practical.

4.2 Measuring effect

Patients in phase I trials are likely to have relapsed or progressed on previous therapies but preliminary signals of activity can still be noted using RR, (PFS), recurrence-free or OS. However, in an advanced disease population, immunotherapies may not induce a strong immune effect that manifests as a reduction in disease burden. Patients may also not survive long enough to have time to generate the immune response that would provide a clinical benefit. A further complication is that OS can be extended by immunotherapy without radiological response or pseudo-progression (80). The modified response assessment in neuro-oncology (mRANO) and immune specific RANO (iRANO) aim to standardize determination of response, but their utility in trials using experimental combinations is yet to be validated (70). To determine response more accurately and avoid premature treatment discontinuation, the definition of progression will need to require confirmation on two separate observations or to not consider early progression within a prospectively defined time-interval as per modified RANO (81). In patients who do respond after early progression, PFS should be based on the start of therapy.

Determining potency, predicting clinical effects and understanding the impact of the manufacturing process on the final drug product and stability are all required for regulatory approval. However, demonstrating these effects in combination therapies poses practical and ethical problems. Evaluation of purity and potency can be difficult if a treatment is composed of a combination of heterogeneous components (e.g., autologous blood or tumor-derived cellular therapies) (82). One approach to overcome this is to use quantitative assessments such as the time to kill 50% of target tumor cells (KT₅₀) (83). Other approaches may involve correlating outcomes with serial immune assays to quantitatively measure the relative immunogenicity of a combination. Statistical modeling using toxicity and anti-tumor toxicity have also been considered (84,85). However, many of the techniques used including cytokine release, tetramer, cytotoxicity and the enzyme-linked immune absorbent spot (ELISpot) assays are often only technically validated in the research laboratory, and the frequency with which they are performed vary widely, leading to variable results (86).

To generate valid and transferable data based on immune assays, harmonization and standardization of techniques is required to establish the expected immune response from known effective immunotherapies, against which new combinations can be evaluated. Current FDA-approved biomarkers of tumor mutational burden (TMB) that are used to predict response to the checkpoint inhibition pembrolizumab in solid tumors, do not have the same predictive value in gliomas (35) and therefore a pathology specific assay is required.

5 CONCLUSION

Although immunotherapy holds significant promise for overcoming the challenges of immune dysfunction and tumoral heterogeneity, it is increasingly apparent that a single agent alone will not suffice. Exploration of combinations of ICB with neo-epitope vaccination strategies in IDH mutant gliomas is one promising approach, but IDH is expressed in a minority of glioblastomas. Further, the cancer-lineage specific ability of

glioblastoma to drive T cell anergy and apoptosis poses a significant obstacle for ICB therapies. Given this, more work is required on alternative strategies such as combinatorial T cell co-stimulation or blockade of tumoral metabolic pathways. Evaluating potential combinations in patients who may not benefit from TMZ and are therefore less prone to hypermutation will be helpful to accurately determine biological activity. The timing of administration relative to routine clinical interventions such as steroid administration, radiation therapy and the aforementioned alkylating chemotherapy, all of which possess varying immuno-modulatory effects, must also be weighed. Neoadjuvant and surgical window of opportunity studies (where tumor tissue can be collected after combinatorial immunotherapy) may offer the most sensitive pharmacodynamic and pharmacokinetic analysis, but other surrogate markers of effect such as KT₅₀ are also useful. Model assisted trial designs may help assess dosage and schedules for different combinations, but it is important to consider that maximal synergistic effect may not occur at the maximal therapeutic dose. While combinatorial approaches may unlock the true potential for immunotherapy in glioblastoma, the lack of success in glioblastoma immunotherapy trials demands a tailor-made combinatorial approach. International collaboration will be necessary to develop trials which have the scope and recruitment necessary to integrate such biologic complexities into their design (see summary box).

6 SUMMARY BOX AND KEY MESSAGES

To date, immune based monotherapies have failed to improve survival of patients with glioblastoma.

Glioblastoma exerts cancer lineage specific mechanisms of immune escape and can induce profound local and systemic immunosuppression

Given the lack of efficacy seen when using combinations with anti PD1/ anti CTLA4 to date, cancer lineage specific checkpoint inhibition (e.g., IDO1, LAG3, TIM3) and costimulatory agonistic targets (e.g., CD40, CD27) are worth exploring.

Timing of combinatorial immunotherapy relative to standard of care treatment must be carefully weighed.

TMZ may induce hypermutation and drive heterogeneity, and drive resistance to immunotherapies and their combinations

Bevacizumab may help to reduce edema and therefore reduce the need for immunosuppressive corticosteroids, and can be used as an adjunct to combination immunotherapy

Harmonization, standardization of immune technologies and generation of reference values will help accelerate preclinical and early clinical development in glioblastoma

Flexible trials such as model assisted and adaptive designs are required to rapidly assess potential novel combinations.

Surgical window of opportunity, neoadjuvant and trials with primary biologic (PD, PK) endpoints are recommended as they may help shorten lengthy pre-clinical and often futile clinical investigation.

Acknowledgments

The authors thank the Society for Neuro-Oncology and their staff for their tremendous contributions to the arrangements and coordination for the Think Tank meeting.

FIGURE LEGENDS

6.1 Figure 1: Combination approaches using checkpoint inhibition and other therapies for glioblastoma.

1. TCR Diversity and Clonal Expansion. Combination radiation therapy and inhibitors of TIL exhaustion and drivers of apoptosis (PD-1, LAG-3, TIM-3) are being studied for synergistic effects on TIL expansion and clonal diversity. 2. VEGF Inhibitors. Anti-VEGF therapies such as bevacizumab are being utilized as steroid-sparing agents to harness immunotherapy-related toxicity in the CNS. 3. Tumor-Associated Macrophage Polarization. Glioma cells interact with and maintain a robust population of PD-1 expressing microglia with an anti-inflammatory phenotype (M2). Selective anti-PD-1 blockade on microglia populations is capable of inducing a tumoricidal M1 phenotype (87-89) 4. Neoadjuvant Checkpoint Inhibition. Treatment-naïve, IDH wild-type glioblastoma upregulates PD-L1 and CTLA-4 offering enhanced sensitivity to immune checkpoint combination approaches. Neoadjuvant checkpoint blockade increases clonal expansion of T cells. Chemotherapy with temozolomide can alter tumor mutational burden resulting in both increased resistance to checkpoint blockade and increased sub-clone heterogeneity thus limiting the potency of antigen-specific immunotherapies such as CAR-T cells. 5. Dendritic Cell Costimulation. Costimulatory agonists for 4-1BB, OX40, and CD40 and IDO inhibitors are being evaluated with checkpoint inhibitors to polarize cytotoxic T cell responses in the tumor microenvironment and within immunosuppressed tumor-draining lymph nodes. 6. Combination Immunotherapies. Multivalent neoantigen vaccines and CAR-T cell therapies in combination with checkpoint inhibitors are being evaluated for superior efficacy compared to single modalities even with reduced PD-L1 sensitivity. Abbreviations: CAR, chimeric antigen receptor; CTLA-4, cytotoxic T-lymphocyte-associated protein 4; DC, dendritic cell; IDH, isocitrate dehydrogenase; IDO, indoleamine 2, 3-dioxygenase; LAG-3, lymphocyte activation gene 3 (LAG3); M1 and M2, macrophage pro-inflammatory and anti-inflammatory phenotype; PD-1, programmed cell death protein 1; PD-L1, programmed death-ligand 1; TCR, T cell receptor; TIM-3, T cell immunoglobulin-mucin-domain containing-3; TMZ, temozolomide; VEGF, vascular endothelial growth factor; VEGFR, vascular endothelial growth factor. Adapted from an image created with BioRender.com.

9.2 Figure 2: Rationalizing Trial Designs for Combinatorial Immunotherapy

Regulatory approval of combinations of therapeutic agents in medicine usually requires a demonstration of each component's independent contribution. The ability to evaluate pharmacodynamic effects of single agents and/or combinations may help determine whether randomized studies require arms including both single agents and combinations. When neither component is known to be effective or approved for its indicated use, or when neither have efficacy as a single agent by itself, a two-arm design comparing the combination to a control agent (placebo) can be considered. Assessment of immune response must not only quantify the degree of immune activation but also the functional status of the response generated. Adapted from an image created with BioRender.com.

7 REFERENCES

- 1. Weller M, Butowski N, Tran DD, Recht LD, Lim M, Hirte H, et al. Rindopepimut with temozolomide for patients with newly diagnosed, EGFRvIII-expressing glioblastoma (ACT IV): a randomised, double-blind, international phase 3 trial. *The Lancet Oncology* 2017;**18**(10):1373-85 doi 10.1016/S1470-2045(17)30517-X.
- 2. Hegi ME, Diserens AC, Gorlia T, Hamou MF, de Tribolet N, Weller M, et al. MGMT gene silencing and benefit from temozolomide in glioblastoma. *The New England journal of medicine* 2005;**352**(10):997-1003 doi 10.1056/NEJMoa043331.
- 3. Wen PY, Weller M, Lee EQ, Alexander BA, Barnholtz-Sloan JS, Barthel FP, et al. Glioblastoma in Adults: A Society for Neuro-Oncology (SNO) and European Society of Neuro-Oncology (EANO) Consensus Review on Current Management and Future Directions. *Neuro-oncology* 2020.
- 4. Stupp R, Taillibert S, Kanner A, Read W, Steinberg DM, Lhermitte B, et al. Effect of Tumor-Treating Fields Plus Maintenance Temozolomide vs Maintenance Temozolomide Alone on Survival in Patients With Glioblastoma: A Randomized Clinical Trial. *JAMA* 2017;**318**(23):2306-16 doi 10.1001/jama.2017.18718.
- 5. Chuntova P, Chow F, Watchmaker P, Galvez M, Heimberger AB, Newell EW, et al. Unique challenges for glioblastoma immunotherapy Discussions across neuro-oncology and non-neuro-oncology experts in cancer immunology. *Neuro Oncol* 2020 doi 10.1093/neuonc/noaa277.
- 6. Khasraw M, Reardon DA, Weller M, Sampson JH. PD-1 Inhibitors: Do they have a Future in the Treatment of Glioblastoma? *Clinical cancer research : an official journal of the American Association for Cancer Research* 2020;**26**(20):5287-96 doi 10.1158/1078-0432.ccr-20-1135.
- 7. Goswami S, Walle T, Cornish AE, Basu S, Anandhan S, Fernandez I, et al. Immune profiling of human tumors identifies CD73 as a combinatorial target in glioblastoma. *Nature Medicine* 2020;**26**(1):39-46 doi 10.1038/s41591-019-0694-x.
- 8. Raper D, Louveau A, Kipnis J. How Do Meningeal Lymphatic Vessels Drain the CNS? *Trends Neurosci* 2016;**39**(9):581-6 doi 10.1016/j.tins.2016.07.001.
- 9. O'Rourke DM, Nasrallah MP, Desai A, Melenhorst JJ, Mansfield K, Morrissette JJD, et al. A single dose of peripherally infused EGFRvIII-directed CAR T cells mediates antigen loss and induces adaptive resistance in patients with recurrent glioblastoma. Science translational medicine 2017;9(399) doi 10.1126/scitranslmed.aaa0984.
- 10. Chongsathidkiet P, Jackson C, Koyama S, Loebel F, Cui X, Farber SH, et al. Sequestration of T cells in bone marrow in the setting of glioblastoma and other intracranial tumors. *Nat Med* 2018;**24**(9):1459-68 doi 10.1038/s41591-018-0135-2.
- 11. Hambardzumyan D, Gutmann DH, Kettenmann H. The role of microglia and macrophages in glioma maintenance and progression. *Nature neuroscience* 2016;**19**(1):20-7 doi 10.1038/nn.4185.
- 12. Katz JB, Muller AJ, Prendergast GC. Indoleamine 2,3-dioxygenase in T-cell tolerance and tumoral immune escape. *Immunol Rev* 2008;**222**:206-21 doi 10.1111/j.1600-065X.2008.00610.x.
- 13. Mu L, Long Y, Yang C, Jin L, Tao H, Ge H, et al. The IDH1 Mutation-Induced Oncometabolite, 2-Hydroxyglutarate, May Affect DNA Methylation and Expression of PD-L1 in Gliomas. Front Mol Neurosci 2018;11:82 doi 10.3389/fnmol.2018.00082.
- 14. Röver LK, Gevensleben H, Dietrich J, Bootz F, Landsberg J, Goltz D, et al. PD-1 (PDCD1) Promoter Methylation Is a Prognostic Factor in Patients With Diffuse Lower-Grade Gliomas Harboring Isocitrate Dehydrogenase (IDH) Mutations. *EBioMedicine* 2018;**28**:97-104 doi 10.1016/j.ebiom.2018.01.016.

- 15. Lee KS, Lee K, Yun S, Moon S, Park Y, Han JH, et al. Prognostic relevance of programmed cell death ligand 1 expression in glioblastoma. *Journal of neuro-oncology* 2018;**136**(3):453-61 doi 10.1007/s11060-017-2675-6.
- 16. Strohl WR, Naso M. Bispecific T-Cell Redirection versus Chimeric Antigen Receptor (CAR)-T Cells as Approaches to Kill Cancer Cells. *Antibodies (Basel)* 2019;**8**(3) doi 10.3390/antib8030041.
- 17. Rosenthal M, Balana C, Linde MEV, Sayehli C, Fiedler WM, Wermke M, et al. Novel anti-EGFRVIII bispecific T cell engager (BiTE) antibody construct in glioblastoma (GBM): Trial in progress of AMG 596 in patients with recurrent or newly diagnosed disease. *Journal of Clinical Oncology* 2019;37(15_suppl):TPS2071-TPS doi 10.1200/JCO.2019.37.15_suppl.TPS2071.
- 18. Gedeon PC, Schaller TH, Chitneni SK, Choi BD, Kuan CT, Suryadevara CM, et al. A Rationally Designed Fully Human EGFRvIII:CD3-Targeted Bispecific Antibody Redirects Human T Cells to Treat Patient-derived Intracerebral Malignant Glioma. Clinical cancer research: an official journal of the American Association for Cancer Research 2018;24(15):3611-31 doi 10.1158/1078-0432.Ccr-17-0126.
- 19. Scott EM, Duffy MR, Freedman JD, Fisher KD, Seymour LW. Solid Tumor Immunotherapy with T Cell Engager-Armed Oncolytic Viruses. *Macromol Biosci* 2018;**18**(1) doi 10.1002/mabi.201700187.
- 20. Wainwright DA, Chang AL, Dey M, Balyasnikova IV, Kim CK, Tobias A, et al. Durable therapeutic efficacy utilizing combinatorial blockade against IDO, CTLA-4, and PD-L1 in mice with brain tumors. Clinical cancer research: an official journal of the American Association for Cancer Research 2014;**20**(20):5290-301 doi 10.1158/1078-0432.Ccr-14-0514.
- 21. Tritz ZP, Ayasoufi K, Malo C, Himes B, Khadka R, Yokanovich L, *et al.* Combination immunotherapy of αPD-1 and extended half-life IL-2 clears established GL261 gliomas in an MHC class I independent fashion. *The Journal of Immunology* 2020;**204**(1 Supplement):169.15-.15.
- 22. Reardon DA, Brandes AA, Omuro A, Mulholland P, Lim M, Wick A, et al. Effect of Nivolumab vs Bevacizumab in Patients With Recurrent Glioblastoma: The CheckMate 143 Phase 3 Randomized Clinical Trial. *JAMA Oncology* 2020;**6**(7):1003-10 doi 10.1001/jamaoncol.2020.1024.
- 23. Rotte A. Combination of CTLA-4 and PD-1 blockers for treatment of cancer. *Journal of Experimental & Clinical Cancer Research* 2019;**38**(1):255 doi 10.1186/s13046-019-1259-z.
- 24. Ott M, Tomaszowski KH, Marisetty A, Kong LY, Wei J, Duna M, et al. Profiling of patients with glioma reveals the dominant immunosuppressive axis is refractory to immune function restoration. *JCI Insight* 2020;**5**(17) doi 10.1172/jci.insight.134386.
- 25. Zhao J, Chen AX, Gartrell RD, Silverman AM, Aparicio L, Chu T, et al. Immune and genomic correlates of response to anti-PD-1 immunotherapy in glioblastoma. *Nat Med* 2019;**25**(3):462-9 doi 10.1038/s41591-019-0349-y.
- 26. Cloughesy TF, Mochizuki AY, Orpilla JR, Hugo W, Lee AH, Davidson TB, *et al.* Neoadjuvant anti-PD-1 immunotherapy promotes a survival benefit with intratumoral and systemic immune responses in recurrent glioblastoma. *Nature Medicine* 2019;**25**(3):477-86 doi 10.1038/s41591-018-0337-7.
- 27. Schalper KA, Rodriguez-Ruiz ME, Diez-Valle R, López-Janeiro A, Porciuncula A, Idoate MA, et al. Neoadjuvant nivolumab modifies the tumor immune microenvironment in resectable glioblastoma. *Nat Med* 2019;**25**(3):470-6 doi 10.1038/s41591-018-0339-5.
- 28. Woroniecka K, Chongsathidkiet P, Rhodin K, Kemeny H, Dechant C, Farber SH, et al. T-Cell Exhaustion Signatures Vary with Tumor Type and Are Severe in Glioblastoma. *Clinical cancer research: an official journal of the American Association for Cancer Research* 2018;**24**(17):4175-86 doi 10.1158/1078-0432.CCR-17-1846.
- 29. Long GV, Dummer R, Hamid O, Gajewski TF, Caglevic C, Dalle S, et al. Epacadostat plus pembrolizumab versus placebo plus pembrolizumab in patients with unresectable or metastatic

- melanoma (ECHO-301/KEYNOTE-252): a phase 3, randomised, double-blind study. *The lancet oncology* 2019;**20**(8):1083-97 doi https://doi.org/10.1016/S1470-2045(19)30274-8.
- 30. Opitz CA, Litzenburger UM, Sahm F, Ott M, Tritschler I, Trump S, et al. An endogenous tumour-promoting ligand of the human aryl hydrocarbon receptor. *Nature* 2011;**478**(7368):197-203 doi 10.1038/nature10491.
- 31. Sadik A, Somarribas Patterson LF, Öztürk S, Mohapatra SR, Panitz V, Secker PF, *et al.* IL4I1 Is a Metabolic Immune Checkpoint that Activates the AHR and Promotes Tumor Progression. *Cell* 2020;**182**(5):1252-70.e34 doi https://doi.org/10.1016/j.cell.2020.07.038.
- 32. Sampson JH, Heimberger AB, Archer GE, Aldape KD, Friedman AH, Friedman HS, et al. Immunologic escape after prolonged progression-free survival with epidermal growth factor receptor variant III peptide vaccination in patients with newly diagnosed glioblastoma. *J Clin Oncol* 2010;**28**(31):4722-9 doi 10.1200/jco.2010.28.6963.
- 33. Brown CE, Alizadeh D, Starr R, Weng L, Wagner JR, Naranjo A, et al. Regression of Glioblastoma after Chimeric Antigen Receptor T-Cell Therapy. The New England journal of medicine 2016;375(26):2561-9 doi 10.1056/NEJMoa1610497.
- 34. Touat M, Li YY, Boynton AN, Spurr LF, Iorgulescu JB, Bohrson CL, et al. Mechanisms and therapeutic implications of hypermutation in gliomas. *Nature* 2020;**580**(7804):517-23 doi 10.1038/s41586-020-2209-9.
- 35. Khasraw M, Walsh KM, Heimberger AB, Ashley DM. What is the Burden of Proof for Tumor Mutational Burden in gliomas? *Neuro-oncology* 2020.
- 36. Platten M, Bunse L, Wick A, Bunse T, Le Cornet L, Harting I, et al. A vaccine targeting mutant IDH1 in newly diagnosed glioma. *Nature* 2021;**592**(7854):463-8 doi 10.1038/s41586-021-03363-z.
- 37. Keskin DB, Anandappa AJ, Sun J, Tirosh I, Mathewson ND, Li S, et al. Neoantigen vaccine generates intratumoral T cell responses in phase lb glioblastoma trial. *Nature* 2019;**565**(7738):234-9 doi 10.1038/s41586-018-0792-9.
- 38. Liu CJ, Schaettler M, Blaha DT, Bowman-Kirigin JA, Kobayashi DK, Livingstone AJ, et al. Treatment of an aggressive orthotopic murine glioblastoma model with combination checkpoint blockade and a multivalent neoantigen vaccine. *Neuro-oncology* 2020;**22**(9):1276-88 doi 10.1093/neuonc/noaa050.
- 39. Woroniecka K, Fecci PE. Immuno-synergy? Neoantigen vaccines and checkpoint blockade in glioblastoma. *Neuro-oncology* 2020;**22**(9):1233-4 doi 10.1093/neuonc/noaa170.
- 40. Hilf N, Kuttruff-Coqui S, Frenzel K, Bukur V, Stevanović S, Gouttefangeas C, et al. Actively personalized vaccination trial for newly diagnosed glioblastoma. *Nature* 2019;**565**(7738):240-5 doi 10.1038/s41586-018-0810-y.
- 41. Choi BD, Yu X, Castano AP, Darr H, Henderson DB, Bouffard AA, et al. CRISPR-Cas9 disruption of PD-1 enhances activity of universal EGFRvIII CAR T cells in a preclinical model of human glioblastoma. *J Immunother Cancer* 2019;**7**(1):304 doi 10.1186/s40425-019-0806-7.
- 42. Pardridge WM. Blood-Brain Barrier and Delivery of Protein and Gene Therapeutics to Brain. *Frontiers in Aging Neuroscience* 2020;**11**(373) doi 10.3389/fnagi.2019.00373.
- 43. Sarkaria JN, Hu LS, Parney IF, Pafundi DH, Brinkmann DH, Laack NN, et al. Is the blood-brain barrier really disrupted in all glioblastomas? A critical assessment of existing clinical data. *Neuro-oncology* 2018;**20**(2):184-91 doi 10.1093/neuonc/nox175.
- 44. Portnow J, Wang D, Blanchard MS, Tran V, Alizadeh D, Starr R, et al. Systemic Anti–PD-1 Immunotherapy Results in PD-1 Blockade on T Cells in the Cerebrospinal Fluid. *JAMA Oncology* 2020;**6**(12):1947-51 doi 10.1001/jamaoncol.2020.4508.

- 45. Choi BD, Suryadevara CM, Gedeon PC, Herndon JE, 2nd, Sanchez-Perez L, Bigner DD, et al. Intracerebral delivery of a third generation EGFRvIII-specific chimeric antigen receptor is efficacious against human glioma. *Journal of clinical neuroscience : official journal of the Neurosurgical Society of Australasia* 2014;**21**(1):189-90 doi 10.1016/j.jocn.2013.03.012.
- 46. Brady M, Raghavan R, Sampson J. Determinants of Intraparenchymal Infusion Distributions: Modeling and Analyses of Human Glioblastoma Trials. *Pharmaceutics* 2020;**12**(9) doi 10.3390/pharmaceutics12090895.
- 47. Klinger M, Zugmaier G, Nägele V, Goebeler M-E, Brandl C, Stelljes M, et al. Adhesion of T Cells to Endothelial Cells Facilitates Blinatumomab-Associated Neurologic Adverse Events. *Cancer research* 2020;**80**(1):91-101 doi 10.1158/0008-5472.Can-19-1131.
- 48. Oved JH, Barrett DM, Teachey DT. Cellular therapy: Immune-related complications. *Immunol Rev* 2019;**290**(1):114-26 doi 10.1111/imr.12768.
- 49. Twyman-Saint Victor C, Rech AJ, Maity A, Rengan R, Pauken KE, Stelekati E, et al. Radiation and dual checkpoint blockade activate non-redundant immune mechanisms in cancer. *Nature* 2015;**520**(7547):373-7 doi 10.1038/nature14292.
- 50. Kim JE, Patel MA, Mangraviti A, Kim ES, Theodros D, Velarde E, et al. Combination Therapy with Anti-PD-1, Anti-TIM-3, and Focal Radiation Results in Regression of Murine Gliomas. *Clinical Cancer Research* 2017;**23**(1):124-36 doi 10.1158/1078-0432.Ccr-15-1535.
- 51. Mitchell DA, Batich KA, Gunn MD, Huang MN, Sanchez-Perez L, Nair SK, et al. Tetanus toxoid and CCL3 improve dendritic cell vaccines in mice and glioblastoma patients. *Nature* 2015;**519**(7543):366-9 doi 10.1038/nature14320.
- 52. Reap EA, Suryadevara CM, Batich KA, Sanchez-Perez L, Archer GE, Schmittling RJ, et al. Dendritic Cells Enhance Polyfunctionality of Adoptively Transferred T Cells That Target Cytomegalovirus in Glioblastoma. *Cancer research* 2018;**78**(1):256-64 doi 10.1158/0008-5472.Can-17-0469.
- 53. Mardiana S, Solomon BJ, Darcy PK, Beavis PA. Supercharging adoptive T cell therapy to overcome solid tumor-induced immunosuppression. *Sci Transl Med* 2019;**11**(495) doi 10.1126/scitranslmed.aaw2293.
- 54. Belcaid Z, Phallen JA, Zeng J, See AP, Mathios D, Gottschalk C, et al. Focal Radiation Therapy Combined with 4-1BB Activation and CTLA-4 Blockade Yields Long-Term Survival and a Protective Antigen-Specific Memory Response in a Murine Glioma Model. *PLOS ONE* 2014;**9**(7):e101764 doi 10.1371/journal.pone.0101764.
- 55. Do P, Perdue LA, Chyong A, Henry CJ, Porter CC, Dreaden EC. Promoting anti-tumor immunity via bispecific T cell engaging cytokine (biteokine) therapy. *The Journal of Immunology* 2020;**204**(1 Supplement):169.22-.22.
- 56. Riccione KA, He LZ, Fecci PE, Norberg PK, Suryadevara CM, Swartz A, et al. CD27 stimulation unveils the efficacy of linked class I/II peptide vaccines in poorly immunogenic tumors by orchestrating a coordinated CD4/CD8 T cell response. *Oncoimmunology* 2018;**7**(12):e1502904 doi 10.1080/2162402x.2018.1502904.
- 57. Khasraw M, Weller M, Lorente D, Kolibaba K, Lee CK, Gedye C, *et al.* Bintrafusp alfa (M7824) a bifunctional fusion protein targeting TGF-β and PD-L1: results from a phase 1 expansion cohort in patients with recurrent glioblastoma. *Neuro-Oncology Advances* 2021 doi 10.1093/noajnl/vdab058.
- 58. Choe JH, Watchmaker PB, Simic MS, Gilbert RD, Li AW, Krasnow NA, *et al.* SynNotch-CAR T cells overcome challenges of specificity, heterogeneity, and persistence in treating glioblastoma. *Science translational medicine* 2021;**13**(591):eabe7378 doi 10.1126/scitranslmed.abe7378.

- 59. Avanzi MP, Yeku O, Li X, Wijewarnasuriya DP, van Leeuwen DG, Cheung K, et al. Engineered Tumor-Targeted T Cells Mediate Enhanced Anti-Tumor Efficacy Both Directly and through Activation of the Endogenous Immune System. *Cell Rep* 2018;**23**(7):2130-41 doi 10.1016/j.celrep.2018.04.051.
- 60. Gustafson MP, Lin Y, New KC, Bulur PA, O'Neill BP, Gastineau DA, *et al.* Systemic immune suppression in glioblastoma: the interplay between CD14+HLA-DRIo/neg monocytes, tumor factors, and dexamethasone. *Neuro-oncology* 2010;**12**(7):631-44 doi 10.1093/neuonc/noq001.
- 61. Chinot OL, Wick W, Mason W, Henriksson R, Saran F, Nishikawa R, et al. Bevacizumab plus radiotherapy-temozolomide for newly diagnosed glioblastoma. The New England journal of medicine 2014;370(8):709-22 doi 10.1056/NEJMoa1308345.
- 62. Filley AC, Henriquez M, Dey M. Recurrent glioma clinical trial, CheckMate-143: the game is not over yet. *Oncotarget* 2017;**8**(53).
- 63. Stupp R, Hegi ME, Mason WP, van den Bent MJ, Taphoorn MJB, Janzer RC, *et al.* Effects of radiotherapy with concomitant and adjuvant temozolomide versus radiotherapy alone on survival in glioblastoma in a randomised phase III study: 5-year analysis of the EORTC-NCIC trial. *The Lancet Oncology* 2009;**10**(5):459-66 doi 10.1016/S1470-2045(09)70025-7.
- 64. Alexander BM, Ba S, Berger MS, Berry DA, Cavenee WK, Chang SM, et al. Adaptive global innovative learning environment for glioblastoma: GBM AGILE. Clinical Cancer Research 2018;**24**(4):737-43.
- 65. Isaacs J, Tan AC, Hanks BA, Wang X, Owzar K, Herndon JE, et al. Clinical Trials with Biologic Primary Endpoints in Immuno-oncology: Concepts and Usage. Clinical Cancer Research 2021:clincanres.1593.2021 doi 10.1158/1078-0432.Ccr-21-1593.
- 66. Piantadosi S. Translational clinical trials: an entropy-based approach to sample size. *Clinical trials* (London, England) 2005;**2**(2):182-92 doi 10.1191/1740774505cn078oa.
- 67. Jiang L, Li R, Yan F, Yap TA, Yuan Y. Shotgun: A Bayesian seamless phase I-II design to accelerate the development of targeted therapies and immunotherapy. *Contemporary clinical trials* 2021;**104**:106338 doi 10.1016/j.cct.2021.106338.
- 68. Stallard N, Todd S. Seamless phase II/III designs. *Statistical methods in medical research* 2011;**20**(6):623-34 doi 10.1177/0962280210379035.
- 69. Woodcock J, LaVange LM. Master Protocols to Study Multiple Therapies, Multiple Diseases, or Both. *New England Journal of Medicine* 2017;**377**(1):62-70 doi 10.1056/NEJMra1510062.
- 70. Okada H, Weller M, Huang R, Finocchiaro G, Gilbert MR, Wick W, et al. Immunotherapy response assessment in neuro-oncology: a report of the RANO working group. *The Lancet Oncology* 2015;**16**(15):e534-e42.
- 71. Alexander BM, Trippa L, Gaffey S, Arrillaga-Romany IC, Lee EQ, Rinne ML, et al. Individualized Screening Trial of Innovative Glioblastoma Therapy (INSIGhT): A Bayesian Adaptive Platform Trial to Develop Precision Medicines for Patients With Glioblastoma. *JCO Precis Oncol* 2019;3 doi 10.1200/po.18.00071.
- 72. Wick W, Dettmer S, Berberich A, Kessler T, Karapanagiotou-Schenkel I, Wick A, *et al.* N2M2 (NOA-20) phase I/II trial of molecularly matched targeted therapies plus radiotherapy in patients with newly diagnosed non-MGMT hypermethylated glioblastoma. *Neuro-oncology* 2018;**21**(1):95-105 doi 10.1093/neuonc/noy161.
- 73. Pfaff E, Kessler T, Balasubramanian GP, Berberich A, Schrimpf D, Wick A, et al. Feasibility of real-time molecular profiling for patients with newly diagnosed glioblastoma without MGMT promoter hypermethylation—the NCT Neuro Master Match (N2M2) pilot study. *Neuro-oncology* 2017;**20**(6):826-37 doi 10.1093/neuonc/nox216.

- 74. Prowell TM, Theoret MR, Pazdur R. Seamless oncology-drug development. *The New England journal of medicine* 2016;**374**(21):2001.
- 75. Murthy H, Iqbal M, Chavez JC, Kharfan-Dabaja MA. Cytokine Release Syndrome: Current Perspectives. *Immunotargets Ther* 2019;**8**:43-52 doi 10.2147/itt.S202015.
- 76. Thall PF, Millikan RE, Mueller P, Lee SJ. Dose-finding with two agents in phase I oncology trials. *Biometrics* 2003;**59**(3):487-96.
- 77. Yin G, Li Y, Ji Y. Bayesian dose-finding in phase I/II clinical trials using toxicity and efficacy odds ratios. *Biometrics* 2006;**62**(3):777-87.
- 78. Le Tourneau C, Lee JJ, Siu LL. Dose escalation methods in phase I cancer clinical trials. *JNCI: Journal of the National Cancer Institute* 2009;**101**(10):708-20.
- 79. Rahma OE, Reuss JE, Giobbie-Hurder A, Shoja ERG, Abu-Shawer O, Mehra P, et al. Early 3+3 Trial Dose-Escalation Phase I Clinical Trial Design and Suitability for Immune Checkpoint Inhibitors. Clinical cancer research: an official journal of the American Association for Cancer Research 2021;27(2):485-91 doi 10.1158/1078-0432.Ccr-20-2669.
- 80. Wen PY, Chang SM, Bent MJVd, Vogelbaum MA, Macdonald DR, Lee EQ. Response Assessment in Neuro-Oncology Clinical Trials. *Journal of Clinical Oncology* 2017;**35**(21):2439-49 doi 10.1200/jco.2017.72.7511.
- 81. Ellingson BM, Wen PY, Cloughesy TF. Modified Criteria for Radiographic Response Assessment in Glioblastoma Clinical Trials. *Neurotherapeutics* 2017;**14**(2):307-20 doi 10.1007/s13311-016-0507-6.
- 82. Weathers SP, Penas-Prado M, Pei BL, Ling X, Kassab C, Banerjee P, et al. Glioblastoma-mediated Immune Dysfunction Limits CMV-specific T Cells and Therapeutic Responses: Results from a Phase I/II Trial. Clinical cancer research: an official journal of the American Association for Cancer Research 2020;26(14):3565-77 doi 10.1158/1078-0432.Ccr-20-0176.
- 83. Cerignoli F, Abassi YA, Lamarche BJ, Guenther G, Santa Ana D, Guimet D, *et al.* In vitro immunotherapy potency assays using real-time cell analysis. *PLOS ONE* 2018;**13**(3):e0193498 doi 10.1371/journal.pone.0193498.
- 84. Yuan Y, Lee JJ, Hilsenbeck SG. Model-Assisted Designs for Early-Phase Clinical Trials: Simplicity Meets Superiority. *JCO Precision Oncology* 2019(3):1-12 doi 10.1200/po.19.00032.
- 85. Yuan Y, Yin G. Bayesian phase I/II adaptively randomized oncology trials with combined drugs. *The annals of applied statistics* 2011;**5**(2A):924.
- 86. Macchia I, Urbani F, Proietti E. Immune Monitoring in Cancer Vaccine Clinical Trials: Critical Issues of Functional Flow Cytometry-Based Assays. *BioMed Research International* 2013;**2013**:726239 doi 10.1155/2013/726239.
- 87. Rao G, Latha K, Ott M, Sabbagh A, Marisetty A, Ling X, et al. Anti-PD-1 Induces M1 Polarization in the Glioma Microenvironment and Exerts Therapeutic Efficacy in the Absence of CD8 Cytotoxic T Cells. Clinical cancer research: an official journal of the American Association for Cancer Research 2020;26(17):4699-712 doi 10.1158/1078-0432.CCR-19-4110.
- 88. Latchman YE, Liang SC, Wu Y, Chernova T, Sobel RA, Klemm M, et al. PD-L1-deficient mice show that PD-L1 on T cells, antigen-presenting cells, and host tissues negatively regulates T cells. Proceedings of the National Academy of Sciences of the United States of America 2004;**101**(29):10691-6 doi 10.1073/pnas.0307252101.
- 89. Ren X, Akiyoshi K, Vandenbark AA, Hurn PD, Offner H. Programmed death-1 pathway limits central nervous system inflammation and neurologic deficits in murine experimental stroke. *Stroke* 2011;**42**(9):2578-83 doi 10.1161/strokeaha.111.613182.

Figure 1:

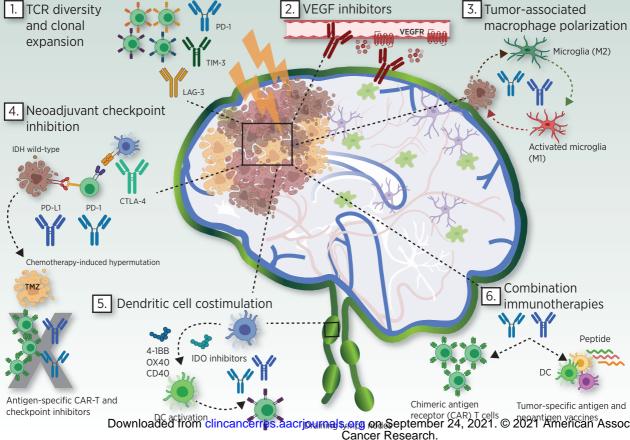
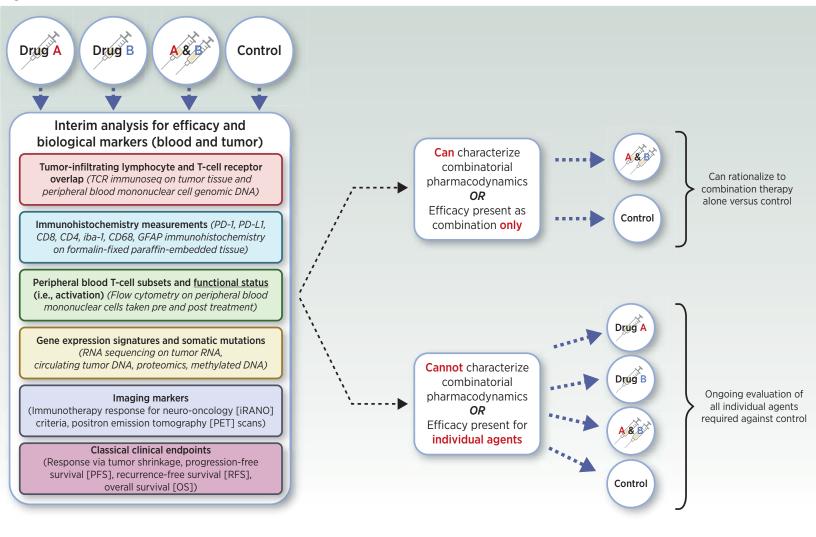


Figure 2:





Clinical Cancer Research

Designing Clinical Trials for Combination Immunotherapy: A Framework for Glioblastoma

Kirit Singh, Kristen A Batich, Patrick Y. Wen, et al.

Clin Cancer Res Published OnlineFirst September 24, 2021.

Access the most recent version of this article at: **Updated version**

doi:10.1158/1078-0432.CCR-21-2681

Author manuscripts have been peer reviewed and accepted for publication but have not yet **Author**

been edited. Manuscript

E-mail alerts Sign up to receive free email-alerts related to this article or journal.

Reprints and To order reprints of this article or to subscribe to the journal, contact the AACR Publications Department at pubs@aacr.org. Subscriptions

Permissions To request permission to re-use all or part of this article, use this link

http://clincancerres.aacrjournals.org/content/early/2021/09/24/1078-0432.CCR-21-2681. Click on "Request Permissions" which will take you to the Copyright Clearance Center's (CCC)

Rightslink site.