## Chapter 1

## Types

As per requirement, GVariant must be substantially compatible with the DBus message bus system (as specified in [DBus]).

To this end, the type system used in GVariant is almost identical to that used by DBus. Some very minimal changes were made, however, in order to provide for a better system while still remaining highly compatible; specifically, every message that can by sent over DBus can be represented as a GVariant.

Some baggage has been carried in from DBus that would not otherwise have been present in the type system if it were designed from scratch. The object path and signature types, for example, are highly DBus-specific and would not be present in a general-purpose type system if it were to be created from scratch.

### 1.1 Differences from DBus

In order to increase conceptual clarity some limitations have been lifted, allowing calls to "never fail" instead of having to check for these special cases.

- Whereas DBus limits the maximum depth of container type nesting, GVariant makes no such limitations; nesting is supported to arbitrary depths.
- Whereas DBus limits the maximum complexity of its messages by imposing a limitation on the "signature string" to be no more than 255 characters, GVariant makes no such limitation; type strings of arbitrary length are supported, allowing for the creation of values with arbitrarily complex types.
- Whereas DBus allows dictionary entry types to appear only as the element type of an array type, GVariant makes no such limitation; dictionary entry types may exist on their own or as children of any other type constructor.
- Whereas DBus requires structure types to contain at least one child type, GVariant makes no such limitation; the unit type is a perfectly valid type in GVariant.

Some of the limitations of DBus were imposed as security considerations (for example, to bound the depth of recursion that may result from processing a message from an untrusted sender). If GVariant is used in ways that are sensitive to these considerations then programmers should employ checks for these cases upon entry of values into the program from an untrusted source.

Additionally, DBus has no type constructor for expressing the concept of nullability ${ }^{1}$. To this end, the Maybe type constructor (represented by m in type strings) has been added.

Some of these changes are under consideration for inclusion into DBus ${ }^{2}$.

### 1.2 Enumeration of Types

### 1.2.1 The Basic Types

## Boolean

A boolean is a value which must be True or False.

## Byte

A byte is a value, unsigned by convention, which ranges from 0 to 255 .

## Integer Types

There are 6 integer types other than byte - signed and unsigned versions of 16, 32 and 64 integers. The signed versions have a range of values consistent with a two's complement representation.

## Double Precision Floating Point

A double precision floating point value is precisely defined by IEEE 754.

## String

A string is zero or more bytes. Officially, GVariant is encoding-agnostic but the use of UTF-8 is expected and encouraged.

[^0]
## Object Path

A DBus object path, exactly as described in the DBus specification.

## Signature

A DBus signature string, exactly as described in the DBus specification. As this type has been preserved solely for compatibility with DBus, all of the DBus restrictions on the range of values of this type apply (eg: nesting depth and maximum length restrictions).

### 1.2.2 Container Types

## Variant

The variant type is a dependent pair of a type (any of the types described in this chapter, including the variant type itself) and a value of that type. You might use this type to overcome the restriction that all elements of an array must have the same type.

## Maybe

The maybe type constructor provides nullability for any other single type. The non-null case is distinguished, such that in the event that multiple maybe type constructors are applied to a type, different levels of null can be detected.

## Array

The array type constructor allows the creation of array (or list) types corresponding to the provided element type. Exactly one element type must be provided and all array elements in any instance of the array type must have this element type.

## Structure

The structure type constructor allows the creation of structure types corresponding to the provided element types. These "structures" are actually closer to tuples in the sense that their fields are not named, but "structure" is used because that is what the DBus specification calls them.

The structure type constructor is the only type constructor that is variadic - any natural number of types may be given (including zero and one).

## Dictionary entry

The dictionary entry type constructor allows the creation of a special sort of structure which, when used as the element type of an array, implies that the content of the array is a list of key/value pairs. For compatibility with DBus, this binary type constructor requires a basic type as its first argument (which by convention is seen to be the key) but any type is acceptable for the second argument (by convention, the value).

Dictionary entries are as such by convention only; this includes when they are put in an array to form a "dictionary". GVariant imposes no restrictions that might normally be expected of a dictionary (such as key uniqueness).

### 1.3 Type Strings

Just as with DBus, a concise string representation is used to express types.
In GVariant, which deals directly with values as first order objects, a type string (by that name) is a string representing a single type.

Contrast this with "signature strings" ${ }^{3}$ in DBus, which apply to messages, and contain zero or more types (corresponding to the arguments of the message).

### 1.3.1 Syntax

The language of type string is context free. It is also a prefix code, which is a property that is used by the recursive structure of the language itself.

Type strings can be described by a non-ambiguous context free grammar.

```
type }\quad=>\mathrm{ base_type|container_type
base_type }\quad=>\mathbf{b}|\mathbf{y}|\mathbf{n}|\mathbf{q}|\mathbf{i}|\mathbf{u}|\mathbf{x}|\mathbf{t}|\mathbf{s}|\mathbf{0}|\mathbf{g
contäiner_type = v |m type|a type |(types)|{ base_type type }
types }\quad=>\quad\varepsilon|\mathrm{ type types
```


### 1.3.2 Semantics

The derivation used to obtain a type string from the given grammar creates an abstract syntax tree describing the type. The effect of deriving through each right hand side term containing a terminal is specified below:
b
This derivation corresponds to the boolean type.
y
This derivation corresponds to the byte type.
n
This derivation corresponds to the signed 16-bit integer type.
$q$
This derivation corresponds to the unsigned 16-bit integer type.

## i

This derivation corresponds to the signed 32-bit integer type.

[^1]u
This derivation corresponds to the unsigned 32-bit integer type.

X
This derivation corresponds to the signed 64-bit integer type.
t
This derivation corresponds to the unsigned 64-bit integer type.
d
This derivation corresponds to the double precision floating point number type.
s
This derivation corresponds to the string type.

0
This derivation corresponds to the object path type.
g
This derivation corresponds to the signature type.
v
This derivation corresponds to the variant type.

## m type

This derivation corresponds to the maybe type which has a value of Nothing or Just $x$ for some $x$ in the range of type.

## a type

This derivation corresponds to the array type in which each element has the type type.
(types )
This derivation corresponds to the structure type that has the types expanded by types, in order, as its item types.
\{ base_type type \}
This derivation corresponds to the dictionary entry type that has base_type as its key type and type as its value type.

## Chapter 2

## Serialisation Format

This chapter describes the serialisation format that is used by GVariant. This serialisation format is newly developed and described for the first time here.

### 2.1 Why not DBus?

Since GVariant is largely compatible with DBus, it would make sense to use the serialisation format of DBus (plus modifications where appropriate) as the serialisation format for GVariant.

To do so, however, would conflict with a number of requirements that were established for GVariant.

Most fundamentally, requirement would be violated. DBus messages are encoded in such a way that in order to fetch the 100th item out of an array you first have to iterate over the first 99 items to discover where the 100th item lies. A side effect of this iteration would be a violation of requirement .

Additionally, using the DBus serialisation format with an API like that mandated by requirement would likely imply a violation of requirement due to the fact that subparts of DBus messages can change meaning when subjected to different starting alignments. This is discussed in more detail in Section 2.3.3.

### 2.2 Notation

Throughout this section a number of examples will be provided using a common notation for types and values.

The notation used for types is exactly the type strings described in Chapter 1.

The notation used for values will be familiar to users of either Python or Haskell. Arrays (lists) are represented with square brackets and structures (tuples) with parentheses. Commas separate elements. Strings are single-quoted. Numbers prefixed with $0 x$ are taken to be hexadecimal.

The constants True and False represent the boolean constants. The nulary data constructor of the maybe type is denoted Nothing and the unary one Just.

### 2.3 Concepts

GVariant value serialisation is a total and injective function from values to pairs of byte sequences and type strings. Serialisation is deterministic in that there is only one acceptable "normal form" that results from serialising a given value. Serialisation is nonsurjective: non-normal forms exist.

The byte sequence produced by serialisation is useless without also having the type string. Put another way, deserialising a byte sequence requires knowing this type.

Before discussing the specifics of serialisation, there are some concepts that are pervasive in the design of the format that should be understood.

### 2.3.1 Byte Sequence

A byte sequence is defined as a sequence of bytes which has a known length. In all cases, in GVariant, knowing the length is essential to being able to successfully deserialise a value.

### 2.3.2 Byte Boundaries

Starting and ending offsets used in GVariant refer not to byte positions, but to byte boundaries. For the same reason that it's possible to have $n+1$ prefixes of a string of length $n$, there are $n+1$ byte boundaries in a byte sequence of size $n$.


Figure 2.1: byte boundaries

When speaking of the start position of a byte sequence, the index of the starting boundary happens to correspond to the index of the first byte. When speaking of the end position, however, the index of the ending boundary will be the index of the last
byte, plus 1 . This paradigm is very commonly used and allows for specifying zero-length byte sequences.

### 2.3.3 Simple Containment

A number of container types exist with the ability to have child values. In all cases, the serialised byte sequence of each child value of the container will appear as a contiguous sub-sequence of the serialised byte sequence of that container - in exactly the same form as it would appear if it were on its own. The child byte sequences will appear in order of their position in the container.

It is the responsibility of the container to be able to determine the start and end (or equivalently, length) of each child element.

This property permits a container to be deconstructed into child values simply by referencing a subsequence of the byte sequence of the container as the value of the child which is an effective way of satisfying requirement.

This property is not the case for the DBus serialisation format. In many cases (for example, arrays) the encoding of a child value of a DBus message will change depending on the context in which that value appears. As an example: in the case of an array of doubles, should the value immediately preceding the array end on an offset that is an even multiple of 8 then the array will contain 4 padding bytes that it would not contain in the event that the end offset of the preceding value were shifted 4 bytes in either direction.

### 2.3.4 Alignment

In order to satisfy requirement, we must provide programmers with a pointer that they can comfortably use. On many machines, programmers cannot directly dereference unaligned values, and even on machines where they can, there is often a performance hit.

For this reason, all types in the serialisation format have an alignment associated with them. For strings or single bytes, this alignment is simply 1, but for 32-bit integers (for example) the alignment is 4 . The alignment is a property of a type - all instances of a type have the same alignment.

All aligned values must start in memory at an address that is an integer multiple of their alignment.

The alignment of a container type is equal to the largest alignment of any potential child of that container. This means that, even if an array of 32 -bit integers is empty, it still must be aligned to the nearest multiple of 4 bytes. It also means that the variant
type (described below) has an alignment of 8 (since it could potentially contain a value of any other type and the maximum alignment is 8 ).

### 2.3.5 Fixed Size

To avoid a lot of framing overhead, it is possible to take advantage of the fact that, for certain types, all instances will have the same size. In this case, the type is said to be a fixed-sized type, and all of its values are said to be fixed-sized values. Examples are a single integer and a tuple of an integer and a floating point number. Counterexamples are a string and an array of integers.

If a type has a fixed size then this fixed size must be an integer multiple of the alignment of the type. A type never has a fixed size of zero.

If a container type always holds a fixed number of fixed-size items (as in the case of some structures or dictionary entries) then this container type will also be fixed-sized.

### 2.3.6 Framing Offsets

If a container contains non-fixed-size child elements, it is the responsibility of the container to be able to determine their sizes. This is done using framing offsets.

A framing offset is an integer of some predetermined size. The size is always a power of 2 . The size is determined from the overall size of the container byte sequence. It is chosen to be just large enough to reference each of the byte boundaries in the container.

As examples, a container of size 0 would have framing offsets of size 0 (since no bits are required to represent no choice). A container of sizes 1 through 255 would have framing offsets of size 1 (since 256 choices can be represented with a single byte). A container of sizes 256 through 65535 would have framing offsets of size 2. A container of size 65536 would have framing offsets of size 4.

There is no theoretical upper limit in how large a framing offset can be. This fact (along with the absence of other limitations in the serialisation format) allows for values of arbitrary size.

When serialising, the proper framing offset size must be determined by "trial and error" - checking each size to determine if it will work. It is possible, since the size of the offsets is included in the size of the container, that having larger offsets might bump the size of the container up into the next category, which would then require larger offsets. Such containers, however, would not be considered to be in "normal form". The smallest possible offset size must be used if the serialised data is to be in normal form.

Framing offsets always appear at the end of containers and are unaligned. They are always stored in little-endian byte order.

### 2.3.7 Endianness

Although the framing offsets of serialised data are always stored in little-endian byte order, the data visible to the user (via the interface mandated by requirement ) is allowed to be in either big or little-endian byte order. This is referred to as the "encoding byte order". When transmitting messages, this byte order should be specified if not explicitly agreed upon.

The encoding byte order affects the representation of only 7 types of values: those of the 6 (16, 32 and 64 -bit, signed and unsigned) integer types and those of the double precision floating point type. Conversion between different encoding byte orders is a simple operation that can usually be performed in-place (but see Section 3.1 for an exception).

### 2.4 Serialisation of Base Types

Base types are handled as follows:

### 2.4.1 Booleans

A boolean has a fixed size of 1 and an alignment of 1 . It has a value of 1 for True or 0 for False.

### 2.4.2 Bytes

A byte has a fixed size of 1 and an alignment of 1. It may have any valid byte value. By convention, bytes are unsigned.

### 2.4.3 Integers

There are 16, 32 and 64 -bit signed and unsigned integers. Each integer type is fixedsized (to its natural size). Each integer type has alignment equal to its fixed size. Integers are stored in the encoding byte order. Signed integers are represented in two's complement.

### 2.4.4 Double Precision Floating Point

Double precision floating point numbers have an alignment and a fixed-size of 8. Doubles are stored in the encoding byte order.

### 2.4.5 Strings

Including object paths and signature strings, strings are not fixed-sized and have an alignment of 1 . The size of any given serialised string is equal to the length of the string, plus 1, and the final serialised byte is a nul (0) terminator. The character set encoding of the string is not specified, but no nul byte is allowed to appear within the content of the string.

### 2.5 Serialisation of Container Types

Containers are handled as follows:

### 2.5.1 Variants

Variants are serialised by storing the serialised data of the child, plus a zero byte, plus the type string of the child.

The zero byte is required because, although type strings are a prefix code, they are not a suffix code. In the absence of this separator, consider the case of a variant serialised as two bytes - "ay". Is this a single byte, ' a ', or an empty array of bytes?

### 2.5.2 Maybes

Maybes are encoded differently depending on if their element type is fixed-sized not.
The alignment of a maybe type is always equal to the alignment of its element type.

### 2.5.2.1 Maybe of a Fixed-Sized Element

For the Nothing case, the serialised data is the empty byte sequence.
For the Just case, the serialised data is exactly equal to the serialised data of the child. This is always distinguishable from the Nothing case because all fixed-sized values have a non-zero size.

### 2.5.2.2 Maybe of a Non-Fixed-Sized Element

For the Nothing case, the serialised data is, again, the empty byte sequence.
For the Just case, the serialised form is the serialised data of the child element, followed by a single zero byte. This extra byte ensures that the Just case is distinguishable from the Nothing case even in the event that the child value has a size of zero.

### 2.5.3 Arrays

Arrays are said to be fixed width arrays or variable width arrays based on if their element type is a fixed-sized type or not. The encoding of these two cases is very different.

The alignment of an array type is always equal to the alignment of its element type.

### 2.5.3.1 Fixed Width Arrays

In this case, the serialised form of each array element is packed sequentially, with no extra padding or framing, to obtain the array. Since all fixed-sized values have a size that is a multiple of their alignment requirement, and since all elements in the array will have the same alignment requirements, all elements are automatically aligned.


Figure 2.2: an array of 16-bit integers
The length of the array can be determined by taking the size of the array and dividing by the fixed element size. This will always work since all fixed-size values have a nonzero size.

### 2.5.3.2 Variable Width Arrays

In this case, the serialised form of each array element is again packed sequentially. Unlike the fixed-width case, though, padding bytes may need to be added between the elements for alignment purposes. These padding bytes must be zeros.

After all of the elements have been added, a framing offset is appended for each element, in order. The framing offset specifies the end boundary of that element.


Figure 2.3: an array of strings
The size of each framing offset is a function of the serialised size of the array and the final framing offset, by identifying the end boundary of the final element in the array also identifies the start boundary of the framing offsets. Since there is one framing offset for each element in the array, we can easily determine the length of the array.

$$
\text { length }=(\text { size }- \text { last_offset }) / \text { offset_size }
$$

To find the start of any element, you simply take the end boundary of the previous element and round it up to the nearest integer multiple of the array (and therefore element) alignment. The start of the first element is the start of the array.

Since determining the length of the array relies on our ability to count the number of framing offsets and since the number of framing offsets is determined from how much space they take up, zero byte framing offsets are not permitted in arrays, even in the case where all other serialised data has a size of zero. This special exception avoids having to divide zero by zero and wonder what the answer is.

### 2.5.4 Structures

As with arrays, structures are serialised by storing each child item, in sequence, properly aligned with padding bytes, which must be zero.

After all of the items have been added, a framing offset is appended, in reverse order, for each non-fixed-sized item that is not the last item in the structure. The framing offset specifies the end boundary of that element.

The framing offsets are stored in reverse order to allow iterator-based interfaces to begin iterating over the items in the structure without first measuring the number of items implied by the type string (an operation which requires time linear to the size of the string).


Figure 2.4: a structure containing 16-bit integers and strings

The reason that no framing offset is stored for the last item in the structure is because its end boundary can be determined by subtracting the size of the framing offsets from the size of the structure. The number of framing offsets present in any instance of a structure of a given type can be determined entirely from the type (following the rule given above).

The reason that no framing offset is stored for fixed-sized items is that their end boundaries can always be found by adding the fixed size to the start boundary.

To find the start boundary of any item in the structure, simply start from the end boundary of the nearest preceding non-fixed-size item (or from 0 in the case of no preceding non-fixed-sized items). From there, round up for alignment and add the fixed size for each intermediate item. Finally, round up to the alignment of the desired item.

For random access, it seems like this process can take a time linear to the number of elements in the structure, but it can actually be performed in a very small constant time. See Section 3.2.

If all of the items contained in a structure are fixed-size then the structure itself is fixedsize. Considerations have to be made to satisfy the constraints that are placed on the value of this fixed size.

First, the fixed size must be non-zero. This case would only occur for structures of the unit type or structures containing only such structures (recursively). This problem is solved by arbitrary declaring that the serialised encoding of an instance of the unit type is a single zero byte (size 1).

Second, the fixed sized must be a multiple of the alignment of the structure. This is accomplished by adding zero-filled padding bytes to the end of any fixed-width structure until this property becomes true. These bytes will never result in confusion with respect to locating framing offsets or the end of a variable-sized child because, by definition, neither of these things occur inside fixed-sized structures.

Figure 2.4 depicts a structure of type (nsns) and value [257, 'xx', 514, '']. One framing offset exists for the one non-fixed-sized item that is not the final item (namely, the string ' $x x$ '). The process of "rounding up" to find the start of the second integer is indicated.

### 2.5.5 Dictionary Entries

Dictionary entries are treated as structures with exactly two items - first the key, then the value. In the case that the key is fixed-sized, there will be no framing offsets, and in the case the key is non-fixed-size there will be exactly one. As the value is treated as the last item in the structure, it will never have a framing offset.

### 2.6 Examples

This section contains some clarifying examples to demonstrate the serialisation format. All examples are in little endian byte order.

The example data is given 16 bytes per line, with two characters representing the value of each byte. For clarity, a number of different notations are used for byte values depending on purpose.

- 'A shows that a byte has the ASCII value of A (65).
- $\quad \mathrm{sp}$ shows that a byte is an ASCII space character (32).
- $\backslash 0$ shows that a byte is a zero byte used to mark the end of a string.
- -- shows that the byte is a zero-filled padding byte used as part of a structure or dictionary entry.
- \#\# shows that the byte is a zero-filled padding byte used as part of an array.
- @@ shows that the byte is the zero-filled padding byte at the end of a Just value.
- any two hexadecimal digits show that a byte has that value.

Each example specifies a type, a sequence of bytes, and what value this byte sequence represents when deserialised with the given type.

## String Example

With type 's':
'h 'e 'l 'l 'o sp 'w 'o 'r 'l 'd \0
has a value of 'hello world'.
Maybe String
With type 'ms':
'h 'e 'l 'l 'o sp 'w 'o 'r 'l 'd \0 @@
has a value of Just 'hello world'.

## Array of Booleans Example

With type 'ab':

$$
0100 \quad 00 \quad 01 \quad 01
$$

has a value of [True, False, False, True, True].

## Structure Example

With type '(si)':

$$
\text { 'f 'o 'o \0 ff ff ff ff } 04
$$

has a value of ('foo', -1).

## Structure Array Example

With type 'a(si)':

$$
\begin{aligned}
& \text { 'h 'i \0 -- fe ff ff ff } 03 \text { \#\# \#\# \#\# 'b 'y 'e \0 } \\
& \text { ff ff ff ff } 0409
\end{aligned}
$$

has a value of [('hi', - 2 ), ('bye', -1)].

## String Array Example

With type 'as':

$$
\begin{aligned}
& \text { 'i \0 'c 'a 'n \0 'h 'a 's \0 's 't 'r 'i 'n 'g } \\
& \text { 's '? \0 02 06 0a 13 }
\end{aligned}
$$

has a value of ['i', 'can', 'has', 'strings?'].

## Nested Structure Example

With type '((ys)as)':

$$
\begin{aligned}
& \text { 'i 'c 'a 'n \0 'h 'a 's \0 's 't 'r 'i 'n 'g 's } \\
& \text { '? \0 } 0405
\end{aligned}
$$

has a value of (('i', 'can'), ['has', 'strings?']).

## Simple Structure Example

With type '(yy)':

7080
has a value of ( $0 \times 70,0 \times 80$ ).

## Padded Structure Example 1

With type '(iy)'

```
60 00 00 00 70 -- -- --
```

has a value of ( $96,0 \times 70$ ).

## Padded Structure Example 2

With type '(yi)':

```
70 -- -- -- 60 00 00 00
```

has a value of ( $0 \times 70,96$ ).

## Array of Structures Example

With type 'a(iy)':

has a value of $[(96,0 x 70),(648,0 x f 7)]$.
Array of Bytes Example
With type 'ay':

04050607
has a value of [0x04, $0 \times 05,0 x 06,0 x 07]$.

## Array of Integers Example

With type 'ai':

```
0400 00 00 02 01 00 00
```

has a value of [4, 258].

## Dictionary Entry Example

With type '\{si\}':

```
'a sp 'k 'e 'y \0 -- -- 02 02 00 00 06
```

has a value of \{'a key', 514\}.

### 2.7 Non-Normal Serialised Data

Nominally, deserialisation is the inverse operation of serialisation. This would imply that deserialisation should be a bijective partial function.

If deserialisation is a partial function, something must be done about the cases where the serialised data is not in normal form. Normally this would result in an error being raised.

### 2.7.1 An Argument Against Errors

Requirement XXX forbids us from scanning the entirety of the serialised byte sequence at load time; we can not check for normality and issue errors at this time. This leaves any errors that might occur to be raised as exceptions as the values are accessed.

Faced with the C language's poor (practically non-existent) support for exceptions and with the idea that any access to a simple data value might possibly fail, this solution also becomes rapidly untenable.

The only reasonable solution to deal with errors, given our constraints, is to define them out of existence. Accepting serialised data in non-normal form makes deserialisation a surjective (but non-injective) total function. All byte sequences deserialise to some valid value.

For security purposes, what is done with the non-normal values is precisely specified. One can easily imagine a situation where a content filter is acting on the contents of messages, regulating access to a security-sensitive component. If one could create a non-normal form of a message that is interpreted differently by the deserialiser in the
filter and the deserialiser in the security-sensitive component, one could "sneak by" the filter.

### 2.7.2 Default Values

When errors are encountered during deserialisation, lacking the ability to raise an exception, we are forced into a situation where we must return a valid value of the expected type. For this reasons, a "default value" is defined for each type. This value will often be the result of an error encountered during deserialisation.

One might argue that a reduction in robustness comes from ignoring errors and returning arbitrary values to the user. It should be pointed out, though, that for most types of serialised data, a random byte error is much more likely to cause the data to remain in normal form, but with a different value. We cannot capture these cases and these cases might result in any possible value of a given type being returned to the user. We are forced to resign ourselves to the fact that the best we can do, in the presence of corruption, is to ensure that the user receives some value of the correct type.

The default value for each type is:

## Booleans

The default boolean value is False.

## Bytes

The default byte value is nul.

## Integers

The default value for any size of integer (signed or unsigned) is zero.

## Floats

The default value for a double precision floating point number is positive zero.

## Strings

The default value for a string is the empty string.

## Object Paths

The default value for an object path is '/'.

## Signatures

The default value for a signature is the nulary signature (ie: the empty string).

## Arrays

The default value for an array of any type is the empty array of that type.

## Maybes

The default value for a maybe of any type is the Nothing of that type.

## Structures

The default value for a structure type is the structure instance that has for the values of each item, the default value for the type of that item.

## Dictionary Entries

Similarly to structures, the default value for a dictionary entry type is the dictionary entry instance that has its key and value equal to their respective defaults.

## Variants

The default variant value is the variant holding a child with the unit type.

### 2.7.3 Handling Non-Normal Serialised Data

On a normally functioning system, non-normal values will not be normally encountered, so once a problem has been detected, it is acceptable if performance is arbitrarily bad. For security reasons, however, untrusted data must always be checked for normality as it is being accessed. Due to the frequency of these checks, they must be fast.

Nearly all rules contained in this section for deserialisation of non-normal data keep this requirement in mind. Specifically, all rules can be decided in a small constant time (with a couple of very small exceptions). It would not be permissible, for example, to require that an array with an inconsistency anywhere among its framing offsets be treated as an empty array since this would require scanning over all of offsets (linear in the size of the array) just to determine the array size.

There are only a small number of different sorts of abnormalities that can occur in a serialised byte sequence. Each of them, along with what to do, is addressed in this section.

The following list is meant to be a definitive list. If a serialised byte sequence has none of these problems then it is in normal form. If a serialised byte sequence has any of these problems then it is not in normal form.

## Wrong Size for Fixed Sized Value

In the event that the user attempts deserialisation using the type of a fixed-width type and a byte sequence of the wrong length, the default value for that type will be used.

## Non-zero Padding Bytes

This abnormality occurs when any padding bytes are non-zero. This applies for arrays, maybes, structures and dictionary entries. This abnormality is never checked for child values are deserialised from their containers as if the padding was zero-filled.

## Boolean Out of Range

In the event that a boolean contains a number other than zero or one it is treated as if it were true. This is for purpose of consistency with the user accessing an array of booleans directly in C. If, for example, one of the bytes in the array contained the number 5 , this would evaluate to True in C.

## Possibly Unterminated String

If the final byte of the serialised form of a string is not the zero byte then the value of the string is taken to be the empty string.

## String with Embedded Nul

If a string has a nul character as its final byte, but also contains another nul character before this final terminator, the value of the string is taken to be the part of the string that precedes the embedded nul. This means that obtaining a C pointer to a string is still a constant time operation.

## Invalid Object Path

If the serialised form of an object path is not a valid object path followed by a zero byte then the default value is used.

## Invalid Signature

If the serialised form of a signature string is not a valid DBus signature followed by a zero byte then the default value is used.

## Wrong Size for Fixed Sized Maybe

In the event that a maybe instance with a fixed element size is not exactly equal to the size of that element, then the value is taken to be Nothing.

## Wrong Size for Fixed Width Array

In the event that the serialised size of a fixed-width array is not an integer multiple of the fixed element size, the value is taken to be the empty array.

## Start or End Boundary of a Child Falls Outside the Container

If the framing offsets (or calculations based on them) indicate that any part of the byte sequence of a child value would fall outside of the byte sequence of the parent then the child is given the default value for its type.

## End Boundary Precedes Start Boundary

If the framing offsets (or calculations based on them) indicate that the end boundary of the byte sequence of a child value precedes its start boundary then the child is given the default value for its type.

The end boundary of a child preceding the start boundary may cause the byte sequences of two or more children to overlap. This error is ignored for the other children. These children are given values that correspond to the normal deserialisation process performed on these byte sequences with the type of the child.

If children in a container are out of sequence then it is the case that this abnormality is present. No other specific check is performed for children out of sequence.

## Child Values Overlapping Framing Offsets

If the byte sequence of a child value overlaps the framing offsets of the container it resides within then this error is ignored. The child is given a value that corresponds to the normal deserialisation process performed on this byte sequence (including the bytes from the framing offsets) with the type of the child.

## Non-Sense Length for Non-Fixed Width Array

In the event that the final framing offset of a non-fixed-width array points to a boundary outside of the byte sequence of the array, or indicates a non-integral number of framing offsets is present in the array, the value is taken to be the empty array.

## Insufficient Space for Structure Framing Offsets

In the event that a serialised structure contains an insufficient space to store the requisite number of framing offsets, the error is silently ignored as long as the item that is being accessed has its required framing offsets in place. An attempt to access an item that requires an offset beyond those available will result in the default value.

### 2.7.4 Examples

This section contains some clarifying examples to demonstrate the proper deserialisation of non-normal data.

The byte sequences are presented in the same form as for the normal-form examples. A brief description is provided for why a value deserialises to the given value.

## Wrong Size for Fixed Size Value

With type 'i':
073390
has a value of 0 .
Since any value with a type of ' i ' should have a serialised size of 4 , and since only 3 bytes are given, the default value of zero is used instead.

## Non-zero Padding Bytes

With type ' (yi)':

```
55 66 77 88 02 01 00 00
```

has a value of ( $0 \times 55,258$ ).
Non-zero padding bytes (66 77 88) are simply ignored.

## Boolean Out of Range

With type 'ab':

$$
0100 \quad 0304 \quad 00 \quad 01 \text { ff } 80 \quad 00
$$

has a value of [True, False, True, True, False, True, True, True, False].
Any non-zero booleans are treated as True.

## Unterminated String

With type 'as':
'h 'e 'l 'l 'o sp 'w 'o 'r 'l 'd \0 0b 0c
has a value of [' ', ' '] (two empty strings).
The second string deserialises normally as a single nul character, but the first string does not contain a nul character. Regardless of the fact that a nul character immediately follows it, the first string is replaced with the empty string (the default value for strings).

## String with Embedded Nul

With type 's':

> 'f 'o 'o \0 'b 'a 'r \0
has a value of 'foo'.

## String with embedded nul but none at end

With type 's':

> 'f 'o 'o \0 'b 'a 'r
has a value of ' ' (the empty string).
The last byte in the string is always checked to determine if there is a nul and, if not, the empty string is used as the value. This includes the case where a nul is present elsewhere in the string.

## Wrong size for fixed-size maybe

With type 'mi':
$33445566 \quad 7788$
has a value of Nothing.
The only possible way for a value with type 'mi' to be Just is for its serialised form to be exactly 4 bytes.

## Wrong size for fixed-width array

With type 'a(yy)':
0304050607
has a value of [].
With each array element as a pair of bytes, the serialised size of the array should be a multiple of two. Since this is not the case, the value of the array is the empty array.

## Start or end boundary of child falls outside the container

With type '(as)':

```
'f 'o 'o \0 'b 'a 'r \0 'b 'a 'z \0 04 10 0c
```

has a value of ['foo', '', ''].
No problems are encountered while unpacking the first element in the array (which is marked as falling between byte boundaries 0 and 4). When unpacking the 2nd element, its end offset (16) is outside of the bounds of the array. This offset (16) is also the start of the 3rd array element. As a result, both of these elements are given their default value (the empty string).

## End boundary precedes start boundary

With type '(as)':

$$
\text { 'f 'o 'o \0 'b 'a 'r \0 'b 'a 'z \0 } 0400 \text { 0c }
$$

has a value of ['foo', '', 'foo'].
Again, no problems are encountered while unpacking the first element in the array. When unpacking the second element it is noticed that the end boundary precedes the start. Since this is impossible, the default value of ' ' is used instead. Unpacking the final element (from 0 to 12) occurs without problem. The final element overlaps the first element, however, and when assessing its value, the embedded nul character causes it to be cut off at 'foo'.

Insufficient space for structure framing offsets
With type '(ayayayayay)':
030201
has a value of ([3], [2], [1], [], []).
Since this is not a fixed-size value, the fact that it has an impossible size does not cause it to receive its default value (ie: there is no concept of "minimum-size"). Unpacking the first three items in the structure occurs without a problem (demonstrating that the content of a value can overlap the framing offsets). Attempting to unpack the last two items fails, however, since the required framing offsets simply do not exist. The default values are used instead.

## Chapter 3

## Implementing the Format

This chapter contains information about the serialisation format that is not part of its specification.

This information discusses issues that will arise during implementation of the serialisation format. Certainly, the issues discussed in this chapter have had an impact on the GVariant implementation discussed in Chapter .

An unfortunate observation is made about the safety of byteswapping operations and a method is given (along with proof of correctness) that random accesses to the contents of a structure can be made in constant time, despite the fact that framing offset are omitted for fixed-sized values.

### 3.1 Notes on Byteswapping

Implementors may wish to perform in-place byteswapping of serialised GVariant data. There are a couple of things to consider in this case.

The primary concern arises from the fact that if non-normal serialised data is present then byteswapping may not be possible.

With a type string of (ssn) consider the following non-normal serialised data in littleendian byte order:

78000002

The first string has a length of 2 (including the nul terminator) and a value of ' x '. The second string is given its default value of ' ' as a result of its end offset of 0 preceding
its start offset of 2 . Finally, the 16 -bit integer, with a start offset of 0 (thus overlapping the first string) has a value of $0 \times 78$. The value of the entire structure is (' x ' , ' ' , 120).

To change this serialised data to be in big-endian byte order requires the swapping of the bytes of the 16 -bit value. To do so, however, would also modify the value of the string which these bytes overlap. In this case (and in general) there is no way to avoid this problem.

Because of this problem, any implementation wishing to perform in-place byteswapping of serialised data must first ensure that the data is in normal form.

There are a couple of cases where this requirement for normal form does not exist. In the case of any fixed-sized value or variable sized array, no framing offsets are present. This effectively eliminates the possibility of overlapping data and means that this cases can be byteswapped in-place without first checking for normality.

Through a fortunate alignment of circumstances, these types (together with strings, which need not be byteswapped at all) are exactly the sorts of data that an implementation may wish to make available to the user via a pointer. As a result it is easy to imagine that an implementation may end up not requiring the ability to in-place byteswap serialised data except in cases where it is always safe.

### 3.2 Calculating Structure Item Addresses

In the C language, structures exist in much the same way as they exist in the serialisation format. Each item in the structure follows the one preceding it as closely as possible, subject to alignment constraints.

No matter what is done, it is impossible to determine the address of an item in a structure in C in a constant amount of time. The sizes and alignments of the items preceding it each need to be considered - a process which can not occur in less than linear time. The algorithm for doing this is to start at the starting address of the structure and then for each preceding item in the structure, round up to its alignment requirement and add its size. Finally, round up to the alignment requirement of the item to be accessed.

This process can be described with a simple algebra containing two types of operations:

- $\quad(+c)$ : add to a natural number, some constant, $c$.
- ( $\uparrow c$ ): "align" (round up) a natural number up to the nearest multiple of some constant power of two, $2^{C}$.

Assume that the compiler aligns integer values to their size. To find the address of a 32bit integer following a 16 -bit integer following an array of 364 -bit integers, for example,
the following computation must be performed, given the address of the start of the structure, $s$ :

$$
((\uparrow 3) ;(+24) ;(\uparrow 1) ;(+2) ;(\uparrow 2)) s
$$

Of course, no sane C compiler saves this computation to be performed at each access. Instead, the compiler performs the computation at the time of the structure definition and builds a table containing the starting offset and size of each item in the structure. Because every item in the structure is of a fixed size and because the start address of the structure is always appropriately aligned, the address of an item in a structure can always be specified as a constant relative to the address of the start of that structure.

For our example:

$$
(+28) s
$$

Admitting non-fixed-sized items to structures very obviously prevents the starting offset of items following any non-fixed-sized item from being a constant relative to the start of the structure. The start address of any item will clearly depend on the end address of the non-fixed-sized item that most immediately precedes it. Worse than this though, due to the fact that this end address has no particular alignment, the starting offset of each item cannot be expressed as a constant offset, even to the end of the non-fixedsized item preceding it.

Without discovering another method to build a table, the address computation would have to be performed, in full, at each access - in linear time. Fortunately, another method exists, permitting constant-time access to structure members. It is possible to build a table with each row containing four integers such that this table permits calculating the start address of any structure item to be performed in only four operations:

$$
((+a) ;(\uparrow b) ;(+c)) \text { offsets[i] }
$$

Where offsets is the array of framing offsets for the structure and $i, a, b$ and $c$ are the four integers from the table. By definition, offsets[-1] $=0$.

### 3.2.1 Performing the Reduction

Essentially, we are interested in a process by which we can reduce any length of sequence of constant adding and alignment operations to a sequence of length 3 , with the form shown above. We can then perform this small constant number of operations at each access instead of the full computation.

This reduction process occurs according to the following reduction rules:

## Addition rule

$(+a) ;(+b) \Rightarrow(+(a+b))$

## Greater alignment rule

$(\uparrow a) ;(+b) ;(\uparrow c) \Rightarrow(+(b \uparrow a)) ;(\uparrow c)$, where $c \geq a$

## Lesser alignment rule

$(\uparrow a) ;(+b) ;(\uparrow c) \Rightarrow(\uparrow a) ;(+(b \uparrow c))$, where $c \leq a$
We can prove that, using these rules, any sequence of operations can be reduced to have no more than one alignment operation. If there exist two alignment operations in the sequence, one of these cases must be true:

- two alignment operations separated by exactly one addition
- two adjacent alignment operations
- two alignment operations separated by more than one addition

In the case that there is exactly one addition separating our two alignment operations then either the greater or the lesser alignment rule may be immediately applied to reduce the number of alignment operations by one.

In the case that there are more than one additions, they can be merged down to a single addition by application of the addition rule before applying one of the alignment rules. In the case of two adjacent alignment operations, a ( +0 ) operation can be introduced between then before applying one of the alignment rules.

Since we can reduce any sequence of operations to a sequence containing only one alignment operation, we can further reduce it to the form ( $+a$ ); ( $\uparrow b$ ); ( $+c$ ) by using the addition rule to merge all of the additions that occur before and after this single alignment operation.

### 3.2.2 Computing the Table

Based on the reduction rules above, an efficient (but still linear time) algorithm for computing the entire table at once can be developed.

At all times, the "state so far" is kept as the four variables: $i, a, b$ and $c$ such that getting to the current location is possible by computing ( $(+a) ;(\uparrow b) ;(+c)$ ) relative to the offset[i]. $i$ is kept equal to the index of the framing offset which specifies the end of the most recently encountered non-fixed-sized item in the structure (or - 1 in the case that no such item has been encountered). $a, b, c$ start at 0 .

Three merge rules are defined to allow any additional operation to be appended to this sequence without changing the size of the form of the sequence; the merge rules effect only the integer values of $a, b$ and $c$.

1. appending an alignment $d$ less than or equal to the current alignment: $(a, b, c):=$ $(a, b, c \uparrow d)$ as a direct result of the lesser alignment rule application ( $+a$ ); ( $\uparrow b$ ); $(+c) ;(\uparrow d)=(+a) ;(\uparrow b)(+c \uparrow d)$.
2. appending an alignment $d$ greater than the current alignment: $(a, b, c):=(a+(c \uparrow$ $b), d, 0)$ by the greater alignment rule application ( $+a$ ); ( $\uparrow b) ;(+c) ;(\uparrow d)=(+a) ;(+c$ $\uparrow b) ;(\uparrow d)$, addition rule application to ( $+a+(c \uparrow b)$ ); $\uparrow d)$ and harmless appending of ( +0 ) to give ( $+a+(c \uparrow b)$ ); ( $\uparrow d)$; (+0).
3. appending an addition $e:(a, b, c):=(a, b, c+e)$ by obvious use of the addition rule $(+a) ;(\uparrow b) ;(+c) ;(+e)=(+a) ;(\uparrow b) ;(+(c+e))$.

Each time a non-fixed-sized item is encountered, $i$ is incremented and $a, b, c$ are set back to zero.

The algorithm is implemented by the following Python function which takes a list of (alignment, fixed size) pairs as input, representing the structure items. Its output is the table, given as an array of 4-tuples.

```
def generate_table (items):
    (i, a, b, c) = (-1, 0, 0, 0)
    table = []
    for (d, e) in items:
        if d <= b:
            (a, b, c) = (a, b, align(c, d)) # merge rule #1
        else:
            (a, b, c) = (a + align(c, b), d, 0) # merge rule #2
        table.append ((i, a, b, c))
        if e == -1: # item is not fixed-sized
            (i, a, b, c) = (i + 1, 0, 0, 0)
        else:
            (a, b, c) = (a, b, c + e) # merge rule #3
    return table
```

It is assumed that align(a, b) computes ( $a \uparrow b$ ).

### 3.2.3 Further Reduction

The reductions described above are non-confluent. An equivalence on the final sequence of operations exists. Specifically, if $d$ is a multiple of $2^{b}$, then:

$$
(+a) ;(\uparrow b) ;(+(c+d))=(+(a+d)) ;(\uparrow b) ;(+c)
$$

This is because, being a multiple of $2^{b}, d$ can "pass through" the alignment operation without change.

Consider, for example, the following:

$$
(n+16) \uparrow 3
$$

It is clear that this is equivalent to

$$
(n \uparrow 3)+16
$$

since there are no low order bits in the binary representation of 16 to be affected by a rounding operation that clears only the bottom 3 bits.

In the case where only small alignment constraints are encountered (no larger than 8) it is possible (by shifting multiples of 256 out of $c$ into $a$ ) to ensure that c fits into no more than a single byte. This applies to the serialisation format as specified, considering that the largest alignment constraint ever encountered is 3.

### 3.2.4 Plus/And/Or Representation

As a micro-optimisation, after performing the reduction in the previous section, the resulting values of $a, b, c$ can be transformed such that the calculation can be performed in only 3 commonly-available machine instructions.

This transformation takes advantage of three simple facts about rounding.
First note that rounding up to the nearest multiple of any number is the same as adding that number, minus 1 , then rounding down to the nearest multiple of that number.

Second, note that rounding down to the nearest multiple of a number that is a power of two is the same as taking the bitwise and with the bitwise complement of that number minus 1.

Third, note that the result of rounding to a multiple of a power of 2 results in the low order bits of the result being cleared. Adding a number less than that multiple to the result of the rounding can't possibly result in carrying, so using bitwise or is an equivalent operation.

Keeping in mind that after the reduction in the last section, $c<2^{b}$ :

$$
\left.((+a) ;(\uparrow b) ;(+c) s)=\left(\left(+\left(a+2^{b}-1\right)\right) ;\left(\& \sim\left(2^{b}-1\right)\right) ;(\mid c)\right) s\right)
$$

where | denotes bitwise or, \& denotes bitwise and, and $\sim$ denotes bitwise complement.
We can therefore choose to store the following into the table:

$$
\left(a+2^{b}-1, \sim\left(2^{b}-1\right), c\right)
$$

and for each address we calculate, we are only required to perform an addition, a bitwise and and a bitwise or.

### 3.2.5 Proof of Reduction Rules

Given a few "intuitive" lemmas, we can prove that the reduction rules are sound.

## Lemma 1

$\forall a, b:(\uparrow a) ;(\uparrow b)=(\uparrow(\max (a, b)))$
since alignment is always to powers of two, two successive alignment operations are equivalent to the "most powerful" of the two.

## Lemma 2

$\forall a, b, c, r: r=(\uparrow c) \Rightarrow r(a)+r(b)=r(a+r(b))$
since $r(b)$ is already a multiple of 2 c it can "pass through" the second application of $r$ without change.

## Lemma 3

$\forall c,(0 \uparrow c)=0$

### 3.2.5.1 Addition Rule

Associativity of addition:

$$
\forall a, b, n:(n+a)+b=n+(a+b)
$$

which is just the same as:

$$
\forall a, b, n:((+a) ;(+b)) n=(+(a+b)) n
$$

By partial instantiation:

$$
\forall n:((+a) ;(+b)) n=(+(a+b)) n
$$

and then by extensionality:

$$
(+a) ;(+b)=(+(a+b))
$$

### 3.2.5.2 Greater Alignment Rule

Let $r=(\uparrow c)$ and $s=(\uparrow a)$.
Lemma 2:

$$
\forall m, n: s(n)+s(m)=s(s(n)+m)
$$

Lemma 3 allows:

$$
\forall m, n: s(n)+s(m)+s(0)=s(s(n)+m)
$$

Repeated application of lemma 2 to the above:

$$
\begin{aligned}
& \forall m, n: s(n)+s(s(m)+0)=s(s(n)+m) \\
& \forall m, n: s(s(n)+s(m)+0)=s(s(n)+m)
\end{aligned}
$$

Which of course is equivalent to:

$$
\forall m, n: s(s(n)+s(m))=s(s(n)+m)
$$

Since addition commutes and we universally quantify over both $m$ and $n$, there is no reason that what works for one won't work equally well for the other:

$$
\forall m, n: s(s(n)+s(m))=s(n+s(m))
$$

so, clearly:

$$
\forall m, n: s(s(n)+m)=s(n+s(m))
$$

Which we can partially instantiate as:

$$
\forall n: s(s(n)+b)=s(n+s(b))
$$

It must be true, then, that:

$$
\forall n: r(s(s(n)+b))=r(s(n+s(b)))
$$

Remembering that $r=(\uparrow c)$ and $s=(\uparrow a)$ :

$$
\forall n:((\uparrow a) ;(\uparrow c))((n \uparrow a)+b)=((\uparrow a) ;(\uparrow c))(n+(b \uparrow a))
$$

And lemma 1 (since $a \leq c$ ) merges this into:

$$
\begin{gathered}
\forall n:(\uparrow c)((n \uparrow a)+b)=(\uparrow c)(n+(b \uparrow a)) \\
\forall n:((\uparrow a) ;(+b) ;(\uparrow c)) n=((+(b \uparrow a)) ;(\uparrow c)) n
\end{gathered}
$$

By extensionality:
$(\uparrow a) ;(+b) ;(\uparrow c)=(+(b \uparrow a)) ;(\uparrow c)$

### 3.2.5.3 Lesser Alignment Rule

Let $r=(\uparrow a)$ and $s=(\uparrow c)$.
Trivially:

$$
\forall n: s(r(n)+b)=s(r(n)+b)
$$

From lemma 1, since $c \leq a$ :

$$
\forall n: s(s(r(n))+b)=s(r(n)+b)
$$

Then lemma 2 allows:

$$
\forall n: s(r(n))+s(b)=s(r(n)+b)
$$

Effectively reversing the first application of lemma 1:

$$
\forall n: r(n)+s(b)=s(r(n)+b)
$$

Remembering $r=(\uparrow a)$ and $s=(\uparrow c)$ :

$$
\forall n:((+(b \uparrow c)) ;(\uparrow a)) n=((\uparrow a) ;(+b) ;(\uparrow c)) n
$$

By extensionality:

$$
(+(b \uparrow c)) ;(\uparrow a)=(\uparrow a) ;(+b) ;(\uparrow c)
$$


[^0]:    ${ }^{1}$ A "nullable type" is a type that, in addition to containing its normal range of values, also contains a special value outside of that range, called NULL, Nothing, None or similar. In most languages with reference or pointer types, these types are nullable. Some languages have the ability to have nullable versions of any type (for example, "Maybe Int" in Haskell and "int? i;" in C\#).
    ${ }^{2}$ Considerable discussion has been made in face-to-face meetings and some discussion has also occured on the DBus mailing list: http://lists.freedesktop.org/archives/dbus/2007-August/008290.html

[^1]:    ${ }^{3}$ Compare with the whence parameter to the lseek() system call.

