



Design Guidelines for Safe, High Performing Li-ion Batteries with 18650 cells

By

Eric Darcy/NASA, Houston, TX USA Jacob Darst/NASA, Houston, TX USA William Walker/NASA, Houston, TX USA Donal Finegan/NREL, Golden, CO USA Paul Shearing/UCL, London, UK

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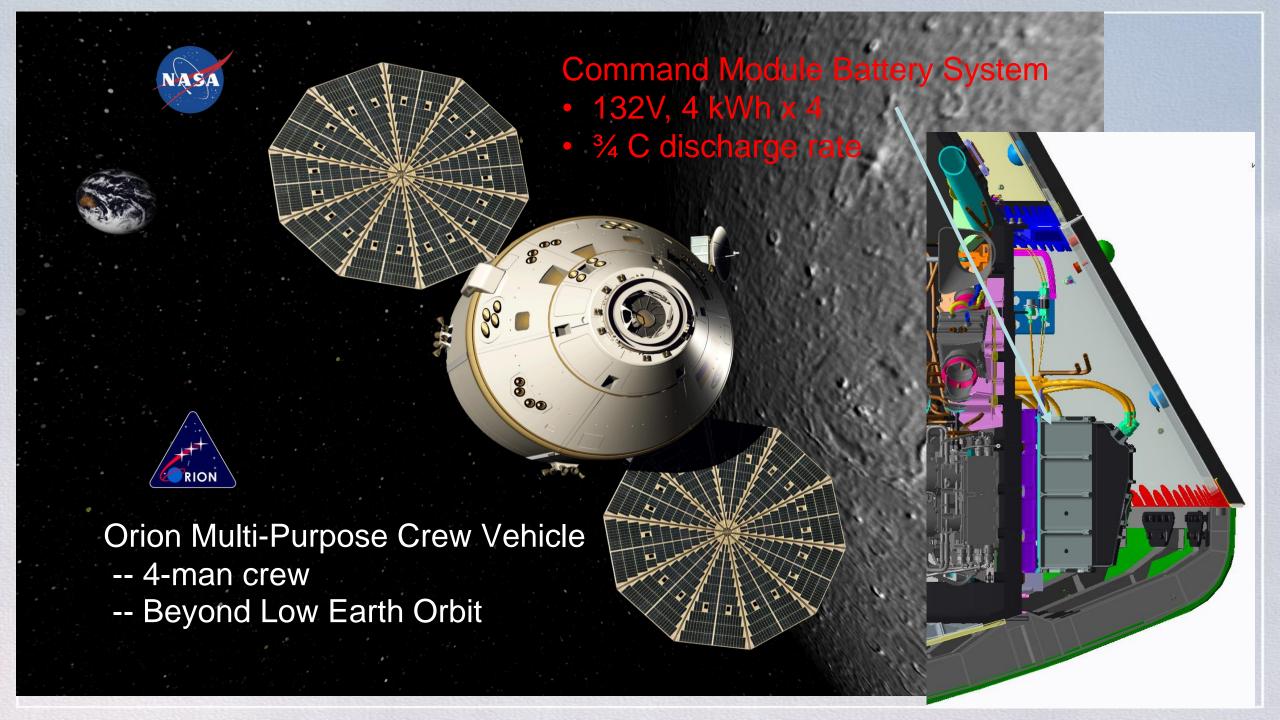
Outline

- Introduction
- Applications and Motivation
- 5 Battery Design Guidelines
- Trading thermal isolation vs heat dissipation
 - Full thermal isolation
 - Drawing heat from cell bottoms
 - Full can length interstitial heat sink approach
- Risk of side wall breaches during thermal runaway
- Insights from cell calorimetry combined with X-ray videography
- Summary

NASA-Johnson Space Center (JSC), Houston, TX

- Human spaceflight projects are led by JSC
 - -ISS
 - OrionExplorationVehicle
 - CommercialCrew
- Astronaut selection & training





ISS Commercial Cargo/Crew Vehicles

- SpaceX Dragon Module and Falcon 9 launch vehicle
 - 28V, 26 kWh of Li-ion batteries for Dragon
 - 28V, 3 kWh of Li-ion batteries for Falcon
- Boeing CT-100 Starliner
 - 28V, 58 kWh of Li-ion batteries for command module
 - 28V, < 1 kWh of Li-ion batteries for service module
- Sierra Nevada Dreamchaser
 - 28V, 46 kWh of Li-ion batteries
 - 140V, 9 kWh of Li-ion batteries







Some of NASA's Future Battery Applications

Robonaut 2

- To enhance and reduce frequency of manned spacewalks
- High energy density and high specific energy battery needed
- 90V, 4 kWh, 7 hour mission

Mars Rover Vehicle

- Terrestrial demonstration vehicle needing high voltage, power battery
- 400V, 4 kWh, 1 hour mission

Valkyrie, RoboSimian

- Terrestrial dangerous operations robot
- 90V, 2kWh, 1 hour mission

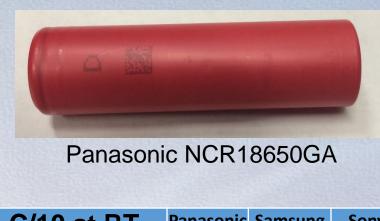
X-57 Electric Plane

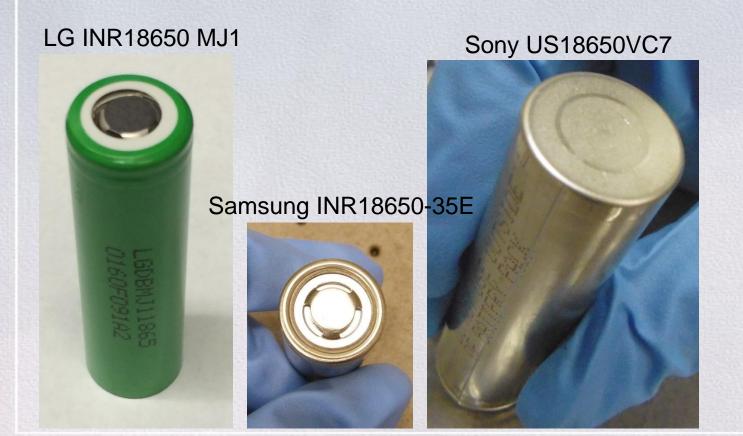
- All electric aircraft demonstrating distributed electric propulsion
- 525V, 50 kWh, 1 hour mission



High Power/Energy 18650 Cell Designs

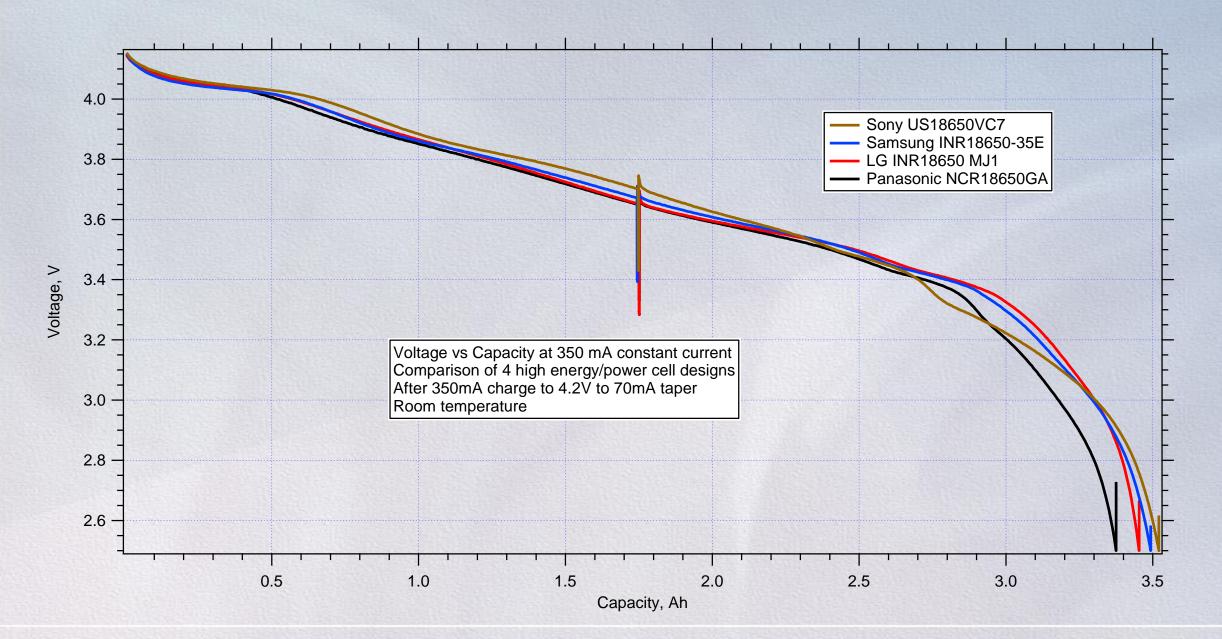
- Specific Energy Range 259-276 Wh/kg
- Energy Density Range 704-735 Wh/L



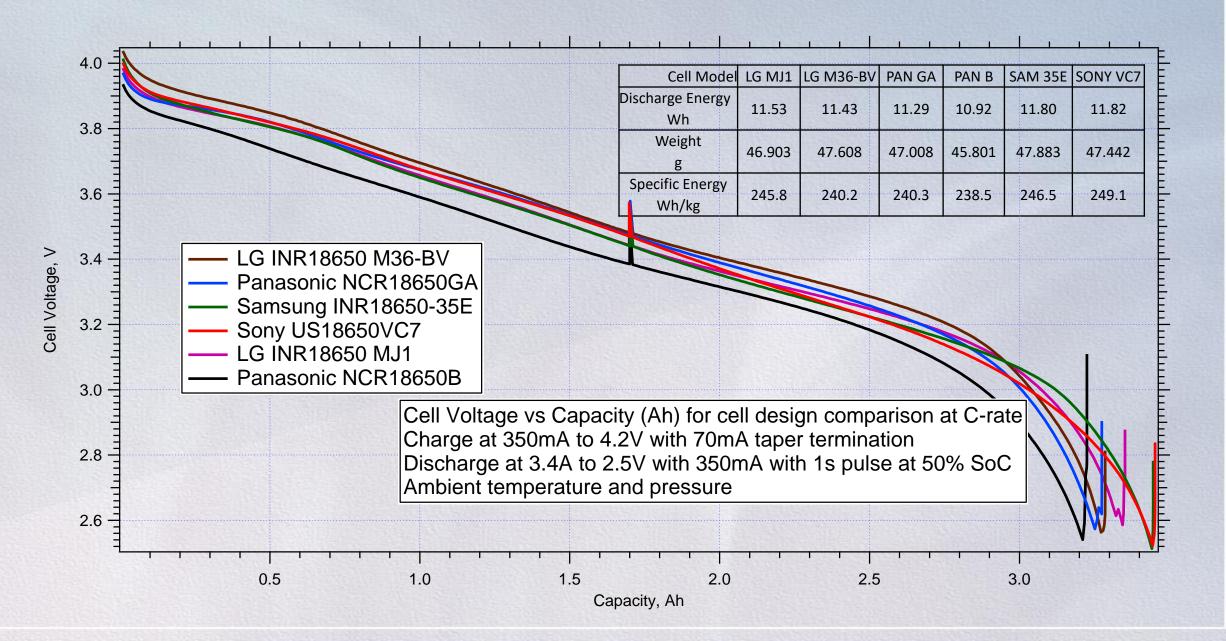


NCR GA 3.5E VC7 LG MJ1 Discharge Capacity Ah) 3.34 3.49 3.5 3.41 Discharge Energy Wh) 12.16 12.7 12.72 12.46 DC Internal Resistance (mohm) 38 35 31 33 Average Mass (g) 47 46 47.4 46.9 Average Volume (L) 0.0173 0.0173 0.0173 Specific Energy Wh/kg) 259 276 269 266					
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Specific Energy Wh/kg) 259 276 269 266 Energy Density	Average Mass (g)	47	46	47.4	46.9
Wh/kg) 259 276 269 266 Energy Density	Average Volume (L)	0.0173	0.0173	0.0173	0.0173
Energy Density	Specific Energy	2=0	276	250	266
· .	(Wh/kg)	259	276	269	266
vvii/Lj /04 /33 /33 /20	Energy Density	704	722	725	720
	(VVII/ L)	704	/33	/33	720

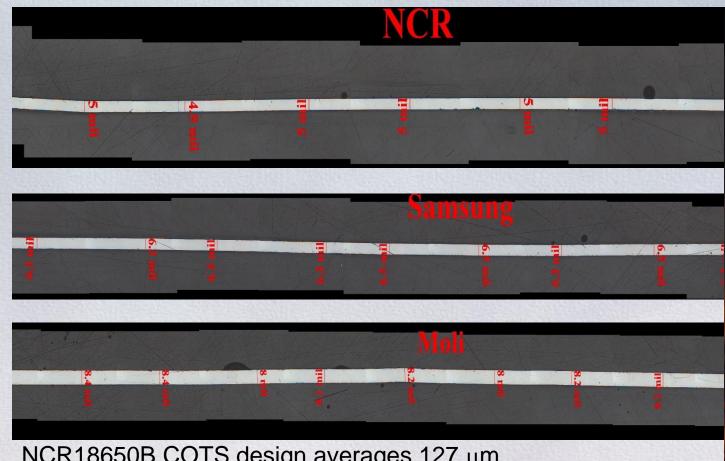
C/10 Capacity Performance Comparison

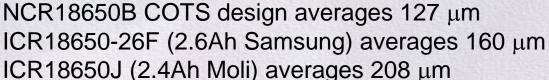


C-rate Capacity Performance Comparison



Cell Can Wall Cross Sections





ICR18650J (2.4Ah Moli) averages 208 µm

Thin can wall with >660 Wh/L → high propensity to side wall ruptures/breaching Other factors include high reaction kinetics and high header crimp burst pressure

Axial View - Header of NCR18650B Cell

Double crimp header design

Header button

Button vent

Can crimp

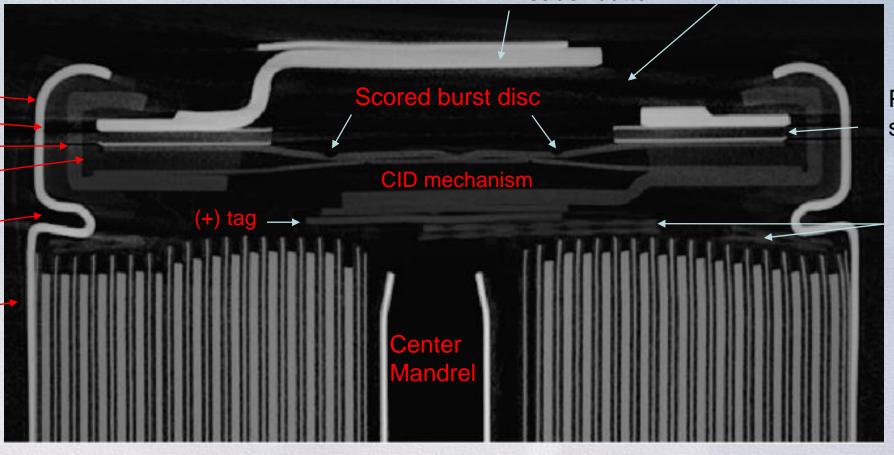
Gasket seal

Internal crimp

Internal seal

Spin groove

0.005" (125 micron) Can wall thickness



PTC annulus switch

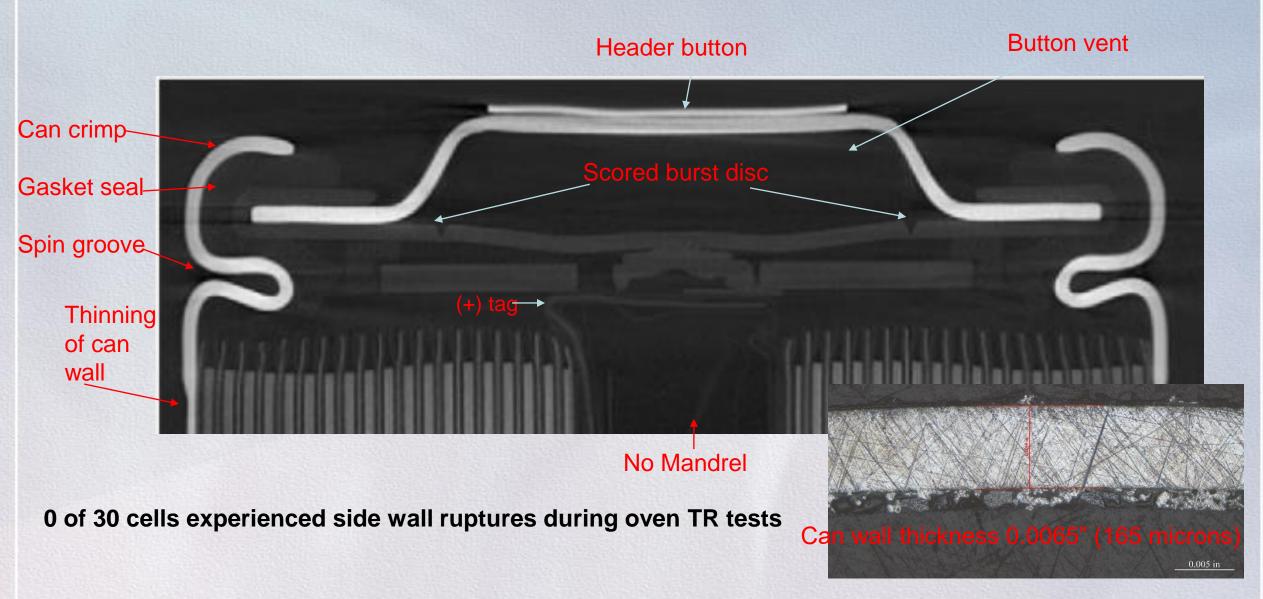
Insulator

Note the double crimped header design

Burst Pressure of Crimped Header ~1000psia (68 atm)

3 of 30 cells experienced side wall ruptures during oven heating to TR

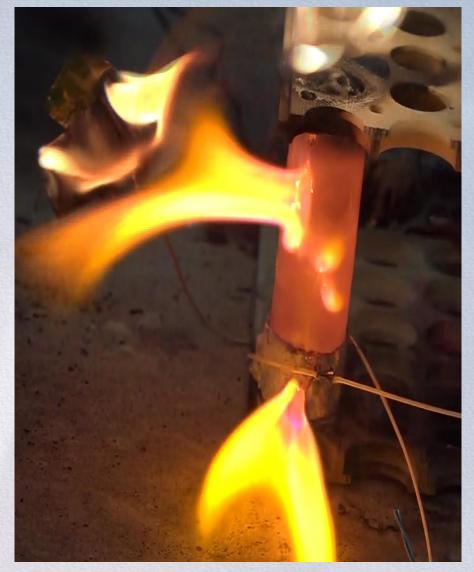
LG INR18650 MJ1 - Axial View - Header - Cell



Note the single crimped header design with burst pressure ~800 psia (~54 atm)

5 Battery Design Guidelines for Reducing Hazard Severity from a Single Cell TR

- Reduce risk of cell can side wall breaches
 - Without structural support most high energy density (>660 Wh/L) designs are very likely to experience side wall breaching during TR
 - Battery should minimize constrictions on cell TR pressure relief
- Provide adequate cell spacing and heat rejection
 - Direct contact between cells nearly assures propagation
 - Spacing required is inversely proportional to effectiveness of heat dissipation path
- Individually fuse parallel cells
 - TR cell becomes an external short to adjacent parallel cells and heats them up
- Protect the adjacent cells from the hot TR cell ejecta (solids, liquids, and gases)
 - TR ejecta is electrically conductive and can cause circulating currents
- Prevent flames and sparks from exiting the battery enclosure
 - Provide tortuous path for the TR ejecta before hitting battery vent ports equipped flame arresting screens

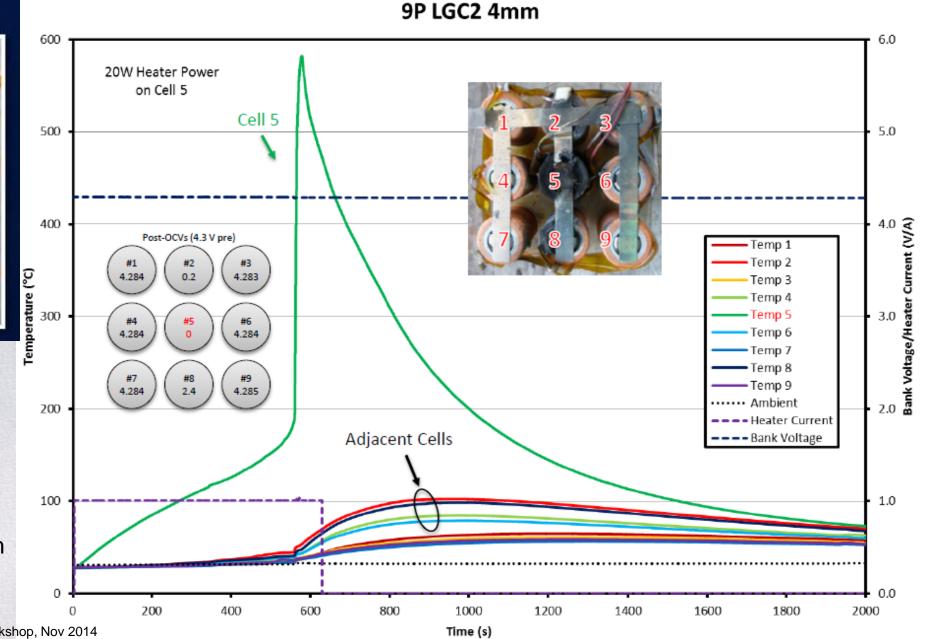


Thermal Isolation Example – 4mm air spacing between cells

Pre-Test



Jeevarajan¹ showed that without any heat dissipation path except through electrical parallel connections, adjacent cells get damaged (shorted) with even 4 mm spacing



X-57 Battery Design Fails PPR Testing in 2016

- 320-cell module catastrophically fails during single cell PPR testing
 - Multiple cells propagated TR nearly simultaneously
 - DPA revealed numerous cell can side wall ruptures
- Design not following guidelines 1 and 2
 - Doesn't protect against sidewall rupture
 - Nomex paper (yellow) is weaved in between cell can walls
 - Cell secured at their ends with G10 capture plates maybe held too tightly
 - Doesn't provide sufficient heat dissipation between cells
 - Cell heat is dissipated through Ni bussing
 - Ni is a poor thermal conductor
- Battery redesign and retest will require trigger cells with ISC device



Achieving Passive TR Propagation Resistant Designs

Pass/fail Criteria

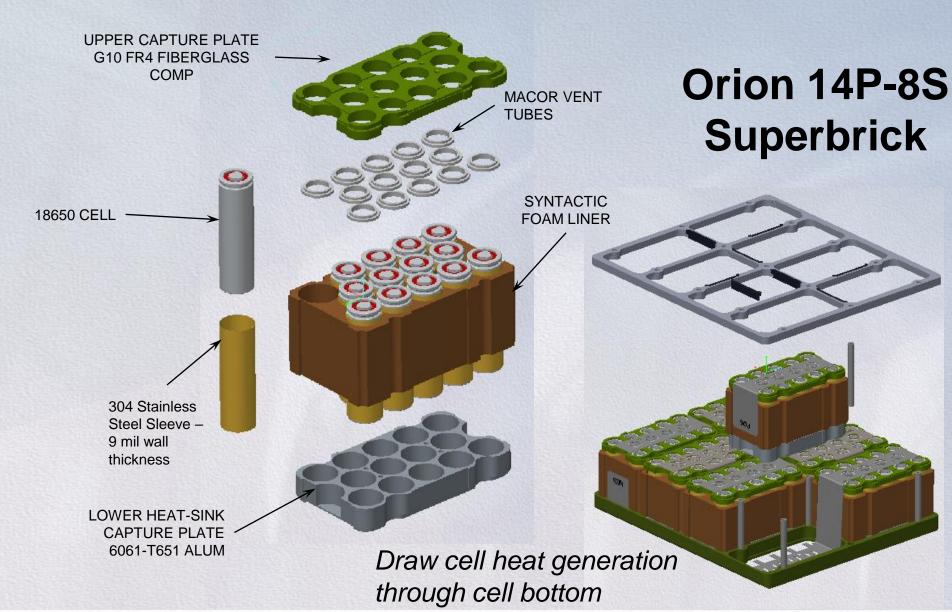
- No TR propagation resulting from the TR of any single cell location at worst case temperature and pressure conditions
- Demonstration required by test
 - Minimum of 3 tests if adjacent cells cycle nominally after the test
 - Minimum of 6 tests if in any one test the adjacent cells are damaged
 - CID opens, cell vents, or leakage
 - Charge retention (soft short)



Orion Battery 14-cell Block

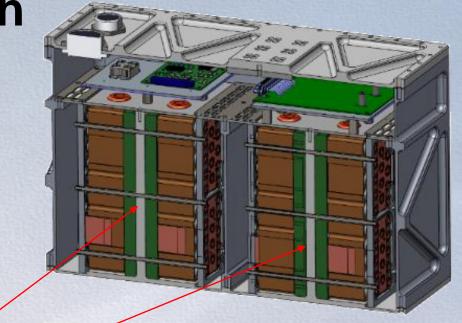


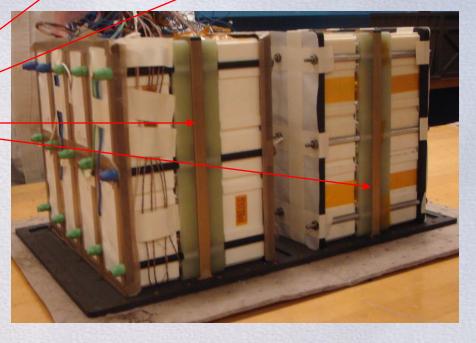




Isolating vs Providing a heat path

- If you thermally isolate cells (air)
 - Adjacent cell ∆T rise 80-100°C
 - Limited to cell designs with little risk of side wall ruptures
 - Achieves 160-170 Wh/kg
- Orion Partially conductive (Draw heat from cell bottom)
 - Conduct heat to divider plate
 - Adjacent cell \(\Delta \T\) rise 60-70°C and shorter exposure
 - 14P-8S superbrick with SS sleeves achieves 150-160 Wh/kg





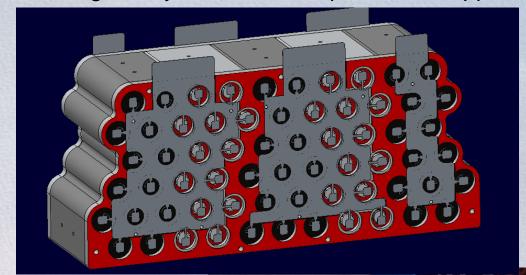
Safer, Higher Performing Battery Design

Compliance with the 5 rules

- Minimize side wall ruptures
 - Al interstitial heat sink
- No direct cell-cell contact
 - 0.5mm cell spacing, mica paper sleeves on each cell
- Individually fusing cell in parallel
 - 12A fusible link
- Protecting adjacent cells from TR ejecta
 - Ceramic bushing lining cell vent opening in G10 capture plate
- Include flame arresting vent ports
 - Tortious path with flame arresting screens
 - Battery vent ports lined with steel screens

Features

- 65 High Specific Energy Cell Design 3.4Ah (13P-5S)
- 37Ah and 686 Wh at BOL (in 16-20.5V window)
- · Cell design likely to side wall rupture, but supported













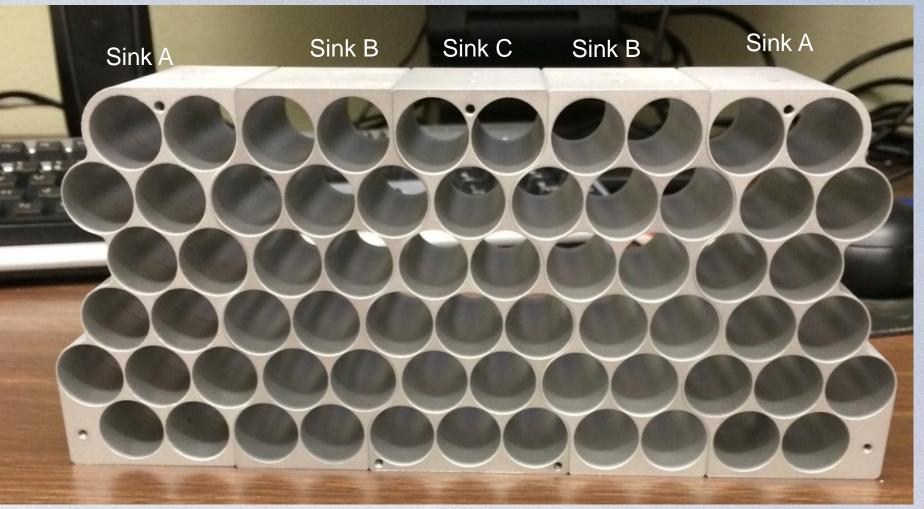




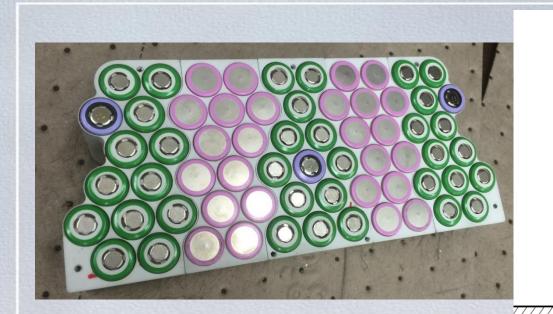
LLB2 Heat Sinks

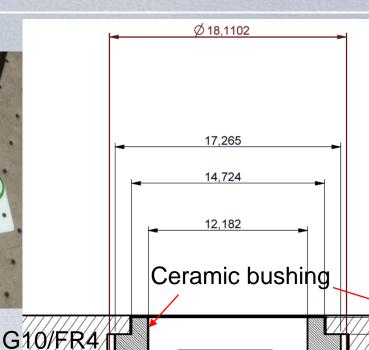
No corner cells - Every cell has at least 3 adjacent cells





0.5mm cell spacing, Al 6061T6





Cell



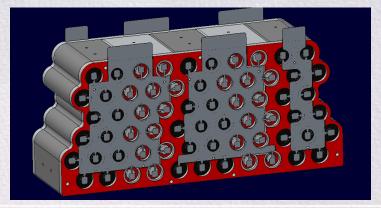




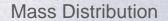
- 13P-5S Configuration with 3.4 Ah LG cell design yielding 37 Ah at 3.8 A mission rate.
- Aluminum interstitial heat sink, 0.5 mm spacing between cells
- Mica sleeves around shrink wrap, 2 FT
- The G10 capture plate houses the + and - ends of the cells and prevents the Ni bussing from shorting to the heat sinks.
- The ceramic Macor bushing acts as a chimney to direct ejecta outwards and protect the G10/FR4 capture plate

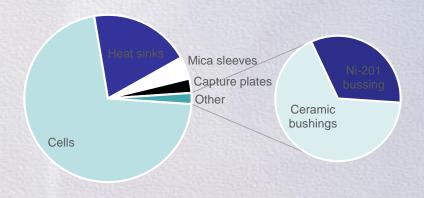
Cell Brick Assembly > 180 Wh/kg

Mass Categories	g	%
3.4Ah 18650 Cells	3012.75	71.3%
Heat sinks	824.95	19.5%
Mica sleeves	182.31	4.3%
Capture plates	115.81	2.7%
Ceramic bushings	60.15	1.4%
Ni-201 bussing	29.71	0.7%
Total	4225.7	

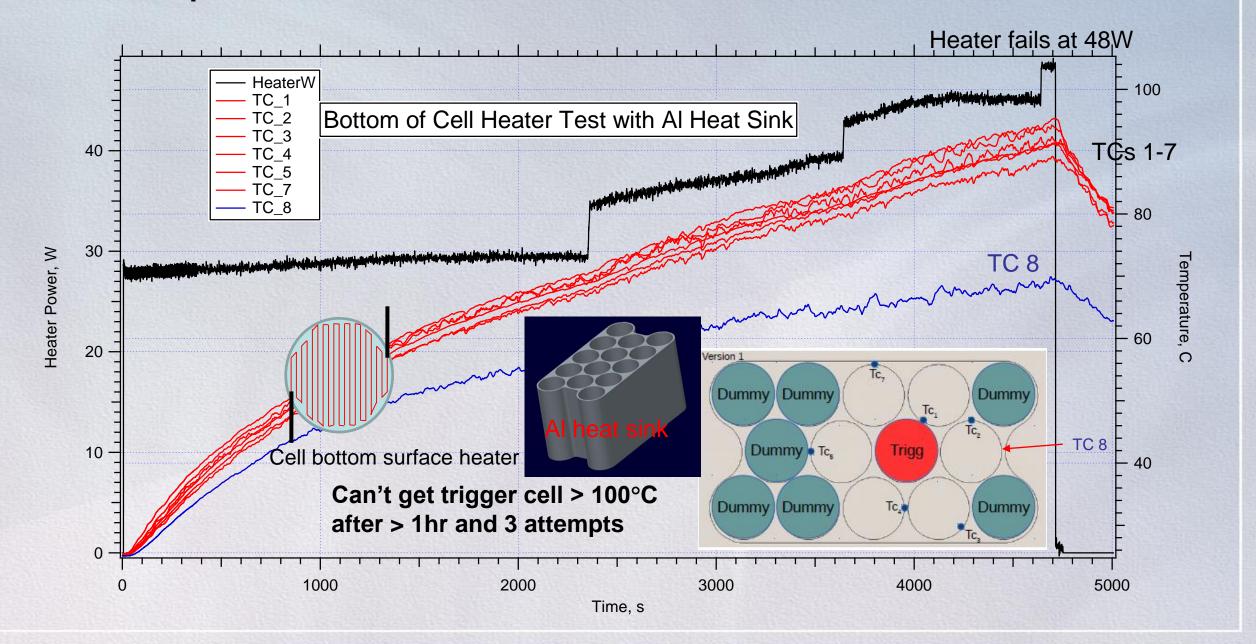


- With 12.41 Wh/cell, cell brick assembly achieves 191 Wh/kg
 - Assuming 12.41Wh per cell
- Design has 1.4 parasitic mass factor
 - Cell mass x 1.4 = Brick mass



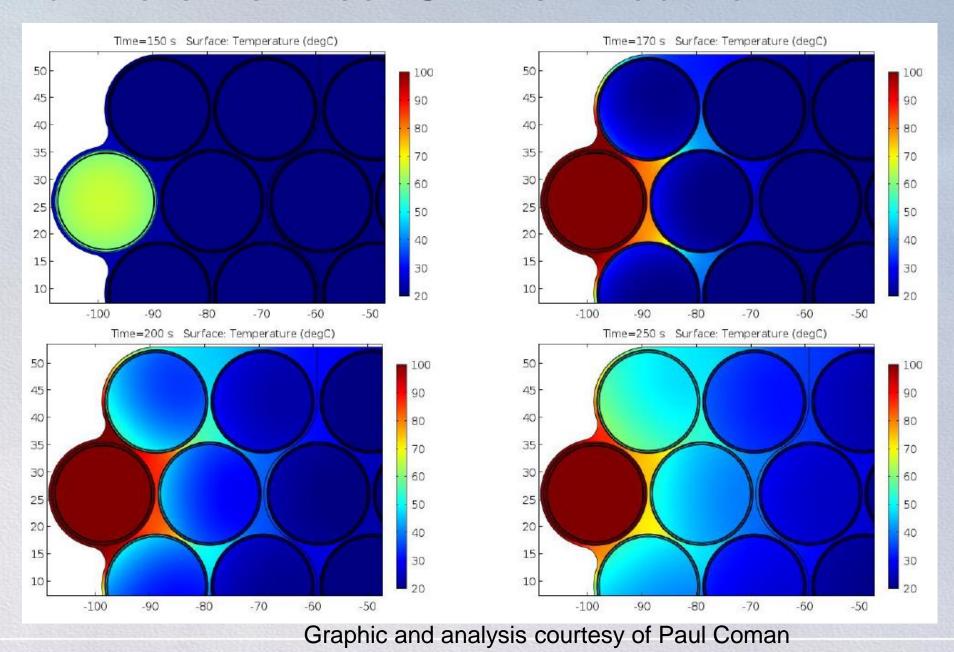


Attempts to Drive TR with Cell Bottom Heater Fails

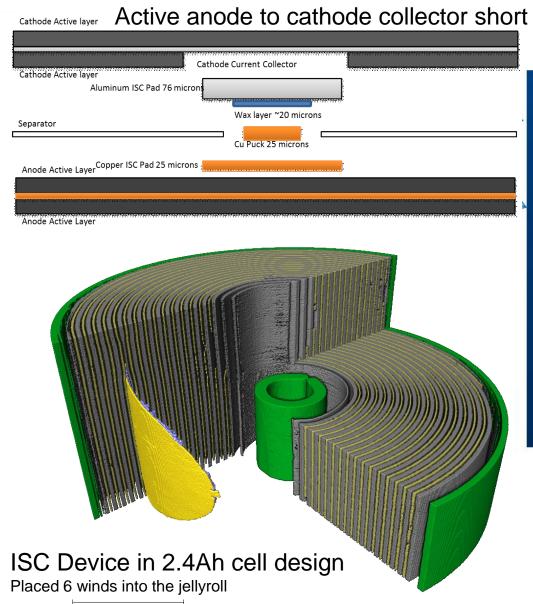


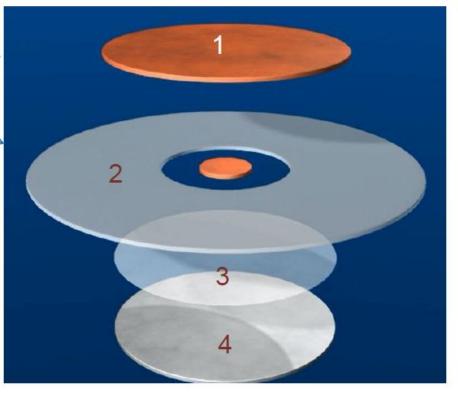
Metallic Interstitial Heat Sink is Effective

- Cell can isolated with mica paper sleeves and very small air gap
- Heat sink spreads heat more quickly through multiple layers than through mica and onto cells
- Heat from trigger cell is quickly dispersed and shared among more cells



NREL/NASA Cell Internal Short Circuit Device





Graphic credits: NREL

Top to Bottom:

- 1. Copper Pad
- 2. Battery Separator with Copper Puck
 - 3. Wax Phase Change Material
 - 4. Aluminum Pad

2010 Inventors:

- Matthew Keyser, Dirk Long, and Ahmad Pesaran at NREL
- Eric Darcy at NASA

US Patent # 9,142,829 issued in 2015

Thin (10-20 µm) wax layer is spin coated on Al foil pad

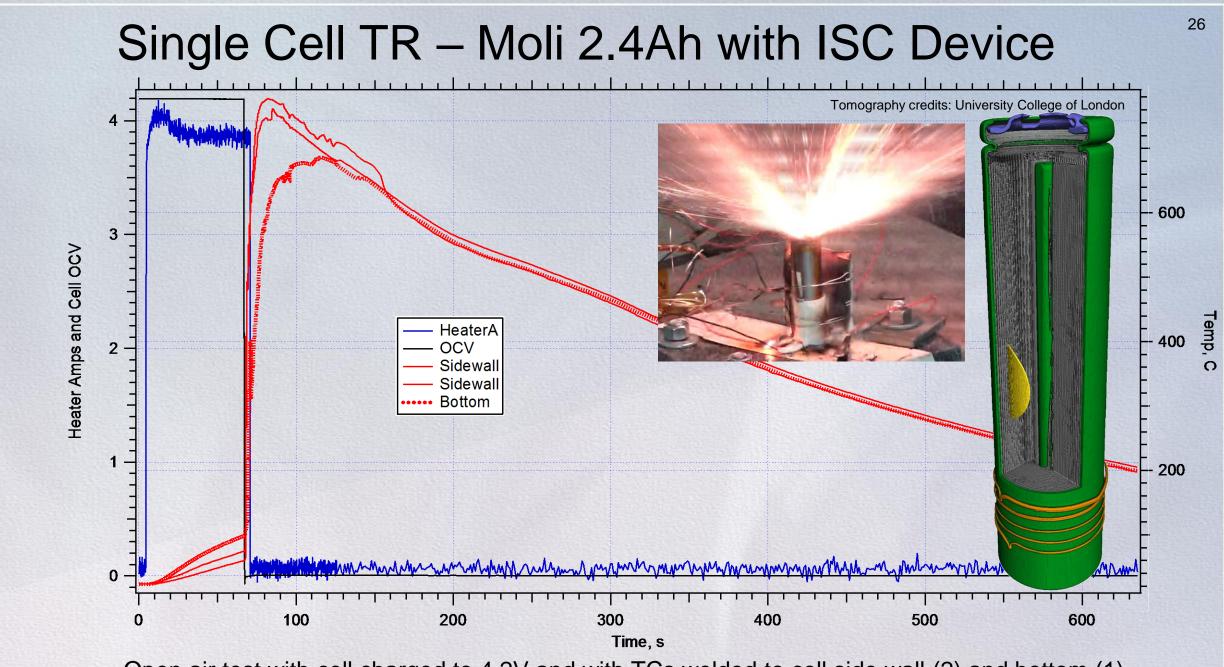
Wax formulation used melts ~57°C

Runner-up NASA Invention of 2017

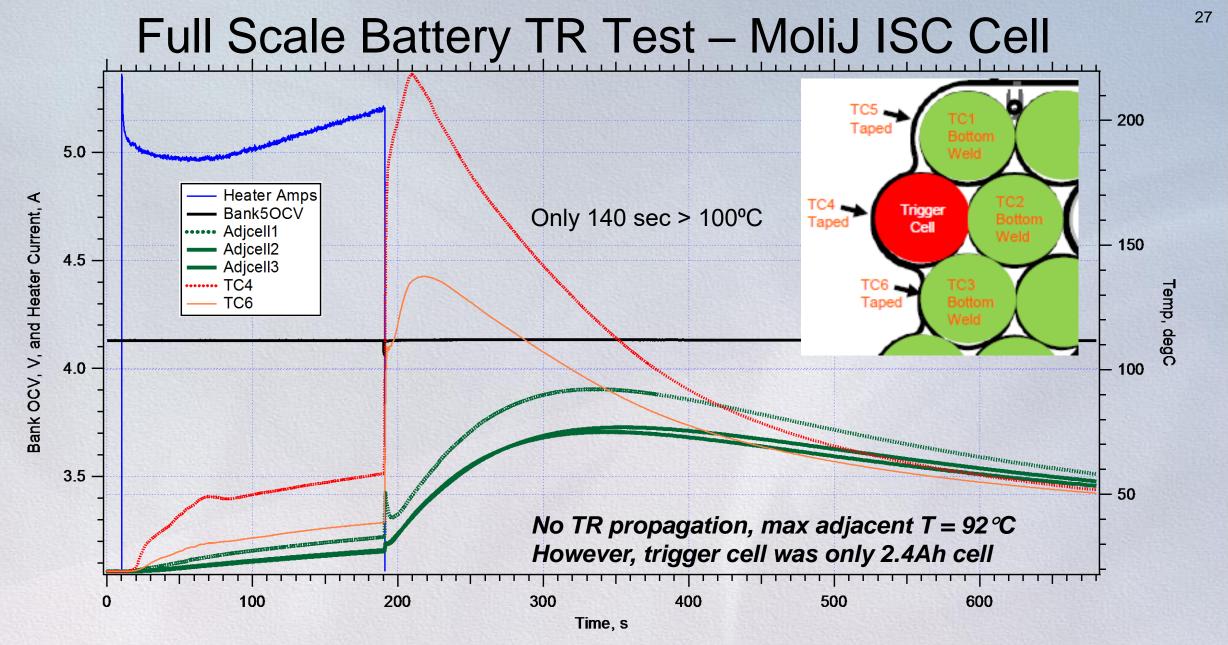


2016 Award Winner

Tomography credits: University College of London



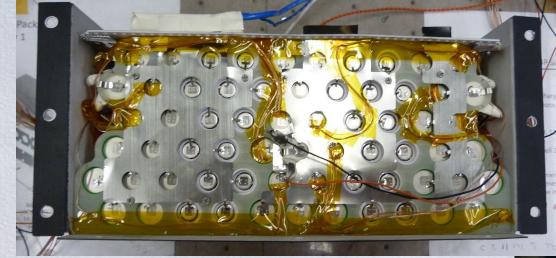
Open air test with cell charged to 4.2V and with TCs welded to cell side wall (2) and bottom (1)



Heater power ~42W for 180s. Onset of TR (OTR) occurs 180s after power on and coincides with trigger bank OCV dip. Adjacent cell1 has $\Delta T = 58.9^{\circ}$ C to max of 92.0°C, while adjacent cells 2 & 3 have $\Delta T = 48^{\circ}$ C to max of 76.0°C

No TR Propagation, Only Smoke Exits Battery

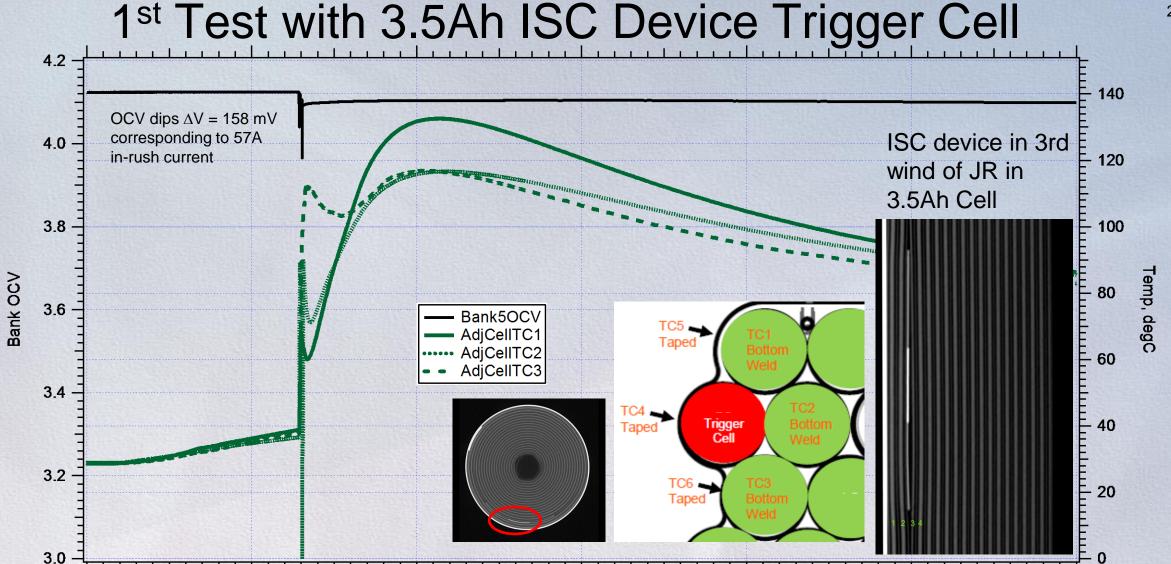




Mesh 40 & 30 steel screens arrest flames and sparks



However, trigger cell was only 2.4Ah cell

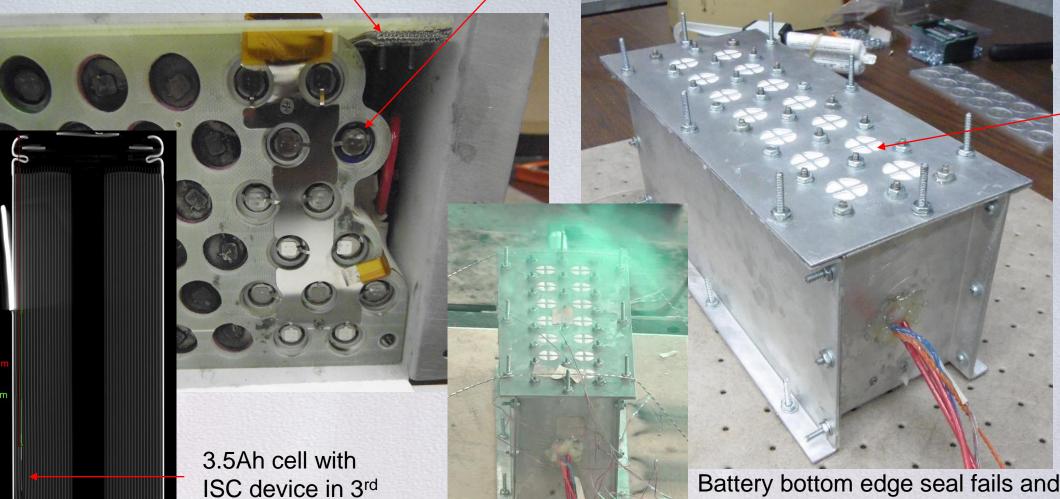


Adjacent cell temperatures TC1, TC2, and TC3 peak at 133° C, 117° C, and 117° C in 77-87s from onset temperatures of 39°C, 37°C, and 38°C for $\Delta T = 94^{\circ}$ C, 77°C, and 78°C, respectively.

Time, s

No TR Propagation - Only Clean Smoke Exits Gore Vent

Flame arresting steel screens 3.5Ah Cell with ISC device trigger location



JR wind

Gore fabric Vent design

Battery bottom edge seal fails and relieves internal pressure at ~11.4 psig (0.77 bar)

3.5 Ah Trigger Cell Experienced a Side Wall Breach

Trigger cell was a struggle to extract from heat sink.

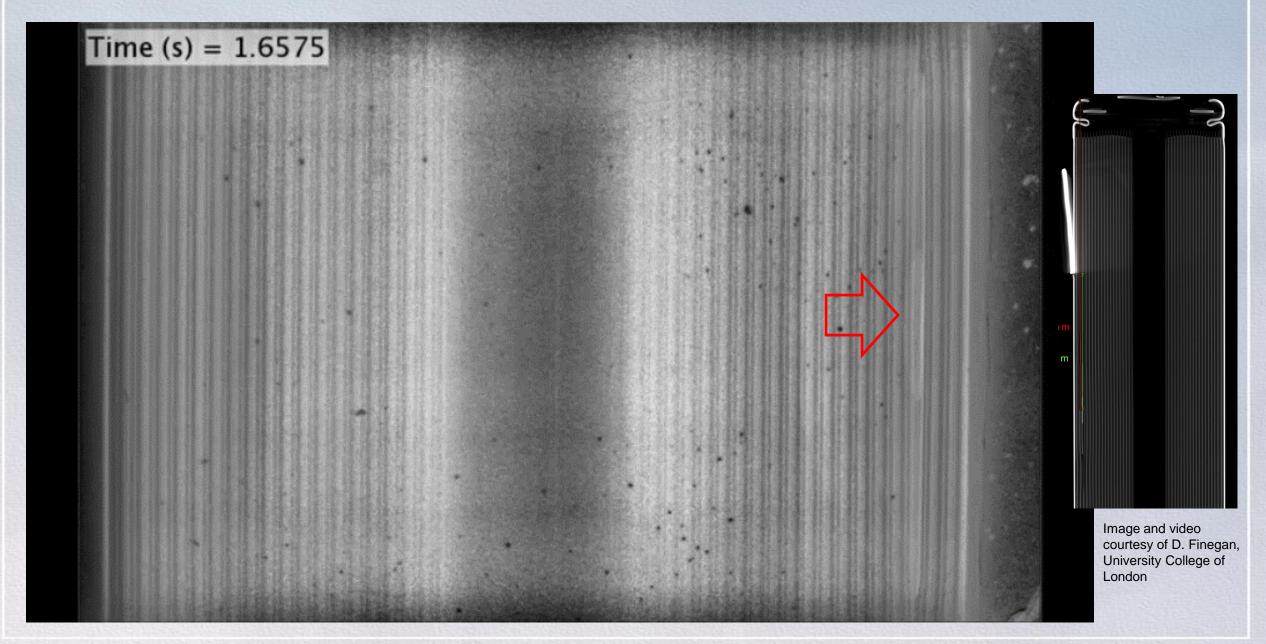
The mica insulation was severely damaged adjacent to rupture

Cell	OCV (V)	Mass (g)
Trigger	0	17.161
1	3.474	46.801
2	0.336	46.691
3	0	46.671



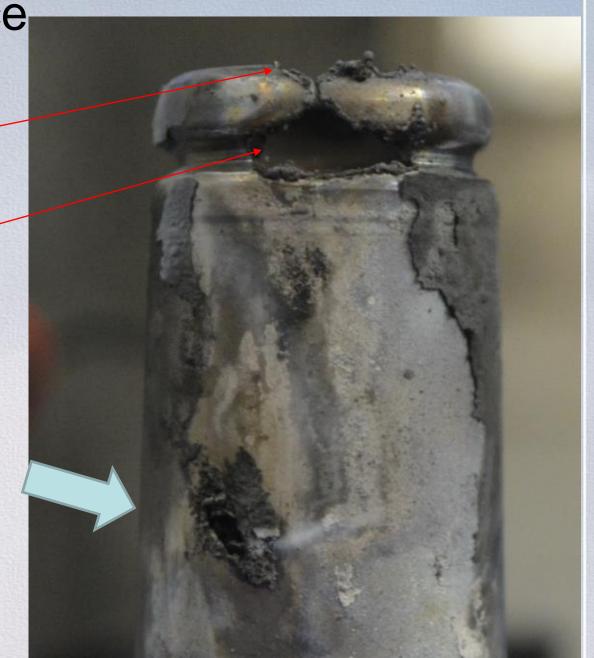


3.5Ah Cell #21 with ISC Device Video



3.5Ah Cell #21 with ISC Device

- JR ejected
- Top edge of crimp shows reflow steel
- Side wall breach in neck of crimp is clocked with ISC device
- Smaller breach in can wall is slightly off the ISC device clocking and above it

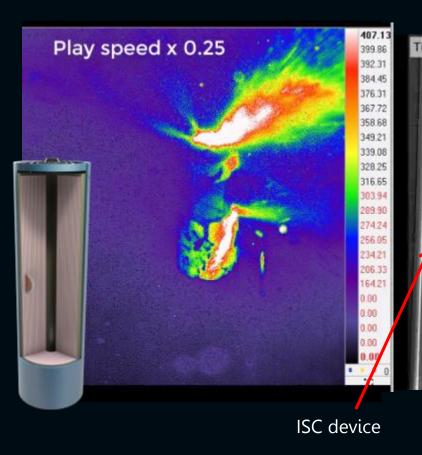


Side-wall Breach of MJ1 Cell

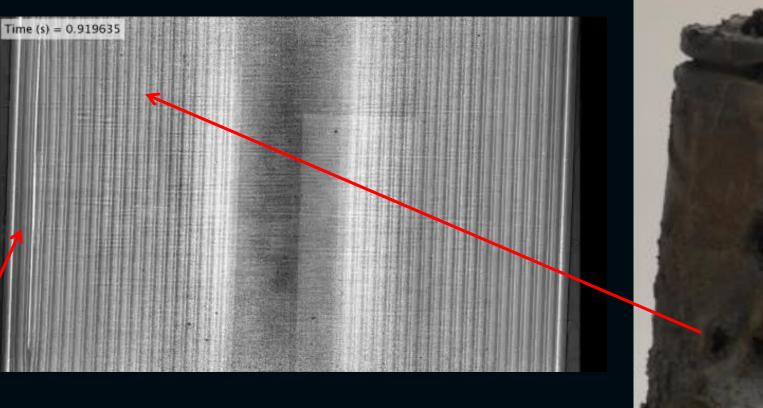
ISC device 3 winds in

Side-wall breach

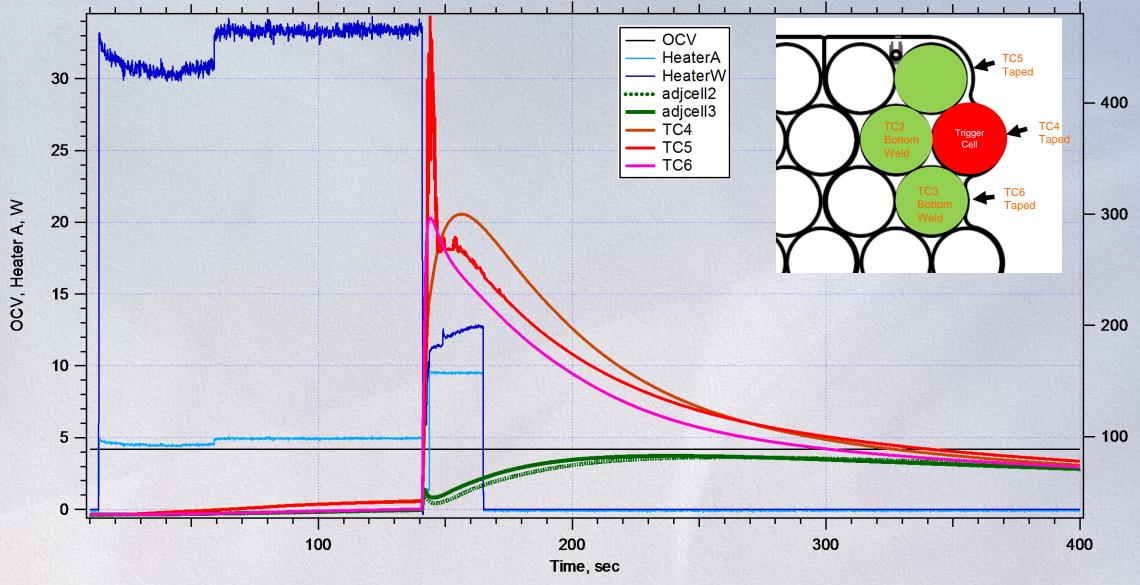
Hotspot clocked with ISC device followed by side-wall breach (SWB)



First capture of side wall breach using high speed X-ray imaging. Bulging around the point of initiation occurs and the propagation front makes early contact with the cell casing. The direction of flow shifts towards the widening SWB.



2nd Test 3.5Ah ISC Trigger Cell – OCV, Heaters, & Interior Temps

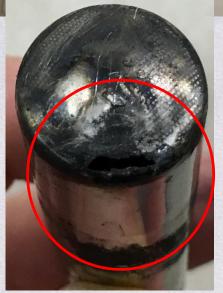


Adjacent cell max temperatures < 83°C

Post-Test Photos – Trigger Cell













Bottom breach

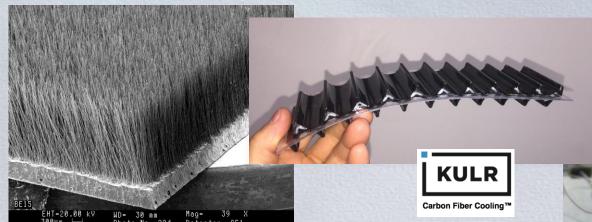
Spin groove is stretched

Findings from 2nd Test with 3.5Ah ISC Trigger Cell

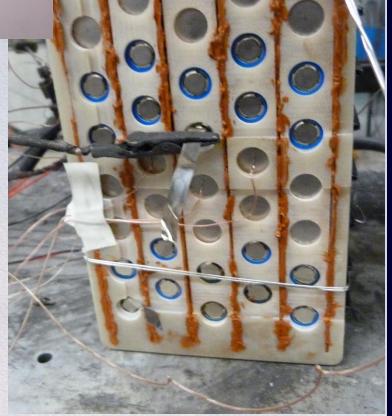
- ISC device in 3.5Ah 18650 cell triggered in 127 seconds with bottom heater at 32W average
 - Very similar initiation time (1st run was in 119s)
 - Very similar biasing of adjacent cells (34-35°C) at onset of TR (1st run at 37-39°C)
- No propagation of TR
 - Despite bottom breach of trigger cell, which damaged the G10/FR4 negative capture plate
 - Reusing the same heat sinks from the first test undamaged after both tests
- Max adjacent cell temperatures < 83°C
 - Adjacent cell temperature rise was 46-47°C, significantly lower than 1st run (77-94°C)
 - Bottom breach yields a much less severe impact than side wall breach

Vaporizing TR Shields 50-cell Brick Test

Trigger cell

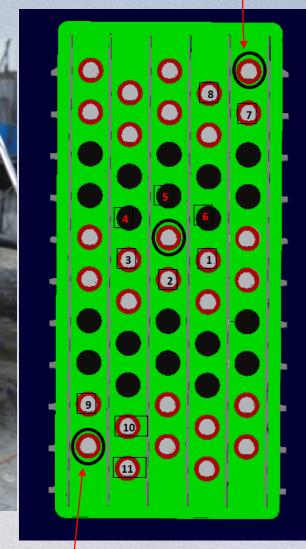






Three trigger cell locations

LG 3.3Ah with thicker can walls (250 microns) and ISC device in bottom of JR Thermocouples welded to bottom of adjacent cells.



Trigger cell

Comparing the 2 Interstitial Heat Sink Options

Aluminum Interstitial		
Mass Categories	g	%
LG MJ1 cells	3013	71.30%
Heat sinks	825	19.50%
Mica sleeves	182.3	4.30%
Capture plates	115.8	2.70%
Ceramic bushings	60.15	1.40%
Ni-201 bussing	29.71	0.70%
Total	4226	
Parasitic mass factor	1.40	
Brick Specific Energy	191	Wh/kg

Vaporizing Interstitial		
Mass Categories	g	%
LG MJ1 cells	3013	84.81%
Vaporizing heat sinks	334.1	9.40%
Mica sleeves	0	0.00%
Capture plates	115.8	3.26%
Ceramic bushings	60.15	1.69%
Ni-201 bussing	29.71	0.84%
Total	3553	
Parasitic mass factor	1.18	
Brick Specific Energy	227	Wh/kg

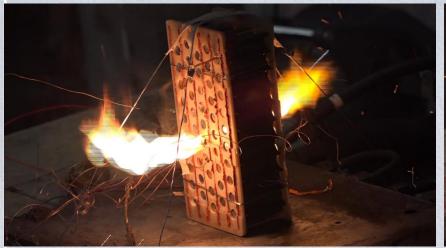


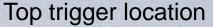
KULR Vaporizing Heat Sink enables

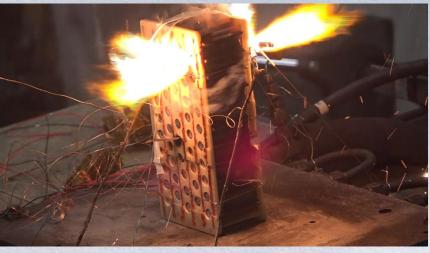
- 19% improvement in Wh/kg
- 1.5 lbs mass savings per spacesuit battery (or 16%)
- For the X-57 battery (55 kWh) this would save > 101 lbs

Video snapshots of all 3 trigger tests

Interior trigger location

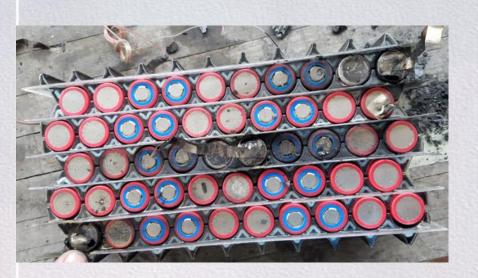






Bottom trigger location





- Max ΔT on adjacent cell 40-63°C, a bit higher than with Al heat sink brick test
 - However in Al brick test 2.4Ah trigger cell vs
 3.3Ah for vaporizing brick test
- All adjacent cells cycled nominally post test

Vaporizing Thermal Runaway Shields - Blow Torch Test

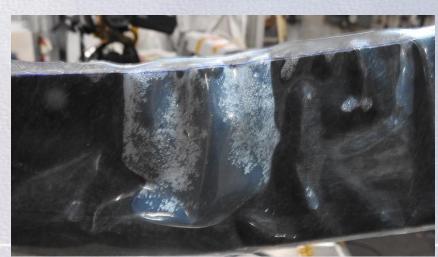
- Design
 - Highly conductive carbon fiber wick
 - Soft, thin, & compliant polyethylene enclosure & seal
 - 2mm thickness
 - Much lighter than solid Al
- Tests
 - No blow through failures after multiple direct flame impingement 10-sec blow torch exposures
 - Plastic melts, water leaks out, but wet carbon fiber layer stays intact
- Merits testing with cells likely to side wall rupture



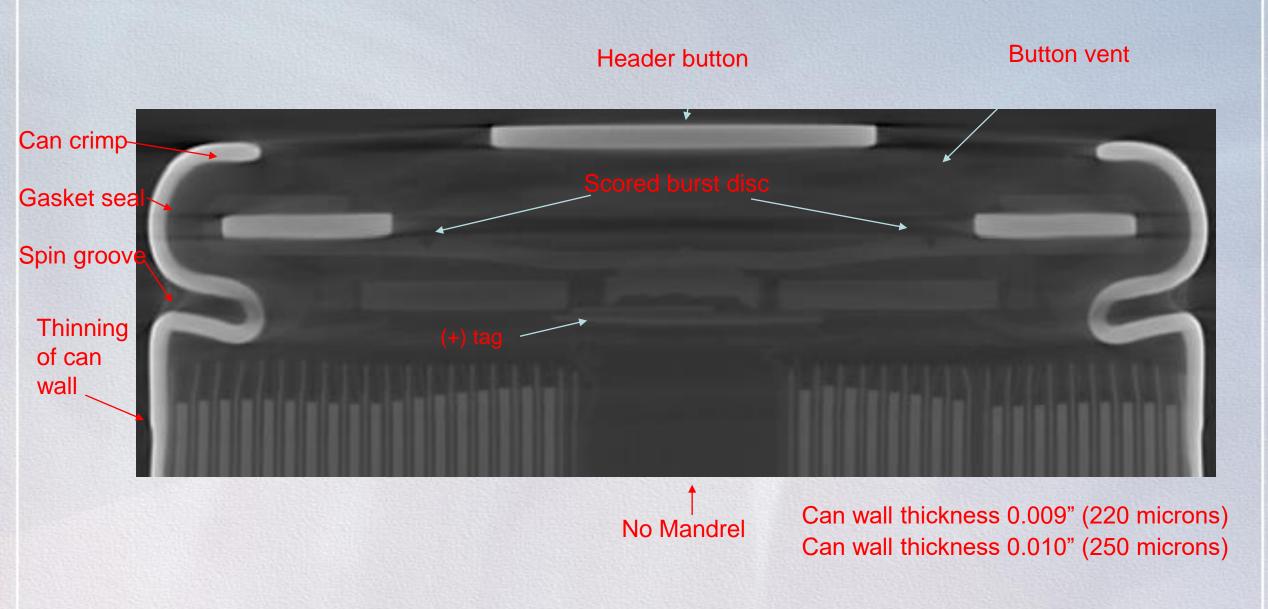
Pre



Postopposite side



LG 18650 3.35Ah - Axial View - Header - Cell



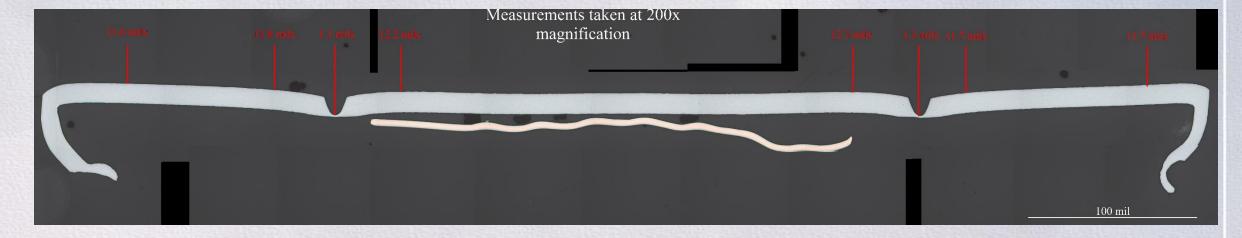
Note the single crimped header design with burst pressure ~800 psia (~54 atm)

LG 3.35Ah Cell Design with Bottom Vent

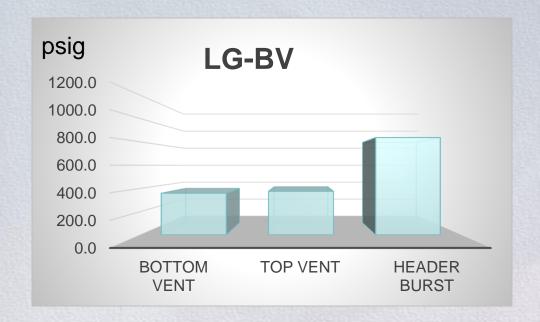


	3.50Ah vs 3.35Ah		
Diameter	max. 18.65	max. 18.45	
Height	max. 65.3	max. 65.6	
Wall thicknes	S 0.15	0.22	
Mass	47.0 g	47.5 g	
Capacity	3.5Ah	3.4 Ah	
Energy	12.7wh	12.3 Wh	
Voltage	2.5~4.2	2.5~4.2	
Max current	10A	10A	
AC Resistan	ce 30	23	

3.35Ah cell design, a bit more power capable than 3.5Ah design



LG 3.35Ah with Bottom Vent (BV)







Bottom vent disk separates completely

Heat Distribution Calorimeter

Characterising the difference between failure types

Highlight risks associated with the spread of heat sources when cells rupture and compare to when they remain intact

Heat Distribution Calorimeter

- Measure heat output from single cylindrical cells
- Decouple heat generated within the cylindrical casing and heat generated by ejected material
- X-ray transparent for in-situ highspeed X-ray imaging
- Scalable to fit any cylindrical cell design
- Ambidextrous design for bottom vent cells

Bore Chamber

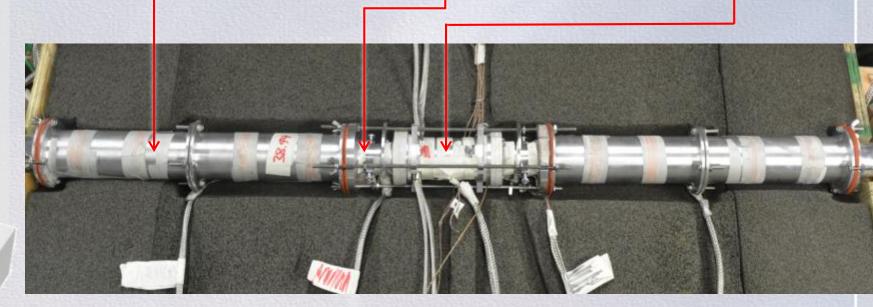
 Slows down and extracts heat from escaping flames and gas

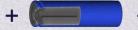
Ejecta Mating

- Captures ejected solids such as the electrode assembly
- Thermally isolated from the cell chamber

Cell Chamber

- Contains the cylindrical cell
- Includes heating system for thermally induces failure





Molicel 18650-J

Samsung 18650-30Q

Heat Distribution Calorimeter

Unit

LG 18650-MJ1

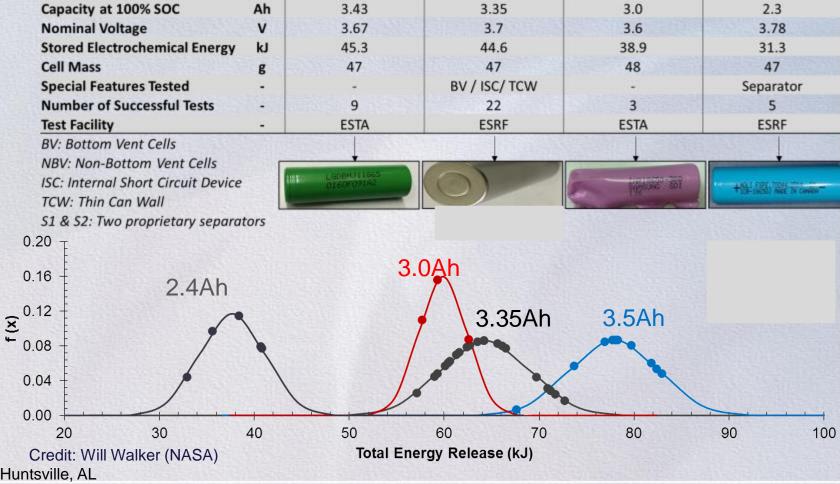
Calorimetry experiments have been conducted at the NASA JSC Energy Systems Test Area (ESTA) and at the European Synchrotron Radiation Facility (ESRF) and Diamond Light Source (DSL):

- 38 sets of data processed for successful tests processed to date
- 27 runs at the ESRF and 62 very recently performed with the new calorimeter at the DSL

Item

Key Findings

- Higher energy density cells released more heat
- 3.5Ah MJ1 cells generated 22 % more heat than 3.35Ah cells that have 3 % more capacity
- The distribution of heat released from ejected material and from the cylindrical body of the cell was measured
- A combination of 3.35Ah cells with bottom vents (BV) and without bottom vents (NBV) were tested



3.35 Ah LG 18650

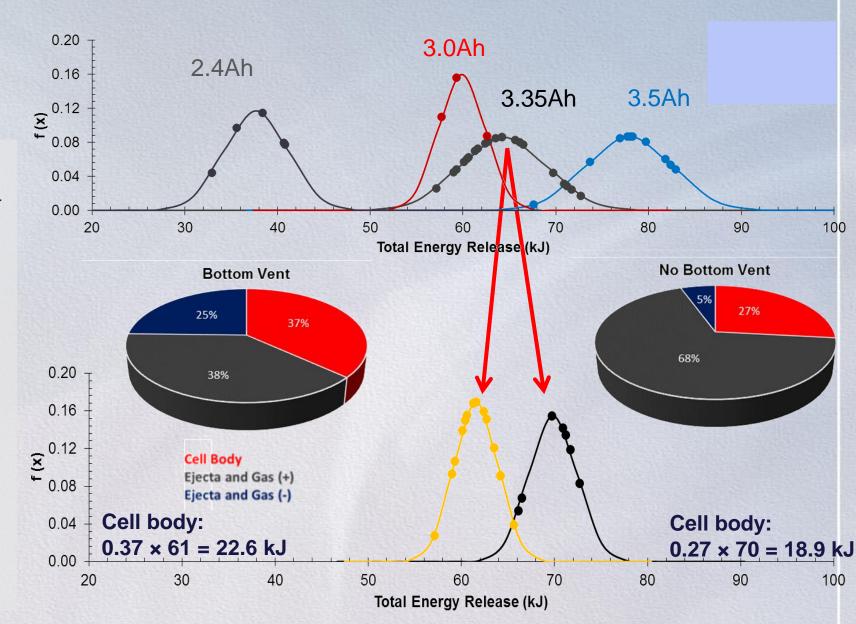
Walker, et.al, 2017 NASA Aerospace Battery Workshop, Huntsville, AL

Heat Distribution Calorimeter – 3.35Ah cells

Comparison between the heat distribution of cells with and without bottom vents

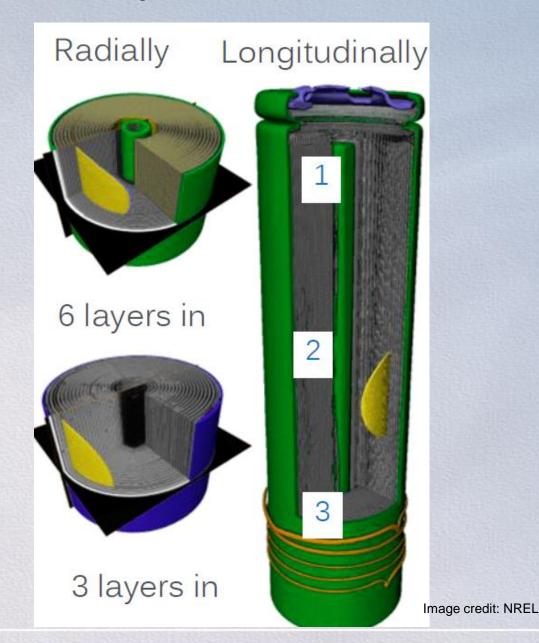
Key Findings

- Bottom vent cells produce around 12 % less heat than non-bottom vent cells.
- May be due to bottom-vent cells ejecting less material and thermal runaway reactions being oxygen limited.
- A higher proportion of heat is generated within the cylindrical casing in cells with bottom vents.
- This may be due to a decreased risk of the cell bursting and ejecting the electrode assembly
- A higher proportion of heat is generated from ejected material in cells without bottom vents.
- For both cells, over 60 % of the heat generated during thermal runaway stems from ejected material.



Test Plan - Cell ISC Device Implantations

- Objective #1 is to determine the safety merits of bottom vents vs thicker can walls
 - LG Initial design (Group 1)
 - · No bottom vent
 - 220 μm (0.009") side wall
 - LG-BV (Groups 2-5)
 - Bottom vent
 - 220 μm (0.009") side wall
 - LG-TC (Groups 6-9)
 - · No bottom vent
 - 250 μm (0.010") side wall
 - Adds 777mg vs the initial design
- Objective #2 is to determine the side wall rupture sensitivity to the location of the ISC device
 - 3 winds into middle of JR
 - 6 winds into middle of JR
 - 6 winds into top of JR
 - 6 winds into bottom of JR



Linking internal dynamics to external risks

High-speed X-ray Imaging

- Oct 2017: Experiment at
 The European Synchrotron (ESRF), France.
- 29 x 18650 cells with ISC devices placed at different locations were brought to thermal runaway
- Cell design features varied; with two different wall thicknesses and w/ or w/o bottom vents
- Simultaneous high-speed X-ray imaging and single cell calorimetry
- Aim:
 - To link internal phenomenon with external risks and uncover conditions that lead to worst-case failure scenarios
 - Clarify the merits of bottom vents and thicker casing walls







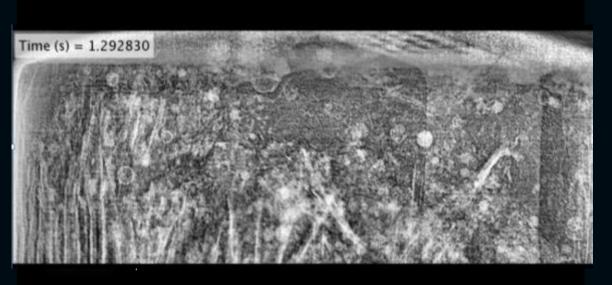
Bottom Vents: Determining Merits



No Bottom Vent (NBV)

Key findings

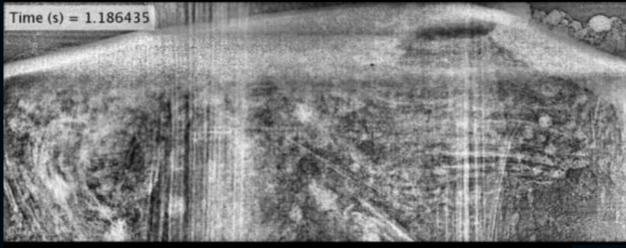
- Base-plate domes outwards as the gases and debris deflect and take a U-turn through the vacant core of the electrode assembly
- The inner winds of the electrode assembly shear and eject



Bottom Vent (BV)

Key findings

- Gases and debris does not take a U-turn. The residence time of reacting material is therefore less.
- The thermal mass of the base plate is reduced which may increase the risk of breach due to deflecting material
- The electrode assembly shifts towards the base-vent rather than the top-vent



Bottom Vent vs No Bottom Vent (only 3.35Ah Cells)

Inside Calorimeter

- Bottom vent cells retain 54% of their mass post TR
- While cells without BV retain only 40%
- Outside Calorimeter with circumferential heater
 - Bottom vent cells retain 50% of their mass post TR
 - While cells without BV retain only 42%

Counting all tests

- BV cells retain 52% vs 41% of their pre-test mass
- Similar results inside or outside calorimeter
- Pictures of cell can walls, occurrence of side wall ruptures, and post test mass all suggest BV feature produces less violent TR events

Calorimeter Runs	3.35Ah w	, BV	3.35Ah w/	o BV
Average (g)	25.7	54.4%	19.2	39.9%
Sdev (g)	2.7		3.1	
Count	12		8	

% of pre-test mass

Heater Runs	3.35Ah w BV		3.35Ah w/	o BV
Average (g)	23.6	49.9%	20.2	42.0%
Sdev (g)	4.1		4.0	
Count	18		9	

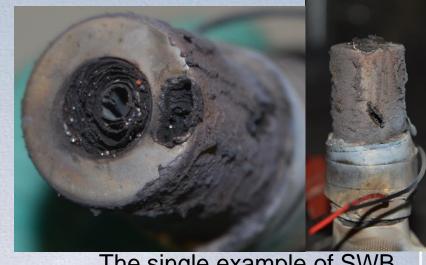
All Valid Runs	3.35Ah w BV		3.35Ah w/	o BV
Average (g)	24.5	51.7%	19.7	41.0%
Sdev (g)	3.7		3.5	
Count	30		17	

Bottom Vent, Thicker Can Wall Results

- 3.5Ah LG cell design with thin can wall (165 micron) and ISC device in 3 winds into JR
 - yields > 80% chance to SWB
 - Count = 36
 - Excellent worst case trigger cell for battery testing because clocking of SWB is predictable
- 3.35Ah LG cell design with thicker 220 micron can wall and bottom vent
 - 1 of 31 or 3% chance of SWB
 - Risk is not eliminated
- 3.35Ah LG cell design with thickest 250 micron can wall but no bottom vent
 - 4 of 18 or 22% chance of SWB
 - Higher risk than with bottom vent
- Post test masses are higher for BV cells, TR appear less violent
 - 50% vs 42% of pre test mass



3.5Ah Thin wall



The single example of SWB 3.35Ah thicker wall & BV



Examples of 3.35Ah Thickest wall No BV



Summary Conclusions

Heat output

- 3.5Ah MJ1 cells produce the most heat (1.72 kJ/kJ stored) whereas 3.35Ah cells produce 1.44 kJ/kJ stored.
- > 70 % of the heat output is from ejected material in the 2 cell designs cells.
- Cells that undergo bottom breach, on average, produce less heat.

Rupture/Breaching of 18650 cell enclosure

- Side wall, spin groove, bottom, and top cap breaching is melt-through thermal breach, not a pressure induced rupture
- 18650 cells extend by 2-3 mm during header rupture. Allowances need to be made for this extension to avoid unwanted pressure build-up and side-wall breaches.

Merits of bottom vent

- Bottom vent reduces residence time of reacting species.
- The bottom vent leads to less ejected material due to decreased flow rate, and less overall heat generation **but** more heat generated within the casing of the cell. This suggests that the reactions are oxygen starved.

Safe, High Performing Battery Design Guidelines

- Must address risk of side wall breaches: bottom vent, thicker can wall, & protect vulnerable spin groove area
- Provide adequate heat dissipation: conductive interstitial heat sinks along cylindrical wall (also protect against side wall breaches) are best
- Fuse parallel cells to electrically isolate internally shorted cells
- Allow hot ejected materials to disperse their energy quickly while protecting the adjacent cells
- Equip battery vent port with flame arresting features