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## The Multiplicative Power of Consensus Numbers

Damien Imbs<sup>\*</sup>, Michel Raynal<sup>\*\*</sup>  
*damien.imbs@irisa.fr, raynal@irisa.fr*

**Abstract:** The Borowsky-Gafni (BG) simulation algorithm is a powerful reduction algorithm that shows that  $t$ -resilience of decision tasks can be fully characterized in terms of wait-freedom. Said in another way, the BG simulation shows that the crucial parameter is not the number  $n$  of processes but the upper bound  $t$  on the number of processes that are allowed to crash. The BG algorithm considers colorless decision tasks in the base read/write shared memory model. (Colorless means that if, a process decides a value, any other process is allowed to decide the very same value.)

This paper considers system models made up of  $n$  processes prone to up to  $t$  crashes, and where the processes communicate by accessing read/write atomic registers (as assumed by the BG) and (differently from the BG) objects with consensus number  $x$ , accessible by at most  $x$  processes (with  $x \leq t < n$ ). Let  $ASM(n, t, x)$  denote such a system model. While the BG simulation has shown that the models  $ASM(n, t, 1)$  and  $ASM(t + 1, t, 1)$  are equivalent, this paper focuses the pair  $(t, x)$  of parameters of a system model. Its main result is the following: the system models  $ASM(n_1, t_1, x_1)$  and  $ASM(n_2, t_2, x_2)$  have the same computational power for colorless decision tasks if and only if  $\lfloor \frac{t_1}{x_1} \rfloor = \lfloor \frac{t_2}{x_2} \rfloor$ . As can be seen, this contribution complements and extends the BG simulation. It shows that consensus numbers have a multiplicative power with respect to failures, namely the system models  $ASM(n, t', x)$  and  $ASM(n, t, 1)$  are equivalent for colorless decision tasks iff  $(t \times x) \leq t' \leq (t \times x) + (x - 1)$ .

**Key-words:** Asynchronous processes, BG simulation, Consensus number, Distributed computability, Fault-Tolerance, Process crash failure, Reduction algorithm,  $t$ -Resilience,  $k$ -Set agreement, Shared memory system, Synchronization power, System model, Wait-freedom.

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### *La puissance multiplicative des nombres de consensus*

**Résumé :** *Ce rapport étudie la puissance multiplicative des nombres de consensus par rapport au nombre de fautes.*

**Mots clés :** *Processus asynchrones, Simulation BG, Nombre de consensus, Calculabilité distribuée, Tolérance aux défaillances, Défaillances par crash, Algorithme de réduction,  $t$ -Résilience, Mémoire partagée, Puissance de synchronisation, Sans-attente.*

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<sup>\*</sup> Projet ASAP: équipe commune avec l'INRIA, le CNRS, l'université Rennes 1 et l'INSA de Rennes

<sup>\*\*</sup> Projet ASAP: équipe commune avec l'INRIA, le CNRS, l'université Rennes 1 et l'INSA de Rennes

# 1 Introduction

## 1.1 Context of the work

**Wait-free implementation and consensus number [21]** In the consensus problem, each process proposes a value and (a) every process that does not crash decides a value (termination), such that (b) a decided value is a proposed value (validity), and (c) no two processes decide different values (agreement). Enriching asynchronous read/write shared memory systems with consensus objects is fundamental as these objects make it possible to wait-free implement any concurrent object that has a sequential specification.

A *wait-free* implementation of a concurrent object ensures that every invocation of an object operation always terminates if the invoking process does not crash (i.e., whatever the behavior of the other processes, which can be very slow or even crashed). This means that, in shared memory systems made up of  $n$  processes, a *wait-free* implementation copes with up to  $n - 1$  process crashes. Hence, a fundamental question concerns the construction of wait-free consensus objects.

The *consensus number* notion captures the maximal synchronization power of an object with respect to the adversary net effect of failures and asynchrony. A concurrent object type  $\mu$  has consensus number  $x$ , if  $x$  is the largest integer (or  $+\infty$  if there is no such integer) such that a consensus object can be wait-free implemented from objects of type  $\mu$  and shared read/write atomic registers in a system of  $x$  processes. As they allow any concurrent object (defined from a sequential specification) to be wait-free implemented in a system of  $x$  processes, the objects whose consensus number is greater than or equal to  $x$  are said to be *universal* in any system made up of at most  $x$  processes.

Shared read/write registers are the poorest synchronization objects as their consensus number is 1. Test&set objects are a little bit more powerful, as their consensus number is 2. Similarly, the consensus number of shared stacks or shared queues is 2. The consensus number of the  $n$ -register atomic write is  $2n - 2$ . Differently, the consensus number of Compare&Swap objects is  $+\infty$ , which means that consensus can be solved for any number of processes, despite any number of crashes, from Compare&Swap objects and read/write registers. The consensus numbers establish what is called Herlihy's hierarchy of synchronization objects.

**Borowsky-Gafni (BG) simulation [6]** Let us consider an algorithm  $A$  that is assumed to solve a decision problem  $T$  (e.g., consensus) in an asynchronous read/write shared memory system made up of  $n$  processes, and where any subset of at most  $t$  processes may crash, i.e.,  $A$  is  $t$ -resilient. Given  $A$  as input, the BG simulation is a powerful algorithm that solves  $T$  in an asynchronous read/write system made up of  $t + 1$  processes, where up to  $t$  may crash. Hence, the BG simulation is a wait-free algorithm.

The BG simulation has been used to prove solvability and unsolvability results in crash-prone read/write shared memory systems [8]. Basically, for a particular class of decision tasks called colorless tasks (those are the tasks where, if a process decides a value, any other process is allowed to decide the very same value), BG simulation characterizes  $t$ -resilience in terms of wait-freedom. (The BG simulation algorithm has been extended to colored tasks in [17, 24]). As an example, let us assume that  $A$  solves consensus, despite up to  $t = 1$  crash, among  $n$  processes in a read/write shared memory system. Taking  $A$  as input, the BG simulation builds a  $(t + 1)$ -process (i.e., 2-process) algorithm  $A'$  that solves consensus despite  $t = 1$  crash. But, we know that consensus cannot be wait-free solved in a crash-prone asynchronous system made up of two processes that communicate by accessing shared read/write registers only [15, 21, 26]. It then follows that, whatever the number  $n$  of processes the system is made up of, there is no 1-resilient consensus algorithm.

A close but different approach relating  $t$ -resiliency and wait-freedom, based on objects with consensus number  $x > 1$ , is presented in [10].

**Set consensus number [18]** The  $k$ -set agreement problem has been introduced by Chaudhuri [12]. It is a weakened form of consensus, namely, the processes are allowed to decide up to  $k$  different proposed values. While it is trivial to solve  $k$ -set agreement in asynchronous read/write shared memory systems prone to up to  $t < k$  process crashes, it has been shown that this becomes impossible when  $t \geq k$  [6, 23, 30].

Gafni and Kuznetsov have introduced the notion of *set consensus number* of a decision task  $T$ . It is the greatest integer  $k$  such that  $T$  can be wait-free solved using read/write registers and  $k$ -set agreement objects. It follows that, in a system of  $n$  processes, the tasks can be categorized into  $n$  equivalence classes 1, 2, ...,  $n$ . The class 1 is the class of universal tasks (as it is equivalent to consensus, such a task can wait-free implement any other task in a system of  $n$  processes), while class  $n$  contains the trivial tasks that can be solved asynchronously in a crash-prone read/write shared memory system.

## 1.2 Content of the paper

**Model parameters** Let  $ASM(n, t, x)$  denote a shared memory system model made up of  $n$  processes, in which up to  $t$  processes may crash ( $1 \leq t < n$ ), and where the processes communicate and cooperate through shared read/write registers and objects with consensus number  $x$ , accessible by at most  $x$  processes<sup>1</sup> ( $1 \leq x \leq n$ ) (when  $x > t$ , all tasks can be solved). The parameter  $t$  measures the power of the *adversary* (that can crash arbitrarily up to  $t$  processes), while, on the "friend" side,  $x$  measures the *wait-free synchronization power*

<sup>1</sup>Because they have consensus number  $x$ , these objects can implement  $x$ -consensus. Because  $x$ -consensus is universal in a system of  $x$  processes and these objects have  $x$  ports, they can be implemented using  $x$ -consensus objects.

the processes can benefit from shared objects. Considering colorless tasks, the paper addresses the computability power of system models  $ASM(n, t, x)$  such that  $n > t$  and  $n \geq x$ .

Expressed with this notation, the BG simulation shows that  $ASM(n, t, 1)$  and  $ASM(t + 1, t, 1)$  have the same power for decision tasks. Actually, assuming a pure asynchronous read/write system ( $x = 1$ ) and a given upper bound on the number of processes that may crash ( $t$ ), the BG simulation shows that the model parameter that is crucial is not the number  $n$  of processes, but  $t$ . Whatever the number of processes,  $t$ -resilience can be characterized by wait-freedom [6]. Hence, while the BG simulation addresses one face of the coin (namely, it considers  $x = 1$  and addresses the equivalence between the pair  $(n, t)$  and the pair  $(t + 1, t)$ ), this paper addresses the other face of the coin (namely, it considers a fixed number  $n$  of processes and addresses the equivalence between the pair  $(t', x)$  and the pair  $(t, 1)$  when comparing  $ASM(n, t', x)$  and  $ASM(n, t, 1)$ ).

**The question we are interested in** As just indicated, this paper focuses on the system model parameters  $t$  and  $x$ . Let  $ASM(n, t_1, x_1)$  and  $ASM(n, t_2, x_2)$  be two system models such that  $n > \max(t_1, t_2)$ . The main question addressed in the paper is the following: “Which conditions the parameters  $t_1, x_1, t_2$  and  $x_2$  have to satisfy in order that any (colorless) task that can be solved (or is impossible to solve) in  $ASM(n, t_1, x_1)$ , can be solved (or is impossible to solve) in  $ASM(n, t_2, x_2)$ ?” The paper answers this question by presenting two contributions.

**Contribution #1** The first contribution is a necessary and sufficient condition that answers the previous question. This condition is  $\lfloor \frac{t_1}{x_1} \rfloor \geq \lfloor \frac{t_2}{x_2} \rfloor$ . This result has the following consequence:  $ASM(n, t_1, x_1)$  and  $ASM(n, t_2, x_2)$  are equivalent (have the same computational power) as far colorless decision tasks are concerned, if and only if  $\lfloor \frac{t_1}{x_1} \rfloor = \lfloor \frac{t_2}{x_2} \rfloor$ .

To that end, the paper presents two simulation algorithms. The first is a simulation of  $ASM(n, t', x)$  into  $ASM(n, t, 1)$ . That simulation requires  $t \leq \lfloor \frac{t'}{x} \rfloor$ . The second is a simulation of  $ASM(n, t, 1)$  into  $ASM(n, t', x)$ . It is shown that this simulation requires  $t \geq \lfloor \frac{t'}{x} \rfloor$ . This means that  $ASM(n, t', x)$  and  $ASM(n, t, 1)$  are equivalent iff  $(t \times x) \leq t' \leq (t \times x) + (x - 1)$ .

To illustrate the interest of these equivalences, let us consider the following simple examples that are immediate consequences of our results.

- Let us consider the system model  $ASM(n, n - 1, n - 1)$ , i.e., a system where processes are provided with objects with consensus number  $n - 1$  (hence, consensus cannot be solved in this system of  $n$  processes). Moreover, as  $t = n - 1$ , an algorithm solving a task  $T$  in this system has to be wait-free.

The paper shows that, for any colorless decision task  $T$ , (im)possibility results are the same in  $ASM(n, n - 1, n - 1)$  and  $ASM(n, 1, 1)$ , and more generally in any system model  $ASM(n, t, t)$ . As, for any  $n$ , consensus cannot be solved in  $ASM(n, n - 1, n - 1)$  (i.e., cannot be wait-free solved from objects with consensus number  $n - 1$ ), it cannot be solved either in  $ASM(n, 1, 1)$  (i.e., 1-resiliently in the base read/write model). This constitutes a new proof that, whatever the values of  $n$  and  $t$ , (a) consensus cannot be solved  $t$ -resiliently in  $ASM(n, t, t)$  and,  $\forall t' < t$ , (b) the model  $ASM(n, t', t)$  and the failure-free read/write model  $ASM(n, 0, 1)$  are equivalent.

More generally, these simulations provide us with a general proof of the impossibility of  $t$ -resilient tasks when processes can cooperate only through read/write registers and objects with consensus number  $t$ . A task that cannot be solved in  $ASM(n, t, 1)$  cannot be solved either in  $ASM(n, t', x)$  for the pairs  $(t', x)$  such that  $t \leq \lfloor \frac{t'}{x} \rfloor$ , and a task that can be solved in  $ASM(n, t, 1)$  can be solved in  $ASM(n, t', x)$  for pairs  $(t', x)$  such that  $t \geq \lfloor \frac{t'}{x} \rfloor$ .

- Let  $T_k$  be a task whose set consensus number is  $k$ . This task can be solved in  $ASM(n, t, 1)$  for  $t < k$  (because  $k$ -set agreement can be solved in  $ASM(n, t, 1)$  when  $t < k$ ), and cannot be solved in  $ASM(n, t, 1)$  for  $t \geq k$  (because  $k$ -set agreement cannot be solved in  $ASM(n, t, 1)$  for  $t \geq k$  [6, 23, 30]). Our result shows that  $T_k$  can be solved in any system  $ASM(n, t', x)$  such that  $\lfloor \frac{t'}{x} \rfloor \leq (k - 1)$ , i.e.,  $t' \leq (k - 1) \times x + (x - 1) = k \times x - 1$  if  $x$  is fixed, or  $x \geq \frac{t'+1}{k}$  if  $t'$  is fixed.

**Contribution #2** As a side effect of the previous results, the second contribution of the paper is a generalization of the BG simulation. It shows that any task that can be solved (resp., is impossible to solve) in  $ASM(n, t, x)$  can (resp., cannot) be solved in  $ASM(t + 1, t, x)$ . (The case  $x = 1$  does correspond to the BG simulation.)

A noteworthy feature of our results is that, following Gafni’s reduction style, they are all obtained by algorithmic reductions. Hence, inspired by the BG simulation algorithm, the paper complements it by introducing another powerful simulation algorithm for proving possibility and impossibility results for decision tasks in asynchronous systems prone to process crashes. It also generalizes, unifies and extends previous results. As already said, the BG simulation and the proposed simulation are the two faces of the same coin.

### 1.3 Related works

The main works related to our work have been cited previously (namely, BG simulation [6], consensus number [21], and set consensus number [18]). Here we shortly describe a few additional results, related to distributed computability or efficiency, that have addressed issues that are in the same spirit as ours.

**Results related to the dividing power of asynchrony** Let  $A$  be an  $n$ -process algorithm designed for a round-based synchronous message-passing system prone to  $t$  process crashes. How many rounds of  $A$  is it possible to simulate in an asynchronous message-passing system (of  $n$  processes) prone to  $t'$  process crashes? It has been shown by Gafni that the first  $\lfloor \frac{t}{t'} \rfloor$  rounds of  $A$  can be simulated [16]. This result exhibits a dividing power of asynchrony with respect to synchrony.

**Using underlying base  $(m, \ell)$ -set agreement objects** An  $(m, \ell)$ -set agreement object is an object that solves the  $\ell$ -set agreement in a set of  $m$  processes. Given such objects, an interesting question concerns the wait-free implementation of an  $(n, k)$ -set agreement object from (wait-free)  $(m, \ell)$ -set agreement objects, namely, which condition the values  $n, k, m$ , and  $\ell$  have to satisfy for such an implementation to be possible in an asynchronous shared memory system. This question has first been posed by Borowsky and Gafni who have established a  $k$ -set agreement hierarchy [7] and showed that an  $(n, k)$ -set agreement object cannot be implemented from  $(m, \ell)$ -set agreement objects when  $\frac{n}{k} > \frac{m}{\ell}$ . To that end, the authors present a simulation where the simulated processes access  $(m, \ell)$ -set agreement objects, while the simulators access only snapshot objects. This simulation, that (as ours) is based on the BG simulation algorithm, has then been generalized in [13].

On the asynchronous decidability point of view, using topology-based arguments, Herlihy and Rajsbaum [22] have shown that it is possible to solve the  $k$ -set agreement problem when  $k \geq \ell \lfloor \frac{t+1}{m} \rfloor + \min(\ell, (t+1) \bmod m)$ , and it is impossible to solve  $k'$ -set agreement for  $k' < k$ . On the efficiency point of view, Mostéfaoui, Raynal and Travers have shown [28] that, in synchronous message-passing systems enriched with  $(m, \ell)$ -set agreement objects, the  $k$ -set agreement problem can be optimally solved in  $\lfloor t / (m \lfloor \frac{k}{\ell} \rfloor + (k \bmod \ell)) \rfloor + 1$  rounds.

**Boosting the computability power with failure detectors** Given the system model  $ASM(n, n-1, x)$ , an important question is the following: which is the weakest failure detector [11, 14] this system model has to be enriched with in order an object with consensus number  $x+1$  can be built, i.e., in order to build  $ASM(n, n-1, x+1)$ ? This is called a *boosting* problem. Guerraoui and Kuznetsov have shown that  $\Omega_x$  is the weakest failure detector class for such a boosting [20].

$\Omega_x$  outputs, at each process, a set of  $x$  processes such that eventually the same set is output at all correct processes and this set contains at least one correct process [29].  $\Omega_x$  captures the exact information on failures that, when added to  $ASM(n, n-1, x)$ , is sufficient to build an object with consensus number  $x+1$ . This result generalizes in a precise sense the result of the weakest failure detector class to solve consensus in asynchronous systems ( $\Omega_1$  is  $\Omega$  as defined in [11]).

### 1.4 Roadmap

The paper is made up of 6 sections. Section 2 introduces base definitions. Then, the two main simulations are presented. Section 3 presents a simulation of  $ASM(n, t', x)$  into  $ASM(n, t, 1)$  and shows that it requires  $t \leq \lfloor \frac{t'}{x} \rfloor$ . That simulation is an extension of the BG simulation that allows the simulated processes not only to write and snapshot a shared memory, but also to access objects with consensus number  $x$ . Then, Section 4 presents a simulation of  $ASM(n, t, 1)$  into  $ASM(n, t', x)$  and shows that it requires  $t \geq \lfloor \frac{t'}{x} \rfloor$ . This simulation is inspired by, but different from the BG simulation. Considering the system model parameter  $n$ , Section 5 generalizes the previous results. After having shown that  $ASM(n, t', x)$  and  $ASM(t+1, t, 1)$  have the same computational power if  $t = \lfloor \frac{t'}{x} \rfloor$ , it generalizes this result by showing that  $ASM(n_1, t_1, x_1)$  and  $ASM(n_2, t_2, x_2)$  have the same power if  $\lfloor \frac{t_1}{x_1} \rfloor = \lfloor \frac{t_2}{x_2} \rfloor$ . This section also discusses the multiplicative power of consensus numbers (with respect to the maximal number of process crashes). Finally, Section 6 concludes the paper.

## 2 Base definitions

### 2.1 Decision tasks

The problems that interest us here are called *decision tasks* [2, 8, 17, 23, 24]. In every run, each process proposes a value and the proposed values define an input vector  $I$  where  $I[j]$  is the value proposed by  $p_j$ . Let  $\mathcal{I}$  denote the set of allowed input vectors. Each process has to decide a value. The decided values define an output vector  $O$ , such that  $O[j]$  is the value decided by  $p_j$  ( $O[j]$  is locally kept by  $p_j$  in *output<sub>j</sub>*). Let  $\mathcal{O}$  be the set of the output vectors.

A decision task is a total binary relation  $\Delta$  from  $\mathcal{I}$  into  $\mathcal{O}$ . A task is *colorless* if, when a value  $v$  is proposed by a process  $p_j$  (i.e.,  $I[j] = v$ ), then the very same value  $v$  can be proposed by any other process and, when a value  $v'$  is decided by a process  $p_j$  (i.e.,  $O[j] = v'$ ), then the very same value  $v'$  can be decided by any other process ( $k$ -set agreement is a colorless task). Hence,  $\mathcal{O}$  contains

vectors whose all values are equal. Consensus, and more generally  $k$ -set agreement, are colorless tasks. Otherwise the task is *colored*. Renaming [3, 23] is a colored task.

## 2.2 Algorithm solving a task

An algorithm solves a task in a  $t$ -resilient environment if, given any  $I \in \mathcal{I}$ , each correct process  $p_j$  decides (i.e., writes a value  $v$  in  $output_j$ ) and there is an output vector  $O$  such that  $(I, O) \in \Delta$  where  $O$  is defined as follows. If  $p_j$  decides  $v$ , then  $O[j] = v$ . If  $p_j$  does not decide,  $O[j]$  is set to any value  $v'$  that preserves the relation  $(I, O) \in \Delta$ .

A task is solvable in a  $t$ -resilient environment if there is an algorithm that solves it in that environment. As an example, consensus is not solvable in the 1-resilient environment [15, 26, 27]. Differently, renaming with  $2n - 1$  names is solvable in the wait-free environment [3, 5, 23].

## 2.3 System model: $ASM(n, t, x)$

The asynchronous shared memory model  $ASM(n, t, x)$  ( $1 \leq t < n$ ) has been briefly presented in the introduction. This section describes it more precisely. (A formal definition of a system model can be found in [8].)

**Asynchronous processes and fault model** As already indicated, we are interested in distributed algorithms the aim of which is to solve a task in a system made up of  $n$  asynchronous sequential processes denoted  $p_1, \dots, p_n$ . A process executes a sequence of atomic steps (as defined by its algorithm).

A process can crash in a run. A process executes correctly the steps defined by its algorithm until it crashes (if it ever does). After it has crashed, a process executes no more steps. If it does not crash, a process executes an infinite number of steps.

It is assumed that an arbitrary subset (not known in advance) of up to  $t < n$  processes can crash (the crash of one process being independent from the crash of other processes). A process that does not crash in a run is said to be *correct* in that run, otherwise it is *faulty*. This failure model is called the  *$t$ -resilient environment*, and an algorithm designed for such an environment is said to be  *$t$ -resilient*. The extreme case  $t = n - 1$  is called *wait-free environment*, and the corresponding algorithms are called *wait-free algorithms*.

**Communication model** The processes communicate by accessing a shared read/write memory, and (if  $x > 1$ ) objects whose consensus number is  $x$ .

- The shared read/write memory is a snapshot object [1] denoted  $mem[1..n]$ , that has one entry  $mem[j]$  per process  $p_j$ . The process  $p_j$  is the only one that can write  $mem[j]$ . It does it by invoking  $mem[j].write(v)$  where  $v$  is the new value of  $mem[j]$ . Initially, all entries of  $mem$  contains the default value  $\perp$ . Any process  $p_j$  can atomically read the array  $mem[1..n]$  by invoking  $mem.snapshot()$ . Let us remember that such a snapshot object can be wait-free implemented on top of atomic read/write registers [1, 4].
- If  $x > 1$ , the processes can access as many consensus objects with consensus number  $x$  as they want, but a given object cannot be accessed by more than  $x$  (statically defined) processes. Using an array-like notation, such an object is denoted  $x\_cons[a]$ . A process  $p_i$ , allowed to access  $x\_cons[a]$ , accesses it by invoking  $x\_cons[a].x\_cons\_propose(v)$  where  $v$  is the value it proposes to that consensus object. If  $p_i$  is correct, that invocation returns it the value decided from  $x\_cons[a]$ .

## 2.4 Simulated processes vs simulator processes

**Aim** Let  $A$  be an algorithm that solves a decision task in the  $ASM(n, t, x)$  model as described previously (hence,  $A$  is  $t$ -resilient). The aim is to design an algorithm  $A'$  that simulates  $A$  in  $ASM(n, t', x')$  (hence,  $A$  is  $t'$ -resilient). The reader is referred to [8] for a formal definition of a simulation of a system model into another system model.

**Notation** A simulated process (that executes in a “source” system model  $ASM(n, t, x)$ ) is denoted  $p_j$  with  $1 \leq j \leq n$ , and the subscript  $j$  is always used to refer to a simulated process. Similarly, a simulator process (that executes in a “target” system model  $ASM(n, t', x')$ ) is denoted  $q_i$  with  $1 \leq i \leq n$ , and the subscript  $i$  is always used to refer to a simulator.

As far as the objects accessed by the simulators are concerned, the following convention is adopted. The objects denoted with upper case letters are the objects shared by the simulators. Conversely, an object denoted with lower case letters is local to a simulator (in that case, the associated subscript denotes the corresponding simulator).

**What a simulator does** Each simulator  $q_i$  is given the code of every simulated process  $p_1, \dots, p_n$ . It manages  $n$  threads, each one associated with a simulated process, and locally executes these threads in a fair way. It also manages a local copy  $mem_i$  of the snapshot memory  $mem$  shared by the simulated processes, and a local copy  $x\_cons[a]_i$  of each consensus number  $x$  object shared by these processes.

The code of a simulated process  $p_j$  contains invocations of  $mem[j].write()$ , of  $mem.snapshot()$ , and of  $x\_cons[a].x\_cons\_propose()$  (if  $p_j$  is one of the  $x$  processes that access  $x\_cons[a]$ ). These are the only operations used by the processes  $p_1, \dots, p_n$  to cooperate. So, the core of the simulation is the design of algorithms that describe how a simulator  $q_i$  simulates these operations (within  $ASM(n, t', x')$ ) when invoked by a simulated process  $p_j$  (within  $ASM(n, t, x)$ ). These simulation algorithms are denoted  $sim\_write_{i,j}()$ ,  $sim\_snapshot_{i,j}()$  and  $sim\_x\_cons\_propose_{i,j}()$ .

### 3 Simulating $ASM(n, t', x)$ in $ASM(n, t, 1)$

Let  $A$  be an algorithm that is assumed to solve a task  $T$  in  $ASM(n, t', x)$ . This means that  $A$  is a  $t'$ -resilient algorithm that can use objects with consensus number  $x$ . This section presents an algorithm that, given  $A$  as input, produces a ( $t$ -resilient) algorithm  $A'$  that solves  $T$  in  $ASM(n, t, 1)$ . Assuming  $\max(t, t') < n$ , this simulation requires  $t \leq \lfloor \frac{t'}{x} \rfloor$ .

The BG simulation provides us with appropriate implementations of  $mem[j].write()$  and  $mem.snapshot()$  when we want to simulate, into  $ASM(t+1, t, 1)$ , a colorless decision task designed for  $ASM(n, t, 1)$ . As we are about to see, the proposed algorithm that simulates, into  $ASM(n, t, 1)$ , an algorithm  $A$  designed for  $ASM(n, t', x)$ , is actually a simple extension of the BG simulation. It borrows the operations  $mem[j].write()$  and  $mem.snapshot()$  from the BG simulation, and provides an additional implementation for the  $x\_cons\_propose()$  operation on a consensus number  $x$  object.

**Remark** The simulations presented in [7] and [13] allow the simulated processes to access objects stronger than atomic read/write registers, namely,  $(m, \ell)$ -set consensus objects, while the simulators are restricted to access snapshot objects only. To that end, these simulations direct the simulators to agree twice. The simulators have first to agree on at most  $\ell$  values (to that end they use a new  $(\ell - 1)$ -resilient object built on top of snapshot objects). They have then to agree in order that each simulated process obtains the same value whatever the simulator.

These simulations could be customized in order to simulate  $ASM(n, t', x)$  in  $ASM(n, t, 1)$ . The simulation that follows is simpler. In order to simulate a consensus object, it only needs a single `safe_agreement` type object (that is the same type as the one used in the original BG simulation, see below).

#### 3.1 The `safe_agreement` object type

**The `safe_agreement` type** This object type (defined in [6, 8]) is at the core of the BG simulation. It provides each simulator  $q_i$  with two operations, denoted `sa_propose( $v$ )` and `sa_decide()`, that  $q_i$  can invoke at most once, and in that order. The operation `sa_propose( $v$ )` allows  $q_i$  to propose a value  $v$  while `sa_decide()` allows it to decide a value. The properties satisfied by an object of the type `safe_agreement` are the following.

- Termination. If no simulator crashes while executing `sa_propose()`, then any correct simulator that invokes `sa_decide()` returns from that invocation.
- Agreement. At most one value is decided.
- Validity. A decided value is a proposed value.

```

init: for each  $x : 1 \leq x \leq n$  do  $SM[x] \leftarrow (\perp, 0)$  end for.

operation sa_propose $_i$ ( $v$ ):
(01)  $SM[i] \leftarrow (v, 1)$ ;
(02)  $sm_i \leftarrow SM.snapshot()$ ;
(03) if  $(\exists x : sm_i[x].level = 2)$  then  $SM[i] \leftarrow (v, 0)$  else  $SM[i] \leftarrow (v, 2)$  end if.

operation sa_decide $_i$ ():
(04) repeat  $sm_i \leftarrow SM.snapshot()$  until  $(\forall x : sm_i[x].level \neq 1)$  end repeat;
(05) let  $x = \min(\{k \mid sm_i[k].level = 2\})$ ;  $res \leftarrow sm_i[x].value$ ;
(06) return( $res$ ).

```

Figure 1: An implementation of the `safe_agreement` type [8] (code for simulator  $q_i$ )

**An implementation** The implementation of the `safe_agreement` type described in Figure 1 is from [8]. This construction is based on a snapshot object  $SM$  (with one entry per simulator  $q_i$ ). Each entry  $SM[i]$  of the snapshot object has two fields:  $SM[i].value$  that contains a value and  $SM[i].level$  that stores its level. The level 0 means the corresponding value is meaningless, 1 means it is unstable, while 2 means it is stable.

When a simulator  $q_i$  invokes `sa_proposei(v)`, it first writes the pair  $(v, 1)$  in  $SM[i]$  (line 01), and then reads the snapshot object  $SM$  (line 02). Then (line 03), if there is a stable value in  $SM$ ,  $p_i$  “cancels” the value it proposes (its state becomes “meaningless”), otherwise it makes it stable (its state becomes “stable”).

A simulator  $q_i$  invokes `sa_decide()` after it has invoked `sa_propose()`. Its aim is to return the same stable value to all the simulators that invoke this operation (line 06). To that end,  $q_i$  repeatedly computes a snapshot of  $SM$  until it sees no unstable value in  $SM$  (line 04). Let us observe that, as a simulator  $q_i$  invokes `sa_decide()` after it has invoked `sa_propose(v)`, there is at least one stable value in  $SM$  when it executes line 05. Finally, in order that the same stable value be returned to all,  $q_i$  returns the stable value proposed by the simulator with the smallest id (line 05). A formal proof showing that this algorithm implements the `safe_agreement` type can be found in [8].

### 3.2 Simulating `mem[j].write()` and `mem.snapshot()`

The simulation by a simulator  $q_i$  of these operations issued by a simulated process  $p_i$  is the same as in the BG simulation. They are described here (using our notation) to make the paper self-contained.

#### 3.2.1 The shared memory $MEM[1..n]$

The snapshot memory  $mem$  shared by the simulated processes  $p_1, \dots, p_n$  is emulated using a snapshot object  $MEM[1..n]$  shared by the simulators  $q_1, \dots, q_n$ . More specifically,  $MEM[i]$  is an atomic register that contains an array with one entry per simulated process  $p_j$ . Each  $MEM[i][j]$  is made up of two fields: a field  $MEM[i][j].value$  that contains the last value of  $mem[j]$  written by  $p_j$ , and a field  $MEM[i][j].sn$  that contains the associated sequence number. (This sequence number, introduced by the simulation, is a control data that will be used to produce a consistent simulation of the `mem.snapshot()` operations issued by the simulated processes  $p_j$ ).

#### 3.2.2 The `sim_writei,j(v)` operation

The algorithm, denoted `sim_writei,j(v)`, executed by  $q_i$  to simulate the write by  $p_j$  of the value  $v$  into  $mem[j]$  is described in Figure 2 [8]. Its code is pretty simple. The simulator  $q_i$  first increases a local sequence number  $w\_sn_i[j]$  that is associated with the value  $v$  written by  $p_j$  into  $mem[j]$ . Then,  $q_i$  writes the pair  $(v, w\_sn_i[j])$  into  $mem_i[j]$  (where  $mem_i$  is its local copy of the memory shared by the simulated processes) and finally writes atomically its local copy  $mem_i$  into  $MEM[i]$ .

<b>operation <code>sim_write<sub>i,j</sub>(v)</code>:</b> (01) $w\_sn_i[j] \leftarrow w\_sn_i[j] + 1$ ; (02) $mem_i[j] \leftarrow (v, w\_sn_i[j])$ ; (03) $MEM[i] \leftarrow mem_i$ .
--

Figure 2: `sim_writei,j(v)` executed by  $q_i$  to simulate `mem[j].write(v)` issued by  $p_j$  (from [8])

#### 3.2.3 The `sim_snapshoti,j()` operation

This operation is implemented by the algorithm described in Figure 3 [8].

**Additional local and shared objects** For each process  $p_j$ , a simulator  $q_i$  manages a local sequence number generator  $snapsn_i[j]$  used to associate a sequence number with each `mem.snapshot()` it simulates on behalf of  $p_j$  (line 04).

In addition to the snapshot object  $MEM[1..n]$ , the simulators  $q_1, \dots, q_n$  also cooperate through an array  $SAFE\_AG[1..n, 0..+\infty)$  of `safe_agreement` type objects.

**Underlying principle of the BG simulation [6, 8]: obtaining a consistent value** In order to agree on the very same output of the  $snapsn$ -th invocation of `mem.snapshot()` that is issued by  $p_j$ , the simulators  $q_1, \dots, q_n$  use the object  $SAFE\_AG[j, snapsn]$ .

Each simulator  $q_i$  proposes a value (denoted  $input_i$ ) to that object (line 05) and, due to its agreement property, that object will deliver them the same output at line 06. In order to ensure the consistent progress of the simulation, the input value  $input_i$  proposed by the simulator  $q_i$  to  $SAFE\_AG[j, snapsn]$  is defined as follows.



- First,  $q_i$  issues a snapshot of  $MEM$  in order to obtain a consistent view of the simulation state. The value of this snapshot is kept in  $sm_i$  (line 01).

Let us observe that  $sm_i[x][y]$  is such that (1)  $sm_i[x][y].sn$  is the number of writes issues by  $p_y$  into  $mem[y]$  that have been simulated up to now by  $q_x$ , and (2)  $sm_i[x][y].value$  is the value of the last write into  $mem[y]$  as simulated by  $q_x$  on behalf of  $p_y$ .

- Then, for each  $p_y$ ,  $q_i$  computes  $input_i[y]$ . To that end, it extracts from  $sm_i[1..n][y]$  the value written by the more advanced simulator  $q_s$  as far as the simulation of  $p_y$  is concerned. This is expressed in lines 02-03.

```

operation sim_snapshoti,j() :
(01)  $sm_i \leftarrow MEM.snapshot()$ ;
(02) for each  $y : 1 \leq y \leq n$  do  $input_i[y] = sm_i[s][y].value$ 
(03)       where  $\forall x : 1 \leq x \leq n : sm_i[s][y].sn \geq sm_i[x][y].sn$  end for;
(04)  $snap\_sn_i[j] \leftarrow snap\_sn_i[j] + 1$ ; let  $snapsn = snap\_sn_i[j]$ ;
(05) enter_mutex1;  $SAFE\_AG[j, snapsn].sa\_propose_i(input_i)$ ; exit_mutex1;
(06)  $res \leftarrow SAFE\_AG[j, snapsn].sa\_decide_i()$ 
(07) return( $res$ ).

```

Figure 3:  $sim\_snapshot_{i,j}()$  executed by  $q_i$  to simulate  $mem.snapshot()$  issued by  $p_j$  (from [8])

Once,  $input_i$  has been computed,  $q_i$  proposes it to  $SAFE\_AG[j, snapsn]$  (line 05), and then returns the value decided by that object (lines 06-07).

The previous description shows an important feature of the BG simulation. A value  $input_i[y] = sm_i[s][y].value$  proposed by a simulator  $q_i$  can be such that  $sm_i[s][y].sn > sm_i[i][y].sn$ , i.e., the simulator  $q_s$  is more advanced than  $q_i$  as far as the simulation of  $p_y$  is concerned. This causes no problem, as when  $q_i$  will simulate  $mem.snapshot()$  operations for  $p_y$  (if any) that are between the  $(sm_i[i][y].sn)$ -th and the  $(sm_i[s][y].sn)$ -th write operations of  $p_y$ , it will obtain a value that has already been computed and is currently kept in the corresponding  $SAFE\_AG[y, -]$  object.

**Underlying principle of the BG simulation [6, 8]: a key issue** Each simulator  $q_i$  simulates the  $n$  processes  $p_1, \dots, p_n$  “in parallel” and in a fair way. But any simulator  $q_i$  can crash. The crash of  $q_i$  while it is engaged in the simulation of  $mem.snapshot()$  on behalf of several processes  $p_j, p_{j'}$ , etc., can entail their definitive blocking, i.e., their crash. This is because each  $SAFE\_AG[j, -]$  object guarantees that its  $SAFE\_AG[j, -].decide()$  invocations do terminate only if no simulator crashes while executing  $SAFE\_AG[j, -].propose()$  (line 05 of Figure 3).

The simple (and bright) idea of the BG simulation to solve this problem consists in allowing a simulator to be engaged in only one  $SAFE\_AG[-, -].propose()$  invocation at a time. Hence, if  $q_i$  crashes while executing  $SAFE\_AG[j, -].propose()$ , it can entail the crash of  $p_j$  only. This is obtained by using an additional mutual exclusion object offering the operations `enter_mutex1` and `exit_mutex1`. (Let us notice that such a mutex object is purely local to each simulator: it solves conflicts among the simulating threads inside each simulator, and has nothing to do with the memory shared by the simulators).

### 3.3 Simulating $x\_cons[a].x\_cons\_propose()$

As indicated, the simulated processes  $p_j$  cooperate by writing and snapshotting a shared memory  $mem$  and accessing objects with consensus number  $x$ . Let  $x\_cons[a]$  be such an object. Let us remember that such an object is a one-shot object (a process invokes  $x\_cons[a].x\_cons\_propose(v)$  at most once).

The implementation by a simulator  $q_i$  of an invocation  $x\_cons[a].x\_cons\_propose(v)$  (issued by one of the  $x$  processes  $p_j$  that can access  $x\_cons[a]$ ) is described in Figure 4. The value decided from  $x\_cons[a]$  is computed by the simulators with the help of a safe-agreement object denoted  $XSAFE\_AG[a]$ . It is then saved by a simulator  $q_i$  in a local variable denoted  $xres_i[a]$  (the initial value of which is  $\perp$ ).

More precisely, if that value is already known by  $q_i$  when it invokes  $x\_cons[a].x\_cons\_propose(v)$  on behalf of  $p_j$  (this occurs when  $q_i$  has already invoked  $x\_cons[a].x\_cons\_propose()$  on behalf of another process  $p_{j'}$ ),  $q_i$  returns it. Otherwise,  $q_i$  proposes  $v$  to the safe-agreement object  $XSAFE\_AG[a]$ , and then returns the value decided from that object. Moreover, as  $XSAFE\_AG[a]$  is a one-shot object, a simulator  $q_i$  has to invoke at most once  $XSAFE\_AG[a].sa\_propose_i()$  and  $XSAFE\_AG[a].sa\_decide_i()$  (in that order). In order to prevent  $q_i$  from invoking these operations more than once, the access to the local variable  $xres_i[a]$  is protected by a mutual exclusion mechanism (local to  $q_i$  and implemented by `enter_mutex2` and `exit_mutex2`).

If the simulator  $q_i$  crashes while executing  $XSAFE\_AG[a].sa\_propose(v)$ , it can block forever the simulation of the  $x$  processes that access the simulated object  $x\_cons[a]$ . On another side, the mutual exclusion (local to  $q_i$ ) realized by `enter_mutex2` and `exit_mutex2` guarantees that a simulator can simulate at most one invocation  $x\_cons[a].x\_cons\_propose()$  at a time. It follows that, as far as the simulation of  $x\_cons\_propose()$  are concerned, if  $\tau$  simulators crash, they can entail the crash of  $\tau \times x$  simulated processes.

```

operation  $\text{sim\_x\_cons\_propose}_{i,j}^a(v)$ :
(01) enter_mutex2;
(02) if ( $xres_i[a] = \perp$ ) then  $\text{enter\_mutex1}$ ;  $XSAFE\_AG[a].\text{sa\_propose}_i(v)$ ;  $\text{exit\_mutex1}$ ;
(03)  $xres_i[a] \leftarrow XSAFE\_AG[a].\text{sa\_decide}_i()$ 
(04) end if;
(05) exit_mutex2;
(06) return( $xres_i[a]$ ).

```

Figure 4:  $\text{sim\_x\_cons\_propose}_{i,j}^a()$  executed by  $q_i$  to simulate  $x\_cons[a].\text{x\_cons\_propose}()$  issued by  $p_j$

On another side, the crash of  $q_i$  while it has concurrently issued  $XSAFE\_AG[a].\text{sa\_propose}_i()$  (on behalf of a simulated process  $p_j$ ) and  $SAFE\_AG[j', \text{snapsn}].\text{sa\_propose}_i()$  (on behalf of a simulated process  $p_{j'}$ ) could entail the crash of  $x + 1$  processes. In order to prevent this from occurring, a simulator  $q_i$  is allowed to invoke at most one  $\text{sa\_propose}_i()$  at a time. This is realized by calling again  $\text{enter\_mutex1}$  and  $\text{exit\_mutex1}$  before and after  $XSAFE\_AG[a].\text{sa\_propose}_i()$ , respectively. It follows that the crash of a simulator entails at most either the crash of a single simulated process (if  $q_i$  crashes during an invocation of  $SAFE\_AG[j', \text{snapsn}].\text{sa\_propose}_i()$ ) or the crash of at most  $x$  processes (if  $q_i$  crashes during an invocation of  $XSAFE\_AG[a].\text{sa\_propose}_i()$ ). As up to  $t$  simulators may crash, that is why the simulation requires  $t \leq \lfloor \frac{t'}{x} \rfloor$ . (It is important to see that  $\text{enter\_mutex2}$  is invoked before checking the value of  $xres_i[a]$ . This is required in order that a simulator invokes at most once  $XSAFE\_AG[a].\text{sa\_propose}_i()$ , as demanded by the specification of the one-shot safe\_agreement object type.)<sup>2</sup>

### 3.4 Simulating $ASM(n, t', x)$ in $ASM(n, t, 1)$ : correctness proof

**What has to be proved: on the liveness side** In order to always terminate, a  $t'$ -resilient algorithm requires that at most  $t'$  (simulated) processes crash. We show here that, when at most  $t \leq \lfloor \frac{t'}{x} \rfloor$  simulators can crash (i.e.  $t' \geq t \times x$ ), any correct simulator  $q_i$  can execute, without being blocked forever on any of these codes, the code of at least  $(n - t')$  simulated processes  $p_j$  that access snapshot objects and objects with consensus number  $x$ .

**What has to be proved: on the safety side** On that side, we have the following.

- It has to be proved that a simulated process  $p_j$  that executes  $\text{mem.snapshot}()$  obtains the same value at each simulator  $q_i$ . Moreover, the snapshot values that are returned have to be consistent with the write operations  $\text{mem}[j'].write()$  issued by the simulated processes  $p_{j'}$ .
- In addition to snapshot objects, the simulated processes  $p_j$  can access objects  $xcons[a]$  with consensus number  $x$  (operation  $\text{x\_cons\_propose}()$ ). It has to be proved that, for every object  $xcons[a]$ , the  $x$  simulated processes that access it, obtain the same decided value.

**Lemma 1.** *The crash of a simulator  $q_i$  can entail the permanent blocking of at most  $x$  simulated processes  $p_j$ .*

**Proof** Let us observe that the crash of a simulator  $q_i$  can block the simulation of a simulated process  $p_j$  only when  $q_i$  accesses an underlying safe\_agreement type object. This can occur only when  $q_i$  executes a  $\text{sim\_snapshot}()$  operation (to simulate  $\text{mem.snapshot}()$  issued by  $p_j$ ), or a  $\text{sim\_x\_cons\_propose}()$  operation (to simulate an invocation of  $\text{x\_cons\_propose}()$  on an object  $xcons[a]$ ).

If  $q_i$  crashes while executing  $\text{sim\_snapshot}()$ , due to mutex mechanism (mutex1), it can definitely block only  $p_j$ . If  $q_i$  crashes while executing  $\text{sim\_x\_cons\_propose}()$ , due to mutex mechanism (mutex2), it can definitely block only the  $x$  processes that access  $xcons[a]$ . Moreover, as (due to mutex1)  $q_i$  is constrained to access at most one safe\_agreement type object, it follows that its crash can entail the definitive blocking of one or  $x$  simulated processes. □<sub>Lemma 1</sub>

Let us remember that the simulated processes  $p_1, \dots, p_n$  solve a decision task. This means that, in  $ASM(n, t', x)$ , each  $p_j$  that does not crash does *decide* a value.

**Lemma 2.** *Let  $t \leq \lfloor \frac{t'}{x} \rfloor$ . Each correct simulator  $q_i$  computes the decision value of at least  $(n - t')$  simulated processes  $p_j$ .*

**Proof** Because (a) at most  $t$  simulators may crash, (b) a simulator can block at most  $x$  simulated processes (Lemma 1), and (c)  $t' \geq t \times x$ , it follows that each simulator  $q_i$  can execute the code of at least  $n - t \times x \geq (n - t')$  simulated processes until they decide. Because the algorithm executed by the simulated processes  $p_1, \dots, p_n$  is  $t'$ -resilient, these  $n - t \times x$  simulated processes eventually decide a value. Consequently, each simulator computes the decision values of at least  $(n - t')$  simulated processes. □<sub>Lemma 2</sub>

<sup>2</sup>It is possible to replace  $\text{enter\_mutex2}$  and  $\text{exit\_mutex2}$  by  $\text{enter\_mutex1}$  and  $\text{exit\_mutex1}$  in Figure 4, and suppress  $\text{enter\_mutex1}$  and  $\text{exit\_mutex1}$  at line 02. We have not done it, in order to associate a single meaning with each mutex mechanism.

**Lemma 3.** *The simulators that return from the simulation of the  $k$ -th invocation of  $mem.snapshot()$  issued by a simulated process  $p_j$ , obtain the same value for that simulated snapshot invocation.*

**Proof** The  $k$ -th invocation of  $mem.snapshot()$  issued by a simulated process  $p_j$ , is simulated by a corresponding  $sim\_snapshot()$  invocation issued by each simulator  $q_i$ . Due to the agreement property of the underlying  $safe\_agreement$  objects used to implement  $sim\_snapshot()$ , it follows that the same value is returned to any simulator (lines 05-06 of Figure 3).  $\square_{Lemma\ 3}$

**Lemma 4.** *Let  $xcons[a]$  be a consensus number  $x$  object accessed by a set of  $x$  simulated processes. All the simulators that invoke the operation  $sim\_x\_cons\_propose_{i,j}^a()$  associated with the invocations of the operation  $xcons[a].x\_cons\_propose()$  issued by the (allowed)  $x$  processes, obtain the same value.*

**Proof** The proof is similar to the one of the previous lemma. The underlying  $safe\_agreement$  object  $XSAFE\_AG[a]$ , used to implement  $xcons[a]$ , ensures that at most one value can be decided (lines 02-03 of Figure 4).  $\square_{Lemma\ 4}$

**Lemma 5.** *For every simulated process  $p_j$ , the simulators decide at most one value.*

**Proof** Let us observe that the  $mem.snapshot()$  operations, and (for any  $a$ ) the  $xcons[a].x\_cons\_propose()$  operations are the only non-deterministic operations that a simulated process can issue. It follows from Lemma 3 and Lemma 4 that all simulators  $q_i$  obtain the same value when they invoke  $sim\_snapshot_{i,j}()$  or  $sim\_x\_cons\_propose_{i,j}^a()$  (that simulates  $mem.snapshot()$  and  $xcons[a].x\_cons\_propose()$  issued by  $p_j$ ), which proves the lemma.  $\square_{Lemma\ 5}$

**Lemma 6.** *The sequences of  $sim\_write_{i,j}()$ ,  $sim\_snapshot_{i,j}()$  and  $sim\_x\_cons\_propose_{i,j}^a()$  issued by each simulator (in  $ASM(n, t, 1)$ ) on behalf of each simulated processes  $p_j$  define a correct execution of the simulated algorithm.*

**Proof** Let us first observe that, due to Lemma 3 and Lemma 4, all the simulator  $q_i$  that are not blocked while simulating a process  $p_j$ , obtain the same value when  $p_j$  executes a non-deterministic statement issued by  $p_j$ . It follows that they all simulate  $p_j$  in the same way.

When a simulator  $q_i$  executes  $sim\_snapshot_{i,j}()$  (i.e. when it simulates an invocation of the multi-shot operation  $mem.snapshot()$  issued by  $p_j$ ), it stores in its local variable  $input_i$  the values written by the simulators that have advanced the most for each simulated process (see Figure 2, and lines 02-03 of Figure 3). Let us remember that, due to Lemma 3, once it has been assigned a value, an  $safe\_agreement$  keeps that value forever. Thus, for each  $sim\_snapshot_{i,j}()$ ,  $q_i$  returns an  $input$  value computed either by itself or another simulator. Let us notice that, at the time at which this  $input$  value is determined, no simulator  $q_x$  has yet terminated its associated  $sim\_snapshot_{x,j}()$  (if it was the case, the value decided from the corresponding  $safe\_agreement$  object would be the value  $input$  proposed by  $q_i$ ). Because each process  $p_j$  is simulated in a deterministic way, the  $input$  value returned contains the last value written by  $p_j$  as seen by  $q_i$ . This shows that the process order of each simulated process  $p_j$  is respected.

To ensure that the simulation is correct, it remains to show that the  $mem.write()$ ,  $mem.snapshot()$ , and  $x\_cons[a].x\_cons\_propose()$  operations issued by every simulated process  $p_j$  can be linearized. To that end, the linearization points are defined as follows.

- The linearization point of a  $mem[j].write()$  issued by  $p_j$  is placed at line 03 of Figure 2 of the first simulator  $q_i$  that executes  $sim\_write_{i,j}()$ .
- The linearization point of  $mem.snapshot()$  issued by  $p_j$  is placed at line 01 of Figure 3 of the simulator  $q_i$  that imposes its  $input_i$  value to the corresponding  $safe\_agreement$  object.
- The linearization point of the one-shot operation  $x\_cons[a].x\_cons\_propose()$  issued by  $p_j$  is placed at line 02 of Figure 4 of the simulator  $q_i$  whose invocation  $XSAFE\_AG[a].sa\_propose_i()$  imposes its value to the corresponding  $safe\_agreement$  object  $XSAFE\_AG[a]$ .

Because the simulator  $q_i$  that imposes its  $input_i$  value for a  $sim\_snapshot()$  operation reads the most advanced values at the time of its snapshot (lines 02-03 of Figure 3), and because (due to Lemma 3) once a simulator finishes the execution of  $sim\_snapshot()$ , the value for this  $sim\_snapshot()$  is fixed forever, the linearization corresponds to a linearization of a correct execution of the simulated algorithm as far as the  $mem[j].write()$  and  $mem.snapshot()$  operations are concerned. A similar reasoning shows that the linearization is also correct with respect to the  $x\_cons[a].x\_cons\_propose()$  operations issued by the simulated processes  $p_j$ .  $\square_{Lemma\ 6}$

**Theorem 1.** *Let  $t \leq \lfloor \frac{t'}{x} \rfloor$ . The algorithms described in Figure 2, Figure 3, and Figure 4 are a correct simulation of  $ASM(n, t', x)$  into  $ASM(n, t, 1)$  (for any algorithm  $A$  solving a colorless decision task in  $ASM(n, t', x)$ ).*

**Proof** The proof that the simulation is correct follows directly from Lemma 2 for its liveness, and Lemma 5 and Lemma 6 for its safety.  $\square_{Theorem\ 1}$

## 4 Simulating $ASM(n, t, 1)$ in $ASM(n, t', x)$

### 4.1 The objects shared by the simulators

Let  $A$  be an algorithm that solves a colorless decision task in  $ASM(n, t, 1)$ . This means that  $A$  is an  $n$ -process  $t$ -resilient algorithm and its processes  $p_1, \dots, p_n$  cooperate by accessing a snapshot shared memory  $mem[1..n]$  with  $mem[j].write()$  and  $mem.snapshot()$  operations.

Assuming  $t \geq \lfloor \frac{t'}{x} \rfloor$ , this section describes a simulation of  $A$  in the system model  $ASM(n, t', x)$ , where a simulator  $q_i$  can additionally access objects with consensus number  $x$ . The simulators access a snapshot memory  $MEM[1..n]$  as in the previous simulation. The simulation of  $mem[j].write()$  is exactly the same as the one described by the operation  $sim\_write_{i,j}()$  defined in Figure 2.

The main issue is the design of the operation  $sim\_snapshot_{i,j}()$  executed by  $q_i$  to simulate the invocation of  $mem.snapshot()$  by  $p_j$ . The difficulty comes from the fact that up to  $t'$  simulators are allowed to crash in  $ASM(n, t', x)$ , while only  $t$  simulated processes are allowed to crash in  $ASM(n, t, 1)$ , and  $t'$  can be greater than  $t$ .

### 4.2 The $x\_safe\_agreement$ object type

**Type definition** This object type is an extension of the `safe_agreement` type described in Section 3.1. It is defined by two operations, denoted `x_sa_propose()` and `x_sa_decide()` that a simulator may invoke (at most once and in that order). Moreover, every `x_safe_agreement` object considers that  $x$  of the simulators are its *owners* (those are defined dynamically and, consequently, different objects do not necessarily have the same set of owners). The properties defining the object type `x_safe_agreement` are the following.

- **Termination.** If at most  $(x - 1)$  processes crash while executing `x_sa_propose()`, then any correct simulator that invokes `x_sa_decide()`, returns from that invocation.
- **Agreement.** At most one value is decided.
- **Validity.** A decided value is a proposed value.

As we will see, while the case  $x = 1$  boils down to the definition of the `safe_agreement` object type, the implementation of the `x_safe_agreement` type is not a straightforward extension of the algorithm described in Figure 1.

**The `sim_snapshot_{i,j}` operation** Let us consider Section 3.2.3 where, instead of `safe_agreement` objects, the array  $SAFE\_AG[1..n, 1..+\infty)$  contains now `x_safe_agreement` objects. The algorithm  $sim\_snapshot_{i,j}()$  invoked by a simulator  $q_i$  to simulate the invocation  $mem.snapshot()$  issued by a simulated process  $p_j$ , is then the same as the one described in Figure 3 after having replaced `sa_propose()` and `sa_decide()` by `x_sa_propose()` and `x_sa_decide()`, respectively.

### 4.3 Implementing the $x\_safe\_agreement$ type

The implementation of a `x_safe_agreement` object is at the core of the simulation. It relies on consensus number  $x$  objects (the corresponding access operation is denoted `x_cons_propose()`), multi-writer/multi-reader atomic registers (let us remember that these registers can be implemented on top of a snapshot shared memory), and one-shot test&set objects (that can be implemented from consensus number  $x$  objects [19]).

**Dynamically associating owners with an `x_safe_agreement` object** Let us remember that, due to the operations `enter_mutex1` and `exit_mutex1` that are invoked before and after `x_sa_propose_i(v)`, respectively (see Figure 3), a simulator  $q_i$  is engaged in at most one `x_safe_agreement` object at a time.

On another side, as specified in its termination property, an `x_safe_agreement` object can “crash” when its  $x$  owners crash (“crash” of an `x_safe_agreement` object means that the simulated processes that invoke `x_sa_decide_i()` on that object remain blocked forever). This means that if all the `x_safe_agreement` objects had the same set of  $x$  owners, constraining a simulator to be engaged in a single `x_safe_agreement` object at a time is not sufficient because, whenever they occur, their crashes would crash all the `x_safe_agreement` objects and the simulation could block forever. To prevent this from occurring, the simulation imposes that the owners of an object are determined dynamically. Intuitively, they are the “first”  $x$  processes that invoke `x_sa_propose_i()` on that object. It follows that if  $t'$  simulators crash, they entail the crash of at most  $\lfloor \frac{t'}{x} \rfloor$  `x_safe_agreement` objects, which in turn can block forever at most  $\lfloor \frac{t'}{x} \rfloor$  simulated processes. Hence, the constraint  $t \geq \lfloor \frac{t'}{x} \rfloor$ .

An object denoted  $X\_T\&S$  is associated with each `x_safe_agreement` object. It provides the simulators with a single operation, denoted `x_compete_i()` that returns *true* to  $x$  simulators (if  $x$  or less processes invoke it, the ones that do not crash all obtain *true*). This operation is implemented by the algorithm described in Figure 5 that uses an array of  $x$  test&set objects. Such an object returns *true* to the first invocation, and *false* to the following invocations. As its consensus number is 2, a test&set object can easily be implemented from an object with consensus number  $x$ .

```

operation x_competei():
(01)  $\ell \leftarrow 1$ ;  $winner \leftarrow false$ ;
(02) while ( $\ell \leq x \wedge \neg winner$ ) do
(03)    $winner \leftarrow TS[\ell].test\&set()$ ;  $\ell \leftarrow \ell + 1$ 
(04) end while;
(05) return( $winner$ ).

```

Figure 5: An implementation of the  $x\_compete_i()$  operation (code for  $q_i$ )

The simulators that obtain *true* when they invoke  $X\_T\&S.x\_compete_i()$  are the owners of the corresponding  $x\_safe\_agreement$  object. It is easy to see that, as a simulator can invoke  $x\_sa\_propose_i()$  on at most one  $x\_safe\_agreement$  object at a time, and as a simulator can be owner only of the object for which it has a pending  $x\_sa\_propose_i()$  invocation, no simulator can be the owner of several  $x\_safe\_agreement$  objects at the same time.

**The operation  $x\_sa\_decide_i()$**  The value decided from a  $x\_safe\_agreement$  object is saved in an associated atomic register  $X\_SAFE\_AG$  (initialized to  $\perp$ ). Consequently, when a simulator  $q_i$  invokes  $x\_sa\_decide_i()$  on that  $x\_safe\_agreement$  object, it first waits until that register has been assigned a value, and then returns that value (See lines 09-10 in Figure 6).

**The operation  $x\_sa\_propose_i(v)$**  The algorithm implementing  $x\_sa\_propose_i(v)$  is described in Figure 6. A simulator  $q_i$  first invokes  $X\_T\&S.x\_compete_i()$  to know if it is an owner of that object. If it is not, its invocation  $x\_sa\_propose_i()$  terminates. Let us notice that in that case, at least  $x$  simulators have invoked  $x\_sa\_propose()$  on that  $x\_safe\_agreement$  object, and  $x$  of them are its (maybe faulty) owners.

```

operation x_sa_proposei( $v$ ):
(01)  $owner_i \leftarrow X\_T\&S.x\_compete_i()$ ;
(02) if ( $owner_i$ ) then
(03)    $res \leftarrow v$ ;
(04)   for  $\ell$  from 1 to  $m$  do
(05)     if ( $i \in SET\_LIST[\ell]$ ) then  $res \leftarrow XCONS[\ell].x\_cons\_propose(res)$  end if
(06)   end for;
(07)    $X\_SAFE\_AG \leftarrow res$ 
(08) end if.

operation x_sa_decidei():
(09) wait ( $X\_SAFE\_AG \neq \perp$ );
(10) return( $X\_SAFE\_AG$ ).

```

Figure 6: An implementation of the  $x\_safe\_agreement$  type (code for simulator  $q_i$ )

If  $q_i$  is an owner, it has to cooperate with the other owners of that  $x\_safe\_agreement$  object in order one of the values they propose becomes the value decided from that object. To that end, these simulators could use the consensus number  $x$  underlying object that can be accessed by these  $x$  owners only. The problem is that a simulator does not know which are the other owners, and consequently does not know which is this underlying consensus number  $x$  object.

To solve this problem, two arrays are associated with each  $x\_safe\_agreement$  object. Let  $m$  be the number of subsets of size  $x$  in a set of  $n$  elements. We have:

- $SET\_LIST[1..m]$  is an array containing the  $m$  subsets of simulators of size  $x$ .  $SET\_LIST[\ell]$  contains the subset identified by  $\ell$ .
- $XCONS[1..m]$  is an array of  $m$  consensus number  $x$  objects.  $XCONS[\ell]$  is the consensus number  $x$  object that can be accessed by the simulators that define the size  $x$  subset  $SET\_LIST[\ell]$ .

If simulator  $q_i$  is an owner, it scans the list  $SET\_LIST[1..m]$  (all the owners have to scan it in the very same order). When it encounters a set  $SET\_LIST[\ell]$  that contains its identity  $i$ ,  $q_i$  invokes  $x\_cons\_propose(res)$  on the corresponding object  $XCONS[\ell]$ , and adopts the value it obtains from it as its current estimate of the value decided from the  $x\_safe\_agreement$  object. Let us notice that, whatever the set  $S$  of owners of that object, due to the systematic scanning,  $q_i$  necessarily meets  $S$ .

When it has exhausted the list,  $q_i$  deposits the value of  $res$  in  $X\_SAFE\_AG$ , which is then the value decided by this  $x\_safe\_agreement$  object.

#### 4.4 Simulating $ASM(n, t, 1)$ in $ASM(n, t', x)$ : correctness proof

The proof consists in two theorems: (1) the proof that the algorithm given in Figure 6 implements the object type  $x\_safe\_agreement$ , and (2) the proof of the simulation itself.

**Theorem 2.** *The algorithm described in Figure 6 implements the  $x$ \_safe\_agreement object type.*

**Proof** The validity property (a decided value is a proposed value) follows directly from the text of the algorithm and the validity property of the underlying consensus number  $x$  objects  $XCONS[1..m]$ .

$XSAG$  being an  $x$ \_safe\_agreement object, let  $owners[XSAG]$  be the set of its owner simulators. It is easy to see that  $|owners[XSAG]| \leq x$  (see Figure 5).

For the agreement property we have to show that the invocations  $XSAG.x\_sa\_decide()$  returns the same value. For it, we show that all the writes of the underlying atomic register  $X\_SAFE\_AG$  (used to implement  $XSAG$ ) do write the very same value  $v$  (line 07).

It follows from the definition of  $SET\_LIST[1..m]$  that  $\exists \ell$  such that  $owners[XSAG] \subseteq SET\_LIST[\ell]$ . Moreover, due to the agreement property of the underlying consensus number  $x$  object  $XCONS[\ell]$ , all the simulators that return from  $XCONS[\ell].x\_cons\_propose()$  obtain the same value  $v$ . From then on, for any  $\ell' > \ell$ , any simulator in  $owners[XSAG]$  proposes  $v$  to any object  $XCONS[\ell']$  and (as only the simulators in  $owners[XSAG]$  access these objects), it follows that only  $v$  can be decided from these objects. Hence, any simulator in  $owners[XSAG]$  that executes line 07 writes  $v$  into the atomic register  $X\_SAFE\_AG$ , which concludes the proof of the agreement property of the  $x$ \_safe\_agreement object  $XSAG$ .

To prove the termination property of  $XSAG$ , let us assume that at most  $(x - 1)$  simulators in  $owners[XSAG]$  crash while executing  $XSAG.x\_sa\_propose()$ . We have to show that any correct simulator  $q_i$  returns from its  $XSAG.x\_sa\_decide()$  invocation. As at least one simulator ( $q_i$ ) in  $owners[XSAG]$  is correct, this simulator invokes  $XSAG.x\_sa\_propose()$ . As the underlying objects  $XCONS[\ell]$  accessed by  $q_i$  are wait-free,  $q_i$  eventually writes a non- $\perp$  value in  $X\_SAFE\_AG$ . It follows that no correct simulator can block forever when it executes  $XSAG.x\_sa\_decide()$ , which concludes the proof of the theorem.  $\square_{Theorem\ 2}$

**Proof of the simulation** As before, in order to always terminate, a  $t$ -resilient algorithm requires that at most  $t$  (simulated) processes crash. We show here that, when at most  $t' \leq t \times x + (x - 1)$  simulators using objects of consensus number  $x$  crash (i.e.  $t \geq \lfloor \frac{t'}{x} \rfloor$ ), any correct simulator can simulate the code of at least  $(n - t)$  simulated processes without being blocked forever in any of these codes.

In order for a simulated process  $p_j$  to decide the same value at all simulators, the snapshot values returned to  $p_j$  must be the same at all simulators, and must be consistent with the write operations.

**Lemma 7.** *Let  $t \geq \lfloor \frac{t'}{x} \rfloor$ . The simulation of at most  $t$  simulated processes can be definitely blocked (i.e., crashed) during the simulation.*

**Proof** The only point where the simulation of a simulated process  $p_j$  can be permanently blocked is when  $p_j$  invokes  $mem.snapshot()$ , i.e., when simulators invoke the corresponding  $sim\_snapshot()$  simulation operation. As that operation involves an  $x$ \_safe\_agreement (invocation of  $x\_sa\_propose()$ ), it follows that  $x$  simulators have to crash while executing the corresponding  $x\_sa\_propose()$  operation in order the  $x$ \_safe\_agreement object crash and block permanently the simulated process  $p_j$ .

On another side, due to (a) the mutex mechanism used in  $sim\_snapshot()$  and (b) the dynamic determination of the  $x$  owners of a  $x$ \_safe\_agreement object, it follows that the crash of a simulator can participate in the crash of at most one simulated process  $p_j$ .

Hence, (a) it is necessary that  $x$  simulators crash in order a simulated process be blocked forever, and (b) these  $x$  crashes cannot block different simulated processes.

As up to  $t'$  simulators can crash, it follows that at most  $\lfloor \frac{t'}{x} \rfloor \leq t$  simulated process remain definitely blocked (i.e., crash), which completes the proof of the Lemma.  $\square_{Lemma\ 7}$

**Lemma 8.** *Let  $t \geq \lfloor \frac{t'}{x} \rfloor$ . Each correct simulator  $q_i$  computes the decision value of at least  $(n - t)$  simulated processes  $p_j$ .*

**Proof** It follows from Lemma 7 that each correct simulator  $q_i$  simulates entirely the code of at least  $n - \lfloor \frac{t'}{x} \rfloor \geq n - t$  simulated processes  $p_j$ . As the simulated algorithm is  $t$ -resilient, the simulation of these  $n - \lfloor \frac{t'}{x} \rfloor$  simulated processes provides each of them with a decided value. Thus, each correct simulator  $q_i$  obtains decision values for at least  $(n - t)$  simulated processes.  $\square_{Lemma\ 8}$

**Lemma 9.** *The simulators that return from the simulation of the  $k$ -th invocation of  $mem.snapshot()$  issued by a simulated process  $p_j$ , obtain the same value for that simulated snapshot invocation.*

**Proof** The proof is the same as the proof of Lemma 3 where the type safe\_agreement is replaced by  $x$ \_safe\_agreement. (Let us notice that both proofs rely only on the agreement property of these objects).  $\square_{Lemma\ 9}$

**Lemma 10.** *For every simulated process  $p_j$ , the simulators decide at most one value.*

**Proof** The proof is similar to the one of Lemma 5. Let us observe that the  $mem.snapshot()$  operations are the only non-deterministic operations that a simulated process  $p_j$  issues. It follows from Lemma 9 that all simulators  $q_i$  obtain the same value when they invoke  $sim\_snapshot_{i,j}()$ , which proves the lemma.  $\square_{Lemma\ 10}$

**Lemma 11.** *The sequences of  $\text{sim\_write}_i()$ ,  $\text{sim\_snapshot}_{i,j}()$  and  $\text{sim\_x\_cons\_propose}_{i,j}^a()$  issued by each simulator (in  $ASM(n, t, 1)$ ) on behalf of each simulated processes  $p_j$  define a correct execution of the simulated algorithm.*

**Proof** The proof is the same as the proof of Lemma 6, where the reference to Lemma 3 is replaced by a reference to Lemma 9, and where are considered only the simulation operations  $\text{sim\_write}()$  and  $\text{sim\_snapshot}()$ .  $\square$ <sub>Lemma 11</sub>

**Theorem 3.** *Let  $\lfloor \frac{t'}{x} \rfloor \leq t$ . The algorithms described in Figure 2, Figure 3 (where  $\text{sa\_propose}()$  and  $\text{sa\_decide}()$  are replaced by  $\text{x\_sa\_propose}()$  and  $\text{x\_sa\_decide}()$ ), and Figure 6 are a correct simulation of  $ASM(n, t, 1)$  into  $ASM(n, t', x)$  (for any algorithm  $A$  solving a colorless decision task in  $ASM(n, t, 1)$ ).*

**Proof** The proof follows from Theorem 2, Lemma 8, Lemma 10, and Lemma 11.  $\square$ <sub>Theorem 3</sub>

## 5 Generalization

### 5.1 On the limitation to colorless tasks

A decision task can be either *colorless* or *colored*. A colored task requires that no two processes decide the value of the same simulated process. In a system where up to  $t$  processes may crash, it can only be guaranteed that  $(n - t)$  (simulated) processes decide. Thus, if we have  $(n - t')$  correct simulators with  $t > t'$ , we obtain less decided values than simulators. Consequently, it is not possible to provide the correct simulators with different values. This is why the previous simulation algorithms are for colorless tasks only.

### 5.2 $ASM(n, t', x) \simeq ASM(t + 1, t, 1)$

The previous simulations show that, when  $t = \lfloor \frac{t'}{x} \rfloor$  (i.e.,  $t \times x \leq t' \leq t \times x + (x - 1)$ ), any algorithm that solves a colorless decision task in  $ASM(n, t, 1)$ , can be used to solve it in  $ASM(n, t', x)$  and vice-versa. On another side, the BG simulation shows that any algorithm that solves a colorless decision task in  $ASM(n, t, 1)$  can be used to solve it in  $ASM(t + 1, t, 1)$  and vice-versa.

It follows from the previous observations that, when  $t = \lfloor \frac{t'}{x} \rfloor$ , any algorithm that solves a colorless decision task in  $ASM(n, t', x)$  can be used to solve it in  $ASM(t + 1, t, 1)$ , and vice-versa. This equivalence is denoted  $ASM(n, t', x) \simeq ASM(t + 1, t, 1)$ .

### 5.3 General case: $ASM(n_1, t_1, x_1) \simeq ASM(n_2, t_2, x_2)$

Assuming  $n_1 > t_1$  and  $n_2 > t_2$ , due to the simulation described in Section 3 and Section 4 we have  $ASM(n_1, t_1, x_1) \simeq ASM(n_1, \lfloor \frac{t_1}{x_1} \rfloor, 1)$  and  $ASM(n_2, t_2, x_2) \simeq ASM(n_2, \lfloor \frac{t_2}{x_2} \rfloor, 1)$ .

Let us assume that  $\lfloor \frac{t_1}{x_1} \rfloor = \lfloor \frac{t_2}{x_2} \rfloor = t$ . Due to the BG simulation we have then  $ASM(n_1, t, 1) \simeq ASM(t + 1, t, 1)$  and  $ASM(n_2, t, 1) \simeq ASM(t + 1, t, 1)$ . It follows that, by transitivity, we have  $ASM(n_1, t_1, x_1) \simeq ASM(n_2, t_2, x_2)$ . This equivalence is depicted in Figure 7.

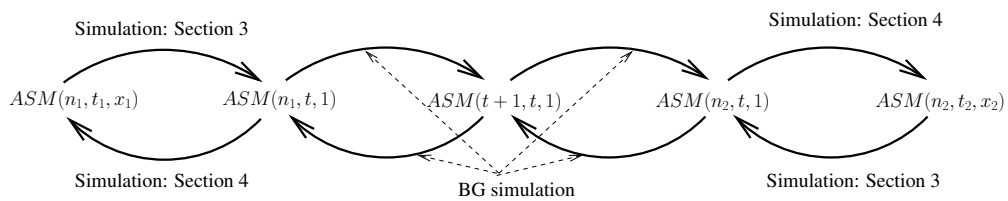


Figure 7: Model equivalence (for  $\lfloor \frac{t_1}{x_1} \rfloor = \lfloor \frac{t_2}{x_2} \rfloor$ )

### 5.4 Increasing the consensus number can be useless

**Playing with the model parameters** Let us consider the models  $ASM(n, t, x)$  and  $ASM(n, t, x + \Delta x)$ . It follows that, albeit the second one has stronger objects than the first one, it is not more powerful if  $\lfloor \frac{t}{x} \rfloor = \lfloor \frac{t}{x + \Delta x} \rfloor$ . Hence, considering base objects with higher consensus number does not always increase the power of the model. Similarly, increasing the upper bound on the number of faulty processes from  $t$  to  $t + \Delta t$  does not make the system model weaker if  $\lfloor \frac{t}{x} \rfloor = \lfloor \frac{t + \Delta t}{x} \rfloor$ .

This exhibits the *multiplicative power of consensus numbers*, which states that  $ASM(n, t, 1) \simeq ASM(n, t', x)$  for  $t \times x \leq t' \leq (t \times x) + (x - 1)$ .

**Equivalence classes** More generally, this shows that the whole set of system models can be partitioned into equivalence classes. All the models  $ASM(n, t', x)$  such that  $\lfloor \frac{t'}{x} \rfloor = t$  belong to the same class (from a computability power point of view) and  $ASM(n, t, 1)$  can be taken as the canonical form representing all the models of that class.

As an example let us fix  $t' = 8$ . We have:

- All the system models  $ASM(n, 8, x)$ , for  $9 \leq x \leq n$ , have the same power as  $ASM(n, 0, 1)$ .
- The 4 system models  $ASM(n, 8, x)$ , for  $5 \leq x \leq 8$ , have the same power as  $ASM(n, 1, 1)$ .
- Both the system models  $ASM(n, 8, 4)$ ,  $ASM(n, 8, 3)$  have the same power as  $ASM(n, 2, 1)$ .
- The system model  $ASM(n, 8, 2)$  has the same power as  $ASM(n, 4, 1)$ .
- The last class is  $ASM(n, 8, 1)$ .

More generally, we have the following: if  $\frac{t'}{t} \geq x > \frac{t'}{t+1}$  then  $ASM(n, t', x) \simeq ASM(n, t, 1)$ . This means that, given  $t$  and  $t'$ , all the objects whose consensus numbers  $x_1, x_2$ , etc., satisfy the previous inequalities are equivalent in a system of  $n$  processes prone to  $t'$  crashes.

**A hierarchy of system models** As indicated in the introduction, Gafni and Kuznetsov have introduced the notion of *set consensus number* associated with a task [18]. The set consensus number of a task  $T$  is  $k$  if  $T$  cannot be wait-free solved in an asynchronous system where processes have access to  $(k + 1)$ -set agreement objects, but can be wait-free solved when the processes have access to  $k$ -set agreement objects. In a system of  $n$  processes, the set consensus numbers define a size  $n$  hierarchy between tasks. Class 1 consists of universal tasks (because they can solve consensus and consequently any other task), while class  $n$  contains the trivial tasks (the tasks that can be solved asynchronously) [18]. More generally, a task in class  $k$  is more difficult than a task in class  $k + 1$ .

The  $k$ -set agreement problem is impossible to solve in  $ASM(n, k, 1)$  [6, 23, 30], but it can be solved in  $ASM(n, k - 1, 1)$  [12]. It follows that any task with consensus number  $k$  can be solved in  $ASM(n, k - 1, 1)$  and cannot be solved in  $ASM(n, k, 1)$ . This establishes the following hierarchy among systems: a system model  $\mathcal{S}$  is stronger than a system model  $\mathcal{S}'$  ( $\mathcal{S} \succ \mathcal{S}'$ ), if more tasks can be solved in  $\mathcal{S}$  than in  $\mathcal{S}'$  (e.g.,  $ASM(n, 3, 1) \succ ASM(n, 4, 1)$ : 4-set agreement can be solved in  $ASM(n, 3, 1)$  but not in  $ASM(n, 4, 1)$ ).

The relation between a task  $T_k$ , with set consensus number  $k$ , and a system model  $ASM(n, t, x)$  is then the following:  $T_k$  can be solved in  $ASM(n, t, x)$  if and only if  $k > \lfloor \frac{t}{x} \rfloor$ . Because the set consensus numbers define a hierarchy, the preceding relation establishes a hierarchy among system models.

## 5.5 The case of colored tasks

In this section, we show how the execution of an algorithm solving a colored task in  $ASM(n, t, x)$  can be simulated in  $ASM(n', t', x')$ . The simulation requires the following conditions:

- $x' > 1$ ,
- $\lfloor \frac{t}{x} \rfloor \geq \lfloor \frac{t'}{x'} \rfloor$ ,
- $n \geq \max(n', (n' - t') + t)$ .

**Colored tasks** As mentioned in Section 2, a *colored* task [17, 24] is a task where no two processes can decide the same value. This implies that, when simulating the execution of an algorithm solving a colored task, two simulators cannot decide the value of the same simulated process.

**The simulation of  $x\_cons[a].x\_cons\_propose()$  in  $ASM(n', t', x')$**  In order to simulate  $ASM(n, t, x)$ , the snapshot and write operations can be simulated as presented in Section 4. In addition to these operations, when  $x > 1$ , the simulators must provide the simulated processes with the operation  $x\_cons[a].x\_cons\_propose()$ .

Section 5 shows that  $ASM(n_1, t_1, x_1) \simeq ASM(n_2, t_2, x_2)$  for colorless tasks iff  $\lfloor \frac{t_1}{x_1} \rfloor = \lfloor \frac{t_2}{x_2} \rfloor$ . Thus, the simulation should require that  $\lfloor \frac{t}{x} \rfloor \geq \lfloor \frac{t'}{x'} \rfloor$ . Such a simulation can be implemented by adapting the operation  $\text{sim\_x\_cons\_propose}_{i,j}^a()$  presented in Figure 4 of Section 3 in the following way.

The array of safe\_agreement objects  $XSAFE\_AG[1..]$  is replaced by an array of  $x'$ \_safe\_agreement objects  $X'XSAFE\_AG[1..]$  (the type of these objects is defined in Section 4.2, where  $x$  is replaced by  $x'$ ). The invocations  $XSAFE\_AG[a].sa\_propose_i(v)$  and  $XSAFE\_AG[a].sa\_decide_i()$  are replaced by  $X'XSAFE\_AG[a].x'\_sa\_propose_i(v)$  and  $X'XSAFE\_AG[a].x'\_sa\_decide_i()$  (as defined in Figure 6 where  $x$  is replaced by  $x'$ ). The modified version of the algorithm is presented in Figure 8.

Due to the algorithms used and the termination property of the  $x'$ \_safe\_agreement object type, a simulated process can be blocked only (1) during the simulation of a snapshot operation, because  $x'$  simulators have crashed while accessing a single  $x'$ \_safe\_agreement



```

operation  $\text{sim\_x\_cons\_propose}_{i,j}^a(v)$ :
(01) enter_mutex2;
(02) if ( $\text{xres}_i[a] = \perp$ ) then  $\text{enter\_mutex1}$ ;  $X'_X\text{SAFE\_AG}[a].x'\_sa\_propose_i(v)$ ;  $\text{exit\_mutex1}$ ;
(03)  $\text{xres}_i[a] \leftarrow X'_X\text{SAFE\_AG}[a].x'\_sa\_decide_i()$ 
(04) end if;
(05) exit_mutex2;
(06) return( $\text{xres}_i[a]$ ).

```

Figure 8:  $\text{sim\_x\_cons\_propose}_{i,j}^a()$  executed by  $q_i$  to simulate  $x\_cons[a].x\_cons\_propose()$  issued by  $p_j$

object, and these simulators do not block any other simulated process, or (2) during the simulation of an  $x\_cons[a].x\_cons\_propose()$  operation, because  $x'$  simulators have crashed while accessing a single  $x'\_sa\_agreement$  object, and these simulators can block at most  $x$  simulated processes (the ones that can access  $x\_cons[a]$ ). Thus if  $t'$  simulators crash, at most  $x \lfloor \frac{t'}{x'} \rfloor$  simulated processes can be blocked. This is why the simulation requires  $\lfloor \frac{t}{x} \rfloor \geq \lfloor \frac{t'}{x'} \rfloor$ .

**Obtaining enough decision values** The simulation of colored decision tasks requires that each simulator decides the value of a different simulated process. Let  $f$  be the number of simulators that crash during a given run. In order to terminate the simulation, at least  $n' - f$  simulated processes must decide so that the  $n' - f$  correct simulators can obtain a different decision value. As explained above, if  $f$  simulators crash, at most  $x \lfloor \frac{f}{x'} \rfloor$  simulated processes can be blocked. The  $n' - f$  correct simulators will then receive the decision value of at least  $n - x \lfloor \frac{f}{x'} \rfloor$  simulated processes. If  $f = 0$  (no simulator crashes), we must then have  $n \geq n'$ . If  $f = t'$  (the maximum number of crashes in  $ASM(n', t', x')$ ), we must have  $n \geq (n' - t') + x \lfloor \frac{t'}{x'} \rfloor$ . If both conditions are satisfied, it can easily be seen that, for  $0 \leq f \leq t'$ , we have  $n - x \lfloor \frac{f}{x'} \rfloor \geq n' - f$ . This is why the simulation requires  $n \geq \max(n', (n' - t') + t)$ .

**Choosing a decision value using Test&Set** As mentioned before, an object of type Test&Set can be implemented easily in  $ASM(n', t', x')$  when  $x' > 1$ . Using Test&Set objects shared by the simulators, these can then decide a unique value as follows. In addition to the other objects used in the simulation, the simulators share an array  $T\&S[1..n]$  of Test&Set objects. When a simulator  $q_i$  obtains a decision value from a simulated process  $p_j$ , it completes the invocations of  $x'\_sa\_propose()$  in which it is involved (if any) and stops the simulation. It then invokes  $T\&S[j]$ . If  $q_i$  wins, it decides  $p_j$ 's value and stops the simulation. If  $q_i$  loses, it resumes the simulation of the other processes until it obtains another decision value. Note that because it ended its simulations of snapshot and consensus before deciding,  $q_i$  cannot block any simulated process.

Due to the properties of the Test&Set object type,  $p_j$ 's value can be decided by at most one simulator. Because  $n - x \lfloor \frac{f}{x'} \rfloor \geq n' - f$ , each simulator will eventually be able to decide the value of a simulated process. This shows that the execution in  $ASM(n, t, x)$  of an algorithm solving a colored task can be simulated in  $ASM(n', t', x')$  when  $x' > 1$ ,  $\lfloor \frac{t}{x} \rfloor \geq \lfloor \frac{t'}{x'} \rfloor$  and  $n \geq \max(n', (n' - t') + t)$ .

## 6 Conclusion

This paper was on the computability power of systems made up of  $n$  processes that communicate by accessing read/write shared registers and objects with consensus number  $x$  with  $x \leq t$ , where  $t$  is the maximal number of processes that may crash in a run. It has shown that, as far as colorless decision tasks are concerned, we have the following equivalences:

- $ASM(n, t', x) \simeq ASM(n, t, 1)$  iff  $\lfloor \frac{t'}{x} \rfloor = t$ , i.e.,  $(t \times x) \leq t' \leq (t \times x) + (x - 1)$ .
- $ASM(n, t, x) \simeq ASM(t + 1, t, x)$ .
- $ASM(n_1, t_1, x_1) \simeq ASM(n_2, t_2, x_2)$  iff  $\lfloor \frac{t_1}{x_1} \rfloor = \lfloor \frac{t_2}{x_2} \rfloor$ .

Hence, when we consider the computability power of an asynchronous shared memory system prone to process crashes, the BG simulation is one side of the coin, while the multiplicative power of consensus numbers is the other side of the coin.

The BG simulation has recently been extended from colorless tasks to colored tasks (such as the renaming problem where no two processes are permitted to decide the same new name [3]) [17, 24]. The paper has presented a simulation of  $ASM(n, t, x)$  in  $ASM(n', t', x')$  with  $x' > 1$ , suited to colored tasks.

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