

Cognitive outcome is related to functional thalamo-cortical connectivity after pediatric stroke

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Abstract

The thalamus has complex connections with the cortex and is involved in various cognitive processes. Despite increasing interest in the thalamus and the underlying thalamo-cortical interaction, little is known about thalamo-cortical connections after pediatric arterial ischemic stroke. Therefore, the aim of this study was to investigate thalamo-cortical connections and their association with cognitive performance after arterial ischemic stroke.

Twenty patients in the chronic phase after pediatric arterial ischemic stroke (≥ 2 years after diagnosis, diagnosed <16 years; aged 5–23 years, mean 15.1 years) and twenty healthy controls matched for age and sex were examined in a cross-sectional study design. Cognitive performance (selective attention, inhibition, working memory, and cognitive flexibility) was evaluated using standardized neuropsychological tests. Resting-state functional magnetic resonance imaging was used to examine functional thalamo-cortical connectivity. Lesion masks were integrated in the preprocessing pipeline to ensure that structurally damaged voxels did not influence functional connectivity analyses.

Cognitive performance (selective attention, inhibition and working memory) was significantly reduced in patients compared to controls. Network analyses revealed significantly lower thalamo-cortical connectivity for the motor, auditory, visual, default mode network, salience, left/right executive and dorsal attention network in patients compared to controls. Interestingly, analyses revealed as well higher thalamo-cortical connectivity in some subdivisions of the thalamus for the default mode network (medial nuclei), motor (lateral nuclei), dorsal attention (anterior nuclei), and the left executive network (posterior nuclei) in patients compared to controls. Increased and decreased thalamo-cortical connectivity strength within the same networks was, however, found in different thalamic sub-divisions. Thus, alterations in thalamo-cortical connectivity strength after pediatric stroke seem to point in both directions, with stronger as well as weaker thalamo-cortical connectivity in patients compared to controls. Multivariate linear regression, with lesion size and age as covariates, revealed significant correlations between cognitive performance (selective attention, inhibition, and working memory) and the strength of thalamo-cortical connectivity in the motor, auditory, visual, default mode network, posterior default mode network, salience, left/right executive, and dorsal attention network after childhood stroke.

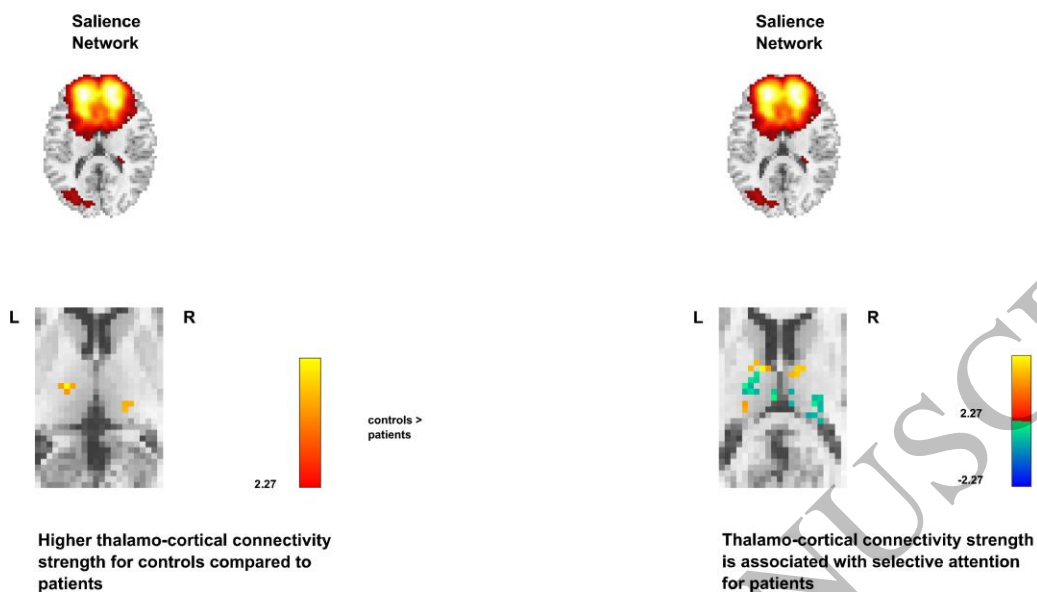
Our data suggest that the interaction between different sub-nuclei of the thalamus and several cortical networks relates to post-stroke cognition. The variability in cognitive outcomes after pediatric stroke might partly be explained by functional thalamo-cortical connectivity strength.

Key words: thalamus, thalamo-cortical connectivity, arterial ischemic stroke, pediatrics, rs-fMRI

List of abbreviations

AIS	Arterial ischemic stroke
BOLD	Blood oxygen level dependent
CSF	Cerebrospinal fluid
CT	Computer tomography
DMN	Default mode network
FDR	False discovery rate
FD	Framewise displacement
fMRI	functional magnetic resonance imaging
IC	Independent components
ICA	independent component analyses
IQ	Intelligence quotient
MNI	Montreal Neurological Institute
Rs-fMRI	Resting state functional magnetic resonance imaging
SPM12	Statistical Parametric Mapping
WISC-IV	Wechsler Intelligence Scale for Children
WAIS-IV	Wechsler Adult Intelligence Scale

Graphical Abstract



Introduction

Pediatric arterial ischemic stroke (AIS) occurs rarely, but two-thirds of survivors may have lifelong neurological, motor, and cognitive deficits,¹⁻³ which in turn can lead to reduced health-related quality of life.^{4, 5} Post-stroke outcome varies with patient-related factors (e.g. age at stroke and socioeconomic status) and stroke- and lesion-related factors, including stroke severity, lesion size, and lesion location.^{1, 3, 6} However, these factors have only limited predictive power for long-term cognitive outcome.⁷ Many studies have observed that initial stroke severity and lesion volume fail to explain most of the variability in cognitive outcome.⁷ A possible reason is that, although AIS induces focal structural lesions, widespread alterations in functional connectivity networks occur and may further influence cognitive outcome and recovery. Consequently, alterations in large-scale functional brain networks rather than in single brain regions are thought to determine post-stroke cognitive outcome.^{8, 9}

The thalamus presents complex cortical and subcortical connections and is described to be a critical integrative node in large-scale brain networks.¹⁰⁻¹⁴ The thalamo-cortical loop develops before birth and plays a crucial role in the regulation of the development of cortical and thalamic territories.^{15, 16} Different nuclei of the thalamus have been associated with specific cognitive functions, such as processing speed, attention, and executive functions.^{12, 14, 17-19} Hence, the thalamus and especially its connections are thought to play a crucial role in cognitive recovery after stroke.²⁰

Resting-state functional magnetic resonance imaging (rs-fMRI) can be used to characterize large-scale systems such as the thalamo-cortical networks^{19, 21-24} and measures the temporal correlation of blood-oxygenated-level-dependent (BOLD) signals between different regions in the resting brain.²⁵ This method has gained wide appeal owing to its simple application and reliability within and between individuals.^{26, 27} Various resting-state networks can be drawn from a single scan while the child is at rest. This task-free procedure is particularly valuable in paediatric samples. Further, the investigation of resting-state networks has been shown to be of great clinical value, providing sensitive markers of disease.²⁸

Studies in adult patients after stroke tend to agree that the strength of resting-state functional connectivity is associated with cognitive functions, including language, memory, executive functions, and attention.²⁹⁻³³ This is supported by the findings of longitudinal studies that have revealed increases of functional connectivity over time, associated with recovery of motor function.³⁴⁻³⁶ Only a few studies have investigated resting-state networks after AIS in a pediatric population.^{8, 9, 37-39} Our recent study³⁷ and findings from another study⁹ have shown that the connectivity within the default mode and executive network is reduced in pediatric patients

after AIS compared to controls, which in turn is correlated with reduced cognitive performance. Further, patients with hemiparesis after pediatric AIS showed reduced interhemispheric connectivity strength in the motor network compared to patients without hemiparesis and healthy controls.⁴⁰ In studies that compared healthy controls to children with perinatal AIS, both weaker and stronger functional connectivity of the primary motor cortex to other brain regions was found.⁴¹

Functional connectivity measures between cortical and subcortical structures also predicted motor outcome after perinatal stroke.⁴² Altered thalamic volume, particularly in the non-lesioned hemisphere, has been associated with motor function,⁴³ suggesting an important role for the thalamus in the developmental plasticity that determines motor function after perinatal stroke.

In a previous study, we demonstrated that the thalamus has functional connections to multiple cortical resting-state networks in healthy children, adolescents and young adults and that stronger thalamo-cortical connectivity was associated with better cognitive performance in the healthy development between 5 and 25 years of age.¹⁹ However, the association between resting-state thalamo-cortical connectivity and cognition has never been investigated in patients after pediatric AIS. To this end, we aimed to investigate functional connectivity of the thalamo-cortical system and cognitive performance (including selective attention and executive functions) in patients after unilateral pediatric AIS and in healthy controls. Based on the literature presented above, we hypothesized that 1) thalamo-cortical connectivity is reduced in patients after stroke compared to controls, and that 2) stronger thalamo-cortical connectivity is related to better cognitive performance (selective attention and executive functions).

Materials and methods

Participants

Participants were recruited as part of the HERO study⁴⁴ examining functional reorganization after childhood stroke in a cross-sectional study design. The HERO study has been reviewed and approved by the Local Ethics Committee Berne (212/13), Switzerland.

Patients were identified by the Swiss Neuropaediatric Stroke Registry (SNPSR) – a multicenter, prospective, and population-based registry that includes children diagnosed with unilateral AIS under the age of 16 years.⁴⁵ Patients met the following inclusion criteria: diagnosis of AIS (confirmed by MRI or CT) before the age of 16 years and at least two years prior to recruitment, and older than 5 years of age to enable adequate compliance. The

gap of two years between the AIS diagnosis and study examination was chosen to ensure that the patients were in the chronic stage, as the critical time window for recovery can extend beyond one year post-stroke.⁴⁶ Exclusion criteria were active epilepsy (defined as seizures or treatment with anti-epileptic drugs during the 12 months prior to study participation), iron implants, claustrophobia, and behavioral problems that make an MRI scan impossible.

The control group, a sample of typically developing peers comparable in age and sex to the patient group, was recruited through advertisements on the hospital intranet and flyers. All healthy participants fulfilled the following inclusion criterias: (1) absence of neurological disease or psychiatric disorders, (2) intelligence above IQ > 85, and (3) no contraindications for MRI (metal braces or metallic implants). All children and adolescents or their legal representative signed a written consent to participate in the study. Consent was obtained according to the Declaration of Helsinki. All examinations were performed at the University Hospital Bern, Inselspital, Switzerland. For more detailed information on the study design, see the previously published study protocol.⁴⁴

Of the 29 patients recruited in the HERO study, 20 were included in the present analysis. Nine patients had to be excluded due to bilateral lesions (n = 4), retainer artefacts (n = 1), error in T1-weighted anatomical image or BOLD sequences (n = 2), or developmental delay or behavioral problems impeding compliance during the assessments (n = 2). Detailed clinical characteristics of the study participants are provided in Supplementary Table 1.

Cognitive assessment

Cognitive functions were assessed with standardized neuropsychological tests conducted by trained psychologists or by study assistants and postgraduates (under supervision). We only included tasks with no fine motor components, as some patients had residual symptoms of hemiparesis. This ensured that reduced motor abilities did not influence cognitive performance. Neuropsychological assessments was performed at the Division of Neuropediatrics, Development and Rehabilitation at the University Hospital, Inselspital, Bern, Switzerland, and were conducted within the same week as the MRI appointment at the Institute of Diagnostic and Interventional Neuroradiology, Inselspital, Bern, Switzerland.

To determine cognitive outcome, selective attention and executive functions were assessed: 1) Selective attention was assessed with the cancellation subtest of the the Wechsler Intelligence Scale for Children (WISC-IV) and the Wechsler Adult Intelligence Scale (WAIS-IV), which is a visual-spatial search task. The three core dimensions of executive functions were also assessed⁴⁷: 2) Working memory (spatial positioning subtest of the Learning and Memory Test (basic-MLT)⁴⁸; 3) Inhibition and 4) Cognitive flexibility (color-word inference test of

the Delis Kaplan Executive Function System⁴⁹). This test was conducted only with participants older than 8 years. This task includes four conditions where participants name colored squares (condition 1), read words indicating colors printed in black ink (condition 2), name the incongruent ink color of printed color words (condition 3; inhibition), and switch between naming the ink color and reading the color words (condition 4; cognitive flexibility). This study contains the results from condition 3 (inhibition) which requires participants to inhibit a learned response (i.e., naming the color of the words while ignoring their semantic content). Further, this study contains the results of condition 4 (cognitive flexibility), where participants have to inhibit an overlearned response (i.e., naming color of the words while ignoring their semantic content), but on top requires participants to demonstrate flexibility by set shifting. For more detailed information about neuropsychological tests see the previously published study protocol.⁴⁴ Raw scores were used for analyses of functional connectivity measures, so that age could be fitted in the model. Each raw score was converted into a Z-score. To test whether cognitive performance differed between groups, scaled scores were analyzed with paired t-tests.

MRI image acquisition and preprocessing

All participants were told to stay awake with their eyes closed and to remain as motionless as possible during the MRI scan. A head support system consisting of two pillows positioned on both sides of the head was used to minimize head motion. Earplugs were given to the participants to minimize the scanner noise.

Structural images

MRI data were acquired on a 3T Magnetom Verio scanner (Siemens, Erlangen, Germany). The structural images were acquired using a three-dimensional magnetization-prepared rapid gradient-echo (MP-RAGE) T1-weighted sequence (repetition time (TR)=2530 ms, echo time (TE)=2.96 ms, inversion time (TI)=1100 ms, and a flip angle (FA)=7°, field of view (FOV) 256×256 mm², matrix dimension 256×256, leading to an isovoxel resolution of 1×1×1 mm³, acquisition time (TA)=5.05 min).

Lesion-related characteristics were determined by a board-certified neuroradiologist (NS). Lesion laterality was classified depending on the affected hemisphere (left, right, or bilateral) and lesion location was divided into three categories (cortical, subcortical, or combined cortical and subcortical, according to this previous work⁵⁰). Ischemic lesions were manually segmented (slice by slice) on the structural images (T1) to calculate the volume of the affected brain tissue using the open-source software 3D Slicer, Version 4 (<https://www.slicer.org>). Affected brain tissue was selected based on hypointensity on the T1 weighted images. Both CSF filled areas as well as encephalomalacic areas and gliotic parts may appear hypo-intense on T1 weighted as well. Only post-

ischemic defects were evaluated and the adjacent cerebrospinal fluid (CSF) spaces were not included in the analysis. Lesion size was defined as the affected brain tissue in relation to the total brain volume. Total brain volume was calculated using the MATLAB-based toolbox Statistical Parametric Mapping (SPM12). More in detail, the structural images were then transformed into the standard Montreal Neurological Institute (MNI) template and then segmented into gray matter, white matter and CSF. In the processing steps for segmentation within SPM12 there are efforts to overcome problems of gliotic parts (e.g. as may be originated by lacune) that eventually would be associated to CSF tissue class. Notably, SPM12 is widely used for segmentation of anatomical images^{51, 52}. Further, the segmented lesions were integrated as masks in the preprocessing pipeline. Resting-state connectivity was only measured in healthy tissue.

Functional images

Functional images were acquired using a T2*-weighted multi-band, simultaneous excitation echo planar imaging (mb-EPI) sequence (TR=300 ms, TE=30 ms, FA=30°, FOV 230×230 mm², matrix dimension 64×64, 32 axial slices positioned along the anterior commissure and the posterior commissure with a slice thickness of 3.6 mm, gap 0 mm, leading to an isovoxel resolution of 3.6×3.6×3.6 mm³). The acquisition time was TA=5.06 min and each scan consisted of 1000 image volumes.

Preprocessing

Preprocessing of fMRI data was performed using FMRIB Software Library (FSL; <http://www.fmrib.ox.ac.uk/fsl>)⁵³⁻⁵⁵. The fMRI time series for each subject was pre-processed as follows (pipeline recommended elsewhere^{56, 57}): 1) Rigid body realignment; 2) Within-subject intensity normalization (in which the intensity across all voxels was scaled to a norm value of 1000. The BOLD signal is then represented in a mode 1000 scale (10 units = 1% BOLD); 3) Coregistration of functional images to each of the individual anatomical images. All functional images were resampled to an isovoxel space with 3 mm × 3 mm × 3 mm spatial resolution; 4) Finally, functional images were transformed to the standard Montreal Neurological Institute (MNI) template.

Identification and treatment of motion artefacts by censoring fMRI time series

Head motion could compromise the computation of correlations in resting-state functional connectivity fMRI. Steps to identify and eventually remove motion artefacts during fMRI acquisition were therefore taken.^{56, 57} Framewise displacement (FD) was used to record the absolute amount of motion during scanning and the relative measure expressed as root mean square value of the differentiated BOLD time series (by backwards

differences, DVARS). This DVARS measure captures the change in signal intensity from one volume to the adjacent previous volume.

With these two measures each individual fMRI time series was censored (i.e., scrubbing). The following criteria was used to identify and quantify “artefact-affected volumes”: $FD > 0.2$ and $DVARS > 0.38$, i.e. a “geometrical” and a “physiological” surrogate for the motion-related signal component. The detailed procedure of censoring volumes and its effect on the quality of the data of each participant are defined in more detail in the Supplementary Materials (Supplementary Figure 1).

Nuisance regressors

A set of six motion estimates ($R = [x, y, z, \text{pitch}, \text{yaw}, \text{roll}]$) were stored for each subject during the realignment step. These motion estimates were the basis for the computation of three additional motion-related indices: their squares (R^2), ($R_t - 1$) and ($R_{t-1}^2 - 1$), where t and $t - 1$ apply to the current and immediately preceding time point of the fMRI time series.

In summary, this procedure results in 24 motion-related indices that were further used as nuisance regressors in the multiple regression analyses. Tissue-based signals from average signal across voxels within a ventricular mask for the CSF signal and white matter mask for the white matter signal were also used as nuisance regressors. Finally, global signal regression^{58, 59} was included as an effective procedure to remove motion-related artefacts.⁵⁶ A set of 27 nuisance regressors were included in the multiple regressions.

Processing of neuroimaging data and statistical analyses

To define a mask for the thalamus (region 10 and 49; left and right thalamus) the Harvard–Oxford cortical and subcortical structural atlases were adopted (<http://www.fsl.fmrib.ox.ac.uk/fsl/fslwiki/Atlases>). In total, 672 voxels were comprised in the final thalamus mask, which was down-sampled into matching $3 \times 3 \times 3$ mm³ in MNI space.

Identifying thalamic connectivity patterns

To identify thalamic connectivity patterns, the same strategy as in previous work was adopted.^{19, 22} First, functional images from patients with lesions in the right hemisphere ($n = 4$) were flipped along the midsagittal plane, so that the affected hemisphere corresponded to the left hemisphere in the whole patient sample. Then, time series from all voxels of the thalamus were correlated with all voxels of the rest of the brain using Pearson’s correlation coefficient. 672 seed-based correlation maps (connectivity maps) for each participant resulted from

this procedure. All correlation maps were then Fisher-transformed to Z-scores ($Z = 0.5 * \ln(1+r/1-r)$). And concatenated into a single 4D dataset with the dimensions of 61×73×61×26,880 voxels (a single volume has 61×73×61 voxels; 672 volumes for each of the 40 participants). Then, the independent component analyses (ICA) was calculated on the resulting seed-based correlation maps using FSL's MELODIC (Multivariate Exploratory Linear Optimized Decomposition into Independent Components) to identify spatially distinct connectivity maps. The resulting 4D data set was decomposed into 20 spatially independent components (ICs).²² From this set of ICs, we were able to identify nine well-known brain networks for further analysis.

Thalamo-cortical connectivity and statistical analysis

To quantify the functional connectivity between each thalamic voxel and brain network, we first determined the contributions of each IC network with the individual thalamic connectivity maps (subject level). These individual thalamic correlation maps and the IC network maps were then transformed into vectors, and linear regression was used to quantify functional connectivity for each voxel across all individuals. In particular, linear regression was used to measure the contribution from each IC to the voxel's seed-based correlation map (for each given voxel in the thalamus). The regression analysis was performed for all of the 672 voxels in the thalamus, and nine beta values were obtained for each voxel. A voxel-wise, one-sample t-test was performed on every network's thalamic beta map across subjects to find all significant clusters in the thalamus for each network separately.

Potential differences between the groups for the ICA components produced were assessed using paired t-tests. To examine the impact of cognitive functions (processing speed, selective attention, inhibition, working memory, cognitive flexibility) on thalamo-cortical connectivity strength in patients, we applied multivariate linear regressions. Cognitive variables were integrated as independent variables and connectivity strength values as dependent variables, with age and lesion size as covariates.

We applied false discovery rate (FDR)-adjusted p-values to correct for multiple testing. An FDR-adjusted $p < 0.05$ was considered statistically significant. All analysis steps are similar to the procedure used previously in an adult population²² and in a pediatric population.¹⁹

The significant clusters in the thalamus were identified and labeled according to the thalamus atlas of Morel and Krauth.^{60, 61} This atlas is built in MNI space and includes 40 small thalamic nuclei. Analyses were performed with MATLAB 9.2 (MathWorks, Sherborn, MA, USA). We focused mainly on the largest of the 40 nuclei present in the Morel atlas without differentiating finer subdivisions of the different nuclei to minimize identification errors.

Data availability

Individual patient data, including neuroimaging data, cognitive data, and some demographical variables are available upon reasonable request after signing a confidentiality statement and a data sharing agreement and in accordance with data privacy statements signed by all patients.

Results

Descriptive and cognitive measures

Details of the clinical characteristics of the study participants are provided in Supplementary Table 1. Patients and healthy controls did not differ in terms of age and sex, as groups were matched for these variables (Table 1). Patients after pediatric stroke had significantly lower scores for selective attention ($t(38) = 2.05$, $P = 0.048$), working memory ($t(38) = 2.51$, $P = 0.016$), and inhibition ($t(38) = 2.18$, $P = 0.036$) than controls. There was no significant between-group difference in cognitive flexibility (Table 1). However, there is a trend, with a moderate effect size, for cognitive flexibility to be lower in children after stroke.

Thalamo-cortical networks

Analyses revealed nine networks involved in cognitive, sensory- and motor-related processing. These networks were comparable to the networks that have previously been observed in rs-fMRI studies in adults²² and children.^{19, 22, 26, 27, 62} These nine networks represent the default mode (DMN), the posterior DMN, left and right executive, auditory, dorsal attention, motor, salience, and lateral visual networks (Fig. 1).

Group differences in thalamo-cortical networks

Fig. 1 summarizes group differences in thalamo-cortical connectivity. Analyses revealed significant differences between patients and controls in thalamo-cortical connectivity in all resting-state networks, including the motor, auditory, visual, DMN, posterior DMN, salience, left/right executive, and dorsal attention networks.

For the motor network, patients showed lower thalamo-cortical connectivity in the mediodorsal nucleus and in nuclei from the anterior group, whereas higher thalamo-cortical connectivity was found in nuclei from the lateral group. For the visual network, patients showed lower thalamo-cortical connectivity in the pulvinar, ventral lateral, ventral posterior, mediodorsal and lateral posterior nuclei. For the auditory network, patients showed lower thalamo-cortical connectivity in the lateral and medial nuclei, including the lateral dorsal and mediodorsal nuclei. For the DMN, patients showed lower thalamo-cortical connectivity in the pulvinar, whereas

higher thalamo-cortical connectivity was found in the mediodorsal nucleus. For the posterior DMN, patients showed lower thalamo-cortical connectivity in the lateral nuclei and the pulvinar. For the salience network, patients showed lower thalamo-cortical connectivity in the mediodorsal nucleus and the pulvinar. For the dorsal attention network, patients showed lower thalamo-cortical connectivity in the pulvinar and mediodorsal nucleus, whereas higher thalamo-cortical connectivity was found in the anteroventral nucleus. For the right and left executive network, patients showed lower thalamo-cortical connectivity in the mediodorsal nucleus, pulvinar and in the lateral group. For the left executive network, patients showed in addition higher thalamo-cortical connectivity in the pulvinar.

To further ensure that the observed group differences in thalamo-cortical connectivity strength were not originated by the implemented lesion masks, a further analysis was conducted. We applied the same lesion masks as for the AIS population to the images of the healthy controls. The “lesion masks” for the healthy controls were exactly the same with respect to the total number of voxels and were exactly positioned at the same location as for the patients. A paired t-test was conducted to compare the thalamo-cortical connectivity between healthy controls and healthy controls with the implemented lesion masks. Analyses revealed no substantial differences in the thalamo-cortical connectivity strength (Fig. S2).

Lastly, as there were three patients in the sample with thalamic infarctions, we conducted a supplementary analysis investigating the thalamo-cortical networks without these three patients (N=17 i.e. excluding the patients who have lesions in the thalamus). These results are shown in the Supplementary Figure 2.

Thalamo-cortical connectivity and cognition

Fig. 2 summarizes the association between thalamo-cortical connectivity and cognitive performance in patients. Multivariate linear regression (lesion size and age as covariates), revealed significant associations between cognitive performance and the strength of thalamo-cortical connectivity in the motor, auditory, visual, DMN, posterior DMN, salience, left/right executive, and dorsal attention network after pediatric stroke (Fig. 2; Table S2).

Multivariate linear regressions in the patient sample with selective attention as independent variable and thalamo-cortical connectivity as dependent variable (lesion size and age as covariates), revealed significant associations for all resting networks. Positive associations were found in the intralaminar, lateral dorsal, lateral posterior, and the mediodorsal nucleus; negative associations were found in the pulvinar and the anteroventral nucleus (Fig. 2; Table S2). Multivariate linear regressions with inhibition as independent variable and thalamo-

cortical connectivity as dependent variable (with lesion size and age as covariates) revealed significant associations for all resting networks. Specifically, positive associations were found in the mediodorsal, intralaminar, ventral lateral nucleus, and pulvinar. Negative associations were found in the anteroventral nucleus, mediodorsal nucleus and sub-nuclei of the lateral group (Fig. 2; Table S2). Multivariate linear regressions with working memory as independent variable and thalamo-cortical connectivity as dependent variable (lesion size and age as covariates), revealed significant associations for all resting networks. Specifically, positive associations were found in the mediodorsal, intralaminar, ventral lateral, and pulvinar. Negative associations occurred in the mediodorsal nucleus, intralaminar and ventral lateral nucleus (Fig. 2; Table S2). Cognitive flexibility showed no significant associations with thalamo-cortical connectivity. All reported results remained significant after FDR correction. Voxels and t values are listed in Table S2.

Discussion

The present cross-sectional study aimed to compare resting-state thalamo-cortical connectivity between patients after unilateral pediatric AIS and healthy controls. We further explored the relationship between thalamo-cortical connectivity and cognitive outcome in patients after pediatric stroke. Cognitive functions were significantly reduced in patients compared to healthy controls, supporting the findings of previous studies.⁶³⁻⁶⁵ According to our primary hypothesis, we found significantly weaker thalamo-cortical connectivity for the motor, auditory, visual, default mode network (DMN), salience, left/right executive and dorsal attention network in patients compared to controls. Additionally, we also found stronger thalamo-cortical connectivity in the DMN, motor, dorsal attention, and the left executive network in patients compared to controls. Stronger thalamo-cortical connectivity for patients compared to controls was however found in different thalamic nuclei, pointing to hypo- as well as hyper connectivity in the patients sample in different parts of the thalamus. According to our secondary hypothesis, thalamo-cortical connectivity strength was positively associated with selective attention, inhibition, and working memory. In accordance with the results of the group analyses, we also found negative associations between cognitive functions and thalamo-cortical connectivity strength. The significant relationship between thalamo-cortical connectivity and cognitive functions after pediatric stroke has never been described previously.

Group differences in thalamo-cortical networks

Nine resting-state networks were identified and were comparable to the networks that have previously been observed in rs-fMRI studies.^{19, 22, 26, 27, 62} Significant differences between patients and controls were found for all thalamo-cortical networks within all three major nuclear regions, as well as the pulvinar and the intralaminar nuclei. Furthermore, between-group differences were observed in the ipsilesional, as well as in the contralesional hemisphere, which supports the assumption that focal structural brain damage can lead to alterations in remote regions.^{33, 42, 66}

Specifically, patients showed weaker thalamo-cortical connectivity in the motor, auditory, visual, DMN, salience, left/right executive, and dorsal attention network. Our finding of reduced functional connectivity strength in patients is in line with previous findings in pediatric^{37, 38} and adult stroke populations^{33, 36, 67-70}. Reduced connectivity strength might be interpreted as sustained interruption of functional network efficiency. Interestingly, we also found stronger thalamo-cortical connectivity in other thalamic sub-divisions in the DMN (medial nuclei), motor (lateral nuclei), dorsal attention (anterior nuclei), and the left executive network (posterior nuclei) in patients compared to controls. Stronger thalamo-cortical connectivity was however found in different thalamic nuclei. The finding of higher thalamo-cortical connectivity strength in patients than in controls may reflect a compensatory effect due to reorganizational processes occurring after brain damage.⁹ Thus, alterations in thalamo-cortical connectivity strength after pediatric stroke seem to be in both directions, with stronger as well as weaker thalamo-cortical connectivity, likely representing different patterns of functional connectivity after pediatric stroke. Our findings of increased and decreased functional thalamo-cortical connectivity strength thereby build on previous literature in stroke populations reporting increased and decreased resting-state functional connectivity on a cortical level.^{9, 38 33, 71}

In addition, it has been shown previously that both cortical and subcortical areas, such as the thalamus, show alterations in connectivity strength after stroke affecting both the ipsi- and the contralesional hemisphere.^{33, 42, 72} One possible explanation derives from work describing the process of diaschisis,^{73 74} which showed that stroke can also induce changes in the function and physiology of brain regions distant from the site of anatomical damage. This concept is supported by studies on homogeneous patient groups (e.g. ischemic strokes in basal ganglia or periventricular venous infarction), which demonstrated altered connectivity strength in structures remote from the lesion.^{42, 66} Alterations of

functional connectivity in the contralesional hemisphere seen in our study might therefore support theories of network organization of the brain.^{72, 75}

The fact that our patients were assessed in the chronic post-stroke phase (at least two years after AIS) highlights that there are persistent alterations in functional thalamo-cortical connectivity even years after the stroke. A growing number of studies indicate that stroke induces changes of functional connectivity within and between resting-state networks in the chronic phase^{29, 66, 76} and that these changes correlate with chronic impairment post-stroke.^{66, 77} The question whether the motor networks is affected by a hemiparesis, was addressed in one of our previous studies⁴⁰. We investigated functional connectivity in pediatric patients after stroke with hemiparesis compared to patients with a good clinical outcome and healthy controls. Patients with hemiparesis showed lower interhemispheric connectivity strength in the motor network compared to patients with good clinical outcome and controls⁴⁰.

To further ensure that the observed group differences in thalamo-cortical connectivity strength were not originated by the implemented lesion masks, a further analysis with the same lesion masks was conducted. Analyses investigating the effect of the implemented lesion masks revealed no substantial differences in the thalamo-cortical connectivity strength (Supplementary Fig. 2). If the implementation of the lesion mask influences the strength of the thalamo-cortical connectivity, then differences the thalamo-cortical connectivity should have been observed. Lastly, as there were three patients in the sample with thalamic infarctions, we conducted a supplementary analysis investigating the thalamo-cortical networks without these three patients. Analyses revealed that there were only minimal changes in the t-test comparing patients and controls. Thus, these 3 patients did not substantially affect the results presented in the study.

Thalamo-cortical connectivity and cognition

Selective attention, inhibition, and working memory was positively associated with functional thalamo-cortical connectivity strength in networks that have previously been related to cognitive processing (posterior DMN, salience, dorsal attention, and executive network).⁷⁸ Interestingly, our analyses also revealed significant positive associations between thalamo-cortical connectivity and cognitive functions in networks involved in visual, auditory, and motor-related processes in our patient sample. This might be because most cognitive functions arise not solely from a particular brain area, but from networks spanning multiple distributed regions.^{79, 80} Further, the cognitive tasks require not only specific cognitive skills, but also sensory-related functions such as visual and auditory related processing.^{81, 82} Multiple processes underlie the performance of a cognitive task and no task is a pure measure of a single cognitive process.^{81, 82} We also found negative associations between

cognitive functions and thalamo-cortical connectivity for several networks. These associations were, however, in different parts of the thalamus, pointing towards a specialization of certain thalamic sub-regions.

The cancellation task used to measure selective attention is a visuo-spatial search task. Its performance was associated with multiple thalamo-cortical networks, with a predominant pattern of significant correlations for the visual, salience, and executive networks. For the visual network, positive associations with selective attention were found in the pulvinar, meaning that the better the selective attention, the higher the connectivity strength in this thalamic nucleus. The pulvinar has widespread reciprocal connectivity to different cortical areas, such as occipital, temporal, parietal, and frontal regions. The pulvinar has previously been related to visuo-spatial attention processing.^{12, 83} For the salience and executive network, positive associations with selective attention were found in the anterior nuclei and the mediodorsal nucleus of the thalamus, which are known to influence cognitive processes, including attention and executive functions.^{11, 84, 85} Additionally, significant positive associations were observed with the auditory and motor-related network, possibly indicating that sensory-related thalamo-cortical networks may also be relevant for selective attention.

Inhibition is a core dimension of executive functions⁴⁷ and was measured with color–word interference tasks (comparable with a Stroop task). Performance of this task was associated with multiple thalamo-cortical networks, with a predominant pattern of positive correlations for the salience, dorsal attention, and left and right executive networks (Fig. 2, Table S2). Specifically, the analyses revealed that the better the inhibition, the stronger the thalamo-cortical connectivity in these networks. Significant positive associations with inhibition were also observed for the visual, auditory, and motor-related networks. This might indicate that sensory-related thalamo-cortical networks could also be relevant for inhibition. In line with this proposition, inhibitory performance has been attributed to spatially distributed functional networks.⁸⁰ Higher-order cognitive capacities have been shown to depend on complex large-scale brain systems.⁸⁶

Working memory is another core dimension of executive function⁴⁷ and was measured with a visuo-spatial location task. Working memory performance was associated with multiple thalamo-cortical networks, with a predominant pattern of positive correlations for the left and right executive networks (Fig. 2, Table S2). Visuo-spatial working memory function is based on a complex network of frontal, parietal, occipital, and temporal areas.⁸⁷ Significant positive associations were also observed for the

visual, auditory, and motor-related networks, indicating that sensory-related thalamo-cortical networks also seem to be relevant for visuo-spatial working memory.

Lastly, the question arises why cognitive flexibility was not significantly associated with thalamo-cortical connectivity. Firstly, from a statistical point of view, this correlation did not survive FDR correction, showed however non-corrected significant correlation. Different assumptions might be possible as to why there were only weak correlations between thalamo-cortical connectivity and cognitive flexibility. First, as there was only a trend, with a moderate effect size, for cognitive flexibility to be lower in patients after stroke, this cognitive domain seems to be less affected compared the other cognitive domains. Studies with different clinical samples have as well showed that cognitive flexibility (assessed with the color word inference test) was less affected than inhibition⁸⁸⁻⁹⁰. Since cognitive flexibility is the fourth and last condition of the color word inference test, patients might benefit from some familiarization or even learning effect occurring throughout the two conditions, leading to better cognitive flexibility than inhibition⁹¹.

Our findings therefore support the assumption, that higher-order cognitive functions after childhood AIS depend on the integrated processing of multiple large-scale brain networks⁸⁶ with the thalamus functioning as an integrative hub for cortical networks.¹⁰ Post-stroke cognitive outcome in our sample may reflect altered functional connections across multiple networks.

Limitations

The findings of the present study should be viewed in the light of some limitations. First, our sample size was relatively small. Therefore, further research is needed to replicate our findings in a larger cohort and confirm that brain connectivity in the thalamo-cortical network is related to long-term cognitive outcome. Second, it is difficult to precisely locate each thalamic nucleus using a standardized template since thalamic nuclei differ in size and locations and there is rather low spatial resolution of the functional images. Further, the thalamus atlas was constructed from histological data on adults⁶¹ and afterwards reconstructed in the MNI space.⁶⁰ Therefore, a potential mismatch is expected. We therefore focused mainly on the largest of the 40 nuclei present in the Morel atlas, without differentiating finer subdivisions of the different nuclei. Moreover, in patients with large lesions (e.g. involving cortical and subcortical brain regions), it may be in general challenging to define the thalamus mask due to midline shift. However, we did not face difficulties in defining the thalamus mask for these patients during coregistration. We conducted a supplementary analysis investigating the thalamo-cortical networks excluding the patients who had lesions in the thalamus (N=17). This analysis revealed no substantial differences in the thalamo-cortical connectivity strength (Supplementary Figure 3). Lastly, cross-sectional study designs provide information at a given time point in patients after stroke. However, as functional networks and

cognitive outcome likely change over time during development, longitudinal study designs are needed to gain a deeper insight into the development of thalamo-cortical networks and their possible relation to cognitive rehabilitation in children and adolescents.

Conclusion

To the best of our knowledge, this is the first set of results showing a relationship between thalamo-cortical functional connectivity and cognitive functions (selective attention, working memory, and inhibition) after childhood stroke. Our data provide evidence that the interaction between different sub-nuclei of the thalamus and multiple cortical networks is crucial for post-stroke cognitive functions. Furthermore, the data support the idea that large-scale networks such as the thalamo-cortical system may help to explain the variability in cognitive outcome after childhood AIS. Future investigations of recovery mechanisms after brain injury and the development of novel interventions and therapies should consider the network perspective of brain functions.

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Competing interests

The authors report no competing interests

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List of figure legends

Figure 1. Group differences in thalamo-cortical networks. Nine cortical networks from group-level independent component analyses (ICA) (first and third row) and thalamic clusters that differed between groups for each resting-state network (second and fourth row) are depicted. (1) Motor network; patients showed lower thalamo-cortical connectivity in the mediodorsal nucleus and nuclei from the anterior group and higher thalamo-cortical connectivity in nuclei from the lateral group. (2) Visual network; patients showed lower thalamo-cortical connectivity in the pulvinar, ventral lateral, ventral posterior, mediodorsal and lateral posterior nuclei. (3) Auditory network; patients showed lower thalamo-cortical connectivity in the lateral and medial nuclei, including the lateral dorsal and mediodorsal nuclei. (4) DMN; patients showed lower thalamo-cortical connectivity in the pulvinar and higher thalamo-cortical connectivity in the mediodorsal nucleus. (5) Posterior DMN; patients showed lower thalamo-cortical connectivity in the lateral nuclei and in the pulvinar. (6) Salience network; patients showed lower thalamo-cortical connectivity in the mediodorsal nucleus and the pulvinar. (7) Dorsal attention network; patients showed lower thalamo-cortical connectivity in the pulvinar, and mediodorsal nucleus and higher thalamo-cortical connectivity in the anteroventral nucleus. (8) Right and left executive network; patients showed lower thalamo-cortical connectivity in the mediodorsal nucleus, pulvinar and in the lateral group. (9) Left executive network: patients showed higher thalamo-cortical connectivity in the pulvinar.

Notes. DMN, default mode network; L EF, left executive network; R EF, right executive network. The right side of the brain is on the right side of the image. All sub-regions of the thalamus are thresholded at FDR corrected $p = 0.05$. Only false discovery rate (FDR) corrected voxels are depicted in the Figure.

Figure 2. Cognition and thalamo-cortical networks. Association between thalamo-cortical connectivity and cognitive performance in pediatric patients after stroke. (1) Selective attention showed significant associations with connectivity for all resting networks; positive associations were found in the intralaminar, lateral dorsal, lateral posterior, and the mediodorsal nucleus; negative associations were found in the pulvinar and the anteroventral nucleus. (2) Inhibition showed significant associations with connectivity for all resting networks; positive associations were found in the mediodorsal, intralaminar, ventral lateral nucleus, and pulvinar; negative associations were found in the anteroventral nucleus, mediodorsal nucleus and sub-nuclei of the lateral group. (3) working memory showed significant associations with connectivity for all resting state networks; positive associations were found in the mediodorsal, intralaminar, ventral lateral, and pulvinar; negative associations were found in the mediodorsal nucleus, intralaminar and ventral lateral nucleus.

Notes. WM, working memory; DMN, default mode network; L EF, left executive network; R EF, right executive network. The right side of the brain is on the right side of the image (including the data that was flipped). Illustrated significant clusters in different sub-nuclei of the thalamus result from the multivariate linear regression with lesion size and age as covariates. All sub-regions of the thalamus are thresholded at FDR corrected $P = 0.05$. Only FDR corrected voxels are depicted in the Figure.

Table 1 Demographic and cognitive variables of patients and healthy controls

	Patients Mean (SD)	Controls Mean (SD)	t(df)	P	Cohen's d
Sex, n (%)					
Female	8 (40.0)	8 (40.0)			
Male	12 (60.0)	12 (60.0)			
Age at assessment (years)	15.01 (4.28)	15.2 (4.10)	0.14(39)	0.900	
Selective attention (SS) range	8.09 (3.30) 2–13	11.58 (2.58) 6–16	2.05(39)	0.048*	0.64
Working memory (PR) range	43.37 (31.84) 3–100	69.68 (29.21) 8–99	2.51(37)	0.016*	0.79
Inhibition (SS) range	9.32 (3.11) 2–13	11.21 (2.18) 6–14	2.18(37)	0.036*	0.71
Cognitive flexibility (SS) range	9.63 (3.58) 3–13	11.58 (2.29) 8–15	2.00(37)	0.052	0.65

Notes. SD, standard deviation; SS, scaled score; PR, percent range.

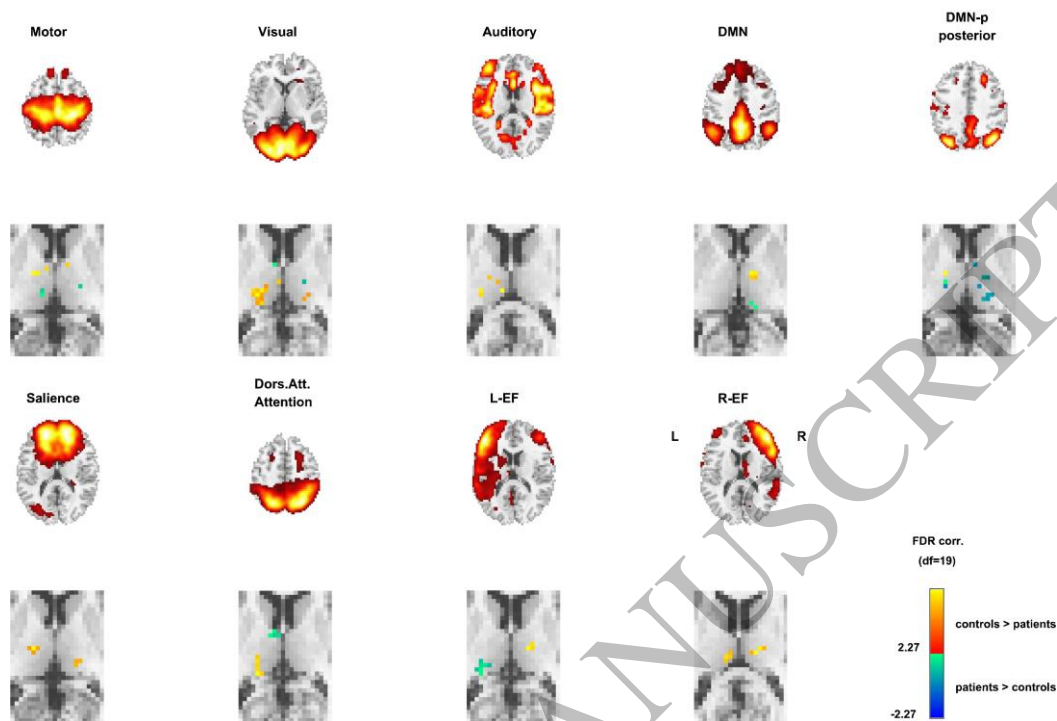


Figure 1
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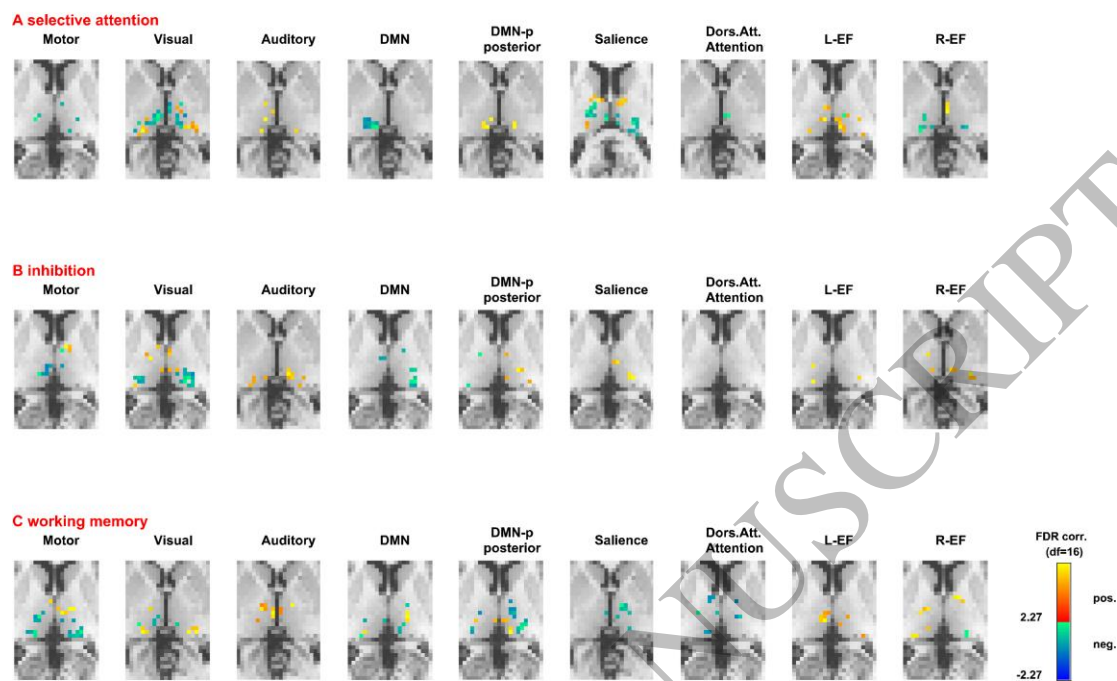


Figure 2
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