

1 **Title: Post-Coronavirus Disease 2019 Pulmonary Fibrosis: Wait or Needs Intervention**

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3 Running title: Post-COVID-19 pulmonary fibrosis

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15

16 Word count of Abstract: 171/250

17 Word count of Text: 3,721/5,000

18 Reference: 91/100

19

20

21 **Key words:** coronavirus disease 2019; pulmonary fibrosis; complications; incidence;  
22 pathogenesis; prognosis; treatment

23

24 **Author Contributions**

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31

32 **Abstract**

33 Coronavirus disease 2019 (COVID-19) has become a major health burden worldwide, with  
34 over 450 million confirmed cases and 6 million deaths. Although the acute phase of COVID-  
35 19 management has been established, there is still a long way to go to evaluate the long-term  
36 clinical course or manage complications due to the relatively short outbreak of the virus.  
37 Pulmonary fibrosis is one of the most common respiratory complications associated with  
38 COVID-19. Scarring throughout the lungs after viral or bacterial pulmonary infection have  
39 been commonly observed, but the prevalence of post-COVID-19 pulmonary fibrosis is  
40 rapidly increasing. However, there is limited information available about post-COVID-19  
41 pulmonary fibrosis, and there is also a lack of consensus on what condition should be defined  
42 as post-COVID-19 pulmonary fibrosis. During a relatively short follow-up period of  
43 approximately 1 year, lesions considered related to pulmonary fibrosis often showed gradual  
44 improvement; therefore, it is questionable at what time point fibrosis should be evaluated. In  
45 this review, we investigated the epidemiology, risk factors, pathogenesis, and management of  
46 post-COVID-19 pulmonary fibrosis.

47

## 48 **Introduction**

49           Coronavirus disease 2019 (COVID-19), caused by the novel coronavirus strain severe  
50 acute respiratory syndrome coronavirus 2 (SARS-CoV-2), has continued to spread throughout  
51 the world, disrupting our daily lives worldwide since it first broke out in Wuhan, China, in  
52 2019. As of March 2022, more than 450 million cumulative confirmed cases and more than 6  
53 million cumulative deaths have been reported globally due to COVID-19 to date. As the  
54 COVID-19 pandemic continues for more than 2 years, the long-term prognosis and clinical  
55 course of COVID-19 survivors is attracting attention in addition to the management of the  
56 acute phase. Certain people complain of various symptoms for several weeks after acute  
57 SARS-CoV2 infection, which is collectively called post-acute COVID-19 syndrome or  
58 ““long COVID.””<sup>1</sup> Post-acute COVID-19 affects several organ systems; however the  
59 respiratory system is most commonly involved. COVID-19 survivors complain of a spectrum  
60 of respiratory symptoms, ranging from mild dyspnea to difficulty weaning from ventilator,  
61 due to lung sequelae.<sup>2,3</sup>

62           In COVID-19-related acute respiratory distress syndrome (ARDS) survivors, fatal  
63 respiratory complications including failure of withdrawing oxygen supply and home  
64 ventilation are frequently observed.<sup>4,5</sup> These complications are presumed to be related to  
65 fibrotic lung damage from SARS-CoV-2. Lung sequelae can occur after pulmonary infection;  
66 however, fibrotic changes in the lungs after COVID-19 are more frequently observed (range  
67 20%–70%).<sup>6-14</sup> However, the clinical course of patients with fibrotic changes induced by  
68 COVID-19 may be different from that of patients diagnosed with progressive fibrosing  
69 interstitial lung disease (PF-ILD). The radiological features of pulmonary fibrosis generally  
70 include distinctive patterns such as architectural distortion, traction

71 bronchiectasis/bronchiolectasis, parenchymal band, reticulation, and honeycombing on  
72 computed tomography CT).<sup>15</sup> In patients with PF-ILD, fibrotic lesions undergo progression  
73 or stabilization, whereas fibrotic lesions gradually improve after pulmonary infection.  
74 Therefore, it is challenging to detect pulmonary fibrosis after pulmonary infection. Therefore,  
75 previous studies reporting pulmonary fibrosis after COVID-19 favor the term “fibrosis-like  
76 pattern” over the term “fibrosis.” In this study, we reviewed post-COVID 19 pulmonary  
77 fibrosis with various aspects.

78

## 79 **Definition and epidemiology**

80 The incidence of post-COVID-19 pulmonary fibrosis varies depending on several  
81 factors such as population characteristics, fibrosis definition, and observational duration  
82 (Table 1). Post-COVID-19 pulmonary fibrosis was mostly characterized by radiological  
83 features including reticulation, traction bronchiectasis, parenchymal band, architectural  
84 distortion, and honeycombing change, which are also generally considered fibrotic lesions in  
85 PF-ILD. A study by Zou et al. revealed that fibrosis, defined as the presence of ground-glass  
86 opacity (GGO), evaluated by artificial intelligence-assisted high-resolution computed  
87 tomography (HR-CT), was present in 84% of COVID-19 patients at discharge CT.<sup>16</sup> However,  
88 the proportion of patients with GGO was 82%, whereas the proportions of patients with  
89 reticulation and honeycombing were 30% and 36%, respectively.<sup>16</sup> Since the incidence of  
90 post-COVID-19 pulmonary fibrosis differs depending on which lesion is fibrotic, a universal  
91 consensus on its definition can help standardize the study.

92 According to the timing of follow-up, the incidence of post-COVID-19 pulmonary  
93 fibrosis was relatively high at midterm (6-9 month) follow-up, whereas it was slightly lower

94 at long-term (**more than 1 year**) follow-up. Li et al. reported that in 287 patients diagnosed  
95 with COVID-19 pneumonia, fibrotic lesion was detected in 88%, 74%, 80%, 68%, and 62%  
96 patients, whereas the resolution of fibrotic lesion was observed in 5%, 20%, 18%, 38%, and  
97 49% at 0–30, 31–60, 61–90, 91–120 and >120 days after disease onset.<sup>13</sup> In addition, the  
98 proportion of GGO was greatly decreased from 91% in 31–60 days to 65% in >120 days,  
99 whereas the proportion of parenchymal bands (95% in 31–60 days and 94% in >120 days)  
100 and traction bronchiectasis (14% in 31–60 days and 14% in >120 days) remained stable after  
101 the 30-day follow-up.<sup>13</sup> However, in a prospective Italian study (n = 118), the proportion of  
102 fibrosis-like lesion including reticular pattern with or without honeycombing significantly  
103 increased from 55% at baseline to 72%, at the 6-month follow-up.<sup>7</sup> However, in a long-term  
104 follow-up Chinese study (n = 64), the proportion of reticular abnormalities and traction  
105 bronchiectasis did not significantly change from 6 months to 1 year of follow-up.<sup>17</sup> In 209  
106 patients with COVID pneumonia including 22 with ARDS, the most frequently observed  
107 predominant CT lesion was GGO during the 1-year follow-up, but the predominant rate of  
108 GGO gradually decreased from 83% in discharge CT to 22% after the 1-year follow-up.<sup>18</sup>  
109 Meanwhile, the predominance of reticular abnormalities that first appeared at 11% on  
110 discharge CT reduced to 3% at 3 months and was maintained during the 1-year follow-up,  
111 showing similar rates at the 7-month and 12-month follow-up of 2% and 2%, respectively.<sup>18</sup>  
112 Parenchymal bands, another radiological finding of fibrosis, was were confirmed at 0.5%,  
113 after the 3-month follow-up; however, it increased to 1% at the 7-month follow-up and  
114 remained unchanged until the 1-year follow-up.<sup>18</sup> These findings collectively suggested that  
115 as GGOs improve comprehensively, some of them may change to fibrotic lesions because of  
116 scarring of the lungs between the 3-month and 6-month follow-up after COVID-19  
117 pneumonia. Once fibrotic lesions occur, they do not improve between the mid- to long-term

118 follow-up, and additional follow-up is needed to identify its accurate incidence. In a 15-year  
119 prospective chest CT follow-up of patients with SARS-CoV-1 on April 2003 (n = 27),  
120 abnormal CT lesions gradually decreased from 2003 to 2018, but remained stable during  
121 2004 and 2018.<sup>19</sup> This finding suggests that the specific part of pulmonary fibrosis after  
122 COVID-19 may be difficult to spontaneously improve without progression.

123 The characteristics of the included study population is noteworthy. There is a  
124 possibility that the incidence of fibrosis is higher in studies that include more patients with  
125 severe pneumonia, particularly in patients receiving mechanical ventilation.<sup>20</sup> Therefore,  
126 when estimating the incidence of post-COVID-19 pulmonary fibrosis, an approach based on  
127 the stratification of fibrosis risk factors, including disease severity, is needed.

128

### 129 **Risk factors**

130 Several risk factors for post-COVID-19 pulmonary fibrosis associated with an  
131 individual and disease itself have been proposed in previous studies (Table 2).<sup>6,7,11,12,14,16,20-24</sup>  
132 Alu et al. demonstrated that in COVID-19 patients with available chest CT at 6-week follow-  
133 up (n = 117), application of invasive ventilation (adjusted odds ratio [OR]: 3.48, 95%  
134 confidence interval [CI]: 1.16–10.49) and persistent breathlessness (adjusted OR: 5.25, 95%  
135 CI: 1.86–14.81) were independent risk factors for post-COVID pulmonary fibrosis after  
136 adjusting for covariates with a p-value of <0.05 in univariate analysis (male sex, persistent  
137 myalgia, peak white blood cell [WBC] count, and high-risk chest X-ray during inpatient  
138 COVID-19 admission).<sup>20</sup> Yasin et al. showed that old age (OR: 3.37, 95% CI: 0.76–14.55),  
139 CT severity score for opacity estimation (OR: 2.38, 95% CI: 1.18–4.41), presence of  
140 consolidation on the initial CT (OR: 1.91, 95% CI: 0.63–4.35), elevated D-dimer level (OR:

141 1.98, 95% CI: 1.01–10.19), and admission to intensive care unit (ICU) (OR: 6.77, 95% CI:  
142 1.77–25.88) were significantly associated with the occurrence of post-COVID-19 pulmonary  
143 fibrosis according to multivariable analysis at median 1.5-month follow-up after discharge in  
144 a retrospective Egyptian study (n = 21).<sup>14</sup> Zou et al. reported that increased interleukin (IL)-6  
145 level in the acute phase (hazard ratio [HR]: 1.081, 95% CI: 1.021–1.144) and decreased  
146 serum albumin level (HR: 0.821, 95% CI: 0.734–0.918) were independently associated with  
147 post-COVID-19 pulmonary fibrosis in patients with COVID-19 pneumonia (n = 248)  
148 according to discharge HR-CT.<sup>16</sup> In a prospective 3-month follow-up study (n = 173), severe  
149 COVID-19 pneumonia (oxygen saturation < 90% on room air or signs of severe respiratory  
150 distress) according to the World health organization classification (OR: 2.40, 95% CI: 1.27–  
151 4.51) and the presence of consolidation on the initial CT (OR: 2.84, 95% CI: 1.20–6.73) were  
152 significantly associated with the development of post-COVID-19 pulmonary fibrosis  
153 according to multivariable analysis.<sup>12</sup>

154 A 6-month follow-up prospective study (n = 118) by Caruso revealed that male sex  
155 (OR: 0.03, 95% CI: 0.00–0.89), cough (OR: 0.08, 95% CI: 0.01–0.88), lymphocytosis (OR:  
156 0.08, 95% CI: 0.01–0.86), and quantitative chest CT-based well-aerated lung volume (OR:  
157 0.44, 95% CI: 0.01–1.19) were independent protective factors of fibrotic-like changes on  
158 multivariable analysis.<sup>7</sup> Yu et al. exhibited that in a retrospective Chinese study (n = 32;  
159 median days from discharge to last follow-up: 9 day), fibrosis was observed in 44% of  
160 patients, and patients with fibrosis showed more frequent abnormal radiological features  
161 including interstitial thickening, air bronchogram, irregular interface, coarse reticular pattern,  
162 and parenchymal bands on worst CT images than those without fibrosis.<sup>22</sup> Although it is not  
163 known to be directly related to the development of fibrosis, cigarette smoking and alcohol



164 consumption are potential risk factors for post-COVID-19 pulmonary fibrosis because of  
165 their association with the progression of severe pneumonia.<sup>25,26</sup> Overall, post-COVID-19  
166 pulmonary fibrosis can frequently develop in patients with risk factors such as old age,  
167 multiple comorbidities, severe pneumonia, and invasive ventilation. Because the previous  
168 studies included heterogeneous patients with COVID-19 pneumonia and the definition of  
169 fibrosis as well as the observation period was also different, further long-term studies using a  
170 common fibrosis criterion are needed to identify risk factors for post-COVID-19 pulmonary  
171 fibrosis.

172

### 173 **Pathogenesis**

174 The pathogenesis of post-COVID-19 pulmonary fibrosis has not yet been clarified, and  
175 multiple pathways are thought to be involved. In idiopathic pulmonary fibrosis (IPF),  
176 abnormal wound healing processes, including injury, inflammation, and repair, are key  
177 pathways for fibrosis.<sup>27</sup> Acute lung injuries destruct the basement membrane (BM) of the  
178 alveolar–capillary barriers and induce inflammatory responses by releasing fibroblast-  
179 activating cytokines, chemokines, and growth factors from the recruited inflammatory cells  
180 and fibroblasts. Substantial repair processes including angiogenesis and fibroblast activation  
181 lead to the deposition of the extracellular matrix (ECM). In normal wound healing response,  
182 the repair integrity of the alveolar–capillary barrier BM terminates collagen disposition by  
183 activating the fibrolytic process.<sup>28</sup> However, when BM fails to restore its integrity due to  
184 persistent or severe injury, fibroblasts and inflammatory pathways are continuously activated,  
185 resulting in ECM deposition.<sup>29</sup> These processes collectively contribute to destroyed lung  
186 architecture and scarring with fibrosis. Due to an imbalanced wound healing response,

187 excessive deposition of ECM is key to progressive fibrosis in IPF.

### 188 *Inflammatory markers*

189         Severity of pneumonia is regarded as a risk factor for post-COVID-19 pulmonary  
190 fibrosis.<sup>6,11,12 14</sup> A study by Huang et al. found that the post-COVID-19 fibrosis group had  
191 elevated levels of serum inflammatory markers including WBC, neutrophils, D-dimer, C-  
192 reactive protein (CRP), and procalcitonin compared with the non-fibrosis group among  
193 patients with COVID pneumonia (n = 81).<sup>11</sup> Furthermore, the presence of ARDS, disease  
194 severity of pneumonia, or serum inflammatory markers were independent prognostic factors  
195 for fibrosis secondary to COVID-19.<sup>6,7,12,14,16</sup> Therefore, hyperinflammatory status induced  
196 by SARS-CoV-2 might be a key pathogenesis of fibrosis. The “cytokine storm” is a  
197 phenomenon in which excessive production of proinflammatory cytokines is caused by the  
198 hyperimmune reaction of the host to SARS-CoV-2.<sup>30</sup> The three most crucial mediators of the  
199 cytokine storm due to COVID-19 are IL-1, IL-6, and tumor necrosis factor-alpha (TNF- $\alpha$ ). In  
200 a Chinese study by Huan et al. (n = 41), the initial plasma levels of IL-1 $\beta$  and TNF- $\alpha$  were  
201 significantly higher in patients with COVID-19 than in the healthy population, and the level  
202 of TNF- $\alpha$  particularly increased in ICU patients (n = 13) compared with that in non-ICU  
203 patients (n = 28).<sup>31</sup> Another Chinese study by Chen et al. (n = 21) showed that patients with  
204 severe COVID-19 had elevated levels of IL-6 and TNF- $\alpha$  as well as increased alanine  
205 aminotransferase, lactate dehydrogenase (LDH), CRP, and D-dimer levels and decreased  
206 albumin and lymphocyte levels compared with patients with moderate COVID-19.<sup>32</sup> These  
207 laboratory findings are similar to the predictive factors for post-COVID-19 pulmonary  
208 findings.<sup>7,14,16</sup> TNF- $\alpha$  induces loss of expression on fibroblast Thy-1 surface, leading to  
209 myofibroblast differentiation.<sup>33</sup> In addition, TNF- $\alpha$  expression increased in the lungs in IPF<sup>34</sup>

210 and anti-TNF- $\alpha$  antibody diminished pulmonary fibrosis in both bleomycin-induced and  
211 silica-induced pulmonary fibrosis in a mouse model.<sup>35,36</sup> In COVID-19, IL-6 level is higher in  
212 severe ICU cases and non-survived patients.<sup>37-39</sup> IL-6 stimulates the profibrotic pathway in  
213 fibroblasts in patients with IPF, while it enhances apoptosis pathway in normal fibroblasts.<sup>40</sup>  
214 Circulating IL-6 levels significantly increased in bleomycin-induced pulmonary fibrosis mice  
215 than that in control mice.<sup>41</sup> In vitro, the pathway mediated by soluble IL-6R $\alpha$  activates  
216 proliferation of fibroblasts and production of ECM proteins.<sup>42</sup> Among the cytokines released  
217 during COVID-19, profibrotic cytokines were also included, leading to pulmonary fibrosis.

218 Krebs von den Lungen-6 antigen (KL-6) is one of the biomarkers for ARDS and  
219 interstitial lung disease, disrupting the alveolar–capillary barrier.<sup>43,44</sup> Peng et al. reported that  
220 the initial serum KL-6 level was significantly higher in patients with fibrosis at discharge CT  
221 (n = 19) than in patients without fibrosis (n = 94).<sup>45</sup> They also observed that serum KL-6 level  
222 was elevated in severe cases and not in moderate and mild cases compared with that in  
223 controls.<sup>45</sup> Collectively, these findings suggest that patients with severe disease enough to  
224 destroy the alveolar–capillary barrier are susceptible to the occurrence of post-COVID-19  
225 pulmonary fibrosis. Therefore, the integrity of the alveolar–capillary barrier may be one of  
226 the factors in the pathogenesis of post-COVID-19 pulmonary fibrosis, similar to that in IPF.

### 227 *Renin–angiotensin–aldosterone system*

228 SARS-CoV-2 invades human cells by binding to angiotensin-converting enzyme (ACE)  
229 2, which is a functional receptor mostly expressed on the surface of heart, kidney, and lung  
230 cells.<sup>46</sup> Once infected with the virus, the activity of ACE, which is a key regulator of the  
231 renin–angiotensin–aldosterone system (RAAS), is downregulated by various mechanisms.  
232 ACE2 converts angiotensin II to its physiological antagonist angiotensin-(1–7), resulting in

233 vasodilatory, anti-inflammatory, anti-fibrotic, and anti-apoptotic effects.<sup>46,47</sup> Consequently,  
234 the activation of vasoconstrictor, proinflammatory, profibrotic, and proliferative effects by the  
235 activation of angiotensin II may contribute to post-COVID-19 pulmonary fibrosis. In addition,  
236 Xu et al. confirmed that mRNA transcripts of transforming growth factor beta-1 and  
237 connective tissue growth factor were significantly elevated in the alveolar epithelium  
238 following SARS-CoV-2 binding to ACE2.<sup>48</sup> Because TGF- $\beta$  is a potent profibrotic cytokine  
239 that induces myofibroblast differentiation, type II alveolar epithelial cell apoptosis, ECM  
240 remodeling, it is considered to play a key role in lung fibrogenesis in IPF.<sup>49,50</sup> Therefore, due  
241 to SARS-CoV-2 infection, RAAS imbalance may cause post-COVID-19 pulmonary fibrosis  
242 by activating the TGF- $\beta$  pathway.

#### 243 *Oxidative stress*

244 Oxidative stress can also contribute to the fibrogenic pathway in post-COVID-19  
245 pulmonary fibrosis. ARDS, which requires high oxygen supply, is a predictive factor for post-  
246 COVID-19 pulmonary fibrosis.<sup>6</sup> A case-control study by Farghaly et al. (n = 64)  
247 demonstrated that patients with COVID-19 undergoing long-term oxygen therapy showed  
248 significantly higher CT score, which quantitatively evaluates the extent of abnormal lesion on  
249 chest CT than those in patients not undergoing long-term oxygen therapy on chest CT at 1  
250 month (9.6 [oxygen] vs. 6.1 [no-oxygen], p = 0.014), and 6 months (4.7 vs. 2.0, p = 0.037),  
251 after discharge.<sup>51</sup> Hyperoxia induces reactive oxygen species generation in mitochondria,<sup>52</sup>  
252 with inhibition of oxidative phosphorylation and reduction of adenosine triphosphate  
253 level,<sup>53</sup> similar to IPF pathogenesis in mitochondria.<sup>54</sup> Meanwhile, in a 4-month follow-up  
254 USA study (n = 76), 10% decrease in an age-adjusted telomere length increased 35%  
255 development of fibrotic-like pattern (95% CI: 1.06–1.72) along with sequential organ failure

256 assessment score, LDH level, and duration of invasive ventilation on multivariable analysis.<sup>24</sup>  
257 Telomere shortening or mutation is associated with the development of IPF.<sup>55</sup> Taking these  
258 points into account, it is possible that post-COVID-19 pulmonary fibrosis may also share a  
259 common pathogenetic mechanism with IPF. **However, unlike IPF where the abnormal healing**  
260 **process persists due to repeated lung injury, post-COVID-19 pulmonary fibrosis does not**  
261 **progress even if the fibrosis is not significantly improved because additional lung damage**  
262 **does not occur once the active infection subsides.** Further clinical studies may be useful to  
263 confirm the pathogenesis of post-COVID-19 pulmonary fibrosis.

264

### 265 **Clinical manifestation**

266 Patients with post-COVID-19 pulmonary fibrosis exhibit a wide spectrum of clinical  
267 manifestations, ranging from asymptomatic to failure of withdrawing oxygen supply,  
268 depending on the extent of fibrosis, follow-up timing, or comorbidities. The most commonly  
269 observed symptoms after COVID 19 include fatigue, cough, dyspnea, and sleep  
270 disturbance.<sup>56,57</sup> Li et al. reported that at 3-month follow-up, the proportion of symptomatic  
271 patients was the highest at 44%, followed by fatigue (21%), cough (15%), and exercise  
272 limitation (9%) in patients with fibrosis (n = 114).<sup>13</sup> Another 3-month follow-up study  
273 revealed that 33.3% patients with had grade  $\geq 2$  dyspnea among patients with COVID-19 (n =  
274 48).<sup>8</sup> However, post-COVID-19-related symptoms tend to gradually improve over  
275 time.<sup>17,51, 56,57</sup> Farghaly et al. demonstrated that the overall mean dyspnea scores for survived  
276 patients with post-COVID-19 pulmonary fibrosis at discharge and at the 6-month follow-up  
277 were 2.8 and 1.1, respectively.<sup>51</sup> A study by Han et al. also observed that rate of patients with  
278 sputum expectoration significantly decreased from 15% in 6 months to 5% in 1 year among

279 patients with severe COVID-19 (n = 62).<sup>17</sup> Although statistical significances were not found,  
280 the proportion of patients with dry cough (8% in 6 months and 5% in 1 year) and exertional  
281 dyspnea (21% in 6 months and 15% in 1 year) also numerically decreased.<sup>17</sup>

282 The most common abnormality in pulmonary function test (PFT) in post-COVID-19  
283 was impairment of diffusing capacity for carbon monoxide (DLCO).<sup>8,24,58,59</sup> At 3-month  
284 follow-up following discharge, out of the total patients (n = 48), 91.3% had fibrosis on chest  
285 CT and DLCO was slightly impaired at 61.0% predicted, while other lung functions  
286 including forced vital capacity (FVC) and forced expiratory volume per 1 second (FEV<sub>1</sub>)  
287 were within the normal range.<sup>8</sup> At the 4-month follow-up (n = 76), patients with fibrotic-like  
288 pattern (n = 32) also showed decreased DLCO and more weight loss than patients with non-  
289 fibrotic-like changes (n = 13) or normal CT images (n = 31).<sup>24</sup> Since DLCO was significantly  
290 correlated with the extent of traction bronchiectasis (r = -0.49) or reticulation (r = -0.64) on  
291 chest CT in patients after COVID-19<sup>24</sup>, DLCO impairment can be frequently observed  
292 particularly in patients with post-COVID-19 pulmonary fibrosis. Other lung function  
293 abnormalities in FVC FEV<sub>1</sub>, and total lung capacity (TLC) can be observed.<sup>23,60,61</sup> In an  
294 Italian retrospective study, patients with fibrosis (n = 23) had a lower FVC predicted value  
295 (50% predicted [fibrosis] vs. 90% predicted [non-fibrosis], p < 0.001) compared with patients  
296 without fibrosis (n = 67) at the 8-week follow-up.<sup>60</sup>

297 Like the symptoms, abnormal lung function also gradually recovered over time.<sup>56,61</sup>  
298 The proportion of patients with DLCO of <80% predicted significantly decreased from 31%  
299 in 60 days to 21% in 100 days after COVID-19 diagnosis in a prospective, multicenter,  
300 observational study (n = 145).<sup>56</sup> Meanwhile, in a Chinese study, the number of patients with  
301 impaired lung function including FEV<sub>1</sub>, FVC, and TLC decreased overtime during 1-year

302 follow-up, particularly that of patients receiving high-flow nasal cannula, invasive or non-  
303 invasive mechanical ventilation.<sup>61</sup> However, the proportion of patients with impaired DLCO  
304 (<80% predicted) did not change significantly, rather increased numerically from the 6-month  
305 to 12-month follow-up.<sup>61</sup> These findings suggested that pulmonary function deterioration due  
306 to pulmonary fibrosis may not easily recover during midterm follow-up.

307

## 308 **Treatment and Prevention**

### 309 *Anti-fibrotics*

310 To date, no effective method has been demonstrated for the treatment or prevention of  
311 post-COVID-19 pulmonary fibrosis. Anti-fibrotic agents for the treatment of IPF have been  
312 proposed. In IPF, pirfenidone and nintedanib are recommended to reduce the decline rate of  
313 FVC based on clinical trials.<sup>62,63</sup> Nintedanib is a selective tyrosine kinase inhibitor for the  
314 receptors of vascular endothelial growth factor, platelet-derived growth factor, and fibroblast  
315 growth factor,<sup>64</sup> which were significantly elevated in patients with COVID-19.<sup>65</sup> In a small  
316 Japanese interventional study including patients with ventilated COVID-19 pneumonia, the  
317 rate of high-attenuation areas on chest CT were not different at the initiation of mechanical  
318 ventilation, but significantly lower in patients on nintedanib (n = 30) compared with that in  
319 controls (n = 30), after extubation.<sup>66</sup> In addition, some cases in which fibrosis improved after  
320 nintedanib administration have been reported.<sup>67,68</sup> Pirfenidone inhibits profibrotic pathways  
321 mostly targeting TGF- $\beta$  and its downstream pathways, leading to blockage of fibroblast  
322 proliferation, myofibroblast transdifferentiation, and collagen deposition.<sup>69</sup> Pirfenidone also  
323 has broad anti-inflammatory and anti-oxidative effects by modulating anti-inflammatory cells  
324 and cytokines. Zhang et al. revealed that in patients with severe COVID-19 pneumonia, no

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325 significant differences in chest CT images between the pirfenidone (n = 73) and placebo  
326 group (n = 73) at 4 weeks of treatment, but pirfenidone group showed lower levels of  
327 inflammatory markers including IL-2R, TNF- $\alpha$ , and D-dimer.<sup>70</sup> Other clinical trials showing  
328 that anti-fibrotic agents inhibit various profibrotic pathways such as bisamide derivative of  
329 dicarboxylic acid, LYT-100, and collagen-polyvinylpyrrolidone and are ongoing.<sup>71</sup> Further  
330 long-term clinical trials in different populations can verify the effect of anti-fibrotic agents.

### 331 *Immunomodulatory drugs*

332 There are methods to modify disease-related risk factors to prevent the occurrence of  
333 post-COVID-19 pulmonary fibrosis. In particular, because the severity of pneumonia or the  
334 occurrence of ARDS is related to fibrosis after COVID-19,<sup>6,7,12,14,16</sup> the administration of  
335 corticosteroids or IL-6 receptor inhibitors may be considered to prevent fibrosis by reducing  
336 the severity of pneumonia.<sup>72,73</sup> In selective patients with persistent post-COVID-19 interstitial  
337 change (n = 30), a 6-week prolonged use of corticosteroids improved the clinical course,  
338 including lung function and exercise capacity, with tolerability.<sup>74</sup> However, the appropriate  
339 dosage and duration of steroids in post-COVID-19 condition remain unclear. In addition,  
340 treatment with mesenchymal stem cells, spironolactone, long-term oxygen therapy, and ozone  
341 therapy are underway to improve fibrosis.

### 342 *Rehabilitation*

343 Pulmonary rehabilitation can improve lung function, exercise capacity, dyspnea score,  
344 and quality of life in patients with ILD.<sup>75</sup> According to a Chinese open randomized controlled  
345 study including patients with a post-COVID-19 condition ( $\geq 6$  month after diagnosis), those  
346 who underwent pulmonary rehabilitation (n = 36) had a significantly improved lung function,  
347 exercise capacity, quality of life, and anxiety/depression assessment score at 6 weeks



348 compared with those before treatment or compared with controls (n = 36).<sup>76</sup> Pulmonary  
349 rehabilitation is beneficial in many aspects for patients with a history of ICU admission for  
350 respiratory failure, but there is insufficient evidence whether these results can be equally  
351 generalized to patients recovering from COVID-19.<sup>77</sup> Lung transplantation can be an  
352 alternative option for end-stage patients with post-COVID-19 pulmonary fibrosis.<sup>78,79</sup>

353 Several ongoing clinical trials have been registered at ClinicalTrials.gov for identifying  
354 the efficacy of agents to prevent or diminish secondary fibrogenesis after COVID-19 (Table  
355 3). The efficacy of several trial interventions is being investigated, and the results are  
356 expected to be confirmed over a period of months to years.

357

## 358 **Conclusion**

359 Approximately 2 years have passed since the outbreak of COVID-19 in 2019, but it is  
360 insufficient to evaluate complications. A consensus on the definition of post-COVID-19  
361 pulmonary fibrosis should precede further investigation of its pathogenesis, incidence, and  
362 treatment. Furthermore, it is necessary to establish management by observing the results of  
363 interventions currently in progress for fibrosis.

364

## 365 **Acknowledgements:**

366 This study was supported by the Soonchunhyang University Research Fund.

367

## 368 **Conflict of interest:**

369 There is no conflict of interest declared.

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Accepted

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620 **Table 1.** Definition and incidence of post-COVID-19 pulmonary fibrosis

Ref	Study design and Subject	Site	Definition	Follow-up	Incidence
Zou et al, 2021 <sup>16</sup>	Retrospective, n = 284	China	Ground-glass opacity, linear opacity, interlobular septal thickening, reticulation, honeycombing, or bronchiectasis	At discharge	84%
Yasin et al, 2021 <sup>14</sup>	Retrospective, n = 210 ICU admission: 52 (25%)	Egypt	NA	Median 42 days after discharge	48%
Gassel et al. 2021 <sup>8</sup>	Retrospective, n = 48 MV: 48 (100%)	Netherland	Coarse fibrous bands with or without obvious parenchymal distortion Bronchiectasis/bronchiolectasis	3 months after discharge	67%
Gulati et al.	Retrospective, n =	USA	Architectural distortion with traction bronchiectasis or	3 month after symptoms	58%–

2021 <sup>9</sup>	12		honeycombing	onset	100%
	MV: 6 (50%)				
COMEBAC Study Group	Prospective, n = 117	France	NA	3–4 months after discharge	19%
2021 <sup>10</sup>	MV: 51 (28.8%)				
	Prospective, n = 81				
Huang et al, 2021 <sup>11</sup>	NIV: 21 (25.9%) MV: 14 (17.3%) ICU: 45 (55.6%)	China	Parenchymal bands, irregular interfaces reticular opacities Traction bronchiectasis ± honeycombing	median 58 days after discharge	52%
Nabahati et	Prospective, n =	Iran	Traction bronchiectasis, honeycombing, parenchymal bands,	3, 6 months after	3 mon:

al 2021 <sup>12</sup>	173		interlobar septal thickening	discharge	52%
					6 mon:
					68%
					2–3 mon:
				3–5 months	80%
Li et al 2021 <sup>13</sup>	Retrospective, n = 287	China	Parenchymal bands, irregular interfaces, reticulation, traction bronchiectasis	after symptom onset	3–4 mon: 68% >4 mon: 62%
Han et al. 2021 <sup>6</sup>	Prospective, n = 114 NIV: 24 (21%) MV: 4 (3.5%)	China	Traction bronchiectasis parenchymal bands ± honeycomb	6 months after diagnosis	35%



	Prospective, n =				
Caruso et al. 2021 <sup>7</sup>	118 NIV: 53 (61%) MV: 34 (39%)	Italy	Reticular pattern ± honeycombing	6 months after diagnosis	72%
Han et al, 2021 <sup>17</sup>	Prospective, n = 62	China	Reticular abnormalities, traction bronchiectasis	6, 12 months after discharge	6 mon: 44%–58% 12 mon: 44%–52%

621 COVID-19, coronavirus disease 2019; NIV, non-invasive pulmonary ventilator; MV, mechanical ventilator; NA, not available; mon, month

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625 **Table 2.** Potential risk factor for post-COVID-19 pulmonary fibrosis

<b>Risk factors</b>	
Demographics	Sex
	Old age
	Smoking
	Alcohol abuse
	Comorbidities (diabetes, obesity, hypertension, chronic lung diseases, chronic liver disease, cardiovascular diseases, and cerebrovascular disease)
	Length of telomere
Disease-related factors	Use of high-flow oxygen or invasive or non-invasive ventilation
	Long duration of hospital or ICU stay
	Unstable initial vital signs (tachycardia, high fever, tachypnea)
	Presence of ARDS
	Absence of cough as the initial presentation
	Persistent dyspnea
Laboratory factors	IL-6, albumin, WBC, lymphocyte, neutrophil, eosinophil, NLR, total bilirubin, CRP, LDH, D-dimer, BNP, procalcitonin
Radiological factors	Extent of abnormal lesion in initial chest CT
	Presence of consolidation, reticulation, parenchymal band, interstitial thickening, irregular interface, pleural effusion, and poor-aerated lung volume

626 COVID-19, coronavirus disease 2019; ARDS, acute respiratory distress syndrome; IL,

627 interleukin; WBC, white blood cell; NLR, neutrophil-lymphocyte ratio; CRP, C-reactive  
628 protein; LDH, lactic dehydrogenase; BNP, B-type natriuretic peptide; CT, computed  
629 tomography

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Accepted

632 **Table 3.** On-going clinical trial numbers for treatment of post-COVID-19 pulmonary fibrosis

<b>Medication</b>	<b>NCT numbers</b>	<b>Site</b>	<b>Status</b>
Nintedanib	NCT04541680 <sup>80</sup>	France	Recruiting, Phase 3
	NCT04856111 <sup>81</sup>	India	Recruiting, Phase 4
	NCT04619680 <sup>82</sup>	USA	Recruiting, Phase 4
Pirfenidone	NCT04607928 <sup>83</sup>	Spain	Recruiting, Phase 2
	NCT04856111 <sup>81</sup>	India	Recruiting, Phase 4
	NCT04607928 <sup>84</sup>	China	Recruiting, Phase 3
Treamid	NCT04527354 <sup>85</sup>	Russia	Completed, Phase 2
Cholchicine	NCT04818489 <sup>86</sup>	Egypt	Completed, Phase 2
EV-Pure + WJ-Pure	NCT05387239 <sup>87</sup>	USA	Recruiting, Phase 1
Sirolimus	NCT04948203 <sup>88</sup>	USA	Recruiting, Phase 2 and 3
Mineralocorticoid Receptor Antagonist	NCT04912011 <sup>89</sup>	Poland	Recruiting, Phase 4

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Autologous monocytes	NCT04805086 <sup>90</sup>	United Kingdom	Recruiting, Phase 1 and 2
LYT-100	NCT04652518 <sup>91</sup>	USA, Argentina, Brazil, Moldova, Republic of, Philippines, Romania, Ukraine, United Kingdom	Recruiting, Phase 2
Collagen-Polyvinylpyrrolidone	NCT0451716213 <sup>92</sup>	Mexico	Recruiting, Phase 1 and 2

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633 COVID-19, coronavirus disease 2019

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Accepted