FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS

Primary Busines	s Name: ELLIOTT INVESTMEN	T MANAGEMENT L.P	•	CRD Number: 3071
Other-Than-Ann	ual Amendment - All Sections			Rev. 10/202
4/10/2023 6:12	2:07 PM			
			ions may result in denial of your periodic amendments. See Form	application, revocation of your registration, or criminal ADV General Instruction 4.
tem 1 Identifyir	ng Information			
•	·			you. If you are filing an <i>umbrella registration</i> , the prmation to assist you with filing an <i>umbrella registration</i>
•	al name (if you are a sole propr VESTMENT MANAGEMENT L.P		and middle names):	
	nder which you primarily conduct VESTMENT MANAGEMENT L.P	• •	ess, if different from Item 1.A.	
List on Secti	on 1.B. of Schedule D any addition	onal names under whic	ch you conduct your advisory bus	iness.
(2) If you ar	re using this Form ADV to regis	ter more than one inv	estment adviser under an umbro	ella registration, check this box 🗹
If you check	this box, complete a Schedule R	for each relying advise	er.	
name chang			or primary business name (Item	1.B.(1)), enter the new name and specify whether the
(2) If you re	port to the SEC as an <i>exempt r</i> ave one or more Central Index	eporting adviser, your	your SEC file number: 801-11 SEC file number: d by the SEC ("CIK Numbers"), a	
1791786				
If your firm o		ip this Item 1.E. Do no	ot provide the CRD number of one	ystem, your <i>CRD</i> number: 307151 a of your officers, employees, or affiliates.
			No Information Filed	
(1) Address Number 360 S. F City:	<i>ce and Place of Business</i> (do not use a P.O. Box): and Street 1: ROSEMARY AVE ALM BEACH	State: Florida	Number and Street 2: 18TH FLOOR Country: United States	ZIP+4/Postal Code: 33401
If this a	ddress is a private residence, c	heck this box: 🗖		
you are which yo if you ar	applying for registration, or are out are applying for registration o	registered, with one of r with whom you are re	r more state securities authorities egistered. If you are applying for	ss, at which you conduct investment advisory business. If s, you must list all of your offices in the state or states to SEC registration, if you are registered only with the SEC, o as in terms of numbers of employees as of the end of your
	week that you normally condu ay - Friday 👩 Other:	ct business at your <i>pr</i>	incipal office and place of busines	S:
Normal	business hours at this location			

Normal business hours at this location: 8:30AM-5:30PM

- (3) Telephone number at this location:(561) 584-8800
- (4) Facsimile number at this location, if any: (212) 478-2476

		ber of offices, other than your <i>prin</i> recently completed fiscal year?	cipal office and place of busi	<i>ness</i> , at which you conduct investment advisory busin	ess as of	Ē
G.	Mailing address, if differen	t from your <i>principal office and place</i>	e of business address:			
0.	Number and Street 1:		Number and Street 2:			
	City:	State:	Country:	ZIP+4/Postal Code:		
		_	country.			
	If this address is a private	e residence, check this box: \square				
H.	If you are a sole proprieto	r, state your full residence address	s, if different from your <i>prin</i>	cipal office and place of business address in Item 1.F.:		
	Number and Street 1:		Number and Street 2:			
	City:	State:	Country:	ZIP+4/Postal Code:		
					Yes	No
Ι.	Do you have one or more LinkedIn)?	websites or accounts on publicly av	vailable social media platfor	ms (including, but not limited to, Twitter, Facebook a	nd 💿	0
	If a website address serves addresses for all of the othe available social media platfo	s as a portal through which to access er information. You may need to list	s other information you have more than one portal addres ontent. Do not provide the in	ublicly available social media platforms on Section 1.1. of e published on the web, you may list the portal without l ss. Do not provide the addresses of websites or accounts adividual electronic mail (e-mail) addresses of employees	listing s on publi	
	Chief Compliance Officer					
J.		contact information of your Chief C Compliance Officer, if you have one		re an <i>exempt reporting adviser</i> , you must provide the c e Item 1.K. below.	ontact	
	Name:		Other titles, if any:			
	Telephone number:		Facsimile number, if ar	ıy:		
	Number and Street 1:		Number and Street 2:			
	City:	State:	Country:	ZIP+4/Postal Code:		
	Electronic mail (e-mail) ac	ddress, if Chief Compliance Officer I	has one:			
		npany Act of 1940 that you advise mber (if any):	5 5 5 1	an you, a <i>related person</i> or an investment company render officer services to you, provide the <i>person's</i> name	0	
K.	• •	tact Person: If a person other thar may provide that information here.	•	cer is authorized to receive information and respond t	to questi	ons
	Name:		Titles:			
	Telephone number:		Facsimile number, if ar	ıy:		
	Number and Street 1:		Number and Street 2:			
	City:	State:	Country:	ZIP+4/Postal Code:		
	Electronic mail (e-mail) ad	ddress, if contact person has one:				
L.	•	all of the books and records you ar ur <i>principal office and place of busin</i>		section 204 of the Advisers Act, or similar state law,	Yes ©	No O
	If "yes," complete Section 7	1.L. of Schedule D.			Vaa	Ne
M.	Are you registered with a	foreign financial regulatory authority	ſ?		Yes O	©
	, and the second s	registered with a foreign financial re s," complete Section 1.M. of Schedul		ou have an affiliate that is registered with a foreign finar	ncial	
					Yes	No
N.	Are you a public reporting	company under Sections 12 or 15((d) of the Securities Exchan	ge Act of 1934?	0	\odot
					Yes	
Ο.	•	more in assets on the last day of y imate amount of your assets: \$10 billion	our most recent fiscal year	?	0	o

- \$10 billion to less than \$50 billion
- o \$50 billion or more

For purposes of Item 1.0. only, "assets" refers to your total assets, rather than the assets you manage on behalf of clients. Determine your total assets using the total assets shown on the balance sheet for your most recent fiscal year end.

P. Provide your *Legal Entity Identifier* if you have one: 549300BDNYJY0DGFP525

A *legal entity identifier* is a unique number that companies use to identify each other in the financial marketplace. You may not have a *legal entity identifier*.

SECTION 1.B. Other Business Names

No Information Filed

SECTION 1.F. Other Offices

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an *exempt reporting adviser*, list only the largest twenty-five offices (in terms of numbers of *employees*).

Number and Street 1:		Number and Street 2:		
CONNELL CORPORATE CENTER IV		400 CONNELL DRIVE, 3RD FLOOR		
City:	State:	Country:	ZIP+4/Postal Code:	
BERKELEY HEIGHTS	New Jersey	United States	07922	

If this address is a private residence, check this box: \Box

Telephone Number:	
(908) 286-2000	

Facsimile Number, if any: (908) 464-1370

If this office location is also required to be registered with FINRA or a *state securities authority* as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the *CRD* Branch Number here:

How many employees perform investment advisory functions from this office location?

2

Are other business activities conducted at this office location? (check all that apply)

- (1) Broker-dealer (registered or unregistered)
- (2) Bank (including a separately identifiable department or division of a bank)
- (3) Insurance broker or agent
- ☑ (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
- (5) Registered municipal advisor
- \Box (6) Accountant or accounting firm
- (7) Lawyer or law firm

Describe any other *investment-related* business activities conducted from this office location:

SECTION 1.I. Website Addresses

List your website addresses, including addresses for accounts on publicly available social media platforms where you control the content (including, but not limited to, Twitter, Facebook and/or LinkedIn). You must complete a separate Schedule D Section 1.1. for each website or account on a publicly available social media platform.

Address of Website/Account on Publicly Available Social Media Platform: HTTPS://TWITTER.COM/ELLIOTTINVMGMT

Address of Website/Account on Publicly Av	dress of Website/Account on Publicly Available Social Media Platform: HTTPS://MEDIUM.COM/ELLIOTTMGMT				
Address of Website/Account on Publicly Av	ailable Social Media Platform:	HTTPS://TWITTER.CC	DM/JESSECOHN4		
Address of Website/Account on Publicly Av	ailable Social Media Platform:	HTTPS://WWW.LINKE	DIN.COM/COMPANY/ELLIOTT-ADVIS	DRS-UK-LIMITED/	
Address of Website/Account on Publicly Av	ailable Social Media Platform:	HTTPS://WWW.LINKE	EDIN.COM/COMPANY/ELLIOTTINVEST	MENTMANAGEMENTLP	
Address of Website/Account on Publicly Av	ailable Social Media Platform:	HTTP: //WWW.ELLIOT	TADVISORS.CO.UK		
SECTION 1.L. Location of Books and Reco	rds				
Complete the following information for eac must complete a separate Schedule D, Sec		your books and records	s, other than your <i>principal office and</i>	I place of business. You	
Name of entity where books and records a TERADA WAREHOUSE COMPANY	are kept:				
Number and Street 1: 2-6-10 SHINAGAWA		Number and Str	reet 2:		
City: SHINAGAWA-KU, TOKYO	State:	Country: Japan	ZIP+4/Postal Code: 140-0002		
If this address is a private residence, chec	k this box: 🗖				
Telephone Number: 81354791613	Facsimile nur 8135479162	-			
This is (check one): o one of your branch offices or affiliates.					
 a third-party unaffiliated recordkeeper. 					
O other.					
Briefly describe the books and records kep INVESTMENT-RELATED RECORDS AND FINA		DRDS.			
Name of entity where books and records a ELLIOTT MANAGEMENT (DC) CORPORATION	-				
Number and Street 1: 601 PENNSYLVANIA AVENUE, NW			and Street 2: JILDING, SUITE 600A		
City: WASHINGTON	State: District of Columbia	Country: United St	ZIP+4/Postal Co 20004	ode:	
If this address is a private residence, chec	k this box: 🗖				
Telephone Number: (212) 974-6000	Facsimile number, if any:				
This is (check one): one of your branch offices or affiliates.					
$_{ m O}$ a third-party unaffiliated recordkeeper.					
o other.					

Briefly describe the books and records kept at this INVESTMENT-RELATED RECORDS AND FINANCIAL A		RECORDS.	
Name of entity where books and records are kept: IRON MOUNTAIN INCORPORATED			
Number and Street 1: LONG CROFT ROAD, EUROHUB		Number and Street 2:	
City: CORBY	State:	Country: United Kingdom	ZIP+4/Postal Code: NN18 8EY
If this address is a private residence, check this bo	ox:		
Telephone Number: 4408445607080	Facsimile number	r, if any:	
This is (check one): O one of your branch offices or affiliates.			
 a third-party unaffiliated recordkeeper. o ther. 			
Briefly describe the books and records kept at this INVESTMENT- AND TRADING-RELATED RECORDS AN		ACCOUNTING RECORDS	
Name of entity where books and records are kept: IRON MOUNTAIN INCORPORATED			
Number and Street 1: UNIT B RATTY'S LANE		Number and Street 2:	
City: HODDESDON	State:	Country: United Kingdom	ZIP+4/Postal Code: EN11 ORF
If this address is a private residence, check this bo	ox:		
Telephone Number: 4408445607080	Facsimile number	r, if any:	
This is (check one): o one of your branch offices or affiliates.			
 a third-party unaffiliated recordkeeper. o ther. 			
Briefly describe the books and records kept at this INVESTMENT- AND TRADING-RELATED RECORDS AN		ACCOUNTING RECORDS	
Name of entity where books and records are kept: IRON MOUNTAIN INCORPORATED			
Number and Street 1: 3 OLD POST OFFICE LANE		Number and Street 2:	
City: KIDBROOKE	State:	Country: United Kingdom	ZIP+4/Postal Code: SE3 9BY
If this address is a private residence, check this bo	рх: Г		
Telephone Number: 4408445607080	Facsimile number	r, if any:	

This is (check one):

o one of your branch offices or affiliates.			
o a third-party unaffiliated recordkeeper.			
o other.			
Briefly describe the books and records kept at this INVESTMENT- AND TRADING-RELATED RECORDS A		D ACCOUNTING RECORDS	
Name of entity where books and records are kept IRON MOUNTAIN INCORPORATED	:		
Number and Street 1:		Number and Street 2:	
1, PRIME POINT 14, MUSTANG DRIVE			
City:	State:	Country:	ZIP+4/Postal Code:
STAFFORD		United Kingdom	ST16 1GW
If this address is a private residence, check this be	ox:		
Telephone Number:	Facsimile	number, if any:	
4408445607080			
This is (check one): o one of your branch offices or affiliates.			
o a third-party unaffiliated recordkeeper.			
o other.			
Briefly describe the books and records kept at this INVESTMENT- AND TRADING-RELATED RECORDS A		D ACCOUNTING RECORDS	
Name of entity where books and records are kept IRON MOUNTAIN INCORPORATED	:		
Number and Street 1: 10, WHITE HART AVENUE		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
THAMESMEAD		United Kingdom	SE28 OFD
If this address is a private residence, check this be	ox:		
Telephone Number: 4408445607080	Facsimile numb	er, if any:	
This is (check one): o one of your branch offices or affiliates.			
 a third-party unaffiliated recordkeeper. 			
o other.			
Briefly describe the books and records kept at this INVESTMENT- AND TRADING-RELATED RECORDS A		D ACCOUNTING RECORDS	
Name of entity where books and records are kept ELLIOTT ADVISORS (UK) LIMITED	:		
Number and Street 1: BENCHMARK HOUSE		Number and Street 2: 203 BROOKLANDS ROAD	
	State:		ZIP+4/Postal Code:
City: WEYBRIDGE	Jiale.	Country: United Kingdom	KT13 ORH
If this address is a private residence, check this be	ox: 🗖		

Telephone Number: 442030091818	Facsimile number, if any: 442030091756		
This is (check one): one of your branch offices or affiliates.			
${f O}$ a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at the INVESTMENT-AND TRADING-RELATED RECORDS A		ITING RECORDS.	
Name of entity where books and records are ke IRON MOUNTAIN INCORPORATED	pt:		
Number and Street 1: 1137 BRANCHTON ROAD		Number and Street 2:	
City:	State:	Country:	ZIP+4/Postal Code:
BOYERS	Pennsylvania	United States	16020
If this address is a private residence, check this	box:		
Telephone Number: (412) 877-9707	Facsimile number, if any:		
This is (check one): O one of your branch offices or affiliates.			
💿 a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at the INVESTMENT- AND TRADING-RELATED RECORDS		NTING RECORDS.	
Name of entity where books and records are ke ELLIOTT MANAGEMENT CORPORATION	pt:		
Number and Street 1: CONNELL CORPORATE CENTER IV		Number and Street 2: 400 CONNELL DRIVE, 3RD FLOO	DR
City:	State:	Country:	ZIP+4/Postal Code:
BERKELEY HEIGHTS	New Jersey	United States	07922
If this address is a private residence, check this	box:		
Telephone Number: (908) 286-2000	Facsimile number, if any: (908) 464-1370		
This is (check one): o one of your branch offices or affiliates.			
O a third-party unaffiliated recordkeeper.			
O other.			
Briefly describe the books and records kept at the INVESTMENT- AND TRADING-RELATED RECORDS		NTING RECORDS.	
Name of entity where books and records are ke IRON MOUNTAIN INCORPORATED	pt:		

City: BELVEDERE, LONDON	State:		Country: United Kingdom	ZIP+4/Postal Code: DA17 6JY
If this address is a private residence, check this b	box:			
Telephone Number: 4408445607080	Facsim	ile number, if a	any:	
This is (check one): o one of your branch offices or affiliates.				
a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at thi INVESTMENT- AND TRADING-RELATED RECORDS A		ND ACCOUNTI	NG RECORDS.	
Name of entity where books and records are kep EUROPEAN INVESTMENTS HOLDING COMPANY SA				
Number and Street 1: C/O RADAR BUSINESS SOLUTIONS		Number and 12C RUE GUI	Street 2: LLAUME KROLL (GRO	JND FLOOR)
City: LUXEMBOURG	State:	Country: Luxembourg		ZIP+4/Postal Code: L-1882
If this address is a private residence, check this b	box:			
Telephone Number: 352 28 57 79	Facsimile number	r, if any:		
This is (check one): one of your branch offices or affiliates.				
${f O}$ a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at thi INVESTMENT- AND TRADING-RELATED RECORDS A		ND ACCOUNTI	NG RECORDS.	
Name of entity where books and records are kep IRON MOUNTAIN INCORPORATED	ıt:			
Number and Street 1: 20, 22 & 30 KIMBERLY ROAD		Nu	umber and Street 2:	
City: EAST BRUNSWICK	State: New Jersey		ountry: hited States	ZIP+4/Postal Code: 08816
If this address is a private residence, check this b	рох: 🗖			
Telephone Number: (800) 899-4766	Facsimile numbe	r, if any:		
This is (check one): \bigcirc one of your branch offices or affiliates.				
a third-party unaffiliated recordkeeper.				
O other.				
Briefly describe the books and records kept at thi INVESTMENT- AND TRADING-RELATED RECORDS A		ND ACCOUNTI	NG RECORDS.	

IRON MOUNTAIN INCORPORATED			
Number and Street 1: 811 ROUTE 33		Number and Street 2	2:
City: FREEHOLD	State: New Jersey	Country: United States	ZIP+4/Postal Code: 07728
If this address is a private residence, check this	box: 🗖		
Telephone Number: (800) 899-4766	Facsimile number, if any:		
 This is (check one): one of your branch offices or affiliates. a third-party unaffiliated recordkeeper. other. 			
Briefly describe the books and records kept at th INVESTMENT- AND TRADING-RELATED RECORDS		UNTING RECORDS.	
Name of entity where books and records are kep BPM ASSOCIATES, INC.	ot:		
Number and Street 1: MARK LIGHT TORANOMON		Number and Street 2 4F 2-3-1	::
City: MINATOKU,TOKYO	State:	Country: Japan	ZIP+4/Postal Code: 105-0003
If this address is a private residence, check this	box: 🗖		
Telephone Number: 03-3580-1321	Facsimile number,	, if any:	
This is (check one): O one of your branch offices or affiliates.			
 a third-party unaffiliated recordkeeper. o ther. 			
Briefly describe the books and records kept at th INVESTMENT-RELATED RECORDS AND FINANCIAL		DS.	
Name of entity where books and records are kep			
KPMG	JL.		
KPMG Number and Street 1: 1-6-1 ROPPONGI, MINATO-KU	Л.	Number and Street 2 TOKYO IZUMI GARDE	
Number and Street 1:	State:		
Number and Street 1: 1-6-1 ROPPONGI, MINATO-KU City:	State:	TOKYO IZUMI GARDE Country:	N TOWER ZIP+4/Postal Code:
Number and Street 1: 1-6-1 ROPPONGI, MINATO-KU City: TOKYO	State:	TOKYO IZUMI GARDE Country: Japan	N TOWER ZIP+4/Postal Code:
Number and Street 1: 1-6-1 ROPPONGI, MINATO-KU City: TOKYO If this address is a private residence, check this Telephone Number:	State: box:	TOKYO IZUMI GARDE Country: Japan	N TOWER ZIP+4/Postal Code:
Number and Street 1: 1-6-1 ROPPONGI, MINATO-KU City: TOKYO If this address is a private residence, check this Telephone Number: 81 (3) 6229-80 This is (check one):	State: box:	TOKYO IZUMI GARDE Country: Japan	N TOWER ZIP+4/Postal Code:

Briefly describe the books and records kept at this location.

INVESTMENT- AND TRADING-RELATED RECORDS AND FINANCIAL AND ACCOUNTING RECORDS

SECTION 1.M. Registration with Foreign Financial Regulatory Authorities

No Information Filed

Item 2 SEC Registration/Reporting

Responses to this Item help us (and you) determine whether you are eligible to register with the SEC. Complete this Item 2.A. only if you are applying for SEC registration or submitting an *annual updating amendment* to your SEC registration. If you are filing an *umbrella registration*, the information in Item 2 should be provided for the *filing adviser* only.

A. To register (or remain registered) with the SEC, you must check **at least one** of the Items 2.A.(1) through 2.A.(12), below. If you are submitting an *annual updating amendment* to your SEC registration and you are no longer eligible to register with the SEC, check Item 2.A.(13). Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to each of these items.

You (the adviser):

(1) are a large advisory firm that either:

- (a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or
- (b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent *annual updating amendment* and is registered with the SEC;
- (2) are a **mid-sized advisory firm** that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either:
 - (a) not required to be registered as an adviser with the *state securities authority* of the state where you maintain your *principal office and place of business*; or
 - (b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;

Click **HERE** for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.

- (3) Reserved
- \square (4) have your principal office and place of business outside the United States;
- (5) are an investment adviser (or subadviser) to an investment company registered under the Investment Company Act of 1940;
- (6) are an investment adviser to a company which has elected to be a business development company pursuant to section 54 of the Investment Company Act of 1940 and has not withdrawn the election, and you have at least \$25 million of regulatory assets under management;
- (7) are a pension consultant with respect to assets of plans having an aggregate value of at least \$200,000,000 that qualifies for the exemption in rule 203A-2(a);
- (8) are a **related adviser** under rule 203A-2(b) that *controls*, is *controlled* by, or is under common *control* with, an investment adviser that is registered with the SEC, and your *principal office and place of business* is the same as the registered adviser;

If you check this box, complete Section 2.A. (8) of Schedule D.

(9) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;

If you check this box, complete Section 2.A. (9) of Schedule D.

(10) are a **multi-state adviser** that is required to register in 15 or more states and is relying on rule 203A-2(d);

If you check this box, complete Section 2.A. (10) of Schedule D.

(11) are an Internet adviser relying on rule 203A-2(e);

(12) have **received an SEC order** exempting you from the prohibition against registration with the SEC;

If you check this box, complete Section 2.A. (12) of Schedule D.

(13) are **no longer eligible** to remain registered with the SEC.

State Securities Authority Notice Filings and State Reporting by Exempt Reporting Advisers

C. Under state laws, SEC-registered advisers may be required to provide to *state securities authorities* a copy of the Form ADV and any amendments they file with the SEC. These are called *notice filings*. In addition, *exempt reporting advisers* may be required to provide *state securities authorities* with a copy of reports and any amendments they file with the SEC. If this is an initial application or report, check the box(es) next to the state(s) that you would like to receive notice of this and all subsequent filings or reports you submit to the SEC. If this is an amendment to direct your *notice filings* or reports to additional state(s), check the box(es) next to the state(s) that you would like to receive notice of this an amendment to your registration to stop your *notice filings* or reports from going to state(s) that currently receive them, uncheck the box(es) next to those state(s).

L AL		L NE	L SC
n AK	IN	NV	□ SD
🗖 AZ		nH NH	T TN
AR	🗖 KS	🗖 NJ	П тх
CA	C KY	□ NM	D UT
Со	🗖 LA	□ NY	🗖 VT
CT CT	Г _{ме}	NC	🗖 VI
DE DE	nd MD	ND	🗖 VA
DC	n MA	🗖 он	□ wa
🗹 FL	Г _{MI}	🗖 ок	□ wv
GA	n MN	C OR	🗖 wi
🗖 GU	n MS	🗖 РА	□ wy
Пні	П мо	PR	
D ID	nt Mt	RI	

If you are amending your registration to stop your notice filings or reports from going to a state that currently receives them and you do not want to pay that state's notice filing or report filing fee for the coming year, your amendment must be filed before the end of the year (December 31).

SECTION 2.A.(8) Related Adviser

If you are relying on the exemption in rule 203A-2(b) from the prohibition on registration because you *control*, are *controlled* by, or are under common *control* with an investment adviser that is registered with the SEC and your *principal office and place of business* is the same as that of the registered adviser, provide the following information:

Name of Registered Investment Adviser

CRD Number of Registered Investment Adviser

SEC Number of Registered Investment Adviser

SECTION 2.A.(9) Investment Adviser Expecting to be Eligible for Commission Registration within 120 Days

If you are relying on rule 203A-2(c), the exemption from the prohibition on registration available to an adviser that expects to be eligible for SEC registration within 120 days, you are required to make certain representations about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations. You must make both of these representations:

- I am not registered or required to be registered with the SEC or a *state securities authority* and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
- I undertake to withdraw from SEC registration if, on the 120th day after my registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.

SECTION 2.A.(10) Multi-State Adviser

If you are relying on rule 203A-2(d), the multi-state adviser exemption from the prohibition on registration, you are required to make certain representations about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations.

If you are applying for registration as an investment adviser with the SEC, you must make both of these representations:

- I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
- I undertake to withdraw from SEC registration if I file an amendment to this registration indicating that I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states.

If you are submitting your *annual updating amendment*, you must make this representation:

Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the *state securities authorities* in those states.

SECTION 2.A.(12) SEC Exemptive Order

If you are relying upon an SEC order exempting you from the prohibition on registration, provide the following information:

Application Number:

803-

Date of order:

Item 3 Form of Organization

If you are filing an umbrella registration, the information in Item 3 should be provided for the filing adviser only A. How are you organized? Corporation o Sole Proprietorship C Limited Liability Partnership (LLP) Partnership \circ Limited Liability Company (LLC) \circ Limited Partnership (LP) \odot Other (specify): \mathbf{O} If you are changing your response to this Item, see Part 1A Instruction 4. B. In what month does your fiscal year end each year? DECEMBER C. Under the laws of what state or country are you organized? State Country Delaware United States

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed. If you are a sole proprietor, provide the name of the state or country where you reside.

If you are changing your response to this Item, see Part 1A Instruction 4.

Item 4 Successions

A. Are you, at the time of this filing, succeeding to the business of a registered investment adviser, including, for example, a change of your \circ structure or legal status (e.g., form of organization or state of incorporation)?

Yes No

 \odot

If "yes", complete Item 4.B. and Section 4 of Schedule D.

B. Date of Succession: (MM/DD/YYY)

If you have already reported this succession on a previous Form ADV filing, do not report the succession again. Instead, check "No." See Part 1A Instruction 4.

SECTION 4 Successions

No Information Filed

Item 5 Information About Your Advisory Business - Employees, Clients, and Compensation

Responses to this Item help us understand your business, assist us in preparing for on-site examinations, and provide us with data we use when making regulatory policy. Part 1A Instruction 5.a. provides additional guidance to newly formed advisers for completing this Item 5.

Employees

If you are organized as a sole proprietorship, include yourself as an employee in your responses to Item 5.A. and Items 5.B. (1), (2), (3), (4), and (5). If an employee performs more than one function, you should count that employee in each of your responses to Items 5.B.(1), (2), (3), (4), and (5).

- Approximately how many employees do you have? Include full- and part-time employees but do not include any clerical workers. Α. 535
- В. (1) Approximately how many of the *employees* reported in 5.A. perform investment advisory functions (including research)? 229
 - Approximately how many of the employees reported in 5.A. are registered representatives of a broker-dealer? (2)
 - 0

(3) Approximately how many of the *employees* reported in 5.A. are registered with one or more *state securities authorities* as *investment adviser* representatives?

0

0

0

- (4) Approximately how many of the *employees* reported in 5.A. are registered with one or more *state securities authorities* as *investment adviser representatives* for an investment adviser other than you?
- (5) Approximately how many of the *employees* reported in 5.A. are licensed agents of an insurance company or agency?
- (6) Approximately how many firms or other *persons* solicit advisory *clients* on your behalf?
 0

In your response to Item 5.B.(6), do not count any of your employees **and count a firm only once – do not count each of the firm's** employees that solicit on your behalf.

Clients

In your responses to Items 5.C. and 5.D. do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those investors.

C. (1) To approximately how many *clients* for whom you do not have regulatory assets under management did you provide investment advisory services during your most recently completed fiscal year?

0

- (2) Approximately what percentage of your *clients* are non-*United States persons*?
 86%
- D. For purposes of this Item 5.D., the category "individuals" includes trusts, estates, and 401(k) plans and IRAs of individuals and their family members, but does not include businesses organized as sole proprietorships.
 The category "business development companies" consists of companies that have made an election pursuant to section 54 of the Investment Company Act of 1940. Unloss you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment.

1940. Unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, do not answer (1)(d) or (3)(d) below.

Indicate the approximate number of your *clients* and amount of your total regulatory assets under management (reported in Item 5.F. below) attributable to each of the following type of *client*. If you have fewer than 5 *clients* in a particular category (other than (d), (e), and (f)) you may check Item 5.D.(2) rather than respond to Item 5.D.(1).

The aggregate amount of regulatory assets under management reported in Item 5.D.(3) should equal the total amount of regulatory assets under management reported in Item 5.F.(2)(c) below.

If a *client* fits into more than one category, select one category that most accurately represents the *client* to avoid double counting *clients* and assets. If you advise a registered investment company, business development company, or pooled investment vehicle, report those assets in categories (d), (e), and (f) as applicable.

Type of <i>Client</i>	(1) Number of Client(s)	(2) Fewer than 5 <i>Clients</i>	(3) Amount of Regulatory Assets under Management
(a) Individuals (other than high net worth individuals)	0		\$
(b) High net worth individuals	0		\$
(c) Banking or thrift institutions	0		\$
(d) Investment companies	0		\$
(e) Business development companies	0		\$
(f) Pooled investment vehicles (other than investment companies and business development companies)	36		\$ 93,207,362,818
(g) Pension and profit sharing plans (but not the plan participants or government pension plans)	0		\$
(h) Charitable organizations	0		\$
(i) State or municipal <i>government entities</i> (including government pension plans)	0		\$
(j) Other investment advisers	0		\$
(k) Insurance companies	0		\$
(I) Sovereign wealth funds and foreign official institutions	0		\$
(m) Corporations or other businesses not listed above	0		\$
(n) Other:	0		\$

Compensation Arrangements

E. You are compensated for your investment advisory services by (check all that apply):

\checkmark	(1)	A percentage of assets under your management
	(2)	Hourly charges
	(3)	Subscription fees (for a newsletter or periodical)
	(4)	Fixed fees (other than subscription fees)
	(5)	Commissions
	(6)	Performance-based fees
\checkmark	(7)	Other (specify): PERFORMANCE-BASED ALLOCATIONS

Ite	m 5 Information About Your Advisory	Business - Reg	ulatory Assets Under Manage	ment				
Re	egulatory Assets Under Management							
						Yes No		
F.	(1) Do you provide continuous and r	egular supervisc	ory or management services to	securities portfoli	os?	\odot \circ		
	(2) If yes, what is the amount of your regulatory assets under management and total number of accounts?							
			U.S. Dollar Amount		Total Number of Accounts			
	Discretionary:	(a)	\$ 93,207,362,818	(d)	36			
	Non-Discretionary:	(b)	\$ O	(e)	0			
	Total:	(C)	\$ 93,207,362,818	(f)	36			

Part 1A Instruction 5.b. explains how to calculate your regulatory assets under management. You must follow these instructions carefully when completing this Item.

(3) What is the approximate amount of your total regulatory assets under management (reported in Item 5.F.(2)(c) above) attributable to *clients* who are non-*United States persons*?

\$ 64,560,597,046

Item 5 Information About Your Advisory Business - Advisory Activities

Advisory Activities

G. What type(s) of advisory services do you provide? Check all that apply.

- □ (1) Financial planning services
- (2) Portfolio management for individuals and/or small businesses
- Portfolio management for investment companies (as well as "business development companies" that have made an election pursuant to section 54 of the Investment Company Act of 1940)
- (4) Portfolio management for pooled investment vehicles (other than investment companies)
- (5) Portfolio management for businesses (other than small businesses) or institutional *clients* (other than registered investment companies and other pooled investment vehicles)
- (6) Pension consulting services
- (7) Selection of other advisers (including *private fund* managers)
- (8) Publication of periodicals or newsletters
- (9) Security ratings or pricing services
- (10) Market timing services
- (11) Educational seminars/workshops
- (12) Other(specify):

Do not check Item 5.G. (3) unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, including as a subadviser. If you check Item 5.G. (3), report the 811 or 814 number of the investment company or investment companies to which you provide advice in Section 5.G. (3) of Schedule D.

H. If you provide financial planning services, to how many *clients* did you provide these services during your last fiscal year?

- O 0
- o 1 10
- 0 11 25
- 0 26 50
- o 51 100
- o 101 250
- o 251 500
- More than 500

If more than 500, how many? (round to the nearest 500)

In your responses to this Item 5.H., do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those investors.

I. (1) Do you participate in a wrap fee program?

(2) If you participate in a wrap fee program, what is the amount of your regulatory assets under management attributable to acting as:

	(a) sponsor to a wrap fee program		
	 portfolio manager for a <i>wrap fee program</i>? \$ 		
	(c) <i>sponsor</i> to and portfolio manager for the same <i>wrap fee program</i> ? \$		
	If you report an amount in Item 5.1.(2)(c), do not report that amount in Item 5.1.(2)(a) or Item 5.1.(2)(b).		
	If you are a portfolio manager for a wrap fee program, list the names of the programs, their sponsors and related information in Section 5.1.(2) of Sch	edule	D.
	If your involvement in a wrap fee program is limited to recommending wrap fee programs to your clients, or you advise a mutual fund that is offered a wrap fee program, do not check Item 5.I.(1) or enter any amounts in response to Item 5.I.(2).		
J.	(1) In response to Item 4.B. of Part 2A of Form ADV, do you indicate that you provide investment advice only with respect to limited types of investments?	Yes O	ino آ
	(2) Do you report <i>client</i> assets in Item 4.E. of Part 2A that are computed using a different method than the method used to compute your regulatory assets under management?	0	©
K.	Separately Managed Account Clients		
	(1) Do you have regulatory assets under management attributable to <i>clients</i> other than those listed in Item 5.D.(3)(d)-(f) (separately managed account <i>clients</i>)?	Yes O	No ©
	If yes, complete Section 5.K.(1) of Schedule D.		
	(2) Do you engage in borrowing transactions on behalf of any of the separately managed account clients that you advise?	0	0
	If yes, complete Section 5.K.(2) of Schedule D.		
	(3) Do you engage in derivative transactions on behalf of any of the separately managed account clients that you advise?	0	0
	If yes, complete Section 5.K.(2) of Schedule D.		
	(4) After subtracting the amounts in Item 5.D.(3)(d)-(f) above from your total regulatory assets under management, does any custodian hold ten percent or more of this remaining amount of regulatory assets under management?	0	0
	If yes, complete Section 5.K.(3) of Schedule D for each custodian.		
L.	Marketing Activities	Yes	No
	(1) Do any of your <i>advertisements</i> include:	163	NO
	(a) Performance results?	۲	0
	(b) A reference to specific investment advice provided by you (as that phrase is used in rule 206(4)-1(a)(5))?	©	0
	(c) Testimonials (other than those that satisfy rule 206(4)-1(b)(4)(ii))?	0	۲
	(d) Endorsements (other than those that satisfy rule 206(4)-1(b)(4)(ii))?	0	o
	(e) Third-party ratings?	0	o
	(2) If you answer "yes" to L(1)(c), (d), or (e) above, do you pay or otherwise provide cash or non-cash compensation, directly or indirectly, in connection with the use of <i>testimonials</i> , <i>endorsements</i> , or <i>third-party ratings</i> ?	0	۲
	(3) Do any of your advertisements include hypothetical performance?	0	\odot
	(4) Do any of your advertisements include predecessor performance ?	0	o

SECTION 5.G.(3) Advisers to Registered Investment Companies and Business Development Companies

No Information Filed

No Information Filed

SECTION 5.K.(1) Separately Managed Accounts

After subtracting the amounts reported in Item 5.D.(3)(d)-(f) from your total regulatory assets under management, indicate the approximate percentage of this remaining amount attributable to each of the following categories of assets. If the remaining amount is at least \$10 billion in regulatory assets under management, complete Question (a). If the remaining amount is less than \$10 billion in regulatory assets under management, complete Question (b).

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date. Each column should add up to 100% and numbers should be rounded to the nearest percent.

Investments in derivatives, registered investment companies, business development companies, and pooled investment vehicles should be reported in those categories. Do not report those investments based on related or underlying portfolio assets. Cash equivalents include bank deposits, certificates of deposit, bankers' acceptances and similar bank instruments.

Some assets could be classified into more than one category or require discretion about which category applies. You may use your own internal methodologies and the conventions of your service providers in determining how to categorize assets, so long as the methodologies or conventions are consistently applied and consistent with information you report internally and to current and prospective clients. However, you should not double count assets, and your responses must be consistent with any instructions or other guidance relating to this Section.

Asse	et Type	Mid-year	End of year
(i)	Exchange-Traded Equity Securities	%	%
(ii)	Non Exchange-Traded Equity Securities	%	%
(iii)	U.S. Government/Agency Bonds	%	%
(iv)	U.S. State and Local Bonds	%	%
(v)	Sovereign Bonds	%	%
(vi)	Investment Grade Corporate Bonds	%	%
(vii)	Non-Investment Grade Corporate Bonds	%	%
(viii)	Derivatives	%	%
(ix)	Securities Issued by Registered Investment Companies or Business Development Companies	%	%
(x)	Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	%	%
(xi)	Cash and Cash Equivalents	%	%
(xii)	Other	%	%

Generally describe any assets included in "Other"

Ass	et Type	End of year
(i)	Exchange-Traded Equity Securities	%
(ii)	Non Exchange-Traded Equity Securities	%
(iii)	U.S. Government/Agency Bonds	%
(iv)	U.S. State and Local Bonds	%
(v)	Sovereign Bonds	%
(vi)	Investment Grade Corporate Bonds	%
(vii)	Non-Investment Grade Corporate Bonds	%
(viii)	Derivatives	%
(ix)	Securities Issued by Registered Investment Companies or Business Development Companies	%
(x)	Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	%
(xi)	Cash and Cash Equivalents	%
(xii)	Other	%

Generally describe any assets included in "Other"

If your regulatory assets under management attributable to separately managed accounts are at least \$10 billion, you should complete Question (a). If your regulatory assets under management attributable to separately managed accounts are at least \$500 million but less than \$10 billion, you should complete Question (b).

(a) In the table below, provide the following information regarding the separately managed accounts you advise. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise. End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional value* of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of *borrowings* for the accounts included in column 1.

In column 3, provide aggregate *gross notional value* of derivatives divided by the aggregate regulatory assets under management of the accounts included in column 1 with respect to each category of derivatives specified in 3(a) through (f).

You may, but are not required to, complete the table with respect to any separately managed account with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

(i) Mid-Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings		(3)	Derivative E	xposures		
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative	(c) Credit Derivative		(e) Commodity Derivative	(f) Other Derivative
Less than 10%	\$	\$	%	%	%	%	%	%
10-149%	\$	\$	%	%	%	%	%	%
150% or more	\$	\$	%	%	%	%	%	%

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

(ii) End of Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings		(3)	Derivative E	xposures		
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative	(c) Credit Derivative		(e) Commodity Derivative	(f) Other Derivative
Less than 10%	\$	\$	%	%	%	%	%	%
10-149%	\$	\$	%	%	%	%	%	%
150% or more	\$	\$	%	%	%	%	%	%

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

(b) In the table below, provide the following information regarding the separately managed accounts you advise as of the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional value* of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of *borrowings* for the accounts included in column 1.

You may, but are not required to, complete the table with respect to any separately managed accounts with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings
Less than 10%	\$	\$
10-149%	\$	\$
150% or more	\$	\$

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

SEC	SECTION 5.K.(3) Custodians for Separately Managed Accounts							
		No Information Filed						
Iter	n 6 0	ther Business Activities						
Int	this It	em, we request information about your firm's other business activities.						
Α.	You	are actively engaged in business as a (check all that apply):						
		 broker-dealer (registered or unregistered) registered representative of a broker-dealer commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant real estate broker, dealer, or agent insurance broker or agent bank (including a separately identifiable department or division of a bank) trust company registered municipal advisor registered security-based swap dealer accountant or accounting firm lawyer or law firm other financial product salesperson (specify): 						
	If yo	ou engage in other business using a name that is different from the names reported in Items 1.A. or 1.B.(1), complete Section 6.A. of Schedule D.		No				
B.	(1)	Are you actively engaged in any other business not listed in Item 6.A. (other than giving investment advice)?	0	o				
	(2)	If yes, is this other business your primary business?	õ	õ				
		If "yes," describe this other business on Section 6.B.(2) of Schedule D, and if you engage in this business under a different name, provide that r	name.	-				
			Yes	No				
	(3)	Do you sell products or provide services other than investment advice to your advisory clients?	0	\odot				
1								

If "yes," describe this other business on Section 6.B.(3) of Schedule D, and if you engage in this business under a different name, provide that name.

SECTION 6.A. Names of Your Other Businesses

No Information Filed

SECTION 6.B.(2) Description of Primary Business

Describe your primary business (not your investment advisory business):

If you engage in that business under a different name, provide that name:

SECTION 6.B.(3) Description of Other Products and Services

Describe other products or services you sell to your *client*. You may omit products and services that you listed in Section 6.B.(2) above.

If you engage in that business under a different name, provide that name:

Item 7 Financial Industry Affiliations

In this Item, we request information about your financial industry affiliations and activities. This information identifies areas in which conflicts of interest may occur between you and your *clients*.

A. This part of Item 7 requires you to provide information about you and your *related persons*, including foreign affiliates. Your *related persons* are all of your *advisory affiliates* and any *person* that is under common *control* with you.

You have a *related person* that is a (check all that apply):

- (1) broker-dealer, municipal securities dealer, or government securities broker or dealer (registered or unregistered)
- (2) other investment adviser (including financial planners)
- □ (3) registered municipal advisor
- (4) registered security-based swap dealer
- (5) major security-based swap participant
- ☑ (6) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
- \Box (7) futures commission merchant
- \Box (8) banking or thrift institution
- (9) trust company
- (10) accountant or accounting firm
- (11) lawyer or law firm
- (12) insurance company or agency
- (13) pension consultant
- □ (14) real estate broker or dealer
- (15) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles
- (16) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles

Note that Item 7.A. should not be used to disclose that some of your employees perform investment advisory functions or are registered representatives of a broker-dealer. The number of your firm's employees who perform investment advisory functions should be disclosed under Item 5.B.(1). The number of your firm's employees who are registered representatives of a broker-dealer should be disclosed under Item 5.B.(2).

Note that if you are filing an umbrella registration, you should not check Item 7.A.(2) with respect to your relying advisers, and you do not have to complete Section 7.A. in Schedule D for your relying advisers. You should complete a Schedule R for each relying adviser.

For each related person, including foreign affiliates that may not be registered or required to be registered in the United States, complete Section 7.A. of Schedule D.

You do not need to complete Section 7.A. of Schedule D for any related person if: (1) you have no business dealings with the related person in connection with advisory services you provide to your clients; (2) you do not conduct shared operations with the related person; (3) you do not refer clients or business to the related person, and the related person does not refer prospective clients or business to you; (4) you do not share supervised persons or premises with the related person; and (5) you have no reason to believe that your relationship with the related person otherwise creates a conflict of interest with your clients.

You must complete Section 7.A. of Schedule D for each related person acting as qualified custodian in connection with advisory services you provide to your clients (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)), regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advisers Act.

SECTION 7.A. Financial Industry Affiliations

Complete a separate Schedule D Section 7.A. for each *related person* listed in Item 7.A.

- 1. Legal Name of *Related Person*: ELLIOTT ALTO AGGREGATOR GP LLC
- 2. Primary Business Name of *Related Person*: ELLIOTT ALTO AGGREGATOR GP LLC
- 3. Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)
 - or Other
- 4. Related Person's
 - (a) CRD Number (if any):
 - (b) CIK Number(s) (if any):

No Information Filed

- 5. *Related Person* is: (check all that apply)
 - (a) 🗖 broker-dealer, municipal securities dealer, or government securities broker or dealer
 - (b) 🔲 other investment adviser (including financial planners)
 - (c) 🗖 registered municipal advisor
 - (d)
 (d) registered security-based swap dealer
 - (e) 🗖 major security-based swap participant

	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	g) 🔲 futures commission merchant		
	n) 🗖 banking or thrift institution) 🗖 trust company		
) accountant or accounting firm		
	<) 🗖 lawyer or law firm		
) Insurance company or agency		
	m) pension consultant		
	n) 🔲 real estate broker or dealer b) 🔲 sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	b) ■ sponsor of syndicator of infinited partnerships (of equivalent), excluding pooled investment vehicles b) ■ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
		Yes	s No
6.	to you <i>control</i> or are you <i>controlled</i> by the <i>related person</i> ?	0	\odot
7.	re you and the <i>related person</i> under common <i>control</i> ?	\odot	0
8.	a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	~	~
0.	b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the	0	
	presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clie</i> Number and Street 1: Number and Street 2:	ents' ass	sets:
	City:State:Country:ZIP+4/Postal Code:		
	If this address is a private residence, check this box:		
		Yes	s No
9.	a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	0
	b) If the answer is yes, under what exemption?		
10.	a) Is the related person registered with a foreign financial regulatory authority?	0	\odot
	b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is reg	jistered.	
	No Information Filed		
11.	o you and the <i>related person</i> share any <i>supervised persons</i> ?	\odot	0
10		_	
12.	o you and the <i>related person</i> share the same physical location?	\odot	0
1.	egal Name of <i>Related Person:</i> LLIOTT METRON AGGREGATOR GP LLC		
2.	rimary Business Name of <i>Related Person</i> :		
	LLIOTT METRON AGGREGATOR GP LLC		
2	Pelated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
5.			
	r		
	Other		
4.	Pelated Person's		
	a) CRD Number (if any):		
			ſ
	o) CIK Number(s) (if any): No Information Filed		
5.	Pelated Person is: (check all that apply)		
	 a) broker-dealer, municipal securities dealer, or government securities broker or dealer o) other investment adviser (including financial planners) 		
	b) L other investment adviser (including financial planners) c) I registered municipal advisor		
	d) registered security-based swap dealer		
	e) 🗖 major security-based swap participant		
	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	g) 🗖 futures commission merchant n) 🗖 banking or thrift institution		
) The trust company		
) accountant or accounting firm		
	<) 🗖 lawyer or law firm		

	(I) Insurance company or agency		
	(m) pension consultant		
	(n) 🗖 real estate broker or dealer		
	(o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) 🗹 sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Voc	No
6.	Do you control or are you controlled by the related person?	O	•
7.	Are you and the <i>related person</i> under common <i>control</i> ?	$oldsymbol{\circ}$	0
0	(a) Deep the related percenter as a qualified sustedian for your clients in connection with advisory convisor you provide to clients?	_	-
8.		0	o
	 (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i>. 	0	O oto:
	 (c) If you have answered "yes" to question 8. (a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i> Number and Street 1: 	ass	ets.
	City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box:		
		Yes	No
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	0
	(b) If the answer is yes, under what exemption?		
10	. (a) Is the related person registered with a foreign financial regulatory authority?	0	\odot
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registe	red.	
	No Information Filed	_	
	. Do you and the <i>related person</i> share any <i>supervised persons</i> ?	\odot	0
10	Device and the related person share the come physical leastion?	_	_
12	. Do you and the <i>related person</i> share the same physical location?	\odot	0
1.	Legal Name of <i>Related Person</i> :		
	ELLIOTT CIC 2 INTERMEDIATE GP L.P.		
2	Primary Business Name of <i>Related Person</i> :		
Ζ.	ELLIOTT CIC 2 INTERMEDIATE GP L.P.		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	-		
	OF		
	Other		
1	Related Person's		
4.	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any):		
	No Information Filed		
			1
F			
5.	Related Person is: (check all that apply)		
	(a) broker-dealer, municipal securities dealer, or government securities broker or dealer		
	 (b) other investment adviser (including financial planners) (c) registered municipal advisor 		
	 (c) □ registered municipal advisor (d) □ registered security-based swap dealer 		
	(e) a major security-based swap participant		
	(f) C commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	(g) C futures commission merchant		
	(h) D banking or thrift institution		
	(i) 🗖 trust company		
	(j) accountant or accounting firm		
	(k) 🗖 lawyer or law firm		
	(I) insurance company or agency		
	(m) pension consultant		
	(n) 🗖 real estate broker or dealer		
	 (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 		
	(p) 📫 sponsor, general partner, managing member (or equivalent) or pooled investment venicles		

6.	Do you control or are you controlled by the related person?	\circ	\odot
7.	Are you and the <i>related person</i> under common <i>control</i> ?	o	0
8.	 (a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i>'s office responsible for <i>custody</i> of your <i>clients</i>' Number and Street 1: 		O O
	City:State:Country:ZIP+4/Postal Code:If this address is a private residence, check this box:I		
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?	Yes Ö	No O
10.	(a) Is the related person registered with a foreign financial regulatory authority?	0	o
	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register No Information Filed	~	e
11.	Do you and the <i>related person</i> share any <i>supervised persons</i> ?	\odot	0
12.	Do you and the <i>related person</i> share the same physical location?	\odot	0
1.	Legal Name of <i>Related Person</i> : ELLIOTT ADVISORS (UK) LIMITED		
2.	Primary Business Name of <i>Related Person:</i> ELLIOTT ADVISORS (UK) LIMITED		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	- or Other		
4.	Related Person's (a) CRD Number (if any): 292248 (b) CIK Number(s) (if any):		
	No Information Filed		
5.	Related Person is: (check all that apply) (a) □ broker-dealer, municipal securities dealer, or government securities broker or dealer (b) □ other investment adviser (including financial planners) (c) □ registered municipal advisor (d) □ registered security-based swap dealer (e) □ major security-based swap participant (f) ☑ commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	 (g) □ futures commission merchant (h) □ banking or thrift institution (i) □ trust company (j) □ accountant or accounting firm 		
	 (i) □ accountant of accounting infin (k) □ lawyer or law firm (l) □ insurance company or agency (m) □ pension consultant (n) □ real estate broker or dealer (o) □ sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) □ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 		
6		Yes	
		O	0
7.	Are you and the <i>related person</i> under common <i>control</i> ?	O	0
8.	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	\odot

(b) If you are registering or registered with the SEC and you have answered "yes," to guestion 8.(a) above, have you overcome the

		presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	Ň	Ň
	(C)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your client.	s'ass	ets:
		Number and Street 1: Number and Street 2:		
		City:State:Country:ZIP+4/Postal Code:If this address is a private residence, check this box:Image: Country:Image: Country:		
			Yes	s No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	\circ	\odot
	(b)	If the answer is yes, under what exemption?		
10	(a)	Is the related person registered with a foreign financial regulatory authority?	~	~
10.	(a) (b)	If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is regist.	ored.	0
	()	Name of Country/English Name of Foreign Financial Regulatory Authority		
		United Kingdom - Financial Conduct Authority		
11.	Do y	you and the related person share any supervised persons?	\odot	0
10	5			
12.	Do y	rou and the <i>related person</i> share the same physical location?	0	⊙
1.	-	Al Name of <i>Related Person</i> :		
	HAIVI	BLEDON MANAGEMENT LLC		
2.	Prim	ary Business Name of <i>Related Person</i> :		
	HAM	BLEDON MANAGEMENT LLC		
2	Polo	<i>ted Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
з.	ке <i>іа</i> -	<i>Ted Person's</i> SEC File Number (if any) (e.g., 601-, 6-, 600-, 602-)		
	or			
	Othe			
4.	Rela	ted Person's		
		CRD Number (if any):		I
		307072		1
	(b)	CIK Number(s) (if any): No Information Filed		
				1
5.		ted Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer		
	(a) (b)	 other investment adviser (including financial planners) 		
	• •	registered municipal advisor		
	(d)	registered security-based swap dealer		
	• •	major security-based swap participant		
		 commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant 		
		banking or thrift institution		
	~ ~	trust company		
	0/	accountant or accounting firm		
	(k) (l)	 lawyer or law firm insurance company or agency 		
	(m)	pension consultant		
	(n)	real estate broker or dealer		
		sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	s No
6.	Do y	ou control or are you controlled by the related person?	0	o
			~	~
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	\odot	0
6				
8.		Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	•
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not	0	0
		required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?		
	(C)	If you have answered "yes" to question 8. (a) above, provide the location of the related person's office responsible for custody of your clients	s'ass	ets:
		Number and Street 1: Number and Street 2: City: Country: ZID: 4/Destal Code:		

State:

City:

d Street 2: Country:

ZIP+4/Postal Code:

	If this address is a private residence, check this box: 🗖		
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes O	No ©
	(b) If the answer is yes, under what exemption?		
10.	. (a) Is the related person registered with a foreign financial regulatory authority?	0	o
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is reg No Information Filed	jistered.	
11.	. Do you and the <i>related person</i> share any <i>supervised persons</i> ?	\odot	0
12.	. Do you and the <i>related person</i> share the same physical location?	۲	0
1.	Legal Name of <i>Related Person</i> : HAMBLEDON, INC.		
2.	Primary Business Name of <i>Related Person</i> : HAMBLEDON, INC.		
3.	<i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) -		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	292242 (b) CIK Number(s) (if any):		
	No Information Filed		
5.	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) ✓ (g) futures commission merchant (h) banking or thrift institution (g) futures company (j) accountant or accounting firm (k) lawyer or law firm (j) pension consultant (m) pension consultant (n) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) ✓ sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	s No
6.	Do you control or are you controlled by the related person?		o
7.	Are you and the <i>related person</i> under common <i>control</i> ?	o	0
8.	 (a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>? (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i>'s office responsible for <i>custody</i> of your <i>clients</i>. 	O O ents' asse	O ets:
	Number and Street 1:Number and Street 2:City:State:Country:ZIP+4/Postal Code:If this address is a private residence, check this box:Image: Country:Country:	V-	NI-
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes O	© No
	(b) If the answer is yes, under what exemption?		
10			

10. (a) Is the *related person* registered with a *foreign financial regulatory authority*?

 \odot

	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed						
11.	Do y	you and the related person share any supervised persons?	o	0			
12.	Do y	ou and the <i>related person</i> share the same physical location?	$oldsymbol{\circ}$	0			
	ELLI	Il Name of <i>Related Person:</i> OTT MANAGEMENT CORPORATION ary Business Name of <i>Related Person</i> :					
		OTT MANAGEMENT CORPORATION					
3.	Rela	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)					
	or Othe						
4.	Rela	ted Person's					
		CRD Number (if any):		I			
	(b)	156601 CIK Number(s) (if any):		[
	(~)	CIK Number					
		1048445					
5.		ted Person is: (check all that apply)					
	(/ (b)						
		registered municipal advisor					
	. ,	registered security-based swap dealer					
		 major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) 					
	.,	 commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant 					
	.0.	banking or thrift institution					
	.,	trust company					
	0,	accountant or accounting firm					
	• •	 lawyer or law firm insurance company or agency 					
	.,	pension consultant					
	(n)	real estate broker or dealer					
		sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles					
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No			
6.	Do y	ou control or are you controlled by the related person?	0	o			
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	\odot	0			
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	\odot			
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0			
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i> Number and Street 1: Number and Street 2:	'asse	ets:			
		City:State:Country:ZIP+4/Postal Code:If this address is a private residence, check this box:I					
			Yes	No			
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	\circ	\odot			
	(b)	If the answer is yes, under what exemption?					
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	0	o			
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registe No Information Filed	red.	-			
11.	Do y	ou and the related person share any supervised persons?	\odot	\circ			

1.	Legal Name of <i>Related Person</i> : ELLIOTT CIC 2 GP LLC		
2.	Primary Business Name of <i>Related Person</i> : ELLIOTT CIC 2 GP LLC		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	(b) CIK Number(s) (if any): No Information Filed		
	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (i) trust company (j) accountant or accounting firm (k) lawyer or law firm (j) insurance company or agency (m) pension consultant (n) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	/es	Νο
	Do you control or are you controlled by the related person?		o
7.	Are you and the <i>related person</i> under common <i>control</i> ?	•	0
8.	 (a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>? 	0	0 0
	 (c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: 	asse (es	
9.			0
	(b) If the answer is yes, under what exemption?		
10.	 (a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i>? (b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register No Information Filed 	_	•
11.	Do you and the <i>related person</i> share any <i>supervised persons</i> ?	o	0
12.	Do you and the <i>related person</i> share the same physical location?	o	0

2.	Primary Business Name of <i>Related Person:</i> ELLIOTT CAPITAL ADVISORS, L.P.				
3.	Related Person's SE	C File Number (if any) (e.g., 801-, 8-, 866-, 802-)			
	-				
	or Other				
4.		if anyly			
	(a) <i>CRD</i> Number (i 292232				
	(b) CIK Number(s)				
		No Information Filed			
5.	Related Person is: (o	check all that apply)			
		ealer, municipal securities dealer, or government securities broker or dealer			
		estment adviser (including financial planners)			
		d municipal advisor d security-based swap dealer			
		curity-based swap participant			
		ty pool operator or commodity trading advisor (whether registered or exempt from registration)			
		ommission merchant or thrift institution			
	(i) 🗖 trust com				
		nt or accounting firm			
	(k) lawyer or (l) linsurance	law firm e company or agency			
		consultant			
		te broker or dealer			
		or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles general partner, managing member (or equivalent) of pooled investment vehicles			
	(p) 🖻 sponsor, ('es	No	
6.	Do you <i>control</i> or ar	re you controlled by the related person?	0	\odot	
7.	Are you and the <i>rel</i>	lated person under common control?	•	0	
8.	(a) Does the <i>relat</i>	ted person act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	\odot	
	presumption t	istering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not otain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	õ	õ	
	(c) If you have an Number and S	nswered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> a Street 1: Number and Street 2:	isse	ets:	
	City:	State: Country: ZIP+4/Postal Code:			
	If this address	s is a private residence, check this box: 🗖	_		
9.	(a) If the <i>related</i> p			No ⓒ	
	· · ·	is yes, under what exemption?		e	
10.	D. (a) Is the <i>related</i>	person registered with a foreign financial regulatory authority?	0	o	
		is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registere	_	e	
11.	I. Do you and the <i>rel</i> a	No Information Filed ated person share any supervised persons?	o	0	
	-			Č	
12.	 Do you and the relation 	ated person share the same physical location?	•	0	
1.	Legal Name of <i>Rela</i>				
	ELLIOTT ADVISORS				
2.	Primary Business Na ELLIOTT ADVISORS	ame of <i>Related Person</i> :			
3.	Related Person's SE	C File Number (if any) (e.g., 801-, 8-, 866-, 802-)			

-

	or Other		
4.	Related Person's (a) CRD Number (if any):		
	292231		
	(b) CIK Number(s) (if any): No Information Filed		
	No mormation med		
_			
5.	 Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer 		
	(b) □ other investment adviser (including financial planners)		
	(c) registered municipal advisor		
	(d) registered security-based swap dealer		
	 (e) and major security-based swap participant (f) and commodity pool operator or commodity trading advisor (whether registered or exempt from registration) 		
	(g) I futures commission merchant		
	(h) banking or thrift institution		
	(i) trust company (i) consumptions firm		
	 (j) □ accountant or accounting firm (k) □ lawyer or law firm 		
	(I) 🗖 insurance company or agency		
	(m) pension consultant		
	 (n) real estate broker or dealer (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles 		
	 (b) I sponsor of syndicator of immed partnerships (or equivalent), excluding pooled investment vehicles (c) I sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 		
		'es	No
6.	Do you control or are you controlled by the related person?	0	\odot
7	Are you and the <i>related person</i> under common <i>control</i> ?	_	-
1.		o	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	\odot
			0
	presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?		
	(c) If you have answered "yes" to question 8. (a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> a	asse	ets:
	Number and Street 1: Number and Street 2:		•••
	City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box: \square Y	′es	No
9.			\odot
	(b) If the answer is yes, under what exemption?		
10	(a) In the related person registered with a foreign financial regulatory with arity 2	_	_
10.	 (a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i>? (b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. 		\odot
	No Information Filed	su.	
11.	Do you and the related person share any supervised persons?	•	0
10			
12.	Do you and the <i>related person</i> share the same physical location?	0	0
1	Legal Name of <i>Related Person</i> :		
1.	EIMFL LLC		
2.	Primary Business Name of <i>Related Person</i> : EIMFL LLC		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	- Or		
	Other		
٨			
4.	Related Person's (a) CRD Number (if any):		
	311380		

	(b)	CIK Number(s) (if any): No Information Filed		
5.	 (a) (b) (c) (d) (e) (f) (g) (h) (l) (j) (k) (l) (m) (n) (o) 	 other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency 		
			'es	No
6.	Do y	ou <i>control</i> or are you <i>controlled</i> by the <i>related person</i> ?	•	С
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	•	С
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	c
	(b)		0	
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients's and Street 1: Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: Image: Country: Country: Country:	isse	ts
-	<i>.</i>		'es	
9.	(a) (b)	If the <i>related person</i> is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption?	0	©
10.	(a) (b)	Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed	O ed.	0
11.	Do y		o	0
12.	Do y		ō	
	GINS	l Name of <i>Related Person</i> : BBERG AGGREGATOR GP LLC ary Business Name of <i>Related Person</i> : BBERG AGGREGATOR GP LLC		
3.	-	ted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	r		
4.	(a)	ted Person's CRD Number (if any): 292265 CIK Number(s) (if any):		
	(b)	No Information Filed		

(a) \square broker-dealer, municipal securities dealer, or government securities broker or dealer

	(b) other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (i) trust company (j) accountant or accounting firm (k) lawyer or law firm (i) insurance company or agency (m) pension consultant (n) real estate broker or dealer (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) If		
6.	Do you control or are you controlled by the related person?	Yes O	©
7.	Are you and the <i>related person</i> under common <i>control</i> ?	\odot	0
8.	 (a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8. (a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>? (c) If you have answered "yes" to question 8. (a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i> Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: □ 	0 0	O ets:
		Yes	No
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	\odot
	(b) If the answer is yes, under what exemption?		
10.	 (a) Is the related person registered with a foreign financial regulatory authority? (b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is regist No Information Filed 	O ered.	o
11.	. Do you and the <i>related person</i> share any <i>supervised persons</i> ?	\odot	0
12.	. Do you and the <i>related person</i> share the same physical location?	o	0
	Legal Name of <i>Related Person</i> : EALL LLP		
Ζ.	Primary Business Name of <i>Related Person:</i> EALL LLP		
3.	Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	- or Other		
4.	Related Person's (a) CRD Number (if any): 292250		
	(b) CIK Number(s) (if any): No Information Filed		
5.	 Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners) (c) registered municipal advisor registered security-based swap dealer (e) major security-based swap participant (f) commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (q) futures commission merchant 		

	 (h) (i) (j) (k) (l) (n) (o) (p) 	 real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 		
6.	Do ک	you control or are you controlled by the related person?	Yes ©	No O
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	o	0
8.	(a) (b)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	© 0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the related person's office responsible for custody of your clients' Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: I		
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?		No
	(b)	If the answer is yes, under what exemption?	0	o
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	o	0
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register Name of Country/English Name of <i>Foreign Financial Regulatory Authority</i>	ed.	
		United Kingdom - Financial Conduct Authority		
11.	Doy	you and the <i>related person</i> share any <i>supervised persons</i> ?	\odot	0
12.	Do y	you and the <i>related person</i> share the same physical location?	0	o
1.	0	al Name of <i>Related Person</i> : OTT SPECIAL GP, LLC		
2.		nary Business Name of <i>Related Person</i> : OTT SPECIAL GP, LLC		
3.	Rela -	nted Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er		
4.	<i>Rela</i> (a) (b)	ited Person's CRD Number (if any): 292238 CIK Number(s) (if any):		
		No Information Filed		
5.	Rela (a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k)	 <i>broker-dealer, municipal securities dealer, or government securities broker or dealer</i> <i>broker-dealer, municipal securities dealer, or government securities broker or dealer</i> <i>other investment adviser (including financial planners)</i> <i>registered municipal advisor</i> <i>registered security-based swap dealer</i> <i>major security-based swap participant</i> <i>commodity pool operator or commodity trading advisor (whether registered or exempt from registration)</i> <i>futures commission merchant</i> <i>banking or thrift institution</i> <i>trust company</i> <i>accountant or accounting firm</i> <i>lawver or law firm</i> 		

(k) lawyer or law firm
 (l) insurance company or agency

	(m) 🗖 pension consultant		
	(n) 🗖 real estate broker or dealer		
	(o) 🗖 sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p) 🗹 sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	.,	
,			No
6.	Do you <i>control</i> or are you <i>controlled</i> by the <i>related person</i> ?	\circ	\odot
7.	Are you and the <i>related person</i> under common <i>control</i> ?	\odot	0
8.	(a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	~	~
0.	 (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the 	0	•
	presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c) If you have answered "yes" to question 8. (a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> Number and Street 1: Number and Street 2:	ass	ets:
	City: State: Country: ZIP+4/Postal Code:		
	If this address is a private residence, check this box: \square		
0			No
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	\odot
	(b) If the answer is yes, under what exemption?		
10.	(a) Is the related person registered with a foreign financial regulatory authority?	0	\odot
	(b) If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is register	red.	e
	No Information Filed		
11.	Do you and the related person share any supervised persons?	\odot	\circ
12.	Do you and the <i>related person</i> share the same physical location?	\odot	\circ
1.	Legal Name of <i>Related Person</i> :		
	ELLIOTT CO-INVESTMENT GP LLC		
2.	Primary Business Name of <i>Related Person</i> :		
	ELLIOTT CO-INVESTMENT GP LLC		
3.	<i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	- or		
	Other		
4.	Related Person's		
	(a) CRD Number (if any):		
	(b) CIK Number(s) (if any):		
	No Information Filed		
-			
5.	 Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer 		
	 (a) □ bloker-dealer, municipal securities dealer, or government securities bloker or dealer (b) □ other investment adviser (including financial planners) 		
	(c) registered municipal advisor		
	(d) registered security-based swap dealer		
	(e) major security-based swap participant		
	(f) C commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	 (g) futures commission merchant (h) banking or thrift institution 		
	 (h) L banking or thrift institution (i) L trust company 		
	(j) accountant or accounting firm		
	(k) 🗖 lawyer or law firm		
	(I) insurance company or agency		
	(m) pension consultant		
	 (n) real estate broker or dealer (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles 		
	 (b) I sponsor of syndicator of infinited partnerships (or equivalent), excluding pooled investment vehicles (c) I sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 		
		Yes	No

7.	Are you and the <i>related person</i> under common <i>control</i> ?		
8.	8. (a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?		
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients'</i> funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c) If you have answered "yes" to question 8. (a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i> Number and Street 1: City: State: Country: ZIP+4/Postal Code:	'asse	ets:
	If this address is a private residence, check this box: \square	Yes	No
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	0
	(b) If the answer is yes, under what exemption?		
10.	 (a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i>? (b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed 	O red.	O
11.	Do you and the related person share any supervised persons?	\odot	0
12.	Do you and the <i>related person</i> share the same physical location?	o	0
1.	Legal Name of <i>Related Person</i> : EIMCT LLC		
2.	Primary Business Name of <i>Related Person</i> : EIMCT LLC		
3.	<i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Other		
4.	Related Person's (a) CRD Number (if any): 312162		
	(b) CIK Number(s) (if any): No Information Filed		
5.	Related Person is: (check all that apply)		
	 (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) other investment adviser (including financial planners) (c) registered municipal advisor 		
	 (d) □ registered security-based swap dealer (e) □ major security-based swap participant 		
	 (f)		
	 (i) □ trust company (j) □ accountant or accounting firm 		
	(k) lawyer or law firm		
	 (I) I insurance company or agency (m) pension consultant 		
	(n) Treal estate broker or dealer		
	 (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 		
		Yes	No
6.	Do you control or are you controlled by the related person?	\odot	0
7.	Are you and the <i>related person</i> under common <i>control</i> ?	۲	0
8.	(a) Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	\odot
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not	0	0

	(C)	required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are main of you have answered "yes" to question 8.(a) above, provide the location of the <i>related</i> provide and Street 1: Number and Street 2:		ts:
		City: State: Country: If this address is a private residence, check this box:	ZIP+4/Postal Code:	
			Yes I	No
9.			0	•
10.	(a)) Is the related person registered with a foreign financial regulatory authority?	0	•
11.	Do y	you and the related person share any supervised persons?	©	0
12.	Do y	you and the related person share the same physical location?	0	o
				=
1.	•	gal Name of <i>Related Person</i> : LIOTT INTERMEDIATE CO-INVESTMENT GP L.P.		
2.		imary Business Name of <i>Related Person:</i> LIOTT INTERMEDIATE CO-INVESTMENT GP L.P.		
3.	Relat -	elated Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	her		
4.		elated Person's) CRD Number (if any):		
	(b)) CIK Number(s) (if any):		
		No Information Filed		
5.	 (a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (o) (p) 	 other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exem futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment v 	tment vehicles ehicles	
6.	Do y	you control or are you controlled by the related person?	0	•
7.	Are y	e you and the <i>related person</i> under common <i>control</i> ?	©	0
8.	(b)) If you are registering or registered with the SEC and you have answered "yes," to quest presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5) required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are matching	tion 8.(a) above, have you overcome the)) from the <i>related person</i> and thus are not aintained at the <i>related person</i> ?	0
	(C)	If you have answered "yes" to question 8.(a) above, provide the location of the related µ Number and Street 1: Number and Street 2: City: State: Country: If this address is a private residence, check this box: Image: Country image: C	<i>person's</i> office responsible for <i>custody</i> of your <i>clients'</i> asset ZIP+4/Postal Code:	:s:

9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	\circ
	(b)	If the answer is yes, under what exemption?		
10.	(a) (b)	Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is register		o
11.	Do y	No Information Filed ou and the <i>related person</i> share any <i>supervised persons</i> ?	o	0
	5		e	`
12.	Do y	rou and the <i>related person</i> share the same physical location?	o	0
1.	•	Il Name of <i>Related Person:</i> OTT FINANCIAL ITALIA S.R.L.		
2.		ary Business Name of <i>Related Person:</i> OTT FINANCIAL ITALIA S.R.L.		
3.	Rela -	<i>ted Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othe	er		
4.	<i>Rela</i> (a)	<i>ted Person's</i> <i>CRD</i> Number (if any): 318704		
	(b)	CIK Number(s) (if any): No Information Filed		
5.	 (a) (b) (c) (d) (e) (f) (g) (h) (i) (j) 	ted Person is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution trust company accountant or accounting firm lawyer or law firm insurance company or agency pension consultant real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	Νο
6.	Do y	you control or are you controlled by the related person?		0
7.	Are	you and the <i>related person</i> under common <i>contro</i> ?	o	0
8.	(a) (b) (c)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ? If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i> '	0	⊙ O
		Number and Street 1: Number and Street 2: City: State: If this address is a private residence, check this box:		
			Yes	No
9.	(a)	If the <i>related person</i> is an investment adviser, is it exempt from registration?	0	\odot
	(b)	If the answer is yes, under what exemption?		
10.	. ,	Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ? If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register	O ed.	•

No Information Filed

11. C	Do you and the <i>related person</i> share any <i>supervised persons</i> ?	\odot	0
12. E	Do you and the <i>related person</i> share the same physical location?	0	\odot
tem	7 Private Fund Reporting		
3 Ar	e you an adviser to any <i>private fund</i> ?		s No
5. 7.1		\odot	0
se rej 7.1 ins	"yes," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in a intence and in Instruction 6 of the Instructions to Part 1A. If you are registered or applying for registration with the SEC or reporting as an SEC exer porting adviser, and another SEC-registered adviser or SEC exempt reporting adviser reports this information with respect to any such private fund in B.(1) of Schedule D of its Form ADV (e.g., if you are a subadviser), do not complete Section 7.B.(1) of Schedule D with respect to that private fund. Y stead, complete Section 7.B.(2) of Schedule D.	mpt in Secti You mu	on st,
СО	either case, if you seek to preserve the anonymity of a private fund client by maintaining its identity in your books and records in numerical or alph de, or similar designation, pursuant to rule 204-2(d), you may identify the private fund in Section 7.B.(1) or 7.B.(2) of Schedule D using the same c esignation in place of the fund's name.		
SECT	ION 7.B.(1) Private Fund Reporting		
	Funds per Page: 15 💌 Total Funds: 11		
A P	PRIVATE FUND		
Α. Ι			
Info	ormation About the Private Fund		
1	(a) Nome of the private fund		
1.	(a) Name of the <i>private fund</i> : ELLIOTT ALTO CO-INVESTOR AGGREGATOR L.P.		
	(b) <i>Private fund</i> identification number:		
	(include the "805-" prefix also)		
	805-6772619739		
0			
2.	Under the laws of what state or country is the <i>private fund</i> organized:		
	State: Country: Delaware United States		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	ELLIOTT ALTO AGGREGATOR GP LLC		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	Filing Adviser/Relying Adviser Name		
	ELLIOTT INVESTMENT MANAGEMENT L.P.		
	EIMCT LLC		
	EIMFL LLC		
	EIMFL SUB LLC		
	ELLIOTT MANAGEMENT CORPORATION		_
	EVERGREEN COAST CAPITAL CORP.		
4.	The <i>private fund</i> (check all that apply; you must check at least one):		
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
5.	No Information Filed		
,	(a) la this a "master fund" in a master factor arrangement?	Yes I	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	o

	No Information Filed		
		Yes	Na
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	•
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :		
	<i>Private fund</i> identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	or each	ו of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially al assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		d
8.	(a) Is this private fund a "fund of funds"?	0	•
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investmen vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		~
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	0
10.	What type of fund is the private fund?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other priva	ate fun	d:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 477,705,662		
<u>0w</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 0		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	e	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 39		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
	40%	Yes	

(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to *qualified clients*?

16.	Wh 419	<i>I</i> hat is the approximate percentage of the <i>private fund</i> beneficially owned by 1%	non-United States persons:		
<u>Yo</u>	ur Ac	Advisory Services			
17	(a)	a) Are you a subadvisor to this private fund			s No
Ι/.		a) Are you a subadviser to this <i>private fund</i> ?	umber if any of the obviour of the private fund of the energy	0	\odot
	(b)	b) If the answer to question 17.(a) is "yes," provide the name and SEC file n question 17.(a) is "no," leave this question blank.	umber, if any, of the adviser of the <i>private fund</i> . If the answ	ver to	
		No Informat	ion Filed		
				Yes	s No
18.	(a)	a) Do any investment advisers (other than the investment advisers listed in S	Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	_
	(b)	b) If the answer to question 18.(a) is "yes," provide the name and SEC file n to question 18.(a) is "no," leave this question blank.	umber, if any, of the other advisers to the private fund. If t		
		No Informat	ion Filed		
				Yes	s No
19.	Are	re your <i>clients</i> solicited to invest in the <i>private fund</i> ?		0	\odot
	NO	OTE: For purposes of this question, do not consider feeder funds of the private f	fund.		
20.	Арр	pproximately what percentage of your <i>clients</i> has invested in the <i>private func</i>	<i>1</i> ?		
	0%	%			
<u>Priv</u>	vate	te Offering		Ň	
21	Цас	as the <i>private fund</i> ever relied on an exemption from registration of its securi	ities under Pagulation D of the Securities Act of 19332		s No
21.	1143	as the private rand even relied on an exemption non-registration of its securi	Thes under Regulation D of the Securities Act of 1933:	\odot	0
22.	lfv	yes, provide the <i>private fund's</i> Form D file number (if any):			
		Form D file number			
		021-433725			
<u>Auc</u>	ditor	ors		Yes	s No
23.	(a)	a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?		o	0
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statemer	nts prepared in accordance with U.S. GAAP?	õ	_
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) thro you must complete questions (b) through (f) separately for each auditing f			_
		Additional Auditor Information : 1 Record(s) Filed.			
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) the auditing firm, you must complete questions (b) through (f) separately for			
		(b) Name of the auditing firm: GRANT THORNTON LLP			
		(c) The location of the auditing firm's office responsible for the <i>private f</i>	und's audit (city, state and country);		
		City: State:	Country:		
		NEW YORK New York	United States		
				Yes	No
		(d) Is the auditing firm an <i>independent public accountant</i> ?		\odot	0
		(e) Is the auditing firm registered with the Public Company Accounting	Oversight Board?	\odot	0
		If you Dublic Company Accounting Oversight Deard Accience Mark			
		If yes, Public Company Accounting Oversight Board-Assigned Number 248	: 1:		
		240			
		(f) If "yes" to (e) above, is the auditing firm subject to regular inspection accordance with its rules?	on by the Public Company Accounting Oversight Board in	\odot	0

Investors? (i) Do all of the reports prepared by the auditing firm for the <i>private lund</i> since your last <i>annual updating amendment</i> contain unqualified opin O yes O No O Report Not Yet Received If you check 'Report Not Yet Received If you check 'Report Not Yet Received (a) Does the <i>private fund</i> use one or more prime brokers? If the answer to question 24. (a) is 'yes,'' respond to questions (b) through (c) below for each prime broker. (b) Information Filed stoclan (c) Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each prime broker. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through (g) separately for each custodian. (c) Logal name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian: office responsible for custody of the <i>private fund</i> sasests (city, state and country): City: SAN FRANCISCO California Uniformation Custodian Uniformation Custodian Custodian in formation in the responsible for custody of the <i>private fund</i> sasests (city, state and country): City: City: California Uniformation Custodian Custodian in the custodian: Custodian in the custodian: Custodian in the custodian: Custodian in the custodian: Custodian Custodian Custodian Custodian Custodian: Custod	inve		tly completed tiscal year distributed to the prive	
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(f) If the custodian is a broker-dealer, provide its SEC registration number (if any): -	If th fund Adc If fun (b) (c) (d)	 he answer to question 25. (a) is "yes," respond to questions (b) the d uses more than one custodian, you must complete questions (b) ditional Custodian Information : 1 Record(s) Filed. f the answer to question 25. (a) is "yes," respond to questions (b) und uses more than one custodian, you must complete questions b) Legal name of custodian: WELLS FARGO BANK, N.A. c) Primary business name of custodian: WELLS FARGO BANK, N.A. d) The location of the custodian's office responsible for <i>custody</i> of City: State: SAN FRANCISCO 	through (g) below for each custodian the <i>private</i>) through (g) separately for each custodian. (b) through g) below for each custodian the <i>private</i> (b) through (g) separately for each custodian. (b) through (g) separately for each custodian.	fund uses. If the private e fund uses. If the private
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CRD Number (if any):	If th fund Adc If fun (b) (c) (d) (e)	 he answer to question 25. (a) is "yes," respond to questions (b) the d uses more than one custodian, you must complete questions (b) iditional Custodian Information : 1 Record(s) Filed. f the answer to question 25. (a) is "yes," respond to questions (b) und uses more than one custodian, you must complete questions b) Legal name of custodian: wELLS FARGO BANK, N.A. c) Primary business name of custodian: wELLS FARGO BANK, N.A. d) The location of the custodian's office responsible for <i>custody</i> of City: State: SAN FRANCISCO california e) Is the custodian a <i>related person</i> of your firm? 	through (g) below for each custodian the <i>private</i>) through (g) separately for each custodian. through g) below for each custodian the <i>private</i> (b) through (g) separately for each custodian. the <i>private fund's</i> assets (city, state and countr Country: United States	fund uses. If the private e fund uses. If the private
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any)	If th fund Adc If fun (b) (c) (d) (e)	 he answer to question 25. (a) is "yes," respond to questions (b) the d uses more than one custodian, you must complete questions (b) iditional Custodian Information : 1 Record(s) Filed. f the answer to question 25. (a) is "yes," respond to questions (b) und uses more than one custodian, you must complete questions b) Legal name of custodian: wELLS FARGO BANK, N.A. c) Primary business name of custodian: wELLS FARGO BANK, N.A. d) The location of the custodian's office responsible for <i>custody</i> of City: State: SAN FRANCISCO california e) Is the custodian a <i>related person</i> of your firm? c) If the custodian is a broker-dealer, provide its SEC registration 	through (g) below for each custodian the <i>private</i>) through (g) separately for each custodian. through g) below for each custodian the <i>private</i> (b) through (g) separately for each custodian. the <i>private fund's</i> assets (city, state and countr Country: United States	fund uses. If the private e fund uses. If the private
KB1H1DSPRFMYMCUFXT09	If th <i>fund</i> Adc If <i>fun</i> (b) (c) (c) (d) (e)	 he answer to question 25. (a) is "yes," respond to questions (b) the d uses more than one custodian, you must complete questions (b) iditional Custodian Information : 1 Record(s) Filed. f the answer to question 25. (a) is "yes," respond to questions (b) und uses more than one custodian, you must complete questions b) Legal name of custodian: wELLS FARGO BANK, N.A. c) Primary business name of custodian: wELLS FARGO BANK, N.A. d) The location of the custodian's office responsible for <i>custody</i> of City: State: SAN FRANCISCO c) Is the custodian a <i>related person</i> of your firm? c) If the custodian is a broker-dealer, provide its SEC registration - CRD Number (if any): g) If the custodian is not a broker-dealer, or is a broker-dealer business. 	<pre>through (g) below for each custodian the private) through (g) separately for each custodian. through g) below for each custodian the private (b) through (g) separately for each custodian. the private fund's assets (city, state and countr</pre>	fund uses. If the private e fund uses. If the private ry): Yes N O

Additional Administrator Information : 1 Record(s) Filed.

must complete questions (b) through (f) separately for each administrator.

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

		(b) Name of administrator:	
		HARMONIC FUND SERVICES	
		(c) Location of administrator (city, state and country):	
		City: State: Country:	
		GRAND CAYMAN Cayman Islands	
		Yes	No
		(d) Is the administrator a <i>related person</i> of your firm?	•
		(e) Does the administrator prepare and send investor account statements to the <i>private fund's</i> investors?	
		• Yes (provided to all investors) • Some (provided to some but not all investors) • No (provided to no investors)	
		(f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) <i>private fund's</i> investors? If investor account statements are not sent to the (rest of the) <i>private fund's</i> investors, respond "not applicable."	
27.	Duri	ing your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that is r	not
	your 0%	r related person?	
	relev	ude only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining any evant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including cations) was the valuation determined by such <i>person</i> .)
Ma	rkete		
20	(a)	Describe private funding the convises of company other than you or your employees for marketing purpasse?	es No
28.		Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes? You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, of	
	:	similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fun</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.	
		No Information Filed	
ΔΡ	RIVAT	TE FUND	
7			
Info	ormati	tion About the Private Fund	
1.		Name of the <i>private fund</i> :	
		ELLIOTT ASSOCIATES, L.P. <i>Private fund</i> identification number:	
	• •	(include the "805-" prefix also)	
		805-5338890460	
2.		ler the laws of what state or country is the <i>private fund</i> organized:	
		State:Country:DelawareUnited States	
3.	(a)	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):	
		me of General Partner, Manager, Trustee, or Director	
		LIOTT CAPITAL ADVISORS, L.P.	
	ELL	LIOTT SPECIAL GP, LLC	
	(b)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.	
		ing Adviser/Relying Adviser Name	
		LIOTT INVESTMENT MANAGEMENT L.P.	
	EAL	ACT LLC	

Α.

	EIMFL SUB LLC		
	ELLIOTT ADVISORS (UK) LIMITED		
	ELLIOTT FINANCIAL ITALIA S.R.L.		
	ELLIOTT MANAGEMENT CORPORATION		
	EVERGREEN COAST CAPITAL CORP.		
4.	 The private fund (check all that apply; you must check at least one): □ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 ☑ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	\odot
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?	~	~
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	\odot
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :	č	~
	Private fund identification number: (include the "805-" prefix also)		
7.	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7 for the master-feeder arrangement or reporting on the funds separately. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:		
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it i multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		d
8.	(a) Is this <i>private fund</i> a "fund of funds"?	0	\odot
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	o	0
10.	What type of fund is the <i>private fund</i> ?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other privat	e fun	d:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 27,763,695,912		
<u> </u>	vnership		

12. Minimum investment commitment required of an investor in the private fund:

NUEL: Report the answer to approximate processing of the provide Analyzer related persons (even it different from the annumber of the provide funds beneficial owners): 13. Accordance number of the provide funds beneficial owners): 13. Accordance number of the provide funds beneficial owners): 13. Accordance number of the provide funds beneficial owners): 13. Accordance number of the provide funds beneficial owners): 13. Accordance number of the provide funds beneficial owners): 13. Accordance number of the provide funds beneficial owners): 13. Accordance number of the provide funds beneficial owners): 13. Accordance number of the provide funds beneficial owners): 13. Accordance number of the provide funds beneficial owners): 13. Accordance number of the provide funds beneficial owners): 13. Accordance number of the provide funds beneficial owners): 13. Accordance number of the provide funds beneficial owners): 13. Accordance number of the provide funds beneficial owners): 14. What is the approximate percentage of the provide funds beneficial owners): 15. Accordance number of the provide funds beneficial or consol by non-tables States provide: 16. After a the approximate percentage of the provide funds beneficial or consol by non-tables States provide: 17. Act Accordance number of the provide funds beneficial or consol by non-tables States provide: 17. Act Accordance number of the provide funds beneficial or consol by non-tables States provide funds beneficial or consol the provide funds 17. Act Accord number of the provide funds beneficial or consol the provide funds 17. Act Accord number of the provide funds 17. Act Accord number of the provide funds beneficial or consol the provide funds 17. Act Accord number of the provide funds form and the regulation of the securitis act at the funds accord num		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
NOT: Depart the amount routiney vegure of investors who are not your related persons (even if different from the amount set forth in the eigenstational documents of the fund: Approximation number of the provide surfact beneficial evenes: 1079 What is the approximate persontage of the private hand-beneficially owned (in the aggregated by funds of funds: 20% (b) If the private fund persontage of the private hand-beneficially owned (in the aggregated by funds of funds: 20% (c) If the private fund persontage of the private hand-beneficially owned (in the aggregated by funds of funds: 20% (b) If the private fund guardities for the catabolant from the definition of measurement company under scatting 3(b)(1) of the Investment 20% (c) If the private fund guardities for the catabolant from the definition of measurement company under scatting 3(b)(1) of the Investment 20% (c) An you a subdition to the private hand-beneficially owned on non-balade states persons 20% 20% 20% 20% 20% 20% 20% 20%				
NOT: Apport the sense in mathemy required all investors whence on your related previews from if all certain from the sense is all fail in the engineer state and such that in the investors and your related previews from if all certain from the sense is all fail in the engineer mate percentage of the private fact beneficial events: 13 Approximate number of the next. 14 What is the approximate percentage of the private fact beneficially evened (in the suggregate) by funds of funds: 266 Vest is the approximate percentage of the private fact beneficially evened (in the suggregate) by funds of funds: 266 Vest is the approximate percentage of the private fact beneficially evened (in the suggregate) by funds of funds: 266 Vest is the approximate percentage of the private fact beneficially evened by non <i>Datast States percentes</i> : 267 Vest Motifies (in the private fact beneficially evened by non <i>Datast States</i> percentes: 268 Vest Motifies (in the private fact) 269 What is the approximate percentage of the private fact beneficially evened by non <i>Datast States</i> percence: 276 (a) Are you a subcorder to this private fact? Import the analysis of the private fact? 276 (b) Are you a subcorder to this private fact? Import the private fact? Import the analysis of the private fact? 276 (c) Are you a subcorder to this private fact? Import the interefer of pactes? Import the private fact?		you must complete questions (b) through (f) separately for each auditing firm.	9	•,
ADTF: Report the annound institute inspirate of increases whe are not your related persons (seen if different from the annound set forth in the engineering of the private tune's beneficial conners; 127 42. What is the approximate person legislation of the private fund here fidelity reveal by you and your related previous. 128. 129. What is the approximate person legislation from the dentition of investment company under section 3(c)(1) of the investment company and of 1040, are seles of the private fund beneficially evened in the under section 3(c)(1) of the investment company and of 1040, are seles of the find limited to qualifies dentition of investment company under section 3(c)(1) of the investment company and of 1040, are seles of the find limited to qualifies dentify 6. What is the approximate person legislation from the dentition of investment company under section 3(c)(1) of the investment company and of 1040, are seles of the find limited to qualifies dentify 7. (a) Are you a subadvact to this private fund? 7. (b) Are you a subadvact to this private fund? 8. (c) Ut the answer to quastion 10.1(a) is "yes", provide the name and SEC the number; it any, of the advise of the private fund. If the answer to quastion 11.1(a) is "yes", provide the name and SEC the number; it any, of the advise of the private fund. If the answer to quastion 11.1(a) is "yes", provide the name and SEC the number; it any, of the advise of the private fund. If the answer to quastion 11.1(a) is "yes", provide the name and SEC the number; it any, of the advise fund fund. If the answer? 8. (a) De any investment advisers (other than the investment advisers listed in Section 2.8.(1) A 3.(b) advise the private fund. If the answer to quastion 11.1(a) is "yes", provide the name and SEC the number; it any, of the other nextex is the private fund. If the answer to quastion 11.1(b) is "yes", provide thank and SEC the number; it any, of the other nextex is the private fund.			_	
NOIL: Report the amount notifiely regulated of investors who are not your related persons (even if different from the amount set forth in the argumentational documents of the fund). 13. Approximatic number of the previous family beneficially owned by you and your related persons: 137.9 14. What is the approximate percentage of the private family beneficially owned by you and your related persons: 128. 15. (a) What is the approximate percentage of the private family beneficially owned (by you and your related persons: 20%. Yes (b) If the private family beneficially owned by you and your related persons: 20%. Yes (c) What is the approximate percentage of the private family beneficially owned by non-Unifed States persons: 0 (c) If the private family beneficially owned by non-Unifed States persons: 0 (d) Are you is subporter to this private family beneficially owned by non-Unifed States persons: 0 (e) What is the approximate percentage of the private family beneficially owned by non-Unifed States persons: 0 (e) If the answer to question 17 (a) is 'yes,'' provide the name and SEC file number. If any, of the adviser of the private famil. If the answer to question 18 (a) is 'no,'' (a) is 'yes,'' provide the name and SEC file number. If any, of the other advisers to the private famil. If the answer to question 18 (a) is 'no,'' (a) is 'yes,'' provide the name and SEC file number. If any, of the other advisers to the private famil. If the answer to question 18 (a) is '	23. (a)) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?		_
NOI:: Neport the answer to upstime to this private fund Intermetion of the private fund in the private fund nemetically owned by you and your related persons 12: Approximate number of the private fund nemetically owned by you and your related persons 12: (a) What is the approximate percentage of the private fund nemetically owned by you and your related persons 12: (b) If the approximate percentage of the private fund nemetically owned by you and your related persons 2:00 Vest to the approximate percentage of the private fund nemetically owned by you and your related persons 2:00 (c) What is the approximate percentage of the private fund nemetically owned by you and your related persons 2:00 (c) The private fund qualifies for the exclusion from the destinition of investment company under section 3(c)(1) of the investment (c) 0 0: (c) Aro you a subdefine percentage of the private fund tendfieldly owned by non-United States persons: (c) 0 0: (c) Aro you a subdefine percentage of the private fund (c) 0 (c) 0 12: (a) Aro you a subdefine to this private fund? (c) 0 (c) 0 13: (a) If the unserver to coustim 17 (a) is 'yes,'' provide the nume and SED file number, if any, of the other advisors fund? (c) 0 14: (b) Do any investment advisors (other than the lowestment advisors liched in Sector 7.11 (1).A.3. (b)) arvise the private fund?	<u>Audito</u>	<u>rs</u>		
NOTE: Report the amount routiledy required of investors one are not your related persons (even if different from the amount set forth in the organizational documents of the tund). 13. Approximate number of the private bandidal owners: 1079 14. What is the approximate percentage of the private band beneficially owned by you and your related persons: 12% 15. (a) What is the approximate percentage of the private band beneficially owned (in the aggregate) by funds of funds: 20% (b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are solve of the private fund beneficially owned by non-britter States persense: 0% 70ur Advisory Services 71. (a) Are you a subadvisor to this private fund? 10. (b) If the answer to question 17. (a) is "you" private fund beneficially owned by non-britter States persense: 0% 11. (a) De any investment advisors (other than the investment and SEC file number, if any, of the odvisor of the private fund? 12. (b) It measure to question 12. (c) is "no," leave this question black. 12. (a) De any investment advisers (other than the investment advisers listed in Section 7. B. (c) as "no," leave the question 18. (c) is "no," leave the question black. 12. (b) De any investment advisers (other than the investment advisers listed in Section 7. B. (c) as "no," leave the question black. <t< th=""><th>B. SERV</th><th>TCE PROVIDERS</th><th></th><th></th></t<>	B. SERV	TCE PROVIDERS		
 Note: Report the unwant routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the brivate fund beneficial owners: 1979 Approximate number of the private fund's beneficial owners: 1979 What is the approximate percentage of the private fund beneficially owned (in the aggregate) by funds of funds: 2019 (a) What is the upproximate percentage of the private fund beneficially owned (in the aggregate) by funds of funds: 2019 (b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the investment Company Act of 1940, are sales of the fund beneficially owned by non-United States persons: 0% (c) What is the approximate percentage of the private fund beneficially owned by non-United States persons: 0% (d) If the answer to question 17 (a) is "typs," provide the name and SEC file number, if any, of the advisor fund, if the answer to question 17 (a) is "no." leave this question blank. (e) If the answer to question 18 (a) is "no." leave this question blank. (f) If the unswer to question 18 (a) is "no." leave this question blank. (g) If the unswer to question 18 (a) is "no." leave this question blank. (h) If the unswer to question 18 (a) is "no." leave this question blank. (h) If the unswer to question 18 (a) is "no." leave this question blank. (h) If the unswer to question 18 (a) is "no." leave this question blank. (h) If the unswer to question 18 (b) is "no." leave this question blank. (h) If the unswer to question 18 (b) is "no." leave this question blank. (h) If the unswer to question 18 (b) is "no." leave this question blank. (h) If the unswer to question 18 (b) is "no." leave this question blank. (h) If the unswer to question 18 (b) is "no." leave this question blank. (h) If the unswer to question 18 (b				
Approximate number of the grivate funds beneficial evenes: 1079 19. What is the approximate percentage of the private fund beneficially owned by you and your related persons: 123 19. What is the approximate percentage of the private fund beneficially owned by you and your related persons: 123 19. What is the approximate percentage of the private fund beneficially owned (in the aggregate) by funds of funds: 265. Yes No (b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(s)(1) of the Investment (c) What is the approximate percentage of the private fund beneficially owned by non-Unifed States persons: 052 Yes No (c) What is the approximate percentage of the private fund beneficially owned by non-Unifed States persons: 052 Your Advisory Services 10. What is the approximate percentage of the private fund beneficially owned by non-Unifed States persons: 052 Your Advisory Services 11. (a) Are you a subadviser to this private fund? (b) If the answer to quastion 12 (a) is "no." leave this question blank. No Information Filed Yes No (c) If the answer to quustion 12 (a) is "no." have this question blank. No Information Filed Yes No (c) Are you a subadvisers (other than the investment advisers listed in Section 7 (b) (1) A.3 (b)) advise the private fund. (c) If the answer to quustion 16 (a) is "no." leave this question blank. No Information Filed Yes No (c) Are you as possion, do not consider fleater funds of the private fund? (c) Are you approximate percentage of your clients has invested in the private fund? (c) If the answer to quustion 16 (a) is "no." leave this question blank. No Information Filed Yes No (c) Approximately what percentage of your clients has invested in the private fund? (c) Approximately what percentage of your clients has invested in the pr				
NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund). 13. Approximate number of the private fund's beneficial owners: 1079 14. What is the approximate percentage of the private fund beneficially owned by you and your related persons: 12%. 15. (a) What is the approximate percentage of the private fund beneficially owned (in the aggregate) by funds of funds: 26% Yes (b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the investment Company Act of 1940, are sales of the fund limited to qualified cleats? 16. What is the approximate percentage of the private fund beneficially owned by non-United States persons: 0%6 Your Advisory Services Yes No 17. (a) Are you a subadviser to this private fund? No 18. (b) De any investment advisors (other that the investment advisors listed in Section 7 U.(1) A.3.(b)) advise the private fund. If the answer to question 17.(a) is "no," leave this question blank. 18. (a) De any investment advisors (other this duals for finds of the private fund? C (b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the adviser to the private fund. If the answer to question 18.(a) is "no," leave this question blank. (c) Are your				_
NOTE: Report the amount routinely required of investors who are not your <i>celoide persons</i> (even if different from the amount set forth in the organizational documents of the fund). 13. Approximate number of the <i>private fund's</i> beneficial owners: 1079 14. What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your related persons: 122% 15. (a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of tunds: 26%. (b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified cleaks</i> ? 16. What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0% Your Advisory Services Yees 17. (a) Are you a subadvisor to this <i>private fund</i> ? (b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the advisor of the <i>private fund</i> . If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the divisor to the <i>private fund</i> ? 16. (a) Do any investment advisors (other than the investment advisors listed in Socian 7.B.(1).A.3.(b) advise the <i>private fund</i> . If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisors to the <i>private fund</i> . If the answer to question 18.(a) is "yes," provide the name and SEC file number,				
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NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund). 13. Approximate number of the <i>private fund's</i> beneficial owners: 1079 14. What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 12% 15. (a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 26% (b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the investment Company Act of 1940, are sales of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0% (c) If the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0% (d) Are you a subadviser to this <i>private fund</i> ? (e) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> ? (f) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> ? (f) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> ? (f) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> ? (f) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> ? (f) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> ? (f) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> ? (f) If the answer to question 18.(a) is advisers (other than the investment advisers listed in Section 7.6.(1) A.3.(b)) advise the <i>private fund</i> ? (f) If the answer to question 18.(a) is "yes," provide the name and SEC file n				
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NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the				
	NC		è	

(b) Name of the auditing firm:

		GRANT THORNTON LLP				
	(c)	The location of the aud	ting firm's office responsible for th	ne <i>private fund's</i> audit (city, state and country):		
		City:	State:	Country:		
		NEW YORK	New York	United States	Yes	No
	(d)	Is the auditing firm an	independent public accountant?		o	0
	(e)	Is the auditing firm reg	istered with the Public Company A	Accounting Oversight Board?	o	0
			Accounting Oversight Board-Assig	ned Number:		
		248				
	(f)	If "yes" to (e) above, is accordance with its rule	• • •	lar inspection by the Public Company Accounting Oversight Board in	۲	0
					Ye	es No
(g)) Are th inves		financial statements for the most	recently completed fiscal year distributed to the private fund's	Ø	• •
(h)) Do all	l of the reports prepared	by the auditing firm for the privation	te fund since your last annual updating amendment contain unqualified	d opinio	ons?
	⊙ Ye	es O No O Report Not	Yet Received			
	If you	ı check "Report Not Yet R	eceived," you must promptly file an	amendment to your Form ADV to update your response when the repor	t is ava	ilable.
Prime	Broker					
					Ye	es No
24. (a)		•	or more prime brokers?		(• •
		'		(b) through (e) below for each prime broker the <i>private fund</i> uses. If estions (b) through (e) separately for each prime broker.	the pr	ivate
	Addi	tional Prime Broker In	ormation : 26 Record(s) Filed.			
				ns (b) through (e) below for each prime broker the <i>private fund</i> uses. plete questions (b) through (e) separately for each prime broker.	If the	
	(b)	Name of the prime brok BARCLAYS BANK PLC	er:			
	(c)	If the prime broker is r	egistered with the SEC, its registra	ation number:		
		- CRD Number (if any):				
	(d)	Location of prime broke	r's office used principally by the p	private fund (city, state and country):		
		City: LONDON	State:	Country: United Kingdom		
		LONDON		United Kingdom	Yes	No
	(e)	Does this prime broker	act as custodian for some or all or	f the <i>private fund's</i> assets?	۲	0
				ns (b) through (e) below for each prime broker the <i>private fund</i> uses. aplete questions (b) through (e) separately for each prime broker.	If the	
	(b)	Name of the prime brok BARCLAYS CAPITAL INC				
	(c)	If the prime broker is r 8 - 41342	egistered with the SEC, its registra	ation number:		
		CRD Number (if any): 19714				

NEW YO		State:	Country:	
	RK	New York	United States	Yes N
(e) Does this	s prime broker act as cus	stodian for some or all of the	private fund's assets?	•
	•		b) through (e) below for each prime broker the <i>private fund</i> e questions (b) through (e) separately for each prime broke	
	the prime broker: IBAS PRIME BROKERAGE	INTERNATIONAL, LIMITED		
c) If the pr	ime broker is registered	with the SEC, its registration	number:	
- CRD Nun	nber (if any):			
	of prime broker's office		e fund (city, state and country):	
City: DUBLIN		State:	Country: Ireland	
				Yes N
e) Does thi	s prime broker act as cus	stodian for some or all of the	private fund's assets?	o o
-	ime broker is registered not the second s	with the SEC, its registration	number:	
- CRD Nun	nber (if any):		number: e fund (city, state and country):	
- CRD Nun (d) Location City:	nber (if any): of prime broker's office of	used principally by the <i>privat</i> e State:	<i>e fund</i> (city, state and country): Country:	
CRD Nun	nber (if any): of prime broker's office of	used principally by the <i>privat</i>	<i>e fund</i> (city, state and country):	Yes N
- CRD Nun (d) Location City: NEW YOI	nber (if any): of prime broker's office i RK	used principally by the <i>privat</i> e State:	<i>e fund</i> (city, state and country): Country: United States	Yes M
CRD Nun (d) Location City: NEW YOI (e) Does this f the answe	nber (if any): of prime broker's office of RK s prime broker act as cus r to question 24.(a) is "y	used principally by the <i>private</i> State: New York stodian for some or all of the yes," respond to questions (b	<i>e fund</i> (city, state and country): Country: United States	O uses. If the
CRD Nun CRD Nun City: NEW YOI e) Does this f the answe private fund to b) Name of	nber (if any): of prime broker's office of RK s prime broker act as cus r to question 24.(a) is "y	used principally by the <i>private</i> State: New York stodian for some or all of the yes," respond to questions (b	e fund (city, state and country): Country: United States <i>private fund's</i> assets?) through (e) below for each prime broker the <i>private fund</i>	O uses. If the
(d) Location City: NEW YOI (e) Does this f the answe private fund of BNP PAR	nber (if any): of prime broker's office of RK s prime broker act as cus or to question 24.(a) is "y uses more than one prime the prime broker: BAS SECURITIES CORP. ime broker is registered	used principally by the <i>private</i> State: New York stodian for some or all of the yes," respond to questions (b	e fund (city, state and country): Country: United States private fund's assets?) through (e) below for each prime broker the private fund e questions (b) through (e) separately for each prime broke	O uses. If the
 CRD Nun (d) Location City: NEW YOI (e) Does this (e) Does this (e) Does this (e) Does this (f) the answe private fund to be an an	nber (if any): of prime broker's office of RK s prime broker act as cus or to question 24.(a) is "y uses more than one prime the prime broker: BAS SECURITIES CORP. ime broker is registered	used principally by the <i>private</i> State: New York stodian for some or all of the ves," respond to questions (b le broker, you must complete	e fund (city, state and country): Country: United States private fund's assets?) through (e) below for each prime broker the private fund e questions (b) through (e) separately for each prime broke	O uses. If the
 CRD Nun (d) Location City: NEW YOI (e) Does this (e) Does this (e) Does this (f) the answe brivate fund to (b) Name of BNP PAR (c) If the pr 8 - 3268 CRD Nun 15794 	nber (if any): of prime broker's office of RK s prime broker act as cus r to question 24.(a) is "y uses more than one prime the prime broker: BAS SECURITIES CORP. ime broker is registered of 2 nber (if any):	used principally by the <i>private</i> State: New York stodian for some or all of the yes," respond to questions (b he broker, you must complete with the SEC, its registration	e fund (city, state and country): Country: United States private fund's assets?) through (e) below for each prime broker the private fund e questions (b) through (e) separately for each prime broke	O uses. If the
 CRD Nun (d) Location City: NEW YOI (e) Does this (e) Does this (e) Does this (f) the answe brivate fund to (b) Name of BNP PAR (c) If the pr 8 - 3268 CRD Nun 15794 (d) Location City: 	nber (if any): of prime broker's office of RK s prime broker act as cus or to question 24.(a) is "y uses more than one prime the prime broker: BAS SECURITIES CORP. ime broker is registered of 2 nber (if any): of prime broker's office of	used principally by the <i>private</i> State: New York stodian for some or all of the ves," respond to questions (b be broker, you must complete with the SEC, its registration used principally by the <i>private</i> State:	e fund (city, state and country): Country: United States private fund's assets? a) through (e) below for each prime broker the private fund e questions (b) through (e) separately for each prime broket number: e fund (city, state and country): Country:	O uses. If the
- CRD Nun (d) Location City: NEW YOI (e) Does this (e) Does this (e) Does this (c) Name of BNP PAR (c) If the pr 8 - 3268 CRD Nun 15794 (d) Location	nber (if any): of prime broker's office of RK s prime broker act as cus or to question 24.(a) is "y uses more than one prime the prime broker: BAS SECURITIES CORP. ime broker is registered of 2 nber (if any): of prime broker's office of	used principally by the <i>private</i> State: New York stodian for some or all of the ves," respond to questions (b te broker, you must complete with the SEC, its registration	e fund (city, state and country): Country: United States private fund's assets? •) through (e) below for each prime broker the private fund e questions (b) through (e) separately for each prime broke number:	O uses. If the

		through (e) below for each prime broker the questions (b) through (e) separately for each	
(b) Name of the prime broker BOFA SECURITIES, INC.	:		
(c) If the prime broker is reg 8 - 69787	istered with the SEC, its registration n	umber:	
CRD Number (if any): 283942			
	s office used principally by the private		
City: NEW YORK	State: New York	Country: United States	Yes No
(e) Does this prime broker ac	t as custodian for some or all of the p	rivate fund's assets?	© 0
-	· · · · · · · · · · · · · · · · · · ·	through (e) below for each prime broker the questions (b) through (e) separately for each	•
(b) Name of the prime broker CITIBANK, N.A.			
(c) If the prime broker is reg	istered with the SEC, its registration n	umber:	
CRD Number (if any):			
(d) Location of prime broker's City: NEW YORK	s office used principally by the <i>private</i> . State: New York	<i>fund</i> (city, state and country): Country: United States	
			Yes No
(e) Does this prime broker ac	t as custodian for some or all of the p		• •
-		through (e) below for each prime broker the questions (b) through (e) separately for each	•
(b) Name of the prime broker CITICORP SECURITIES SE			
(c) If the prime broker is reg 8 - 32117	istered with the SEC, its registration n	umber:	
CRD Number (if any): 15387			
		fund (city, state and country):	
(d) Location of prime broker's		Country	
(d) Location of prime broker's City: NEW YORK	Soffice used principally by the <i>private</i> : State: New York	Country: United States	Yes No
City: NEW YORK	State:	United States	Yes No © O
City: NEW YORK	State: New York	United States	
City: NEW YORK (e) Does this prime broker ac If the answer to question 24.	State: New York as custodian for some or all of the p (a) is "yes," respond to questions (b)	United States	<i>private fund</i> uses. If the

CITIGROUP GLOBAL MARKETS INC.

(C)	If the prime broker is registered with the SEC, its registration number:		
	8 - 8177		
	CRD Number (if any): 7059		
	Leasting of prime brokering office used primeingly, by the private fund (sity, state and sountry).		
(a)	Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):City:State:Country:		
	NEW YORK New York United States		
		Yes	s No
(e)	Does this prime broker act as custodian for some or all of the private fund's assets?	\odot	0
	he answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. vate fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	If the	
(b)	Name of the prime broker: CITIGROUP GLOBAL MARKETS LIMITED		
(c)	If the prime broker is registered with the SEC, its registration number:		
	CRD Number (if any):		
(d)	Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):		
	City: State: Country:		
	LONDON United Kingdom	.,	
	Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets?		s No
(e)		O	0
	vate fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: CREDIT SUISSE AG		
(c)	If the prime broker is registered with the SEC, its registration number:		
	- CRD Number (if any):		
(d)	Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):		
	City: State: Country:		
	DUBLIN Ireland		
		Yes	s No
(e)	Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets?	\odot	0
	he answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. <i>vate fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	If the	
(b)	Name of the prime broker: CREDIT SUISSE SECURITIES (USA) LLC		
(c)	If the prime broker is registered with the SEC, its registration number:		
	8 - 422		
	8 - 422 CRD Number (if any):		
	8 - 422 CRD Number (if any): 816		

(d) Location of prime broker's office used principally by the *private fund* (city, state and country):

NEW YORK	New York	United States		
	New TOTK	United States	Yes	N
e) Does this prime broker a	ict as custodian for some or all of the p	private fund's assets?	٥	(
		through (e) below for each prime broker the <i>private fund</i> u questions (b) through (e) separately for each prime broker.		
b) Name of the prime broke GOLDMAN SACHS & CO.	ir:			
c) If the prime broker is reg 8 - 129	gistered with the SEC, its registration n	number:		
CRD Number (if any): 361				
	's office used principally by the <i>private</i>			
City: NEW YORK	State: New York	Country: United States	Yes	
e) Does this prime broker a	ict as custodian for some or all of the p	private fund's assets?	o	0
b) Name of the prime broke GOLDMAN SACHS INTERN				
		number:		
 GOLDMAN SACHS INTERN c) If the prime broker is reg - CRD Number (if any): d) Location of prime broker 	NATIONAL gistered with the SEC, its registration n 's office used principally by the <i>private</i>	<i>fund</i> (city, state and country):		
GOLDMAN SACHS INTERN c) If the prime broker is reg - CRD Number (if any):	NATIONAL			
 GOLDMAN SACHS INTERN c) If the prime broker is reg - CRD Number (if any): d) Location of prime broker City: LONDON 	NATIONAL gistered with the SEC, its registration n 's office used principally by the <i>private</i> State:	<i>fund</i> (city, state and country): Country: United Kingdom	Yes	: N
 GOLDMAN SACHS INTERN c) If the prime broker is reg - CRD Number (if any): d) Location of prime broker City: LONDON 	NATIONAL gistered with the SEC, its registration n 's office used principally by the <i>private</i>	<i>fund</i> (city, state and country): Country: United Kingdom	Yes	
GOLDMAN SACHS INTERN c) If the prime broker is reg - CRD Number (if any): d) Location of prime broker City: LONDON e) Does this prime broker a	NATIONAL gistered with the SEC, its registration n 's office used principally by the <i>private</i> State: 	<i>fund</i> (city, state and country): Country: United Kingdom	© ses. If the	
GOLDMAN SACHS INTERN c) If the prime broker is reg - CRD Number (if any): d) Location of prime broker City: LONDON e) Does this prime broker a	NATIONAL gistered with the SEC, its registration n 's office used principally by the <i>private</i> State: 	<i>fund</i> (city, state and country): Country: United Kingdom <i>private fund's</i> assets? through (e) below for each prime broker the <i>private fund</i> u	© ses. If the	
 GOLDMAN SACHS INTERN c) If the prime broker is reg - CRD Number (if any): d) Location of prime broker City: LONDON e) Does this prime broker a f the answer to question 24 private fund uses more than a b) Name of the prime broke J.P. MORGAN SECURITIES	NATIONAL gistered with the SEC, its registration n 's office used principally by the <i>private</i> State: 	<i>fund</i> (city, state and country): Country: United Kingdom <i>private fund's</i> assets? through (e) below for each prime broker the <i>private fund</i> u questions (b) through (e) separately for each prime broker.	© ses. If the	
 GOLDMAN SACHS INTERN c) If the prime broker is reg - CRD Number (if any): d) Location of prime broker City: LONDON e) Does this prime broker a f the answer to question 24 private fund uses more than a b) Name of the prime broke J.P. MORGAN SECURITIES c) If the prime broker is reg 	NATIONAL gistered with the SEC, its registration m 's office used principally by the <i>private</i> State: Act as custodian for some or all of the <i>p</i> (a) is "yes," respond to questions (b) one prime broker, you must complete of er: S LLC	<i>fund</i> (city, state and country): Country: United Kingdom <i>private fund's</i> assets? through (e) below for each prime broker the <i>private fund</i> u questions (b) through (e) separately for each prime broker.	© ses. If the	
 GOLDMAN SACHS INTERN c) If the prime broker is reg CRD Number (if any): d) Location of prime broker City: LONDON e) Does this prime broker a f the answer to question 24 b) Name of the prime broker than a b) Name of the prime broker c) If the prime broker is reg 8 - 35008 CRD Number (if any): 79 d) Location of prime broker 	NATIONAL gistered with the SEC, its registration n 's office used principally by the <i>private</i> State: 	fund (city, state and country): Country: United Kingdom private fund's assets? through (e) below for each prime broker the private fund u questions (b) through (e) separately for each prime broker.	© ses. If the	
 GOLDMAN SACHS INTERN c) If the prime broker is reg CRD Number (if any): d) Location of prime broker City: LONDON e) Does this prime broker a f the answer to question 24 private fund uses more than of b) Name of the prime broke J.P. MORGAN SECURITIES c) If the prime broker is reg 8 - 35008 CRD Number (if any): 79 	VATIONAL gistered with the SEC, its registration n 's office used principally by the <i>private</i> State: 	<i>fund</i> (city, state and country): Country: United Kingdom <i>private fund's</i> assets? through (e) below for each prime broker the <i>private fund</i> u questions (b) through (e) separately for each prime broker.	© ses. If the	
 GOLDMAN SACHS INTERN c) If the prime broker is reg CRD Number (if any): d) Location of prime broker City: LONDON e) Does this prime broker a f the answer to question 24 private fund uses more than a b) Name of the prime broker J.P. MORGAN SECURITIES c) If the prime broker is reg 8 - 35008 CRD Number (if any): 79 d) Location of prime broker 	NATIONAL gistered with the SEC, its registration n 's office used principally by the <i>private</i> State: .(a) is "yes," respond to questions (b) one prime broker, you must complete of er: S LLC gistered with the SEC, its registration n 's office used principally by the <i>private</i> State:	fund (city, state and country): Country: United Kingdom private fund's assets? through (e) below for each prime broker the private fund u questions (b) through (e) separately for each prime broker. number: fund (city, state and country): Country:	© ses. If the	(

	ate fund uses more than one prime broker, you must complete questions	(b) through (e) separately for each prime broker.		
	Name of the prime broker: J.P. MORGAN SECURITIES PLC			
(c) I	If the prime broker is registered with the SEC, its registration number:			
-	- CRD Number (if any):			
	Location of prime broker's office used principally by the <i>private fund</i> (city,			
	City:State:Country:LONDONUnited K		Yes	No
(e) [Does this prime broker act as custodian for some or all of the private fun	d's assets?	©	0
]
11	ne answer to question 24.(a) is "yes," respond to questions (b) through (ate fund uses more than one prime broker, you must complete questions	•	f the	
	Name of the prime broker: MERRILL LYNCH INTERNATIONAL			
(c) I	If the prime broker is registered with the SEC, its registration number:			
C	CRD Number (if any):			
(d) L	Location of prime broker's office used principally by the <i>private fund</i> (city,	state and country):		
	City:State:Country:LONDONUnited K			
(e) [Does this prime broker act as custodian for some or all of the private fun	d's assets?	Yes	No
				~
	ne answer to question 24.(a) is "yes," respond to questions (b) through (ate fund uses more than one prime broker, you must complete questions	•	f the	~
priva (b) N		•	f the	
priva (b) M M (c) I	ate fund uses more than one prime broker, you must complete questions Name of the prime broker: MERRILL LYNCH PROFESSIONAL CLEARING CORP. If the prime broker is registered with the SEC, its registration number:	•	f the	
priva (b) M (c) I 8	ate fund uses more than one prime broker, you must complete questions Name of the prime broker: MERRILL LYNCH PROFESSIONAL CLEARING CORP.	•	f the	
priva (b) N (c) I (d) L	ate fund uses more than one prime broker, you must complete questions Name of the prime broker: MERRILL LYNCH PROFESSIONAL CLEARING CORP. If the prime broker is registered with the SEC, its registration number: 8 - 33359 CRD Number (if any): 16139 Location of prime broker's office used principally by the <i>private fund</i> (city,	(b) through (e) separately for each prime broker.	f the	
(b) N (c) I (c) I (d) L	ate fund uses more than one prime broker, you must complete questions Name of the prime broker: MERRILL LYNCH PROFESSIONAL CLEARING CORP. If the prime broker is registered with the SEC, its registration number: 8 - 33359 CRD Number (if any): 16139	(b) through (e) separately for each prime broker.		Νο
priva (b) N N (c) I 8 (d) L C N	ate fund uses more than one prime broker, you must complete questions Name of the prime broker: MERRILL LYNCH PROFESSIONAL CLEARING CORP. If the prime broker is registered with the SEC, its registration number: 8 - 33359 CRD Number (if any): 16139 Location of prime broker's office used principally by the <i>private fund</i> (city, City: State:	(b) through (e) separately for each prime broker. state and country): Country: United States	f the Yes	No
priva (b) N (c) I (d) L (d) L	ate fund uses more than one prime broker, you must complete questions Name of the prime broker: MERRILL LYNCH PROFESSIONAL CLEARING CORP. If the prime broker is registered with the SEC, its registration number: 8 - 33359 CRD Number (if any): 16139 Location of prime broker's office used principally by the <i>private fund</i> (city, City: State: NEW YORK New York	(b) through (e) separately for each prime broker. state and country): Country: United States	Yes	No
priva (b) N (c) I (c) I (d) L (d) L (e) E	ate fund uses more than one prime broker, you must complete questions Name of the prime broker: MERRILL LYNCH PROFESSIONAL CLEARING CORP. If the prime broker is registered with the SEC, its registration number: 8 - 33359 CRD Number (if any): 16139 Location of prime broker's office used principally by the <i>private fund</i> (city, City: State: NEW YORK New York	(b) through (e) separately for each prime broker. state and country): Country: United States d's assets? e) below for each prime broker the <i>private fund</i> uses.	Yes •	No

MORGAN STANLEY & CO. INTERNATIONAL PLC

(c)	If the prime broker is registered with the SEC, its registration number:			
	- CRD Number (if any):			
(d)	Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):			
(0.)	City: State: Country:			
	LONDON United Kingdom			
	Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets?	Yes		_
(e)		\odot		0
	he answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. <i>vate fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	If the	è	
(b)	Name of the prime broker: MORGAN STANLEY & CO. LLC			
(c)	If the prime broker is registered with the SEC, its registration number:			
	8 - 15869			
	CRD Number (if any): 8209			
(d)	Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):			
	City:State:Country:NEW YORKNew YorkUnited States			
		Yes	s I	No
(e)	Does this prime broker act as custodian for some or all of the private fund's assets?	\odot		0
pri	he answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. <i>vate fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: NOMURA INTERNATIONAL PLC	If the	2	
(c)	If the prime broker is registered with the SEC, its registration number:			
	- CRD Number (if any):			
(d)	Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):			
(G)	City: State: Country:			
	LONDON United Kingdom			
	Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets?	Yes		_
(e)		o		0
	he answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. <i>vate fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	If the	<u>)</u>	
(b)	Name of the prime broker: NOMURA SECURITIES INTERNATIONAL, INC.			
(c)	If the prime broker is registered with the SEC, its registration number:			
	8 - 15255			
	CRD Number (if any):			
	4297			

(d) Location of prime broker's office used principally by the *private fund* (city, state and country):

NEW YORK				
	New York	United States	Yes	N
e) Does this prime broker a	act as custodian for some or all of the p	rivate fund's assets?	©	(
		through (e) below for each prime broker the <i>private</i> i		
<i>rivate fund</i> uses more than	one prime broker, you must complete c	uestions (b) through (e) separately for each prime b	proker.	
b) Name of the prime broke PERSHING LLC	эг: Эг			
c) If the prime broker is rep 8 - 17574	gistered with the SEC, its registration n	umber:		
CRD Number (if any): 7560				
	's office used principally by the private f			
City: JERSEY CITY	State: New Jersey	Country: United States		
e) Does this prime broker a	act as custodian for some or all of the p	rivate fund's assets?	Yes ©	٦ ر
b) Name of the prime broke	۶r:			
UBS AG	er: gistered with the SEC, its registration n	umber:		
UBS AG c) If the prime broker is re- - CRD Number (if any):				
UBS AG c) If the prime broker is re- - CRD Number (if any): d) Location of prime broker City:	gistered with the SEC, its registration n	<i>und</i> (city, state and country): Country:		
UBS AG c) If the prime broker is re- - CRD Number (if any): d) Location of prime broker	gistered with the SEC, its registration n 's office used principally by the <i>private t</i>	und (city, state and country):	Yes	Γ
 c) If the prime broker is reported as a second strain of prime broker d) Location of prime broker City: LONDON 	gistered with the SEC, its registration n 's office used principally by the <i>private t</i>	<i>und</i> (city, state and country): Country: United Kingdom	Yes	
UBS AG c) If the prime broker is read - CRD Number (if any): d) Location of prime broker City: LONDON e) Does this prime broker a f the answer to question 24	gistered with the SEC, its registration n "'s office used principally by the <i>private t</i> State: Act as custodian for some or all of the <i>p</i>	<i>und</i> (city, state and country): Country: United Kingdom	© fund uses. If the	
UBS AG c) If the prime broker is read - CRD Number (if any): d) Location of prime broker City: LONDON e) Does this prime broker a f the answer to question 24 private fund uses more than	gistered with the SEC, its registration n "'s office used principally by the <i>private t</i> State: act as custodian for some or all of the <i>p</i> t.(a) is "yes," respond to questions (b) one prime broker, you must complete c	<i>und</i> (city, state and country): Country: United Kingdom <i>rivate fund's</i> assets? through (e) below for each prime broker the <i>private</i> i	© fund uses. If the	
UBS AG c) If the prime broker is read - CRD Number (if any): d) Location of prime broker City: LONDON e) Does this prime broker a f the answer to question 24 private fund uses more than b) Name of the prime broke UBS SECURITIES LLC	gistered with the SEC, its registration n "'s office used principally by the <i>private t</i> State: act as custodian for some or all of the <i>p</i> t.(a) is "yes," respond to questions (b) one prime broker, you must complete c	<i>und</i> (city, state and country): Country: United Kingdom <i>rivate fund's</i> assets? through (e) below for each prime broker the <i>private i</i> juestions (b) through (e) separately for each prime b	© fund uses. If the	
UBS AG C) If the prime broker is reaction CRD Number (if any): CRD Number (if any): CRD Number (if any): City: LONDON City: LONDON C) Does this prime broker a City: Contemport of the prime broker a City: C) If the prime broker is reaction C) Contemposite the prime broker is reacting the pri	gistered with the SEC, its registration n "'s office used principally by the <i>private t</i> State: act as custodian for some or all of the <i>p</i> (.(a) is "yes," respond to questions (b) one prime broker, you must complete c	<i>und</i> (city, state and country): Country: United Kingdom <i>rivate fund's</i> assets? through (e) below for each prime broker the <i>private i</i> juestions (b) through (e) separately for each prime b	© fund uses. If the	
 UBS AG c) If the prime broker is reading CRD Number (if any): d) Location of prime broker City: LONDON e) Does this prime broker a f the answer to question 24 private fund uses more than b) Name of the prime broker is reading a second cite of the prime broker is reading a second ci	gistered with the SEC, its registration n "s office used principally by the <i>private t</i> State: act as custodian for some or all of the <i>p</i> (a) is "yes," respond to questions (b) one prime broker, you must complete c er: gistered with the SEC, its registration n	<i>und</i> (city, state and country): Country: United Kingdom <i>rivate fund's</i> assets? through (e) below for each prime broker the <i>private i</i> juestions (b) through (e) separately for each prime b umber:	© fund uses. If the	
 UBS AG c) If the prime broker is reading CRD Number (if any): d) Location of prime broker City: LONDON e) Does this prime broker and the answer to question 24 private fund uses more than b) Name of the prime broker is reading the prime broker is reading a second city of the prime broker is	gistered with the SEC, its registration n ''s office used principally by the <i>private t</i> State: act as custodian for some or all of the <i>p</i> (.(a) is "yes," respond to questions (b) one prime broker, you must complete c er: gistered with the SEC, its registration n ''s office used principally by the <i>private t</i> State:	iund (city, state and country): Country: United Kingdom rivate fund's assets? through (e) below for each prime broker the private of puestions (b) through (e) separately for each prime box umber: tund (city, state and country): Country:	© fund uses. If the	
 UBS AG c) If the prime broker is reading CRD Number (if any): d) Location of prime broker City: LONDON e) Does this prime broker a f the answer to question 24 private fund uses more than b) Name of the prime broker is reading a second cite of the prime broker is reading a second ci	gistered with the SEC, its registration n "s office used principally by the <i>private t</i> State: act as custodian for some or all of the <i>p</i> (a) is "yes," respond to questions (b) one prime broker, you must complete c er: gistered with the SEC, its registration n	<i>und</i> (city, state and country): Country: United Kingdom <i>rivate fund's</i> assets? through (e) below for each prime broker the <i>private i</i> juestions (b) through (e) separately for each prime b umber:	© fund uses. If the	

			ime broker.
(b) Name of the prime broker: WELLS FARGO SECURITIES,	LLC		
(c) If the prime broker is regist 8 - 65876	tered with the SEC, its registration	n number:	
CRD Number (if any): 126292			
(d) Location of prime broker's c	office used principally by the privat		
City: NEW YORK	State: New York	Country: United States	
(e) Does this prime broker act	as custodian for some or all of the	e private fund's assets?	
<u>n</u>			
		ers listed above) to hold some or all of its assets? through (g) below for each custodian the <i>private</i>	
	<u> </u>	(b) through (g) separately for each custodian.	
Additional Custodian Informatio	on : 39 Record(s) Filed.		
(b) Legal name of custodian: BANK OF AMERICA, N.A.			
	ustodian:		
 BANK OF AMERICA, N.A. (c) Primary business name of c BANK OF AMERICA, N.A. (d) The location of the custodia 		of the <i>private fund's</i> assets (city, state and country Country:	y):
BANK OF AMERICA, N.A. (c) Primary business name of c BANK OF AMERICA, N.A.	an's office responsible for <i>custody</i>	of the <i>private fund's</i> assets (city, state and country Country: United States	-
 BANK OF AMERICA, N.A. (c) Primary business name of c BANK OF AMERICA, N.A. (d) The location of the custodia City: 	an's office responsible for <i>custody</i> State: New York	Country:	-
 BANK OF AMERICA, N.A. (c) Primary business name of c BANK OF AMERICA, N.A. (d) The location of the custodia City: NEW YORK (e) Is the custodian a <i>related p</i> 	an's office responsible for <i>custody</i> State: New York	Country: United States	y):
 BANK OF AMERICA, N.A. (c) Primary business name of c BANK OF AMERICA, N.A. (d) The location of the custodia City: NEW YORK (e) Is the custodian a <i>related p</i> 	an's office responsible for <i>custody</i> State: New York Person of your firm?	Country: United States	-
 BANK OF AMERICA, N.A. (c) Primary business name of c BANK OF AMERICA, N.A. (d) The location of the custodia City: NEW YORK (e) Is the custodian a <i>related p</i> (f) If the custodian is a broker- - CRD Number (if any): 	an's office responsible for <i>custody</i> State: New York <i>erson</i> of your firm? -dealer, provide its SEC registratic	Country: United States	
 BANK OF AMERICA, N.A. (c) Primary business name of c BANK OF AMERICA, N.A. (d) The location of the custodia City: NEW YORK (e) Is the custodian a <i>related p</i> (f) If the custodian is a broker- - CRD Number (if any): (g) If the custodian is not a bro <i>identifier</i> (if any) B4TYDEB6GKMZO031MB27 	an's office responsible for <i>custody</i> State: New York <i>eerson</i> of your firm? -dealer, provide its SEC registratic oker-dealer, or is a broker-dealer	Country: United States	rovide its <i>legal enti</i>
 BANK OF AMERICA, N.A. (c) Primary business name of c BANK OF AMERICA, N.A. (d) The location of the custodia City: NEW YORK (e) Is the custodian a <i>related p</i> (f) If the custodian is a broker- - CRD Number (if any): (g) If the custodian is not a bro <i>identifier</i> (if any) B4TYDEB6GKMZO031MB27 	an's office responsible for <i>custody</i> State: New York <i>eerson</i> of your firm? -dealer, provide its SEC registratic oker-dealer, or is a broker-dealer	Country: United States on number (if any): but does not have an SEC registration number, pr	rovide its <i>legal enti</i>

⁽d) The location of the custodian's office responsible for *custody* of the *private fund's* assets (city, state and country):

	City:	State:	Country:	
	LONDON		United Kingdom	Yes N
e)	Is the custodian a related	person of your firm?		0 0
)	If the custodian is a broke	er-dealer, provide its SEC req	gistration number (if any):	
	- CRD Number (if any):			
g)	If the custodian is not a b <i>identifier</i> (if any) G5GSEF7VJP517OUK5573	roker-dealer, or is a broker-	dealer but does not have an SEC registration number, p	provide its <i>legal entity</i>
	•		stions (b) through g) below for each custodian the <i>priva</i> uestions (b) through (g) separately for each custodian.	<i>te fund</i> uses. If the <i>private</i>
b)	Legal name of custodian: BARCLAYS CAPITAL INC.			
C)	Primary business name of BARCLAYS CAPITAL INC.	custodian:		
	The location of the custod City: NEW YORK	lian's office responsible for <i>c</i> State: New York	<i>custody</i> of the <i>private fund's</i> assets (city, state and count Country: United States	ry):
e)	Is the custodian a <i>related</i>	person of your firm?		Yes N
	8 - 41342 CRD Number (if any): 19714	er-dealer, provide its SEC req proker-dealer, or is a broker-	gistration number (if any): dealer but does not have an SEC registration number, p	provide its <i>legal entity</i>
.g)	identifier (if any)			
uno	-		stions (b) through g) below for each custodian the <i>priva</i> uestions (b) through (g) separately for each custodian.	<i>te fund</i> uses. If the <i>private</i>
0)	•	ERAGE INTERNATIONAL, LIM	IITED	
c)	Primary business name of BNP PARIBAS PRIME BROK	^c custodian: ERAGE INTERNATIONAL, LIM	IITED	
	The location of the custod City: DUBLIN	lian's office responsible for <i>c</i> State:	<i>custody</i> of the <i>private fund's</i> assets (city, state and count Country: Ireland	ry):
e)	Is the custodian a <i>related</i>	person of your firm?		Yes N
)	If the custodian is a broke	er-dealer, provide its SEC req	gistration number (if any):	
	- CRD Number (if any):			
(g)	If the custodian is not a b identifier (if any)	roker-dealer, or is a broker-	dealer but does not have an SEC registration number, p	provide its <i>legal entity</i>

	5493000EGBAKFHIIT563	
	he answer to question 25.(a) is "yes," respond to questions (b) through g) d uses more than one custodian, you must complete questions (b) through	
(b)	Legal name of custodian: BNP PARIBAS S.A.	
(c)	Primary business name of custodian: BNP PARIBAS S.A.	
(d)	The location of the custodian's office responsible for custody of the privateCity:State:NEW YORKNew York	Country: United States
(e)	Is the custodian a <i>related person</i> of your firm?	Yes No
(f)	If the custodian is a broker-dealer, provide its SEC registration number (if -	any):
	CRD Number (if any):	
(g)	If the custodian is not a broker-dealer, or is a broker-dealer but does not <i>identifier</i> (if any)	have an SEC registration number, provide its <i>legal entity</i>
	he answer to question 25.(a) is "yes," respond to questions (b) through g) d uses more than one custodian, you must complete questions (b) through	
(b)	Legal name of custodian: BNP PARIBAS SECURITIES CORP.	
(c)	Primary business name of custodian: BNP PARIBAS SECURITIES CORP.	
(d)	The location of the custodian's office responsible for custody of the privateCity:State:NEW YORKNew York	<i>fund's</i> assets (city, state and country): Country: United States
(-)		Yes No
(e)	Is the custodian a <i>related person</i> of your firm?	00
(f)	If the custodian is a broker-dealer, provide its SEC registration number (if 8 - 32682 CRD Number (if any): 15794	any):
(g)	If the custodian is not a broker-dealer, or is a broker-dealer but does not <i>identifier</i> (if any)	have an SEC registration number, provide its <i>legal entity</i>
	he answer to question 25.(a) is "yes," respond to questions (b) through g) d uses more than one custodian, you must complete questions (b) through	
(b)	Legal name of custodian: BOFA SECURITIES, INC.	
(c)	Primary business name of custodian: BOFA SECURITIES, INC.	

(d) The location of the custodian's office responsible for *custody* of the *private fund's* assets (city, state and country):

City: NEW YORK		State: New York	Country: United States		
(e) Is the cust	odian a <i>related person</i> of you	ur firm?		Yes O	N Ø
(f) If the custo 8 - 69787 CRD Numb 283942	odian is a broker-dealer, pro er (if any):	ovide its SEC registrat	tion number (if any):		
(g) If the custo <i>identifier</i> (if		, or is a broker-deale	er but does not have an SEC registration number, provide its <i>legal er</i>	ntity	
	•		(b) through g) below for each custodian the <i>private fund</i> uses. If the private fund uses. If the private fund uses is a second to the private fund use of the private fund uses.	e <i>priv</i> a	₹ŧ
(b) Legal name CITIBANK,					
(c) Primary bu CITIBANK,	siness name of custodian: N.A.				
	n of the custodian's office r		dy of the <i>private fund's</i> assets (city, state and country):		
City: NEW YORK		State: New York	Country: United States	N	
(e) Is the cust	odian a <i>related person</i> of you	ur firm?		Yes Ö	¢
(f) If the custo	odian is a broker-dealer, pro	ovide its SEC registrat	tion number (if any):		
- CRD Numb	er (if any):				
identifier (if		, or is a broker-deale	er but does not have an SEC registration number, provide its <i>legal er</i>	ntity	
fund uses more (b) Legal name	e than one custodian, you m e of custodian:	nust complete questio	(b) through g) below for each custodian the <i>private fund</i> uses. If the private fund uses. If the private fund uses is a second to the private fund uses is a second to the private fund use of the private fund uses. If the private fund uses is a second to the private fund use of the private fund uses is a second to the private fund use of the private fund uses is a second to the private fund use of the private fund uses is a second to the private fund use of the private fund uses is a second to the private fund use of the private	e priva	nte
(c) Primary bu	SECURITIES SERVICES, INC. siness name of custodian: SECURITIES SERVICES, INC.				
City:		State:	dy of the <i>private fund's</i> assets (city, state and country): Country:		
NEW YORK		New York	United States	Yes	Ν
(e) Is the cust	odian a <i>related person</i> of you	ur firm?		0	¢
(f) If the custo 8 - 32117	odian is a broker-dealer, pro	ovide its SEC registrat	tion number (if any):		
CRD Numb 15387	er (if any):				
		, or is a broker-deale	er but does not have an SEC registration number, provide its <i>legal er</i>	ntity	

If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.
(b) Legal name of custodian: CITIGROUP GLOBAL MARKETS INC.
(c) Primary business name of custodian: CITIGROUP GLOBAL MARKETS INC.
 (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): City: State: Country: NEW YORK New York United States
NEW YORK New York United States (e) Is the custodian a <i>related person</i> of your firm? • • •
(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):
8 - 8177 CRD Number (if any): 7059
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any)
If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.
(b) Legal name of custodian: CITIGROUP GLOBAL MARKETS LIMITED
(c) Primary business name of custodian: CITIGROUP GLOBAL MARKETS LIMITED
 (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): City: State: Country: LONDON United Kingdom
(e) Is the custodian a <i>related person</i> of your firm?
(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):
- CRD Number (if any):
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any) XKZZ2JZF41MRHTR1V493
If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.
(b) Legal name of custodian: CREDIT SUISSE AG
(c) Primary business name of custodian: CREDIT SUISSE AG

(d) The location of the custodian's office responsible for *custody* of the *private fund's* assets (city, state and country):

	City: DUBLIN	State:	Country: Ireland	
				Yes N
(e)	Is the custodian a related	person of your firm?		0 0
(f)	If the custodian is a broke	r-dealer, provide its SEC registration	number (if any):	
	- CRD Number (if any):			
(g)	If the custodian is not a br <i>identifier</i> (if any) ANGGYXNX0JLX3X63JN86	oker-dealer, or is a broker-dealer bu	t does not have an SEC registration number, pr	ovide its <i>legal entity</i>
			through g) below for each custodian the <i>private</i> b) through (g) separately for each custodian.	e fund uses. If the private
(b)	Legal name of custodian: CREDIT SUISSE SECURITIE	ES (USA) LLC		
(c)	Primary business name of CREDIT SUISSE SECURITIE			
(d)	The location of the custod City: NEW YORK	ian's office responsible for <i>custody</i> of State: New York	the <i>private fund's</i> assets (city, state and country Country: United States	y):
(e)	Is the custodian a related	<i>person</i> of your firm?		Yes N
(f)	If the custodian is a broke 8 - 422 CRD Number (if any): 816	r-dealer, provide its SEC registration	number (if any):	
(g)	If the custodian is not a bi <i>identifier</i> (if any)	oker-dealer, or is a broker-dealer bu	t does not have an SEC registration number, pr	ovide its <i>legal entity</i>
โนท	d uses more than one custo Legal name of custodian:	odian, you must complete questions (through g) below for each custodian the <i>private</i> b) through (g) separately for each custodian.	e fund uses. If the private
(c)	GOLDMAN SACHS & CO. LL Primary business name of GOLDMAN SACHS & CO. LL	custodian:		
(d)	The location of the custod	ian's office responsible for <i>custody</i> of	the private fund's assets (city, state and country	y):
	City: NEW YORK	State: New York	Country: United States	
e)	Is the custodian a related	<i>person</i> of your firm?		Yes N
f)	If the custodian is a broke 8 - 129	r-dealer, provide its SEC registration	number (if any):	
	CRD Number (if any): 361			
(g)	If the custodian is not a bi <i>identifier</i> (if any)	oker-dealer, or is a broker-dealer bu	t does not have an SEC registration number, pr	ovide its <i>legal entity</i>

If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.
(b) Legal name of custodian: GOLDMAN SACHS INTERNATIONAL
(c) Primary business name of custodian: GOLDMAN SACHS INTERNATIONAL
 (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): City: State: Country: LONDON
LONDON United Kingdom Yes No (e) Is the custodian a <i>related person</i> of your firm?
(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):
CRD Number (if any):
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any) W22LROWP21HZNBB6K528
If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.
(b) Legal name of custodian: HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED
(c) Primary business name of custodian: HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED
 (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): City: Kong Kong
(e) Is the custodian a <i>related person</i> of your firm?
(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):
CRD Number (if any):
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity</i> <i>identifier</i> (if any)
If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.
(b) Legal name of custodian: HSBC BANK BERMUDA
(c) Primary business name of custodian: HSBC BANK BERMUDA

⁽d) The location of the custodian's office responsible for *custody* of the *private fund's* assets (city, state and country):

	City: HAMILTON	State:	Country: Bermuda	
		across of your firm?		Yes No
(e)	Is the custodian a <i>related</i> p	Serson of your firm?		00
(f)	If the custodian is a broker	-dealer, provide its SEC registrat	ion number (if any):	
	CRD Number (if any):			
(g)	If the custodian is not a br <i>identifier</i> (if any)	oker-dealer, or is a broker-deale	r but does not have an SEC registratior	n number, provide its <i>legal entity</i>
			(b) through g) below for each custodia ns (b) through (g) separately for each	
(b)	Legal name of custodian: HSBC BANK MAURITIUS LTE)		
(c)	Primary business name of HSBC BANK MAURITIUS LTE			
(d)	The location of the custodia	an's office responsible for <i>custod</i> y	y of the <i>private fund's</i> assets (city, state	e and country):
	City: EBENE	State:	Country: Mauritius	
(e)	Is the custodian a <i>related</i> p	person of your firm?		Yes No.
(0)				0.0
(f)	If the custodian is a broker	-dealer, provide its SEC registrat	ion number (if any):	
	CRD Number (if any):			
(g)	If the custodian is not a br <i>identifier</i> (if any)	oker-dealer, or is a broker-deale	r but does not have an SEC registratior	n number, provide its <i>legal entity</i>
fun		dian, you must complete questio	(b) through g) below for each custodia ns (b) through (g) separately for each	
(c)	Primary business name of J.P. MORGAN SECURITIES L			
(d)	The location of the custodia City: NEW YORK	an's office responsible for <i>custody</i> State: New York	y of the <i>private fund's</i> assets (city, state Country: United States	
(e)	Is the custodian a <i>related</i> p	person of your firm?		Yes No
(f)	If the custodian is a broker 8 - 35008 CRD Number (if any): 79	-dealer, provide its SEC registrat	on number (if any):	
(g)	If the custodian is not a br <i>identifier</i> (if any)	oker-dealer, or is a broker-deale	r but does not have an SEC registration	n number, provide its <i>legal entity</i>

If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	эte
(b) Legal name of custodian: J.P. MORGAN SECURITIES PLC	
(c) Primary business name of custodian: J.P. MORGAN SECURITIES PLC	
(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):	
City: State: Country:	
LONDON United Kingdom Yes	No
(e) Is the custodian a <i>related person</i> of your firm?	o
(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):	
- CRD Number (if any):	
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any) K6Q0W1PS1L104IQL9C32	
If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: JPMORGAN BANK LUXEMBOURG S.A.	ite
(c) Primary business name of custodian: JPMORGAN BANK LUXEMBOURG S.A.	
(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):	
City: State: Country:	
SENNINGERBERG Luxembourg Yes	No
(e) Is the custodian a <i>related person</i> of your firm?	o
(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):	
- CRD Number (if any):	
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any) 7W1GMC6J4KGLBBUSYP52	
If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	ite
(b) Legal name of custodian: JPMORGAN CHASE BANK, N.A.	
(c) Primary business name of custodian: JPMORGAN CHASE BANK, N.A.	

⁽d) The location of the custodian's office responsible for *custody* of the *private fund's* assets (city, state and country):

	City: NEW YORK	State: New York	Country: United States	
	NEW TORK	New TOTK	United States	Yes
e)	Is the custodian a <i>relate</i>	ed person of your firm?		0
)	If the custodian is a brok	ker-dealer, provide its SEC registration	number (if any):	
	- CRD Number (if any):			
g)	If the custodian is not a <i>identifier</i> (if any) 7H6GLXDRUGQFU57RNE		ut does not have an SEC registration number, provide its <i>leg</i> a	al entity
	•		through g) below for each custodian the <i>private fund</i> uses. I (b) through (g) separately for each custodian.	f the <i>priva</i>
))	Legal name of custodian MERRILL LYNCH INTERNA			
)	Primary business name of MERRILL LYNCH INTERNA			
)	The location of the custo City: LONDON	odian's office responsible for <i>custody</i> or State:	^F the <i>private fund's</i> assets (city, state and country): Country: United Kingdom	
)	Is the custodian a <i>relate</i>	ed person of your firm?		Yes O
)	If the custodian is a brok	ker-dealer, provide its SEC registration	number (if any):	
	- CRD Number (if any):			
g)	If the custodian is not a identifier (if any)	broker-dealer, or is a broker-dealer b	ut does not have an SEC registration number, provide its <i>leg</i> a	al entity
		248		
	GGDZP1UYGU9STUHRDP			
un	the answer to question 25 ad uses more than one cus Legal name of custodian	stodian, you must complete questions	through g) below for each custodian the <i>private fund</i> uses. I (b) through (g) separately for each custodian.	f the <i>priva</i>
un c)	the answer to question 25 ad uses more than one cus Legal name of custodian MERRILL LYNCH PROFES Primary business name of	stodian, you must complete questions n: SIONAL CLEARING CORP.		f the <i>priva</i>
ur: c)	the answer to question 25 ad uses more than one cus Legal name of custodian MERRILL LYNCH PROFES Primary business name of MERRILL LYNCH PROFES	stodian, you must complete questions n: SIONAL CLEARING CORP. of custodian: SIONAL CLEARING CORP.		f the <i>priva</i>
יוג כ)	the answer to question 25 ad uses more than one cus Legal name of custodian MERRILL LYNCH PROFES Primary business name of MERRILL LYNCH PROFES The location of the custo	stodian, you must complete questions n: SIONAL CLEARING CORP. of custodian: SIONAL CLEARING CORP. odian's office responsible for <i>custody</i> of	(b) through (g) separately for each custodian.	
))	the answer to question 25 ad uses more than one cus Legal name of custodian MERRILL LYNCH PROFES Primary business name of MERRILL LYNCH PROFES The location of the custo City:	stodian, you must complete questions n: SIONAL CLEARING CORP. of custodian: SIONAL CLEARING CORP. odian's office responsible for <i>custody</i> of State: New York	 (b) through (g) separately for each custodian. ^c the <i>private fund's</i> assets (city, state and country): Country: 	f the <i>priva</i> Yes O
un o) c)	the answer to question 25 ad uses more than one cus Legal name of custodian MERRILL LYNCH PROFES Primary business name of MERRILL LYNCH PROFES The location of the custo City: NEW YORK Is the custodian a <i>relate</i>	stodian, you must complete questions n: SIONAL CLEARING CORP. of custodian: SIONAL CLEARING CORP. odian's office responsible for <i>custody</i> of State: New York	(b) through (g) separately for each custodian.	Yes

) through g) below for each custodian the <i>private fund</i> uses. If t (b) through (g) separately for each custodian.	he <i>private</i>
(b) Legal name of custodian: MORGAN STANLEY & CO INTERNATION	AL PLC		
(c) Primary business name of custodian: MORGAN STANLEY & CO INTERNATION	AL PLC		
	esponsible for <i>custody</i> of tate:	f the <i>private fund's</i> assets (city, state and country): Country: United Kingdom	
(e) Is the custodian a <i>related person</i> of yo	ur firm?		Yes No
(f) If the custodian is a broker-dealer, pro	ovide its SEC registration	n number (if any):	
- CRD Number (if any):			
(g) If the custodian is not a broker-dealer <i>identifier</i> (if any) 4PQUHN3JPFGFNF3BB653	, or is a broker-dealer b	ut does not have an SEC registration number, provide its <i>legal</i> e	entity
) through g) below for each custodian the <i>private fund</i> uses. If t (b) through (g) separately for each custodian.	he <i>private</i>
MORGAN STANLEY & CO. LLC			
(c) Primary business name of custodian: MORGAN STANLEY & CO. LLC			
(d) The location of the custodian's office r	esponsible for <i>custody</i> of	f the <i>private fund's</i> assets (city, state and country):	
City: NEW YORK	State: New York	Country: United States	
			Yes No
(e) Is the custodian a <i>related person</i> of yo	ur firm?		00
(f) If the custodian is a broker-dealer, pro	ovide its SEC registration	number (if any):	
8 - 15869 CRD Number (if any): 8209			
(g) If the custodian is not a broker-dealer <i>identifier</i> (if any)	r, or is a broker-dealer b	ut does not have an SEC registration number, provide its <i>legal</i> e	entity
) through g) below for each custodian the <i>private fund</i> uses. If t (b) through (g) separately for each custodian.	he <i>private</i>
(b) Legal name of custodian: NOMURA INTERNATIONAL PLC			
(c) Primary business name of custodian: NOMURA INTERNATIONAL PLC			

	The location of the custodian City:	State:	Country:	
	LONDON		United Kingdom	
∍)	Is the custodian a related per	rson of your firm?		Yes No.
)	If the custodian is a broker-d	lealer, provide its SEC regist	tration number (if any):	
	- CRD Number (if any):			
(g)	identifier (if any)	er-dealer, or is a broker-dea.	aler but does not have an SEC registration number, provide	its <i>legal entity</i>
	DGQCSV2PHVF7I2743539			
	he ensures to superfice 25 (c)		(b) through a) holey for each sustables the private fund	
	1 1 1	5 1 1	ons (b) through g) below for each custodian the <i>private fund</i> stions (b) through (g) separately for each custodian.	uses. If the private
(b)	Legal name of custodian:			
	NOMURA SECURITIES INTERN	ATTONAL, INC.		
(c)	Primary business name of cur NOMURA SECURITIES INTERN			
(d)	The location of the custodian	's office responsible for <i>cust</i>	tody of the private fund's assets (city, state and country):	
	City: NEW YORK	State: New York	Country: United States	
				Yes No
(e)	Is the custodian a related per	<i>rson</i> of your firm?		00
(f)	If the custodian is a broker-d	lealer, provide its SEC regist	tration number (if any):	
	8 - 15255 CRD Number (if any):			
	4297			
(g)		er-dealer, or is a broker-de	aler but does not have an SEC registration number, provide	its <i>legal entity</i>
	identifier (if any)			
lft	he answer to question 25.(a)	is "yes," respond to questio	ons (b) through g) below for each custodian the <i>private fund</i>	uses. If the <i>private</i>
fun	d uses more than one custodia	an, you must complete ques	stions (b) through (g) separately for each custodian.	
(b)	Legal name of custodian: NYDIG TRUST COMPANY LLC			
		stodian:		
(c)	Primary business name of cur NYDIG TRUST COMPANY LLC			
. ,	NYDIG TRUST COMPANY LLC	's office responsible for cust	<i>tody</i> of the <i>private fund's</i> assets (city, state and country):	
	NYDIG TRUST COMPANY LLC	i's office responsible for <i>cust</i> State: New York	<i>tody</i> of the <i>private fund's</i> assets (city, state and country): Country: United States	
(d)	NYDIG TRUST COMPANY LLC The location of the custodian City: NEW YORK	State: New York	Country:	Yes No
(d)	NYDIG TRUST COMPANY LLC The location of the custodian City:	State: New York	Country:	Yes No
(d)	NYDIG TRUST COMPANY LLC The location of the custodian City: NEW YORK	State: New York <i>rson</i> of your firm?	Country: United States	
	NYDIG TRUST COMPANY LLC The location of the custodian City: NEW YORK Is the custodian a <i>related per</i>	State: New York <i>rson</i> of your firm?	Country: United States	

<i>identifier</i> (if any)			
		rrough g) below for each custodian the <i>priva</i>) through (g) separately for each custodian.	
(b) Legal name of custodian: PERSHING LLC			
(c) Primary business name of cus PERSHING LLC	stodian:		
(d) The location of the custodian	s office responsible for <i>custody</i> of th	ne <i>private fund's</i> assets (city, state and coun	try):
City: JERSEY CITY	State: New Jersey	Country: United States	
JENJET GITT	New Jersey	United States	Yes No
(e) Is the custodian a related per	<i>son</i> of your firm?		00
(f) If the custodian is a broker-de 8 - 17574	ealer, provide its SEC registration nu	Imber (if any):	
CRD Number (if any): 7560			
(g) If the custodian is not a broke <i>identifier</i> (if any)	er-dealer, or is a broker-dealer but c	does not have an SEC registration number,	provide its <i>legal entity</i>
	n, you must complete questions (b)	nrough g) below for each custodian the <i>priva</i>) through (g) separately for each custodian.	
(c) Primary business name of cus SOCIETE GENERALE BANK & T			
(d) The location of the custodian' City:		ne <i>private fund's</i> assets (city, state and coun ate: Country:	try):
LUXEMBOURG CITY		Luxembourg	
(e) Is the custodian a related per	<i>son</i> of your firm?		Yes No
(f) If the custodian is a broker-de	ealer, provide its SEC registration nu	Imber (if any):	
CRD Number (if any):			
(g) If the custodian is not a broke <i>identifier</i> (if any)	er-dealer, or is a broker-dealer but c	does not have an SEC registration number,	provide its <i>legal entity</i>
		nrough g) below for each custodian the <i>priva</i>) through (g) separately for each custodian.	
(b) Legal name of custodian: STATE STREET BANK AND TRU	ST COMPANY		
(c) Primary business name of cus			

		e responsible for <i>custody</i> of t	the <i>private fund's</i> assets (city, state and country):	
City: BOST	ON State:	chusetts	Country: United States	
1001	wasa		onned otales	Yes No
(e) Is the	e custodian a <i>related person</i> of <u>y</u>	your firm?		00
(f) If the	e custodian is a broker-dealer, p	provide its SEC registration r	number (if any):	
- CRD I	Number (if any):			
identi	e custodian is not a broker-deal <i>ifier</i> (if any) 74TGEMMWANRLN572	er, or is a broker-dealer but	t does not have an SEC registration number, provide it	ts legal entity
			through g) below for each custodian the <i>private fund</i> u b) through (g) separately for each custodian.	ses. If the <i>private</i>
	I name of custodian: BANK OF NEW YORK MELLON			
	ary business name of custodian BANK OF NEW YORK MELLON	:		
	ocation of the custodian's office	e responsible for <i>custody</i> of t	the <i>private fund's</i> assets (city, state and country):	
City: NFW	YORK	State: New York	Country: United States	
	TORK	New TOTK	United States	Yes No
(e) Is the	e custodian a <i>related person</i> of <u>y</u>	your firm?		00
(f) If the	e custodian is a broker-dealer, p	provide its SEC registration r	number (if any):	
- CRD I	Number (if any):			
identi	e custodian is not a broker-deal <i>ifier</i> (if any) IUOOQ28E4N0NFVK49	er, or is a broker-dealer but	t does not have an SEC registration number, provide it	is legal entity
			through g) below for each custodian the <i>private fund</i> u b) through (g) separately for each custodian.	ses. If the <i>private</i>
	I name of custodian: NORTHERN TRUST INTERNATION	IAL BANKING CORPORATION		
• •	ary business name of custodian NORTHERN TRUST INTERNATION			
(d) The la City:	ocation of the custodian's office	e responsible for <i>custody</i> of t State:	the <i>private fund's</i> assets (city, state and country): Country:	
•	EY CITY	New Jersey	United States	
				Yes No
(e) Is the	e custodian a <i>related person</i> of <u>y</u>	your firm?		00
(f) If the	e custodian is a broker-dealer, p	provide its SEC registration r	number (if any):	
- CRD I	Number (if any):			

he answer to question 25.(a) is "yes," respond to questions (b) through g) belo ad uses more than one custodian, you must complete questions (b) through (g) s Legal name of custodian: TRUIST SECURITIES, INC. Primary business name of custodian: TRUIST SECURITIES, INC. The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> City: State: CHARLOTTE North Carolina	separately for each custodian. d's assets (city, state and country): Country: United States Yes N
TRUIST SECURITIES, INC. Primary business name of custodian: TRUIST SECURITIES, INC. The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> City: State:	Country: United States Yes N
TRUIST SECURITIES, INC. The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> City: State:	Country: United States Yes N
City: State:	Country: United States Yes N
5	United States Yes N
CHARLOTTE North Carolina	Yes N O @
	0 0
Is the custodian a related person of your firm?	
If the custodian is a broker-dealer, provide its SEC registration number (if any) 8 - 17212	
CRD Number (if any):	
6271	
If the custodian is not a broker-dealer, or is a broker-dealer but does not have	e an SEC registration number, provide its legal entity
identifier (if any)	
Legal name of custodian: UBS AG	
Primary business name of custodian: UBS AG	
The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i>	d's assets (city, state and country):
City: State: Country: LONDON United Kingdon	
LONDON Onited Kingdon	Yes N
Is the custodian a related person of your firm?	0 0
If the custodian is a broker-dealer, provide its SEC registration number (if any)	
- CRD Number (if any):	
If the custodian is not a broker-dealer, or is a broker-dealer but does not have <i>identifier</i> (if any) BFM8T61CT2L1QCEMIK50	e an SEC registration number, provide its <i>legal entity</i>
he answer to question 25.(a) is "yes," respond to questions (b) through g) belo ad uses more than one custodian, you must complete questions (b) through (g) s	
Legal name of custodian: UBS SECURITIES LLC	
Primary business name of custodian:	

UBS SECURITIES LLC

(d)	The location of the custodian's office responsible City: State:	e for <i>custody</i> of the <i>private</i> .	<i>fund's</i> assets (city, state and country): Country:		
	NEW YORK New Yo	rk	United States		
				Yes	No
(e)	Is the custodian a <i>related person</i> of your firm?			0	\odot
(f)	If the custodian is a broker-dealer, provide its S 8 - 22651 CRD Number (if any): 7654	EC registration number (if a	iny):		
(g)	If the custodian is not a broker-dealer, or is a b <i>identifier</i> (if any)	roker-dealer but does not h	ave an SEC registration number, provide its <i>legal e</i>	ntity	
	ne answer to question 25.(a) is "yes," respond to d uses more than one custodian, you must comp		below for each custodian the <i>private fund</i> uses. If th	ie <i>priva</i>	ate
(b)	Legal name of custodian: WELLS FARGO BANK, N.A.				
(c)	Primary business name of custodian: WELLS FARGO BANK, N.A.				
(d)	The location of the custodian's office responsible	e for custody of the private	fund's assets (city, state and country):		
	City:	State:	Country:		
	SAN FRANCISCO	California	United States	Yes	No
(e)	Is the custodian a <i>related person</i> of your firm?			0	o
(f)	If the custodian is a broker-dealer, provide its S	EC registration number (if a	ny):		
	CRD Number (if any):				
(g)	If the custodian is not a broker-dealer, or is a b <i>identifier</i> (if any) KB1H1DSPRFMYMCUFXT09	roker-dealer but does not h	ave an SEC registration number, provide its <i>legal e</i>	ntity	
fun	ne answer to question 25.(a) is "yes," respond to d uses more than one custodian, you must comp Legal name of custodian:		below for each custodian the <i>private fund</i> uses. If th (g) separately for each custodian.	ie priva	ate
(0)	WELLS FARGO SECURITIES, LLC				
(c)	Primary business name of custodian: WELLS FARGO SECURITIES, LLC				
(d)	The location of the custodian's office responsible	e for custody of the private	fund's assets (city, state and country):		
	City: State: NEW YORK New Yor	rk	Country: United States		
(e)	Is the custodian a <i>related person</i> of your firm?		United States	Yes	No ©
/				U.	0
(f)	If the custodian is a broker-dealer, provide its S 8 - 65876	EC registration number (if a	iny):		
	CRD Number (if any): 126292				

(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its *legal entity identifier* (if any)

<u>Administrator</u>

26.	(a)	Does	the	private	fund	use an	administrator	other	than	your	firm?	,
-----	-----	------	-----	---------	------	--------	---------------	-------	------	------	-------	---

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

	• • • •	s "yes," respond to questions (b) th questions (b) through (f) separate	rough (f) below. If the <i>private fund</i> uses more than y for each administrator.	n one
(b)	Name of administrator:			
	HARMONIC FUND SERVICES			
(c)	Location of administrator (city	, state and country):		
	City:	State:	Country:	
	GRAND CAYMAN		Cayman Islands	
				Yes I
(d)	Is the administrator a <i>related</i>	person of your firm?		0
(e)	Does the administrator prepa	re and send investor account state	ments to the <i>private fund's</i> investors?	
		rs) ${igcodot}{igcodot}{igcodot}{igcolon}$	not all investors) \bigcirc No (provided to no investors)	
	•	• • • • • •	rough (f) below. If the <i>private fund</i> uses more that y for each administrator.	n one
adn	ninistrator, you must complete	s "yes," respond to questions (b) th questions (b) through (f) separate	•	n one
adn	ninistrator, you must complete Name of administrator:	questions (b) through (f) separate	•	n one
adn	ninistrator, you must complete	questions (b) through (f) separate	•	n one
adn (b)	ninistrator, you must complete Name of administrator:	questions (b) through (f) separate	•	n one
adn (b)	ninistrator, you must complete Name of administrator: THE BANK OF NEW YORK MELI Location of administrator (city City:	questions (b) through (f) separate -ON CORPORATION , state and country): State:	y for each administrator. Country:	n one
adn (b)	ninistrator, you must complete Name of administrator: THE BANK OF NEW YORK MELI Location of administrator (city	questions (b) through (f) separate _ON CORPORATION	y for each administrator.	
adn (b) (c)	ninistrator, you must complete Name of administrator: THE BANK OF NEW YORK MELI Location of administrator (city City: SOMERSET	questions (b) through (f) separate LON CORPORATION r, state and country): State: New Jersey	y for each administrator. Country:	
adn (b) (c)	ninistrator, you must complete Name of administrator: THE BANK OF NEW YORK MELI Location of administrator (city City:	questions (b) through (f) separate LON CORPORATION r, state and country): State: New Jersey	y for each administrator. Country:	n one Yes I
adn (b) (c) (d)	ninistrator, you must complete Name of administrator: THE BANK OF NEW YORK MELI Location of administrator (city City: SOMERSET Is the administrator a <i>related</i>	questions (b) through (f) separate ON CORPORATION , state and country): State: New Jersey person of your firm?	y for each administrator. Country:	Yes I
adn (b) (c) (d)	ninistrator, you must complete Name of administrator: THE BANK OF NEW YORK MELT Location of administrator (city City: SOMERSET Is the administrator a <i>related</i> Does the administrator prepa	questions (b) through (f) separate LON CORPORATION 7, state and country): State: New Jersey <i>person</i> of your firm? re and send investor account state	y for each administrator. Country: United States	Yes

27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*?

0%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

Yes No

28. (a) Does the private fund use the services of someone other than you or your employees for marketing purposes?

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

ddition	al Marketer Information	2 Record(s) Filed.		
or simila	ar <i>person</i> . If the answer to	question 28. (a) is "yes," respond	gent, consultant, finder, introducer, municipal adv I to questions (b) through (g) below for each suc ust complete questions (b) through (g) separately	h marketer the <i>priva</i>
				Yes I
o) is t	the marketer a <i>related pers</i>	on of your firm?		o
•	me of the marketer: RGAN STANLEY			
	-	vith the SEC, its file number (<i>e.g.</i> ,	801-, 8-, or 866-):	
	1 - 70103			
	d CRD Number (if any): 9777			
) Loc	cation of the marketer's of	ice used principally by the <i>private</i>	fund (city, state and country):	
, City		State:	Country:	
-	W YORK	New York	United States	
				Yes I
Do€	es the marketer market th	e <i>private fund</i> through one or mor	re websites?	0
) If t	he answer to question 28.	(f) is "yes," list the website addre	ess(es):	
		No Inf	formation Filed	
r simila	ar person. If the answer to	question 28. (a) is "yes," respond	gent, consultant, finder, introducer, municipal adv I to questions (b) through (g) below for each suc ust complete questions (b) through (g) separately	h marketer the <i>priva</i>
				Yes
o)Ist	the marketer a <i>related per</i> s	<i>on</i> of your firm?		0
) Nar	me of the marketer:			
•	S FINANCIAL SERVICES IN	· · ·		
d) Iftl	he marketer is registered	vith the SEC, its file number (<i>e.g.</i> ,	801-, 8-, or 866-):	
801	1 - 7163			
and 817	d CRD Number (if any): 74			
e) Loc	cation of the marketer's off	ice used principally by the <i>private</i>	fund (city, state and country):	
City	·	State:	Country:	
WE	EHAWKEN	New Jersey	United States	V
	as the marketer market th	e <i>private fund</i> through one or mor	a waheitas?	Yes I
) Do€	us me marketer market (f)	, private rana tri ougit one of mor		o
g) Ifti	he answer to question 28.	(f) is "yes," list the website addre	ess(es):	
		No Inf		

A. PRIVATE FUND

1.	(a) Name of the <i>private fund</i> :			
	ELLIOTT CIC 2 INTERMEDIATE A L.P. (b) <i>Private fund</i> identification number:			
	(include the "805-" prefix also)			
	805-9731468103			
2.	Under the laws of what state or country is the private fur	nd organized:		
	State: Country: Cayman Is	slands		
	Cayman is	Sidilus		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Dir	rectors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Direct	or		
	ELLIOTT CIC 2 INTERMEDIATE GP L.P.			
	(b) If filing an umbrella registration, identify the filing advi	iser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	Filing Adviser/Relying Adviser Name			
	ELLIOTT INVESTMENT MANAGEMENT L.P.			
	EALL LLP			
	EIMCT LLC			
	EIMFL LLC			
	EIMFL SUB LLC			
	ELLIOTT ADVISORS (UK) LIMITED			
	ELLIOTT FINANCIAL ITALIA S.R.L.			
	ELLIOTT MANAGEMENT CORPORATION			
	EVERGREEN COAST CAPITAL CORP.			
4.	The private fund (check all that apply; you must check at	least one):		
		vestment company under section 3(c)(1) of the Investment Company Act of 1940		
	$\mathbf{\overline{C}}$ (2) qualifies for the exclusion from the definition of in	vestment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country in English of each foreign fina	ancial regulatory authority with which the private fund is registered.		
Ο.	List the name and country, in English, or each loreign nina	No Information Filed		
			Vaa	
6	(a) Is this a "master fund" in a master-feeder arrangeme	ont?	Yes	
6.			\odot	0
		on number (if any) of the feeder funds investing in this <i>private fund</i> ?		
	Name of <i>private fund</i> ELLIOTT CIC 2 FEEDER A-1 L.P.	805-2848834581		
	ELLIOTT CIC 2 FEEDER A-2 L.P.	805-8236413368		
	ELLIOTT CIC 2 FEEDER A-3 L.P.	805-3295804877		
	ELLIOTT CIC 2 FEEDER A-4 L.P.	805-8377280849		
		000 0077200017		
			Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangeme	ent?	0	
		on number (if any) of the master fund in which this <i>private fund</i> invests?	~	e
	Name of <i>private fund</i> :			
	Private fund identification number:			
	(include the "805-" prefix also)			

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

Additional Feeder Fund Information : 4 Record(s) Filed.

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for

ea	ch of	the feeder funds answer the following questions:
(a))	Name of the private fund:
		ELLIOTT CIC 2 FEEDER A-1 L.P.
(h)		Private fund identification number:
(b))	(include the "805-" prefix also)
		805-2848834581
(C)		Under the laws of what state or country is the <i>private fund</i> organized:
(0)		State: Country:
		Cayman Islands
		Cayman Islands
(d)) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity):
		Name of General Partner, Manager, Trustee or Director
		ELLIOTT CIC 2 GP LLC
(d)	(2)	If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> :
		Filing Adviser/Relying Adviser Name
		ELLIOTT INVESTMENT MANAGEMENT L.P.
		EALL LLP
		EIMCT LLC
		EIMFL LLC
		EIMFL SUB LLC
		ELLIOTT ADVISORS (UK) LIMITED
		ELLIOTT FINANCIAL ITALIA S.R.L.
		ELLIOTT MANAGEMENT CORPORATION
		EVERGREEN COAST CAPITAL CORP.
(e)		 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
(f)		List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed
7. lf	you a	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for
ea (a))	the feeder funds answer the following questions: Name of the <i>private fund</i> : ELLIOTT CIC 2 FEEDER A-2 L.P.
(b)		Private fund identification number: (include the "805-" prefix also)
(c)		805-8236413368 Under the laws of what state or country is the <i>private fund</i> organized: State: Country: Cayman Islands
(d)) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity):
		Name of General Partner, Manager, Trustee or Director
		ELLIOTT CIC 2 GP LLC
(d)) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:
		Filing Adviser/Relying Adviser Name

	ELLIOTT INVESTMENT MANAGEMENT L.P.
	EALL LLP
	EIMCT LLC
	EIMFL LLC
	EIMFL SUB LLC
	ELLIOTT ADVISORS (UK) LIMITED
	ELLIOTT FINANCIAL ITALIA S.R.L.
	ELLIOTT MANAGEMENT CORPORATION
	EVERGREEN COAST CAPITAL CORP.
(e)	The <i>private fund</i> (check all that apply; you must check at least one):
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
	 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of
	1940
(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
	No Information Filed
7. If you	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for
each c	of the feeder funds answer the following questions:
(a)	Name of the <i>private fund</i> :
	ELLIOTT CIC 2 FEEDER A-3 L.P.
(b)	Private fund identification number:
	(include the "805-" prefix also)
	805-3295804877
(c)	Under the laws of what state or country is the private fund organized:
	State: Country:
	Cayman Islands
(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CIC 2 GP LLC
(d) (2)	If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> :
	Filing Adviser/Relying Adviser Name
	ELLIOTT INVESTMENT MANAGEMENT L.P.
	EALL LLP
	EIMCT LLC
	EIMFL LLC
	EIMFL SUB LLC
	ELLIOTT ADVISORS (UK) LIMITED
	ELLIOTT FINANCIAL ITALIA S.R.L.
	ELLIOTT MANAGEMENT CORPORATION
	EVERGREEN COAST CAPITAL CORP.
(e)	The <i>private fund</i> (check all that apply; you must check at least one):
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of
	1940

List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.

(f)

No Information Filed

		are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(f the feeder funds answer the following questions:	(1), foi	r	
		Name of the <i>private fund</i> :			
	(a)				
		ELLIOTT CIC 2 FEEDER A-4 L.P.			
	(1-)				
	(b)	Private fund identification number: (include the "805-" prefix also)			
		805-8377280849			
		003-0377200049			
		Under the laws of what state or country is the private funder appized.			
	(C)	Under the laws of what state or country is the <i>private fund</i> organized:			
		State: Country: Cayman Islands			
		Cayman Islanus			
	(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):			
		Name of General Partner, Manager, Trustee or Director		-	
		ELLIOTT CIC 2 GP LLC		- 11	
				_	
	(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund	d:	_	
		Filing Adviser/Relying Adviser Name		41	
		ELLIOTT INVESTMENT MANAGEMENT L.P.		_	
		EALL LLP			
		EIMCT LLC			
		EIMFL LLC			
		EIMFL SUB LLC			
		ELLIOTT ADVISORS (UK) LIMITED			
		ELLIOTT FINANCIAL ITALIA S.R.L.		11	
		ELLIOTT MANAGEMENT CORPORATION		- 11	
		EVERGREEN COAST CAPITAL CORP.		- 11	
				_	
	(e)	The <i>private fund</i> (check all that apply; you must check at least one):			
		(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company	Act of		
		1940			
		 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company 1940 	Act of		
		1940			
	(f)	List the name and equatry in English, of each foreign financial regulatory authority with which the private fund is registered			
	(f)	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.			
		No Information Filed			
	NOTE: For p	urposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially a	ll of th	neir	
	•	single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it			
		ses (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.			
			Yes	No	
8.	(a) Is this p	rivate fund a "fund of funds"?	0	\odot	
		urposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investmen	_	v	
		gardless of whether they are also <i>private funds</i> or registered investment companies.	11		
	-	loes the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	~	
	(2) 11 900, 0			U.	
			Yes	No	
9.	0,5	last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment	0	\odot	
	Company Ac	t of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?			
10.	What type o	f fund is the <i>private fund</i> ?			
	-	nd 🖸 liquidity fund 🔍 private equity fund 🔍 real estate fund 🔍 securitized asset fund 🔍 venture capital fund 💿 Other priva	ate fur	ıd:	
	CO-INVESTM	IENT COMMITMENTS			
	NOTE: For d	efinitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.			

11.	Current gross asset value of the <i>private fund</i> : \$ 349,422,224					
<u>0w</u>	vnership					
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 5,000,000 NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).).				
13.	Approximate number of the <i>private fund's</i> beneficial owners: 63					
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 5%					
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 25%					
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes O	No O			
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 5%					
<u>Yo</u>	ur Advisory Services	Yes	No			
17.	(a) Are you a subadviser to this <i>private fund</i> ?	0	õ			
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.		Č			
	No Information Filed					
		Yes	No			
18.	 (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i>? (b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i>. If the to question 18.(a) is "no," leave this question blank. 	O answe	© er			
	No Information Filed					
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	Yes	No			
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.	Ċ	e			
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%					
<u>Priv</u>	vate Offering	X	N1-			
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes ©	0			
22.	If yes, provide the <i>private fund's</i> Form D file number (if any): Form D file number					
	021-417015					
B. S	ERVICE PROVIDERS					
Auc	ditors					
J 3	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	Yes				
∠ں.	(a) (i) no the private randomination statements subject to an annual adult:	\odot	0			

(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?

If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the *private fund* uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.

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lf	the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one		
	uditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
(h) Name of the auditing firm:		
	GRANT THORNTON LLP		
(c)) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City:State:Country:NEW YORKNew YorkUnited States		
		Yes	5
(d) Is the auditing firm an independent public accountant?	\odot	
(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	\odot	
	If yes, Public Company Accounting Oversight Board-Assigned Number:		
	248		
(f)	If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	$oldsymbol{\circ}$	
Aro	the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's		es
	estors?	(•
Do a	all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	l opini	or
\odot	Yes O No O Report Not Yet Received		
If yo	ou check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the repor	t is ava	aii
Broke	er	.,	
			es
Doe	s the <i>private fund</i> use one or more prime brokers?		0
Doe If th			0
Doe If th	s the <i>private fund</i> use one or more prime brokers? The answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If		0
Doe If th func	s the <i>private fund</i> use one or more prime brokers? ne answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If d uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.		0
Doe If th	s the <i>private fund</i> use one or more prime brokers? ne answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If d uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	the pi	Ο riλ
Doe If th func	s the <i>private fund</i> use one or more prime brokers? ne answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If d uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	the pr	С riı
Doe If th func ian Doe If th	s the <i>private fund</i> use one or more prime brokers? ne answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If d uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed	the pr	o riv ⊙
Doe If th func	s the <i>private fund</i> use one or more prime brokers? The answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed s the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? The answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the	the pr	e e
Doe If th func ian Doe If th func If th	s the <i>private fund</i> use one or more prime brokers? The answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed s the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? The answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the d uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	the priva	
Doe If th func an Doe If th func If th func	s the <i>private fund</i> use one or more prime brokers? the answer to question 24. (a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>d</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed s the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>d</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. ditional Custodian Information : 1 Record(s) Filed.	the priva	o riv ⊙ e e e
Doe If th func Doe If th func If th func (b	s the <i>private fund</i> use one or more prime brokers? the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If the answer to question 24.(a) is "yes," respond to questions (b) through (e) separately for each prime broker. No Information Filed s the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the d uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. ditional Custodian Information : 1 Record(s) Filed. the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the nd uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If the nd uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If the nd uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If the nd uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If the nd uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	the priva	
Doe If th func Doe If th func If th func If (b (c)	s the <i>private fund</i> use one or more prime brokers? the answer to question 24. (a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>f</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed s the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>f</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. ditional Custodian Information : 1 Record(s) Filed. the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>nd</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. ditional Custodian Information : 1 Record(s) Filed. (b) through (g) separately for each custodian the <i>private fund</i> uses. If the <i>nd</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (custodian information = 1 Record(s) Filed. (custodian information = 1 Record(s) Filed. (c	the priva	e riv
Doe If th funce Doe If th funce If th funce If th funce (c)	s the <i>private fund</i> use one or more prime brokers? te answer to question 24. (a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>f</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed s the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian. thruses more than one custodian, you must complete questions (b) through (g) separately for each custodian. ditional Custodian Information : 1 Record(s) Filed. the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the read of custodian, you must complete questions (b) through (g) separately for each custodian. ditional Custodian Information : 1 Record(s) Filed. the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the read uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: WELLS FARGO BANK, N.A.) Primary business name of custodian: WELLS FARGO BANK, N.A. (c) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: State: Country:	the priva	e riv
Doe If th funce Doe If th funce If th funce If (b) (c)	s the <i>private fund</i> use one or more prime brokers? the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>f</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. No Information Filed s the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>f</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. ditional Custodian Information : 1 Record(s) Filed. the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>nd</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. ditional Custodian Information : 1 Record(s) Filed. (b) through (g) separately for each custodian. (custodian: WELLS FARGO BANK, N.A. (custodian: WELLS FARGO BANK, N.A. (custodian: WELLS FARGO BANK, N.A. (custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country):	the priva	 Cess Set to the set of the s

	CRD Number (if any):	
	(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>identifier</i> (if any)	legal entity
	KB1H1DSPRFMYMCUFXT09	
L		
dminist	trator	Yes
6. (a) I	Does the private fund use an administrator other than your firm?	۲
	If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one a must complete questions (b) through (f) separately for each administrator.	idministrator, yc
	Additional Administrator Information : 1 Record(s) Filed.	
	If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.	9
	(b) Name of administrator: HARMONIC FUND SERVICES	
	(c) Location of administrator (city, state and country):	
	City:State:Country:GRAND CAYMANCayman Islands	
	GRAND CATMAN Cayman Islands	Yes No
	(d) Is the administrator a <i>related person</i> of your firm?	00
	(e) Does the administrator prepare and send investor account statements to the <i>private fund's</i> investors? • Yes (provided to all investors) • Some (provided to some but not all investors) • No (provided to no investors)	
	(f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) privation investors? If investor account statements are not sent to the (rest of the) private fund's investors, respond "not applicate the sent to the the term of the sent to the term of the term of the sent term of the term of	
your 0% Inclu relev	ing your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administra <i>r related person</i> ? ude only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including ob vant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculatio cations) was the valuation determined by such <i>person</i> .	otaining any
arketei	ers and a second s	Yes
3. (a) I	Does the private fund use the services of someone other than you or your employees for marketing purposes?	0
ç	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or oth similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer thus uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer	he private fund
	No Information Filed	

ELLIOTT	CIC 2 INTERMEDIATE B L.P.				
. ,	<i>fund</i> identification number: the "805-" prefix also)				
805-661	17440022				
2. Under the la	aws of what state or country is the <i>pri</i>	ivate fund organized:			
State:	• •	untry:			
	Cay	yman Islands			
	· •	e, or Directors (or <i>persons</i> serving in a similar capacity):			
	eneral Partner, Manager, Trustee, or	Director			
	C 2 INTERMEDIATE GP L.P.				
(b) If filing a	an <i>umbrella registration</i> , identify the <i>fili</i>	ing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.			
Filing Advi	iser/Relying Adviser Name				
ELLIOTT IN	VESTMENT MANAGEMENT L.P.				
EALL LLP					
EIMCT LLC					
EIMFL LLC					
EIMFL SUB	LLC				
ELLIOTT AD	VISORS (UK) LIMITED				
ELLIOTT FIN	NANCIAL ITALIA S.R.L.				
ELLIOTT MA	ANAGEMENT CORPORATION				
EVERGREEN	N COAST CAPITAL CORP.				
5. List the nam	ne and country, in English, of each fore	eign financial regulatory authority with which the private fund is registered.			
		No Information Filed			
			Yes No		
6. (a) Is this a	a "master fund" in a master-feeder arr	rangement?	\circ \circ		
(b) If yes, v	what is the name and private fund iden	tification number (if any) of the feeder funds investing in this private fund?			
Name of pr	rivate fund	Private fund identification number			
ELLIOTT CI	C 2 FEEDER B-1 L.P.	805-9345897900			
ELLIOTT CI	C 2 FEEDER B-2 L.P.	805-9379340672			
ELLIOTT CI	C 2 FEEDER B-3 L.P.	805-3022498824			
ELLIOTT CI	C 2 FEEDER B-4 L.P.	805-9506331752			
			Yes No		
	a "feeder fund" in a master-feeder arra		\circ \circ		
	(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?				
Name of	Name of <i>private fund</i> :				
	<i>Private fund</i> identification number: (include the "805-" prefix also)				
	must complete question 6 for each ma	aster-feeder arrangement regardless of whether you are filing a single Schedule D. Section	7 R (1)		
	must complete question 6 for each ma ter-feeder arrangement or reporting o	aster-feeder arrangement regardless of whether you are filing a single Schedule D, Section on the funds separately.	ז 7.B.(1)		
for the mast 7. If you are fil	ter-feeder arrangement or reporting o	on the funds separately. 1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), f			

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

(a)	Name of the <i>private fund</i> :
	ELLIOTT CIC 2 FEEDER B-1 L.P.
(b)	Private fund identification number:
	(include the "805-" prefix also)
	805-9345897900
(C)	Under the laws of what state or country is the <i>private fund</i> organized:
(C)	
	State: Country: Cayman Islands
	Cayman rsianus
(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CIC 2 GP LLC
(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:
	Filing Adviser/Relying Adviser Name
	ELLIOTT INVESTMENT MANAGEMENT L.P.
	ELLISTI INVESTIMENT MANAGEMENT E.T.
	EIMCT LLC
	EIMFL LLC
	EIMFL SUB LLC
	ELLIOTT ADVISORS (UK) LIMITED
	ELLIOTT FINANCIAL ITALIA S.R.L.
	ELLIOTT MANAGEMENT CORPORATION
	EVERGREEN COAST CAPITAL CORP.
(e)	The private fund (check all that apply; you must check at least one):
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of
	1940
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of
	1940
(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
	No Information Filed
7 10	
-	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for
	of the feeder funds answer the following questions:
(a)	Name of the <i>private fund</i> :
	ELLIOTT CIC 2 FEEDER B-2 L.P.
(b)	Private fund identification number:
	(include the "805-" prefix also)
	805-9379340672
(C)	Under the laws of what state or country is the <i>private fund</i> organized:
	State: Country:
	Cayman Islands
(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
(u) (1)	
	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CIC 2 GP LLC
(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:
	Filing Adviser/Relying Adviser Name
	ELLIOTT INVESTMENT MANAGEMENT L.P.
	EALL LLP

	EIMCT LLC
	EIMFL LLC
	EIMFL SUB LLC
	ELLIOTT ADVISORS (UK) LIMITED
	ELLIOTT FINANCIAL ITALIA S.R.L.
	ELLIOTT MANAGEMENT CORPORATION
	EVERGREEN COAST CAPITAL CORP.
	The <i>private fund</i> (check all that apply; you must check at least one):
(e)	(1) qualifies for the exclusion from the definition of investment company under section $3(c)(1)$ of the Investment Company Act of
	1940
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
(f)	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed
7 If you	u are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for
each	of the feeder funds answer the following questions:
(a)	Name of the <i>private fund</i> :
	ELLIOTT CIC 2 FEEDER B-3 L.P.
(b)	<i>Private fund</i> identification number: (include the "805-" prefix also) 805-3022498824
(c)	Under the laws of what state or country is the <i>private fund</i> organized: State: Country: Cayman Islands
(d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CIC 2 GP LLC
(d) (2	2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:
	Filing Adviser/Relying Adviser Name
	ELLIOTT INVESTMENT MANAGEMENT L.P.
	EALL LLP
	EIMCT LLC
	EIMFL SUB LLC
	ELLIOTT ADVISORS (UK) LIMITED
	ELLIOTT FINANCIAL ITALIA S.R.L.
	ELLIOTT MANAGEMENT CORPORATION
	EVERGREEN COAST CAPITAL CORP.
(e)	The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
	 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
(f)	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed

		are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1) f the feeder funds answer the following questions:), for
	(a)	Name of the <i>private fund</i> :	
	(4)	ELLIOTT CIC 2 FEEDER B-4 L.P.	
	(b)	Private fund identification number:	
	(b)	(include the "805-" prefix also)	
		805-9506331752	
	(c)	Under the laws of what state or country is the <i>private fund</i> organized:	
		State: Country:	
		Cayman Islands	
	(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity):	
		Name of General Partner, Manager, Trustee or Director	
		ELLIOTT CIC 2 GP LLC	
	(d) (2)	If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> : <i>Filing Adviser/Relying Adviser</i> Name	
		ELLIOTT INVESTMENT MANAGEMENT L.P.	
		EALL LLP	
		EIMFL LLC	
		EIMFL SUB LLC	
		ELLIOTT ADVISORS (UK) LIMITED	
		ELLIOTT FINANCIAL ITALIA S.R.L.	
		ELLIOTT MANAGEMENT CORPORATION	
		EVERGREEN COAST CAPITAL CORP.	
		 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Ad 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Ad 1940 	
	(f)	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed	
	assets in a s	urposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all o single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is sses (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	
8.	(a) Is this p	vrivate fund a "fund of funds"?	Yes No
0.	NOTE: For p	urposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment	00
		gardless of whether they are also <i>private funds</i> or registered investment companies. loes the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0 0
			U U
		· ·	Yes No
9.	•••	last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment t of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	00
10.	What type o	f fund is the <i>private fund</i> ?	
	-	and $^{m O}$ liquidity fund $^{m O}$ private equity fund $^{m O}$ real estate fund $^{m O}$ securitized asset fund $^{m O}$ venture capital fund $^{m O}$ Other <i>private</i> IENT COMMITMENTS	e fund:
	NOTE: For d	efinitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.	

\$	1,	139,	983	150
-	• •	,		

<u>Ow</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 5,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in t organizational documents of the fund).	he	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 63		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 4%		
15.	 (a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 4% 		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes O	No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 81%		
You	ur Advisory Services		
		Yes	No
17.	 (a) Are you a subadviser to this <i>private fund</i>? (b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answ question 17.(a) is "no," leave this question blank. 	O er to	o
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	o
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	ie answ	ver
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	o
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
<u>Priv</u>	vate Offering		
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes ©	No O
22.	If yes, provide the private fund's Form D file number (if any):		
	Form D file number		
	021-417011		
B. SE	ERVICE PROVIDERS		
Aud	<u>ditors</u>	Yes	No
23.	(a) (1) Are the private fund's financial statements subject to an annual audit?		0
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	õ	0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audit you must complete questions (b) through (f) separately for each auditing firm.		

Additional Auditor Information : 1 Record(s) Filed.

If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than o auditing firm, you must complete questions (b) through (f) separately for each auditing firm.							
	(b) Name of the auditing firm: GRANT THORNTON LLP						
	(c) The location of the auditing firm's office City:	responsible for the <i>private</i> a	<i>fund's</i> audit (city, state and country): Country:				
		New York	United States				
				Yes	s No		
	(d) Is the auditing firm an <i>independent public</i>	c accountant?		\odot	0		
	(e) Is the auditing firm registered with the F	Public Company Accounting	Oversight Board?	۲	0		
	If yes, Public Company Accounting Oversight Board-Assigned Number: 248						
	(f) If "yes" to (e) above, is the auditing firm accordance with its rules?	n subject to regular inspecti	on by the Public Company Accounting Oversight Board in	۲	0		
				Y	es No		
(g)	Are the <i>private fund's</i> audited financial stateme investors?	ents for the most recently c	ompleted fiscal year distributed to the <i>private fund's</i>	¢	• •		
(h)	Do all of the reports prepared by the auditing f	firm for the <i>private fund</i> sind	ce your last annual updating amendment contain unqualified	l opinio	ons?		
	If you check "Report Not Yet Received," you mus	st promptly file an amendme	nt to your Form ADV to update your response when the repor	t is ava	ailable.		
Prime E	Broker						
24. (a)	Does the <i>private fund</i> use one or more prime b	rokers?			esNo		
	If the answer to question 24.(a) is "yes," resp fund uses more than one prime broker, you mu		gh (e) below for each prime broker the <i>private fund</i> uses. If through (e) separately for each prime broker.	the pr	ivate		
		No Informa	tion Filed				
Custodi	an						
25 (a)	Does the <i>private fund</i> use any custodians (inclu	iding the prime brokers list	ed above) to hold some or all of its assets?		es No		
20. (u)		ond to questions (b) throug	gh (g) below for each custodian the <i>private fund</i> uses. If the		€ C te		
	Additional Custodian Information : 1 Record	I(s) Filed.					
If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> us <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.					ate		
	(b) Legal name of custodian: WELLS FARGO BANK, N.A.						
	(c) Primary business name of custodian: WELLS FARGO BANK, N.A.						
	(d) The location of the custodian's office res	ponsible for <i>custody</i> of the	private fund's assets (city, state and country):				
	City:	State:	Country:				
	SAN FRANCISCO	California	United States	Vec			
	(a) Is the sustadian a related person of your			res	s No		

(e) Is the custodian a *related person* of your firm?

	(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):	
	CRD Number (if any):	
	(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity identifier</i> (if any) KB1H1DSPRFMYMCUFXT09	
dmini	<u>strator</u>	s ľ
b. (a)	Does the <i>private fund</i> use an administrator other than your firm?	
	If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one administrator must complete questions (b) through (f) separately for each administrator.	уо
	Additional Administrator Information : 1 Record(s) Filed.	
	If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.	
	(b) Name of administrator: HARMONIC FUND SERVICES	
	(c) Location of administrator (city, state and country):	
	City: State: Country: GRAND CAYMAN Cayman Islands	
	Yes I	0
	(d) Is the administrator a <i>related person</i> of your firm?	0
	(e) Does the administrator prepare and send investor account statements to the <i>private fund's</i> investors? • Yes (provided to all investors) • Some (provided to some but not all investors) • No (provided to no investors)	
	(f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) <i>private fund's</i> investors? If investor account statements are not sent to the (rest of the) <i>private fund's</i> investors, respond "not applicable."	
	ring your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i> , such as an administrator, that is r ur <i>related person</i> ?	ot
rele	b Iude only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, including obtaining any evant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including pocations) was the valuation determined by such <i>person</i> .	
arket		s ľ
. (a)	Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the <i>private fun</i> uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for each marketer.	
	No Information Filed	
PRIVA	TE FUND	
<u>orma</u>	tion About the Private Fund	
(a)	Name of the <i>private fund</i> :	
. ,	ELLIOTT CIC 2 INTERMEDIATE C L.P.	

(b) *Private fund* identification number:

(include	the	"805-"	prefix	also)
805-974	3004	4805		

2. Under the laws of what state or country is the *private fund* organized: State: Country: Cayman Islands

3. (a) Name(s) of General Partner, Manager, Trustee, or Directors (or *persons* serving in a similar capacity):

Name of General Partner, Manager, Trustee, or Director			
ELLIOTT CIC 2 INTERMEDIATE GP L.P.			

(b) If filing an *umbrella registration*, identify the *filing adviser* and/or *relying adviser(s)* that sponsor(s) or manage(s) this *private fund*.

Filing Adviser/Relying Adviser Name
ELLIOTT INVESTMENT MANAGEMENT L.P.
EALL LLP
EIMCT LLC
EIMFL LLC
EIMFL SUB LLC
ELLIOTT ADVISORS (UK) LIMITED
ELLIOTT FINANCIAL ITALIA S.R.L.
ELLIOTT MANAGEMENT CORPORATION
EVERGREEN COAST CAPITAL CORP.

4. The *private fund* (check all that apply; you must check at least one):

(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940

(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940

5. List the name and country, in English, of each *foreign financial regulatory authority* with which the *private fund* is registered.

List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.			
	No Information Filed		
	Yes N		
(a) Is this a "master fund" in a master-feeder arran	gement?		
(b) If yes, what is the name and private fund identified	cation number (if any) of the feeder funds investing in this private fund?		
Name of private fund	Private fund identification number		
ELLIOTT CIC 2 FEEDER C-1 L.P.	805-9804243063		
	Yes N		
(c) Is this a "feeder fund" in a master-feeder arrang	gement?		
(d) If yes, what is the name and <i>private fund</i> identified	cation number (if any) of the master fund in which this <i>private fund</i> invests?		
Name of <i>private fund</i> :			
<i>Private fund</i> identification number: (include the "805-" prefix also)			
NOTE: You must complete question 6 for each master for the master-feeder arrangement or reporting on t	er-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) the funds separately.		
If you are filing a single Schedule D, Section 7.B.(1) the feeder funds answer the following questions:	for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each c		
Additional Feeder Fund Information : 1 Record(s)	Filed.		
 7. If you are filing a single Schedule D, Section 7.E each of the feeder funds answer the following (a) Name of the <i>private fund</i>: 	B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for questions:		

ELLIOTT CIC 2 FEEDER C-1 L.P.

(b) *Private fund* identification number: (include the "805-" prefix also)

805-9804243063	
Under the laws of what state or country is the <i>private fund</i> organized:	
State: Country:	
Cayman Islands	
(1) Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):	
Name of General Partner, Manager, Trustee or Director	
ELLIOTT CIC 2 GP LLC	
(2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fun	d:
Filing Adviser/Relying Adviser Name	
ELLIOTT INVESTMENT MANAGEMENT L.P.	
EALL LLP	
EIMCT LLC	
EIMFL LLC	
EIMFL SUB LLC	
ELLIOTT ADVISORS (UK) LIMITED	
ELLIOTT FINANCIAL ITALIA S.R.L.	
ELLIOTT MANAGEMENT CORPORATION	
EVERGREEN COAST CAPITAL CORP.	
List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.	
No Information Filed	
a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if i	
is private fund a "fund of funds"?	
or purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investme	O ⊙ nt
	~ ~
	0 0
	Yes No
	00
e of fund is the <i>private fund</i> ?	
	ate fund:
or definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.	
	Under the laws of what state or country is the private band organized: State: Country: Caymen Falsends (1) Mane(s) of General Partner, Manager, Trustee or Director ELLIOTT OF 2 OF LLC (2) If filing an unstrotle registration, identify the filing advisor and/or reging advisor(s) that sponser(s) or manage(s) this private fan ELLIOTT INVESTMENT MANAGEMENT L.P. LAITT IP ELLIOTT INVESTMENT MANAGEMENT L.P. LAITT IP EMPT LLC EMPT LLC EMPT LLC ELLIOTT INVESTMENT MANAGEMENT L.P. LAITT IP EMPT SUB LLC EINT THANCIAL TRALL AS RL EINT THANCIAL TRALLAS RL EINT Private fand (check all that apply: you must check at least one): C) 1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company 1440 IN Information Filed No Information Filed Interviews of invests and country, in English: of each faregin financial reguistry authenty with which the private fand is

11. Current gross asset value of the *private fund*: \$ 224,854,305

<u>Ownership</u>

12. Minimum investment commitment required of an investor in the *private fund*:

\$ 5,000,000

NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the

	orga	anizational documents of the fund).		
13.	Арр 72	proximate number of the <i>private fund's</i> beneficial owners:		
14.	Wha 5%	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	(a) 10%	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: %		
	(b)	If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes Ö	No O
16.	Wha 599	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : %		
Yo	ur Ac	dvisory Services		
47			Yes	No
1/.		Are you a subadviser to this <i>private fund</i> ? If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	C er to	o
		No Information Filed		
1.0			Yes	No
18.		Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i> ? If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	O e answ	⊙ ver
		No Information Filed		
			Yes	No
19.		your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot
	NO	TE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Арр 0%	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
Pri	vate	Offering		
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes O	No ©
22.	If y	res, provide the <i>private fund's</i> Form D file number (if any):		
		No Information Filed		
DС		CE PROVIDERS		
D. J				
Aud	ditors	<u>S</u>		
23	(a)	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	-	No
20.	(u)	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	o o	0
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditir you must complete questions (b) through (f) separately for each auditing firm.		
		Additional Auditor Information : 1 Record(s) Filed.		
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
		(b) Name of the auditing firm:		
		(b) Name of the auditing firm: GRANT THORNTON LLP		
		(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		

	City:	State:	Country:				
	NEW YORK	New York	United States	Yes	No		
	(d) Is the auditing firm an inc	ependent public accountant?		©	0		
	(e) Is the auditing firm regist	ered with the Public Company Accour	nting Oversight Board?	\odot	0		
	If yes, Public Company Ac 248	counting Oversight Board-Assigned N	umber:				
	(f) If "yes" to (e) above, is the accordance with its rules?	• • •	pection by the Public Company Accounting Oversight Board in	o	0		
				Ye	es No		
(g)	Are the <i>private fund's</i> audited fir investors?	nancial statements for the most recen	tly completed fiscal year distributed to the private fund's	©	0		
(h)	Do all of the reports prepared b	y the auditing firm for the private fund	d since your last <i>annual updating amendment</i> contain unqualif	ied opinio	ns?		
	⊙ Yes ○ No ○ Report Not Ye	Received					
			ndment to your Form ADV to update your response when the rep	ort is avai	ilable.		
Prime I	Broker						
24 (2)	Doos the private funduse one o	r mara prima brakars?		_	es No		
24. (d)		is "yes," respond to questions (b) th	nrough (e) below for each prime broker the <i>private fund</i> uses. (b) through (e) separately for each prime broker.	C If the <i>priv</i>	~ ~~		
		No Inf	prmation Filed				
<u>Custod</u> 25. (a)	ian Yes I Does the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets? Image: Comparison of the private fund uses in the private fund uses. If the private fund uses in the private fund uses in the private fund uses. If the private fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.						
	Additional Custodian Information : 1 Record(s) Filed.						
	If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.						
	(b) Legal name of custodian: WELLS FARGO BANK, N.A.						
	(c) Primary business name of WELLS FARGO BANK, N.A.	custodian:					
		lian's office responsible for <i>custody</i> of State:	the <i>private fund's</i> assets (city, state and country):				
	City: SAN FRANCISCO	California	Country: United States	Yes	No		
	(e) Is the custodian a <i>relateo</i>	person of your firm?		0	©		
	(f) If the custodian is a broke	er-dealer, provide its SEC registration	number (if any):				
	- CRD Number (if any):						
	(g) If the custodian is not a b <i>identifier</i> (if any) KB1H1DSPRFMYMCUFXTO		ut does not have an SEC registration number, provide its <i>lega</i>	al entity			

26. (a) Does the *private fund* use an administrator other than your firm?

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

	Additional Admin	istrator Information : 1 Re	ecord(s) Filed.		
		question 26.(a) is "yes," res ou must complete questions		nrough (f) below. If the <i>private fund</i> us ly for each administrator.	ses more than one
	(b) Name of adn HARMONIC F	ninistrator: UND SERVICES			
	(c) Location of a	administrator (city, state and	d country):		
	City:		State:	Country:	
	GRAND CAYM	IAN		Cayman Islands	
	(d) Is the admin	istrator a <i>related person</i> of y	your firm?		Yes No
	(e) Does the add	ministrator prepare and ser	nd investor account state	ements to the <i>private fund's</i> investors?	
	💽 Yes (provi	ded to all investors) OSom	ne (provided to some but	not all investors) ${\displaystyle \mathop{\mathbb{O}}}$ No (provided to r	no investors)
				he investor account statements to the est of the) <i>private fund's</i> investors, res	· · · ·
arkete	ers	iluation determined by such			Yes N
(a)				rour <i>employees</i> for marketing purposes	
	similar <i>person</i> . If th	ne answer to question 28. (a)) is "yes," respond to qu	, consultant, finder, introducer, munic estions (b) through (g) below for eacl ete questions (b) through (g) separat	n such marketer the <i>private fund</i>
			No Infor	mation Filed	
	TE FUND				
RIVA	TETOND				
orma	tion About the Priv	ate Fund			
(a)	Name of the <i>private</i>				
(b)	Private fund identifi	DIATE CO-INVESTMENT I L.P.			
x - /	(include the "805-"				
	805-9260775661				
Unc		t state or country is the priv	0		
	State:	Col	untry:		

Yes	No
-	-

3. (a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):

Name of General Partner, Manager, Trustee, or Director

ELLIOTT INTERMEDIATE CO-INVESTMENT GP L.P.

(b) If filing an *umbrella registration*, identify the *filing adviser* and/or *relying adviser(s)* that sponsor(s) or manage(s) this *private fund*.

Filing Adviser/Relying Adviser Name
ELLIOTT INVESTMENT MANAGEMENT L.P.
EALL LLP
EIMCT LLC
EIMFL LLC
EIMFL SUB LLC
ELLIOTT ADVISORS (UK) LIMITED
ELLIOTT FINANCIAL ITALIA S.R.L.
ELLIOTT MANAGEMENT CORPORATION
EVERGREEN COAST CAPITAL CORP.

- 4. The *private fund* (check all that apply; you must check at least one):
 - \Box (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
 - (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
- 5. List the name and country, in English, of each *foreign financial regulatory authority* with which the *private fund* is registered.

	No Information Filed		
		Yes M	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	\odot	0
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		

Name of <i>private fund</i>	Private fund identification number
ELLIOTT CO-INVESTMENT A-1 L.P.	805-4069205558
ELLIOTT CO-INVESTMENT A-2 L.P.	805-5204685812
ELLIOTT CO-INVESTMENT A-3 L.P.	805-5319852086
ELLIOTT CO-INVESTMENT A-4 L.P.	805-5607893568
ELLIOTT CO-INVESTMENT A-5 L.P.	805-6954669818
ELLIOTT CO-INVESTMENT A-6 L.P.	805-9813370571
ELLIOTT CO-INVESTMENT A-7 L.P.	805-6919368854

	Yes No
(c) Is this a "feeder fund" in a master-feeder arrangement?	$\circ \circ$
(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?	
Name of <i>private fund</i> :	

Private fund identification number: (include the "805-" prefix also)

7.

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.

If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

Additional Feeder Fund Information : 7 Record(s) Filed.

- 7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:
 - (a) Name of the *private fund*: ELLIOTT CO-INVESTMENT A-1 L.P.
 - (b) *Private fund* identification number: (include the "805-" prefix also) 805-4069205558

(C)	Under the laws of what state or country is the <i>private fund</i> organized:
	State: Country:
	Cayman Islands
(d) (T)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director
	ELLIOTT CO-INVESTMENT GP LLC
(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:
(u) (z)	Filing Adviser/Relying Adviser Name
	ELLIOTT INVESTMENT MANAGEMENT L.P.
	EALL LLP
	EIMCT LLC
	EIMFL LLC
	EIMFL SUB LLC
	ELLIOTT ADVISORS (UK) LIMITED
	ELLIOTT FINANCIAL ITALIA S.R.L.
	ELLIOTT MANAGEMENT CORPORATION
	EVERGREEN COAST CAPITAL CORP.
(e)	The private fund (check all that apply; you must check at least one):
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of
	1940
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of
	1940
(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
	No Information Filed
-	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for of the feeder funds answer the following questions: Name of the <i>private fund</i> : ELLIOTT CO-INVESTMENT A-2 L.P. <i>Private fund</i> identification number: (include the "805-" prefix also)
	805-5204685812
(C)	Under the laws of what state or country is the private fund organized:
	State: Country:
	Cayman Islands
(d) (1)	Nemeric) of Constant Nemerica. Trustee or Directory (or response conving in a similar constitut)
(a) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director
	ELLIOTT CO-INVESTMENT GP LLC
(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:
(u) (z)	Filing Adviser/Relying Adviser Name
	ELLIOTT INVESTMENT MANAGEMENT L.P.
	EALL LLP
	EIMCT LLC
	EIMELLLC
	EIMFL ELO EIMFL SUB LLC
	ELLIOTT ADVISORS (UK) LIMITED
	ELLIOTT FINANCIAL ITALIA S.R.L.

ELLIOTT MANAGEMENT CORPORATION

		EVERGREEN COAST CAPITAL CORP.				
	(e)	The private fund (check all that apply; you must check at least one):				
		\Box (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940				
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940				
	(f)	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed				
7.		are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for f the feeder funds answer the following questions:				
	(a)	Name of the <i>private fund</i> : ELLIOTT CO-INVESTMENT A-3 L.P.				
	(b)	Private fund identification number: (include the "805-" prefix also)				
		805-5319852086				
	(C)	Under the laws of what state or country is the <i>private fund</i> organized:				
		State: Country: Cayman Islands				
	(d) (T)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):				
		Name of General Partner, Manager, Trustee or Director ELLIOTT CO-INVESTMENT GP LLC				
	(I) (-)					
	(d) (2)	If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> :				
		Filing Adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P.				
		EALL LLP				
		EIMCT LLC				
		EIMFL LLC				
		EIMFL SUB LLC				
		ELLIOTT ADVISORS (UK) LIMITED				
		ELLIOTT FINANCIAL ITALIA S.R.L.				
		ELLIOTT MANAGEMENT CORPORATION				
		EVERGREEN COAST CAPITAL CORP.				
	(e)	The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940				
		 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 				
	(f)	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed				
7.	5	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for f the feeder funds answer the following questions: Name of the <i>private fund</i> :				
		ELLIOTT CO-INVESTMENT A-4 L.P.				

(b) *Private fund* identification number:

	(include the "805-" prefix also)		
		805-5607893568	
		Under the laws of what state or country is the <i>private fund</i> organized:	
		State: Country: Cayman Islands	
	(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):	
		Name of General Partner, Manager, Trustee or Director	
		ELLIOTT CO-INVESTMENT GP LLC	
	(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:	
		Filing Adviser/Relying Adviser Name	
		ELLIOTT INVESTMENT MANAGEMENT L.P.	
		EALL LLP	
		EIMCT LLC	
		EIMFL LLC	
		EIMFL SUB LLC	
		ELLIOTT ADVISORS (UK) LIMITED	
		ELLIOTT FINANCIAL ITALIA S.R.L.	
		ELLIOTT MANAGEMENT CORPORATION	
		EVERGREEN COAST CAPITAL CORP.	
	(e)	The private fund (check all that apply; you must check at least one):	
		\square (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of	
		1940	
		(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of	
		1940	
	(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered	
	(f)	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed	
7.	If you a	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for	
	each of	the feeder funds answer the following questions:	
	(a)	Name of the <i>private fund</i> :	
		ELLIOTT CO-INVESTMENT A-5 L.P.	
	(b)	Private fund identification number:	
		(include the "805-" prefix also)	
		805-6954669818	
	(c)	Under the laws of what state or country is the <i>private fund</i> organized:	
		State: Country:	
		Cayman Islands	
	(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity):	
		Name of General Partner, Manager, Trustee or Director	
		ELLIOTT CO-INVESTMENT GP LLC	
	(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:	
		Filing Adviser/Relying Adviser Name	
		ELLIOTT INVESTMENT MANAGEMENT L.P.	
		EALL LLP	
		EIMCT LLC	
		EIMFL LLC	

EIMFL SUB LLC

	ELLIOTT ADVISORS (UK) LIMITED
	ELLIOTT FINANCIAL ITALIA S.R.L.
	ELLIOTT MANAGEMENT CORPORATION
	EVERGREEN COAST CAPITAL CORP.
(e)	The private fund (check all that apply; you must check at least one):
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
(f)	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed
-	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for of the feeder funds answer the following questions:
(a)	Name of the <i>private fund</i> :
	ELLIOTT CO-INVESTMENT A-6 L.P.
(b)	Private fund identification number:
	(include the "805-" prefix also)
	805-9813370571
(C)	Under the laws of what state or country is the <i>private fund</i> organized:
(0)	State: Country:
	Cayman Islands
(d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity):
	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CO-INVESTMENT GP LLC
(d) (2) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:
	Filing Adviser/Relying Adviser Name
	ELLIOTT INVESTMENT MANAGEMENT L.P.
	EALL LLP
	EIMCT LLC
	EIMFL LLC
	EIMFL SUB LLC
	ELLIOTT ADVISORS (UK) LIMITED
	ELLIOTT FINANCIAL ITALIA S.R.L.
	ELLIOTT MANAGEMENT CORPORATION
	EVERGREEN COAST CAPITAL CORP.
(e)	The <i>private fund</i> (check all that apply; you must check at least one):
x=/	\Box (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of
	1940
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
(f)	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed
(f)	
(f)	

(a) Name of the *private fund*:

		ELLIOTT CO-INVESTMENT A-7 L.P.		
	(b)	Private fund identification number:		
		(include the "805-" prefix also)		
		805-6919368854		
	(c)	Under the laws of what state or country is the <i>private fund</i> organized:		
		State: Country:		
		Cayman Islands		
	(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director		
		ELLIOTT CO-INVESTMENT GP LLC		
	(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:		
		Filing Adviser/Relying Adviser Name		
		ELLIOTT INVESTMENT MANAGEMENT L.P.		
		EALL LLP		
		EIMCT LLC		
		EIMFL LLC		
		EIMFL SUB LLC		11
		ELLIOTT ADVISORS (UK) LIMITED		
		ELLIOTT FINANCIAL ITALIA S.R.L.		
		ELLIOTT MANAGEMENT CORPORATION		
		EVERGREEN COAST CAPITAL CORP.		
				-
	(e)	The <i>private fund</i> (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Advised to the term of	.ct of	
		1940		
		 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Ad 1940 	ct of	
	(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
		No Information Filed		
	assets in a s	purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all o single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it is sses (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
	· · [· · · · ·		Yes	No
8.	(a) Is this p	private fund a "fund of funds"?	0	\odot
		purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment gardless of whether they are also <i>private funds</i> or registered investment companies.	_	~
	-	does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
	· · · •		~	Č
		,	Yes	No
9.	During your	last fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment	0	\odot
		t of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	~	e
10.		If fund is the <i>private fund</i> ?		
	-	ind $^{m O}$ liquidity fund $^{m O}$ private equity fund $^{m O}$ real estate fund $^{m O}$ securitized asset fund $^{m O}$ venture capital fund $^{m O}$ Other <i>private</i> IENT COMMITMENTS	e fun	d:
	NOTE: For d	lefinitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gros \$ 509,056,8	ss asset value of the <i>private fund:</i>		

<u>Ownership</u>

12.	\$ 10 NOT	imum investment commitment required of an investor in the <i>private fund</i> : 0,000,000 FE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the anizational documents of the fund).		
13.	Appi 58	roximate number of the <i>private fund's</i> beneficial owners:		
14.	Wha 3%	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :		
15.	(a) 48%	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
		If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes Ö	No O
16.	Wha 1%	at is the approximate percentage of the <i>private fund</i> beneficially owned by non-United States persons:		
<u>Yo</u>	ur Ad	lvisory Services		
17.	(\mathbf{a})	Are you a subadviser to this <i>private fund</i> ?	Yes	
17.	(b)	If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer to question 17.(a) is "no," leave this question blank.		o
		No Information Filed		
			Yes	No
18.	(a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	\odot
		If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the a to question 18.(a) is "no," leave this question blank.	inswe	r
		No Information Filed		
			Yes	No
19.	Are	your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot
	NOT	E: For purposes of this question, do not consider feeder funds of the private fund.		
20.	App 0%	roximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
Pri	vate	Offering		
		·	Yes	No
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	0	0
22.	lf y€	es, provide the <i>private fund's</i> Form D file number (if any):		
		m D file number		
	021	1-345921		
B. S	ERVI	CE PROVIDERS		
Au	ditors	-	Yes	No
23.	(a)	(1) Are the private fund's financial statements subject to an annual audit?	•	0
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	~	õ
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm.	~~~	_
		Additional Auditor Information : 1 Record(s) Filed.		
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one		

auditing firm, you must complete questions (b) through (f) separately for each auditing firm.

		lame of the auditing firm: GRANT THORNTON LLP				
		be leasting of the sudition		for the private fun	d's sudit (situ, state and sountsu).	
		ne location of the auditing City:	State:	e for the <i>private fun</i>	d's audit (city, state and country): Country:	
		IEW YORK	New York		United States	
	(d) I:	s the auditing firm an <i>indep</i>	endent public accountar	pt?		Yes No O
	(e) Is	s the auditing firm register	ed with the Public Com	oany Accounting Ov	ersight Board?	• •
		f yes, Public Company Acco 48	ounting Oversight Board	-Assigned Number:		
		f "yes" to (e) above, is the ccordance with its rules?	auditing firm subject to	regular inspection	by the Public Company Accounting Oversight Board in	© 0
-	investo	rs?		-	pleted fiscal year distributed to the private fund's	Yes No
(h			_	private fund since	your last annual updating amendment contain unqualifie	ed opinions?
		• No • Report Not Yet R		file an amondmont	to your Form ADV to undate your response when the rend	ort is available
	n you c	πέεκ κέροπ Νοι τει κέζειν	ea, you must promptiy	me an amendment	to your Form ADV to update your response when the repo	irt is available.
Prime	Broker					
		a prívata funduca ana ar n	noro primo brokoro?			Yes No
24. (a		ne <i>private fund</i> use one or n	·	stions (b) through	(e) below for each prime broker the <i>private fund</i> uses. I	f the private
		•	• • •		rough (e) separately for each prime broker.	
				No Informatio	n Filed	
Custoc	lian					Yes No
25. (a	If the a	nswer to question 25.(a) is	s "yes," respond to que	stions (b) through	above) to hold some or all of its assets? (g) below for each custodian the <i>private fund</i> uses. If th	• • • • • • • • • • • • • • • • • • •
		es more than one custodia onal Custodian Informatic		questions (b) throug	gh (g) separately for each custodian.	
		•	• · ·		h g) below for each custodian the <i>private fund</i> uses. If	the <i>private</i>
	fund	uses more than one custod	lian, you must complete	e questions (b) thro	ugh (g) separately for each custodian.	
		egal name of custodian: VELLS FARGO BANK, N.A.				
		rimary business name of co VELLS FARGO BANK, N.A.	ustodian:			
	(d) T	he location of the custodia	n's office responsible fo	or <i>custody</i> of the <i>pri</i>	vate fund's assets (city, state and country):	
		City:		State:	Country:	
	S	SAN FRANCISCO		California	United States	Yes No
	(e) I:	s the custodian a <i>related p</i> e	arcan of your firm?			
			erson or your mini?			00
	(f) I1	f the custodian is a broker-		registration numbe	r (if any):	00

(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its *legal entity identifier* (if any)

KB1H1DSPRFMYMCUFXT09

Administrator

26. (a)	Does the <i>private fund</i> use an administrator othe	er than your firm?		Yes No
	If the answer to question 26.(a) is "yes," response must complete questions (b) through (f) separa	•		an one administrator, you
	Additional Administrator Information : 1 Rec	ord(s) Filed.		
	If the answer to question 26.(a) is "yes," res administrator, you must complete questions	•	nrough (f) below. If the <i>private fund</i> uses more ly for each administrator.	than one
	(b) Name of administrator: HARMONIC FUND SERVICES			
	(c) Location of administrator (city, state and	country):		
	City:	State:	Country:	
	GRAND CAYMAN		Cayman Islands	Yes No
	(d) Is the administrator a <i>related person</i> of yo	our firm?		0 0
you 0% Inc rele	(f) If the answer to question 26.(e) is "no" of investors? If investor account statements ring your last fiscal year, what percentage of the r related person?	e (provided to some but or "some," who sends th s are not sent to the (re e <i>private fund's</i> assets (b pried out the valuation p poses of investor subscr	not all investors) No (provided to no investor the investor account statements to the (rest of the est of the) <i>private fund's</i> investors, respond "not by value) was valued by a <i>person</i> , such as an according procedure established for that asset, if any, incl	the) <i>private fund's</i> t applicable." dministrator, that is not uding obtaining any
Market	ers			Yes No
28. (a)	Does the private fund use the services of some	one other than you or y	our <i>employees</i> for marketing purposes?	• • •
	You must answer "yes" whether the <i>person</i> act similar <i>person</i> . If the answer to question 28.(a) uses. If the <i>private fund</i> uses more than one ma	is "yes," respond to que	estions (b) through (g) below for each such ma	arketer the private fund
	Additional Marketer Information : 1 Record(s) Filed.		
	or similar person. If the answer to question 28	8.(a) is "yes," respond t	nt, consultant, finder, introducer, municipal adv to questions (b) through (g) below for each suc t complete questions (b) through (g) separately	h marketer the private

- (b) Is the marketer a *related person* of your firm?
- (c) Name of the marketer: MORGAN STANLEY

(d) If the marketer is registered with the SEC, its file number (*e.g.*, 801-, 8-, or 866-): 801 - 70103

Yes No

	and CRD Number (if any): 149777				
(e) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):					
	City:	State:	Country:		
	NEW YORK	New York	United States		
			Y	es No	
	(f) Does the marketer market the	private fund through one or m	ore websites?	•	
	(g) If the answer to question 28.(f) is "yes," list the website adc	ress(es):		
		-	nformation Filed		
۹. PF	RIVATE FUND				
nfo	rmation About the Private Fund				
1.	(a) Name of the <i>private fund</i> :				
	ELLIOTT INTERMEDIATE CO-INVESTME	ENT II L.P.			
	(b) <i>Private fund</i> identification number:				
	(include the "805-" prefix also)				
	805-6051554764				
2.	Under the laws of what state or country i	is the <i>private fund</i> organized:			
	State:	Country:			
		Cayman Islands			
3.	(a) Name(s) of General Partner, Manager	, Trustee, or Directors (or pers	sons serving in a similar capacity):		
	Name of General Partner, Manager, Tru	ustee, or Director			
	ELLIOTT INTERMEDIATE CO-INVESTMENT	GP L.P.			
	(b) If filing an umbrella registration, identi	ify the <i>filing adviser</i> and/or <i>rely</i>	ing adviser(s) that sponsor(s) or manage(s) this private fund.		
	Filing Adviser/Relying Adviser Name				
	ELLIOTT INVESTMENT MANAGEMENT L.P.				
	EALL LLP				
	EIMCT LLC				
	EIMFL LLC				
	EIMFL SUB LLC				
	ELLIOTT ADVISORS (UK) LIMITED				
	ELLIOTT FINANCIAL ITALIA S.R.L.				
	ELLIOTT MANAGEMENT CORPORATION				
	EVERGREEN COAST CAPITAL CORP.				
	LVERGREEN COAST CAFITAL CORF.				
4.	The private fund (check all that apply; you				
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940				
	\checkmark (2) qualifies for the exclusion from the	e definition of investment comp	bany under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of	each foreign financial regulatory	<i>authority</i> with which the <i>private fund</i> is registered.		
		No Infc	rmation Filed		
				Yes No	
6.	(a) Is this a "master fund" in a master-fe	odor arrangement?			
0.			nu) of the feeder funds investing in this private fund	\circ \circ	
	•	rund identification number (if a	ny) of the feeder funds investing in this <i>private fund</i> ?		
			Private fund identification number		
	ELLIOTT CO-INVESTMENT B-1 L.P.		805-4877327433		
	ELLIOTT CO-INVESTMENT B-2 L.P.		805-7171648257		
	ELLIOTT CO-INVESTMENT B-3 L.P.		805-3488760649		
			005 7045007540		
	ELLIOTT CO-INVESTMENT B-4 L.P. ELLIOTT CO-INVESTMENT B-6 L.P.		805-7945337513 805-6044855211		

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	-INVESTMENT B-7 L.P	805-5428783075
X 1 · · · ·		Ye
	a "feeder fund" in a master-	
		e fund identification number (if any) of the master fund in which this private fund invests?
Name of	f private fund:	
	<i>fund</i> identification number: the "805-" prefix also)	
		or each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B reporting on the funds separately.
	ling a single Schedule D, Se funds answer the following	ction 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for ea questions:
Additional	Feeder Fund Information	: 6 Record(s) Filed.
	are filing a single Schedule f the feeder funds answer t	D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), the following guestions:
(a)	Name of the <i>private fund</i> :	
	ELLIOTT CO-INVESTMENT	3-1 L.P.
(b)	Private fund identification r	iumber:
	(include the "805-" prefix a	also)
	805-4877327433	
(C)		ate or country is the <i>private fund</i> organized:
	State:	Country: Cayman Islands
		Cayman Islanus
(d) (1)	Name(s) of General Partne	er, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner	r, Manager, Trustee or Director
	ELLIOTT CO-INVESTMENT	GP LLC
(d) (2)	If filing an umbrella registra	ation, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:
	Filing Adviser/Relying A	
		<i>dviser</i> Name
	ELLIOTT INVESTMENT MAN	
	ELLIOTT INVESTMENT MAN EALL LLP EIMCT LLC	
	ELLIOTT INVESTMENT MAN	
	ELLIOTT INVESTMENT MAN EALL LLP EIMCT LLC EIMFL LLC EIMFL SUB LLC	VAGEMENT L.P.
	ELLIOTT INVESTMENT MAN EALL LLP EIMCT LLC EIMFL LLC EIMFL SUB LLC ELLIOTT ADVISORS (UK) L	NAGEMENT L.P.
	ELLIOTT INVESTMENT MAN EALL LLP EIMCT LLC EIMFL LLC EIMFL SUB LLC ELLIOTT ADVISORS (UK) L ELLIOTT FINANCIAL ITALIA	NAGEMENT L.P. IMITED A S.R.L.
	ELLIOTT INVESTMENT MAN EALL LLP EIMCT LLC EIMFL LLC EIMFL SUB LLC ELLIOTT ADVISORS (UK) L ELLIOTT FINANCIAL ITALIA ELLIOTT MANAGEMENT CC	VAGEMENT L.P.
	ELLIOTT INVESTMENT MAN EALL LLP EIMCT LLC EIMFL LLC EIMFL SUB LLC ELLIOTT ADVISORS (UK) L ELLIOTT FINANCIAL ITALIA	VAGEMENT L.P.
(e)	ELLIOTT INVESTMENT MAN EALL LLP EIMCT LLC EIMFL LLC ELLIOTT ADVISORS (UK) L ELLIOTT FINANCIAL ITALIA ELLIOTT MANAGEMENT CC EVERGREEN COAST CAPIT	VAGEMENT L.P.
(e)	ELLIOTT INVESTMENT MAN EALL LLP EIMCT LLC EIMFL LLC ELLIOTT ADVISORS (UK) L ELLIOTT FINANCIAL ITALIA ELLIOTT MANAGEMENT CC EVERGREEN COAST CAPIT	VAGEMENT L.P.
(e)	ELLIOTT INVESTMENT MAN EALL LLP EIMCT LLC EIMFL LLC ELLIOTT ADVISORS (UK) L ELLIOTT FINANCIAL ITALIA ELLIOTT MANAGEMENT CC EVERGREEN COAST CAPIT	VAGEMENT L.P.
(e)	ELLIOTT INVESTMENT MAN EALL LLP EIMCT LLC EIMFL SUB LLC ELLIOTT ADVISORS (UK) L ELLIOTT FINANCIAL ITALIA ELLIOTT MANAGEMENT CC EVERGREEN COAST CAPIT The <i>private fund</i> (check all (1) qualifies for the exe 1940	VAGEMENT L.P.

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for

each c	of the feeder funds answer the following questions:
(a)	Name of the <i>private fund</i> :
	ELLIOTT CO-INVESTMENT B-2 L.P.
(b)	Private fund identification number:
	(include the "805-" prefix also)
	805-7171648257
(C)	Under the laws of what state or country is the <i>private fund</i> organized:
(0)	State: Country:
	Cayman Islands
(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
(u) (1)	
	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CO-INVESTMENT GP LLC
(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:
	Filing Adviser/Relying Adviser Name
	ELLIOTT INVESTMENT MANAGEMENT L.P.
	EALL LLP
	EIMCT LLC
	EIMFL LLC
	EIMFL SUB LLC
	ELLIOTT ADVISORS (UK) LIMITED
	ELLIOTT FINANCIAL ITALIA S.R.L.
	ELLIOTT MANAGEMENT CORPORATION
	EVERGREEN COAST CAPITAL CORP.
	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940
(f)	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed
	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for of the feeder funds answer the following questions: Name of the <i>private fund</i> : ELLIOTT CO-INVESTMENT B-3 L.P.
(b)	Private fund identification number:
	(include the "805-" prefix also)
	805-3488760649
(c)	Under the laws of what state or country is the private fund organized:
	State: Country:
	Cayman Islands
(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
/	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CO-INVESTMENT GP LLC
(d) (2)	If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> :
	Filing Adviser/Relying Adviser Name

	ELLIOTT INVESTMENT MANAGEMENT L.P.
	EALL LLP
	EIMCT LLC
	EIMFL LLC
	EIMFL SUB LLC
	ELLIOTT ADVISORS (UK) LIMITED
	ELLIOTT FINANCIAL ITALIA S.R.L.
	ELLIOTT MANAGEMENT CORPORATION
	EVERGREEN COAST CAPITAL CORP.
(e)	The <i>private fund</i> (check all that apply; you must check at least one):
(e)	\Box (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of
	1940
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of
	1940
(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
	No Information Filed
7. If you	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for
each	of the feeder funds answer the following questions:
(a)	Name of the <i>private fund</i> :
	ELLIOTT CO-INVESTMENT B-4 L.P.
(b)	Private fund identification number:
	(include the "805-" prefix also)
	805-7945337513
(c)	Under the laws of what state or country is the <i>private fund</i> organized:
	State: Country: Cayman Islands
	Cayman Islanus
(d) (1) Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CO-INVESTMENT GP LLC
) If filling an understanding identify the filling educer and (or relying educer(a) that energy (a) or manage (a) this private fund
(d) (2	2) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> :
	Filing Adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P.
	EIMFL LLC
	EIMFL SUB LLC
	ELLIOTT ADVISORS (UK) LIMITED
	ELLIOTT FINANCIAL ITALIA S.R.L.
	ELLIOTT MANAGEMENT CORPORATION
	EVERGREEN COAST CAPITAL CORP.
(e)	The <i>private fund</i> (check all that apply; you must check at least one):
	\Box (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of
	1940
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of
	1940
	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.

No Information Filed

5	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for
	f the feeder funds answer the following questions:
(a)	Name of the <i>private fund</i> :
	ELLIOTT CO-INVESTMENT B-6 L.P.
(b)	Private fund identification number:
	(include the "805-" prefix also)
	805-6044855211
(C)	Under the laws of what state or country is the private fund organized:
	State: Country:
	Cayman Islands
(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or persons serving in a similar capacity):
	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CO-INVESTMENT GP LLC
(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:
(u) (z)	
	Filing Adviser/Relying Adviser Name
	ELLIOTT INVESTMENT MANAGEMENT L.P.
	EALL LLP
	EIMCT LLC
	EIMFL LLC
	EIMFL SUB LLC
	ELLIOTT ADVISORS (UK) LIMITED
	ELLIOTT FINANCIAL ITALIA S.R.L.
	ELLIOTT MANAGEMENT CORPORATION
	EVERGREEN COAST CAPITAL CORP.
(e)	The private fund (check all that apply; you must check at least one):
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of
	1940
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of
	1940
(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.
	No Information Filed
-	are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for
	f the feeder funds answer the following questions:
(a)	Name of the <i>private fund</i> :
	ELLIOTT CO-INVESTMENT B-7 L.P.
(b)	Private fund identification number:
	(include the "805-" prefix also)
	805-5428783075
(C)	Under the laws of what state or country is the private fund organized:
	State: Country:
	Cayman Islands
(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CO-INVESTMENT GP LLC

	(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private ful	nd:
		Filing Adviser/Relying Adviser Name	
		ELLIOTT INVESTMENT MANAGEMENT L.P.	
		EALL LLP	
		EIMCT LLC	
		EIMFL LLC	
		EIMFL SUB LLC	
		ELLIOTT ADVISORS (UK) LIMITED	
		ELLIOTT FINANCIAL ITALIA S.R.L.	
		ELLIOTT MANAGEMENT CORPORATION	
		EVERGREEN COAST CAPITAL CORP.	
	(e)	The private fund (check all that apply; you must check at least one):	
		(1) qualifies for the exclusion from the definition of investment company under section $3(c)(1)$ of the Investment Company 1940	y Act of
		\square (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company 1940	/ Act of
	(f)	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.	
		No Information Filed	
		urposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if	
		uses (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	Yes No
8.	(a) is this n	rivate fund a "fund of funds"?	
0.	,	urposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investme	o o
		gardless of whether they are also <i>private funds</i> or registered investment companies.	
	(b) If yes, c	loes the private fund invest in funds managed by you or by a related person?	0 0
			Yes No
9.		last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment t of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	00
10.	What type o	f fund is the <i>private fund</i> ?	
	-	nd $^{ m O}$ liquidity fund $^{ m O}$ private equity fund $^{ m O}$ real estate fund $^{ m O}$ securitized asset fund $^{ m O}$ venture capital fund $^{ m O}$ Other <i>priv</i> IENT COMMITMENTS	vate fund:
	NOTE: For d	efinitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.	
11.	Current gros \$ 1,019,585	ss asset value of the <i>private fund</i> : ,962	
<u>0w</u>	nership		
12.	Minimum inv \$ 10,000,00	vestment commitment required of an investor in the <i>private fund</i> : 0	
		rt the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in t al documents of the fund).	he
13.	Approximate 52	e number of the <i>private fund's</i> beneficial owners:	
14.	What is the 3%	approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> :	
15	(a) M/bat is	the approximate percentage of the private fund hereficially owned (in the aggregate) by funds of funds:	

15. (a) What is the approximate percentage of the *private fund* beneficially owned (in the aggregate) by funds of funds:12%

	(0)	Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	С)	O
16.	Wha 69%	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : %			
<u>Yo</u> ı	ur Ac	dvisory Services			
			Ye	s I	No
17.	(a)	Are you a subadviser to this <i>private fund</i> ?	С)	\odot
	(b)	If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	er to		
		No Information Filed			
			Ye	s I	No
18.	(a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	С)	\odot
	(b)	If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If th to question 18.(a) is "no," leave this question blank.	e ans	swe	r
		No Information Filed			
			Ye	s I	No
19.		your <i>clients</i> solicited to invest in the <i>private fund</i> ?	С)	\odot
	NOT	TE: For purposes of this question, do not consider feeder funds of the private fund.			
20.	Арр	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?			
	0%				
Driv	vato	Offering			
FIL	vale	Unening	Ye	s I	No
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	C)	0
22.	lf y	es, provide the <i>private fund's</i> Form D file number (if any):			
		rm D file number			
	02	1-345922			
B. S	ERVI	CE PROVIDERS			
Auc	ditors	<u>S</u>			
			Υe	es	No
23.	(a)	 (1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to growthing 22 (2) (1) is "used the financial statements means and in according to growthing to growthing the financial statements and the financial statements are statements are statements and the financial statements are statements	•		0
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	•	-	0
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditi you must complete questions (b) through (f) separately for each auditing firm.	ng fir	m,	
		Additional Auditor Information : 1 Record(s) Filed.			
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.			
		(b) Name of the auditing firm: GRANT THORNTON LLP			
		(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: State: Country:			
		NEW YORK New York United States			
			Yes	No	с
		(d) Is the auditing firm an <i>independent public accountant</i> ?	\odot	С)
		(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	\odot	С	
		If yes, Public Company Accounting Oversight Board-Assigned Number:			
		248			

				Yes I
(g)	Are the <i>private fund's</i> audited financial statem investors?	nents for the most recently compl	eted fiscal year distributed to the private fund's	$oldsymbol{\circ}$
(h)	Do all of the reports prepared by the auditing	firm for the <i>private fund</i> since yo	ur last annual updating amendment contain unqua	lified opinions?
	If you check "Report Not Yet Received," you mu	ust promptly file an amendment to	your Form ADV to update your response when the r	eport is availab
ne	Broker			Ma a
(a`	Does the <i>private fund</i> use one or more prime	brokers?		Yes I
	<i>.</i>	pond to questions (b) through (e) below for each prime broker the <i>private fund</i> use ugh (e) separately for each prime broker.	
		No Information	Filed	
stoc				Yes I
(a)	Does the <i>private fund</i> use any custodians (inc			\odot
	fund uses more than one custodian, you mus) below for each custodian the <i>private fund</i> uses. I (g) separately for each custodian.	n the private
	Additional Custodian Information : 1 Reco	rd(s) Filed.		
			g) below for each custodian the <i>private fund</i> uses. gh (g) separately for each custodian.	If the <i>private</i>
	fund uses more than one custodian, you mit (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian:			If the <i>private</i>
	fund uses more than one custodian, you mi (b) Legal name of custodian: WELLS FARGO BANK, N.A.			If the <i>private</i>
	 <i>fund</i> uses more than one custodian, you mit (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian's office reference. 	ust complete questions (b) throu esponsible for <i>custody</i> of the <i>prive</i>	gh (g) separately for each custodian. The fund's assets (city, state and country):	If the <i>private</i>
	 fund uses more than one custodian, you mit (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian's office recipity: 	ust complete questions (b) throu esponsible for <i>custody</i> of the <i>priva</i> State:	gh (g) separately for each custodian. The fund's assets (city, state and country): Country:	If the <i>private</i>
	 <i>fund</i> uses more than one custodian, you mit (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian's office reference. 	ust complete questions (b) throu esponsible for <i>custody</i> of the <i>prive</i>	gh (g) separately for each custodian. The fund's assets (city, state and country):	
	 fund uses more than one custodian, you mit (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian's office recipity: 	ust complete questions (b) throu esponsible for <i>custody</i> of the <i>priva</i> State: California	gh (g) separately for each custodian. The fund's assets (city, state and country): Country:	If the <i>private</i>
	 <i>fund</i> uses more than one custodian, you minimum (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian's office reactive City: SAN FRANCISCO 	ust complete questions (b) throu esponsible for <i>custody</i> of the <i>priva</i> State: California	gh (g) separately for each custodian. <i>The fund's</i> assets (city, state and country): Country: United States	Yes No
	 <i>fund</i> uses more than one custodian, you minimum (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian's office receive City: SAN FRANCISCO (e) Is the custodian a <i>related person</i> of you 	ust complete questions (b) throu esponsible for <i>custody</i> of the <i>priva</i> State: California	gh (g) separately for each custodian. <i>The fund's</i> assets (city, state and country): Country: United States	Yes No
	 <i>fund</i> uses more than one custodian, you minimum (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian's office reactive City: SAN FRANCISCO (e) Is the custodian a <i>related person</i> of you (f) If the custodian is a broker-dealer, prove- CRD Number (if any): 	esponsible for <i>custody</i> of the <i>priva</i> State: California Ir firm?	gh (g) separately for each custodian. <i>The fund's</i> assets (city, state and country): Country: United States	Yes No O O
	 <i>fund</i> uses more than one custodian, you may (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian's office reactive City: SAN FRANCISCO (e) Is the custodian a <i>related person</i> of you (f) If the custodian is a broker-dealer, pro- CRD Number (if any): (g) If the custodian is not a broker-dealer, <i>identifier</i> (if any) 	esponsible for <i>custody</i> of the <i>priva</i> State: California Ir firm?	gh (g) separately for each custodian. ate fund's assets (city, state and country): Country: United States (if any):	Yes No O O
min	 <i>fund</i> uses more than one custodian, you may (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian's office reactive City: SAN FRANCISCO (e) Is the custodian a <i>related person</i> of you (f) If the custodian is a broker-dealer, pro- CRD Number (if any): (g) If the custodian is not a broker-dealer, <i>identifier</i> (if any) 	esponsible for <i>custody</i> of the <i>priva</i> State: California Ir firm?	gh (g) separately for each custodian. ate fund's assets (city, state and country): Country: United States (if any):	Yes No O O
	 <i>fund</i> uses more than one custodian, you minimum (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian's office reactive City: SAN FRANCISCO (e) Is the custodian a <i>related person</i> of you (f) If the custodian is a broker-dealer, provo- CRD Number (if any): (g) If the custodian is not a broker-dealer, <i>identifier</i> (if any) KB1H1DSPRFMYMCUFXT09 	esponsible for <i>custody</i> of the <i>priva</i> State: California ar firm? vide its SEC registration number or is a broker-dealer but does no	gh (g) separately for each custodian. ate fund's assets (city, state and country): Country: United States (if any):	Yes No O O

Additional Administrator Information : 1 Record(s) Filed.

must complete questions (b) through (f) separately for each administrator.

adr	ministrator, you must com					
(h)	Name of administrator:					
	HARMONIC FUND SERVIC	ES				
(c)	Location of administrator	(city, state and country)):			
	City: GRAND CAYMAN		State:	Country: Cayman Islands		
	GRAND CATWAN			Cayman Islanus		Yes
(d)	Is the administrator a real	ated person of your firm?	?			0
(e)				ments to the <i>private fund's</i> ir not all investors) _O No (prov		
(f)	•			e investor account statemer st of the) <i>private fund's</i> inves		
evant	•	ion used for purposes of		rocedure established for tha iptions, redemptions or distr	• •	
ers						
	s the <i>private fund</i> use the s	ervices of someone othe	er than you or yo	our <i>employees</i> for marketing	purposes?	Y
Does You r simila	must answer "yes" whethe ar <i>person</i> . If the answer to	r the <i>person</i> acts as a p question 28.(a) is "yes,	lacement agent, " respond to que	our <i>employees</i> for marketing consultant, finder, introduce estions (b) through (g) belov ete questions (b) through (g)	er, municipal advisor or oth v for each such marketer th	er solicitor, o ne <i>private fu</i> i
Does You r simila uses.	must answer "yes" whethe ar <i>person</i> . If the answer to	er the <i>person</i> acts as a p question 28.(a) is "yes, ore than one marketer y	lacement agent, " respond to que you must comple	consultant, finder, introduce estions (b) through (g) below	er, municipal advisor or oth v for each such marketer th	er solicitor, o ne <i>private fu</i> i
Does You r simila uses. Addi	must answer "yes" whethe ar <i>person</i> . If the answer to . If the <i>private fund</i> uses m itional Marketer Informa u must answer "yes" whet similar <i>person</i> . If the answe	er the <i>person</i> acts as a p question 28.(a) is "yes, ore than one marketer y tion : 1 Record(s) Filed her the <i>person</i> acts as a er to question 28.(a) is '	a placement agent, " respond to que you must comple a placement ager "yes," respond to	consultant, finder, introduce estions (b) through (g) below	er, municipal advisor or oth v for each such marketer th) separately for each market ucer, municipal advisor or o below for each such marke	er solicitor, one <i>private fun</i> eter. ther solicitor
Does You r simila uses. Addi	must answer "yes" whethe ar <i>person</i> . If the answer to . If the <i>private fund</i> uses m itional Marketer Informa u must answer "yes" whet similar <i>person</i> . If the answe	er the <i>person</i> acts as a p question 28.(a) is "yes, ore than one marketer y tion : 1 Record(s) Filed her the <i>person</i> acts as a er to question 28.(a) is '	a placement agent, " respond to que you must comple a placement ager "yes," respond to	consultant, finder, introduce estions (b) through (g) below ete questions (b) through (g) nt, consultant, finder, introduce o questions (b) through (g)	er, municipal advisor or oth v for each such marketer th) separately for each market ucer, municipal advisor or o below for each such marke	er solicitor, one <i>private fun</i> eter. ther solicitor
Does You r simila uses. Addi	must answer "yes" whether ar <i>person</i> . If the answer to . If the <i>private fund</i> uses m itional Marketer Informa u must answer "yes" whet similar <i>person</i> . If the answer	er the <i>person</i> acts as a p question 28.(a) is "yes, ore than one marketer y tion : 1 Record(s) Filed her the <i>person</i> acts as a er to question 28.(a) is ' uses more than one mai	a placement agent, " respond to que you must comple a placement ager "yes," respond to	consultant, finder, introduce estions (b) through (g) below ete questions (b) through (g) nt, consultant, finder, introduce o questions (b) through (g)	er, municipal advisor or oth v for each such marketer th) separately for each market ucer, municipal advisor or o below for each such marke	er solicitor, one <i>private fun</i> eter. Ther solicitor ther solicitor ter the <i>priva</i> h marketer.
Does You r simila uses. Addi You or s fun	must answer "yes" whether ar <i>person</i> . If the answer to . If the <i>private fund</i> uses m itional Marketer Informa u must answer "yes" whet similar <i>person</i> . If the answer ad uses. If the <i>private fund</i> Is the marketer a <i>related</i>	er the <i>person</i> acts as a p question 28.(a) is "yes, ore than one marketer y tion : 1 Record(s) Filed her the <i>person</i> acts as a er to question 28.(a) is ' uses more than one mai	a placement agent, " respond to que you must comple a placement ager "yes," respond to	consultant, finder, introduce estions (b) through (g) below ete questions (b) through (g) nt, consultant, finder, introduce o questions (b) through (g)	er, municipal advisor or oth v for each such marketer th) separately for each market ucer, municipal advisor or o below for each such marke	er solicitor, one <i>private fun</i> eter. Ther solicitor ther solicitor ter the <i>priva</i> h marketer.
Does You r simila uses. Addi You or s fun	must answer "yes" whethe ar <i>person</i> . If the answer to . If the <i>private fund</i> uses m itional Marketer Informa u must answer "yes" whet similar <i>person</i> . If the answe and uses. If the <i>private fund</i>	er the <i>person</i> acts as a p question 28.(a) is "yes, ore than one marketer y tion : 1 Record(s) Filed her the <i>person</i> acts as a er to question 28.(a) is ' uses more than one mai	a placement agent, " respond to que you must comple a placement ager "yes," respond to	consultant, finder, introduce estions (b) through (g) below ete questions (b) through (g) nt, consultant, finder, introduce o questions (b) through (g)	er, municipal advisor or oth v for each such marketer th) separately for each market ucer, municipal advisor or o below for each such marke	er solicitor, one <i>private fun</i> eter. Ther solicitor ther solicitor ter the <i>priva</i> h marketer.
Does You r simila uses. Addi You or s fun	must answer "yes" whether ar <i>person</i> . If the answer to If the <i>private fund</i> uses me itional Marketer Informa u must answer "yes" whet similar <i>person</i> . If the answer and uses. If the <i>private fund</i> Is the marketer a <i>related</i> Name of the marketer: MORGAN STANLEY	er the <i>person</i> acts as a p question 28.(a) is "yes, ore than one marketer y tion : 1 Record(s) Filed her the <i>person</i> acts as a er to question 28.(a) is ' uses more than one mai <i>person</i> of your firm?	a placement agent, " respond to que you must comple a placement ager "yes," respond to rketer, you must	consultant, finder, introduce estions (b) through (g) below ete questions (b) through (g) nt, consultant, finder, introduce o questions (b) through (g) complete questions (b) through (g)	er, municipal advisor or oth v for each such marketer th) separately for each market ucer, municipal advisor or o below for each such marke	er solicitor, one <i>private fun</i> eter. Ther solicitor ther solicitor ter the <i>priva</i> h marketer.
Does You r simila uses. Addi You or s fun	must answer "yes" whether ar <i>person</i> . If the answer to If the <i>private fund</i> uses me itional Marketer Informa u must answer "yes" whet similar <i>person</i> . If the answer and uses. If the <i>private fund</i> Is the marketer a <i>related</i> Name of the marketer: MORGAN STANLEY If the marketer is register 801 - 70103	er the <i>person</i> acts as a p question 28. (a) is "yes, ore than one marketer y fion : 1 Record(s) Filed her the <i>person</i> acts as a er to question 28. (a) is ' uses more than one mai <i>person</i> of your firm?	a placement agent, " respond to que you must comple a placement ager "yes," respond to rketer, you must	consultant, finder, introduce estions (b) through (g) below ete questions (b) through (g) nt, consultant, finder, introduce o questions (b) through (g) complete questions (b) through (g)	er, municipal advisor or oth v for each such marketer th) separately for each market ucer, municipal advisor or o below for each such marke	er solicitor, one <i>private fun</i> eter. Ther solicitor ther solicitor ter the <i>priva</i> h marketer.
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Does You r simila uses. Addi You or s fun (b) (c) (d)	must answer "yes" whether ar <i>person</i> . If the answer to If the <i>private fund</i> uses me itional Marketer Informa u must answer "yes" whet similar <i>person</i> . If the answer ad uses. If the <i>private fund</i> Is the marketer a <i>related</i> Name of the marketer: MORGAN STANLEY If the marketer is register 801 - 70103 and CRD Number (if any) 149777 Location of the marketer	er the <i>person</i> acts as a p question 28. (a) is "yes, ore than one marketer y :ion : 1 Record(s) Filed her the <i>person</i> acts as a er to question 28. (a) is ' uses more than one man <i>person</i> of your firm? red with the SEC, its file	e number (<i>e.g.</i> , 80 by the <i>private fu</i>	consultant, finder, introduce estions (b) through (g) below ete questions (b) through (g) nt, consultant, finder, introduce o questions (b) through (g) complete questions (b) through 01-, 8-, or 866-):	er, municipal advisor or oth v for each such marketer th) separately for each market ucer, municipal advisor or o below for each such marke	ther solicitor, of the <i>private func-</i> ther solicitor ter the <i>priva</i> h marketer. Yes
Does You r simila uses. Addi You or s fun (b) (c) (d)	must answer "yes" whether ar <i>person.</i> If the answer to If the <i>private fund</i> uses me itional Marketer Informa u must answer "yes" whet similar <i>person.</i> If the answer ad uses. If the <i>private fund</i> Is the marketer a <i>related</i> Name of the marketer: MORGAN STANLEY If the marketer is registe 801 - 70103 and CRD Number (if any) 149777 Location of the marketer City: NEW YORK	er the <i>person</i> acts as a p question 28. (a) is "yes, ore than one marketer y fion : 1 Record(s) Filed her the <i>person</i> acts as a er to question 28. (a) is " uses more than one man <i>person</i> of your firm? red with the SEC, its file s office used principally State: New York	e number (<i>e.g.</i> , 80 by the <i>private fu</i>	consultant, finder, introduce estions (b) through (g) below ete questions (b) through (g) of questions (b) through (g) complete questions (b) through complete questions (b) through 01-, 8-, or 866-): nd (city, state and country): Country: United States	er, municipal advisor or oth v for each such marketer th) separately for each market ucer, municipal advisor or o below for each such marke	er solicitor, one <i>private fun</i> eter. Ther solicitor ther solicitor ter the <i>priva</i> h marketer.
Does You r simila uses. Addi You or s fun (b) (c) (d)	must answer "yes" whether ar <i>person.</i> If the answer to If the <i>private fund</i> uses me itional Marketer Informa u must answer "yes" whet similar <i>person.</i> If the answer ad uses. If the <i>private fund</i> Is the marketer a <i>related</i> Name of the marketer: MORGAN STANLEY If the marketer is registe 801 - 70103 and CRD Number (if any) 149777 Location of the marketer City:	er the <i>person</i> acts as a p question 28. (a) is "yes, ore than one marketer y fion : 1 Record(s) Filed her the <i>person</i> acts as a er to question 28. (a) is " uses more than one man <i>person</i> of your firm? red with the SEC, its file s office used principally State: New York	e number (<i>e.g.</i> , 80 by the <i>private fu</i>	consultant, finder, introduce estions (b) through (g) below ete questions (b) through (g) of questions (b) through (g) complete questions (b) through complete questions (b) through 01-, 8-, or 866-): nd (city, state and country): Country: United States	er, municipal advisor or oth v for each such marketer th) separately for each market ucer, municipal advisor or o below for each such marke	ther solicitor, of the <i>private func-</i> ther solicitor ter the <i>priva</i> h marketer. Yes
Does You r simila uses. Addi (b) (c) (d) (e) (f)	must answer "yes" whether ar <i>person.</i> If the answer to If the <i>private fund</i> uses me itional Marketer Informa u must answer "yes" whet similar <i>person.</i> If the answer ad uses. If the <i>private fund</i> Is the marketer a <i>related</i> Name of the marketer: MORGAN STANLEY If the marketer is registe 801 - 70103 and CRD Number (if any) 149777 Location of the marketer City: NEW YORK	er the <i>person</i> acts as a p question 28. (a) is "yes, ore than one marketer y fion : 1 Record(s) Filed her the <i>person</i> acts as a er to question 28. (a) is ' uses more than one mai <i>person</i> of your firm? red with the SEC, its file s office used principally State: New York	blacement agent, " respond to que you must comple a placement ager "yes," respond to rketer, you must e number (<i>e.g.</i> , 80 by the <i>private fu</i> c gh one or more	consultant, finder, introduce estions (b) through (g) below ete questions (b) through (g) of questions (b) through (g) complete questions (b) through complete questions (b) through 01-, 8-, or 866-): nd (city, state and country): Country: United States websites?	er, municipal advisor or oth v for each such marketer th) separately for each market ucer, municipal advisor or o below for each such marke	ther solicitor, of the private fun- eter. ther solicitor ter the priva h marketer. Yes

Info	rmation About the Private Fund			
1.	(a) Name of the private fund:			
	ELLIOTT INTERMEDIATE CO-INVESTMENT III L.P.			
	(b) Private fund identification number:			
	(include the "805-" prefix also)			
	805-1859879190			
2	Under the lowe of what state or country is the private funder or an inclusion			
2.	Under the laws of what state or country is the <i>private fund</i> organized: State: Country:			
	State: Country: Delaware United S			
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or per	ersons serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director			
	ELLIOTT INTERMEDIATE CO-INVESTMENT GP L.P.			
	(b) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>re</i>	<i>lying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> .		
	Filing Adviser/Relying Adviser Name ELLIOTT INVESTMENT MANAGEMENT L.P.			
	EALL LLP			
	EIMCT LLC			
	EIMFL LLC			
	EIMFL SUB LLC			
	ELLIOTT ADVISORS (UK) LIMITED			
	ELLIOTT FINANCIAL ITALIA S.R.L.			
	ELLIOTT MANAGEMENT CORPORATION			
	EVERGREEN COAST CAPITAL CORP.			
4.	The <i>private fund</i> (check all that apply; you must check at least one):			
		npany under section 3(c)(1) of the Investment Company Act of 1940		
		npany under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulato	bry authority with which the private fund is registered.		
	No In	formation Filed		
			Yes No	
6.	(a) Is this a "master fund" in a master-feeder arrangement?		• •	
	(b) If yes, what is the name and private fund identification number (if	any) of the feeder funds investing in this private fund?		
	Name of private fund	Private fund identification number		
	ELLIOTT CO-INVESTMENT B-5 L.P.	805-7684602575		
	ELLIOTT CO-INVESTMENT C L.P.	805-1596541854		
	(c) Is this a "feeder fund" in a master-feeder arrangement?		Yes No	
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?			
	Name of <i>private fund</i> :	any) of the master rand in which this private rand investes.		
	Private fund identification number:			
	(include the "805-" prefix also)			
	NOTE: You must complete question 6 for each master-feeder arrange	ment regardless of whether you are filing a single Schedule D, Section 7	7.B.(1)	
	for the master-feeder arrangement or reporting on the funds separate			
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feed the feeder funds answer the following questions:	er arrangement according to the instructions to this Section 7.B.(1), for	each of	
	Additional Feeder Fund Information : 2 Record(s) Filed.			

	Name of the <i>private fund</i> :
	ELLIOTT CO-INVESTMENT B-5 L.P.
(b)	Private fund identification number:
	(include the "805-" prefix also)
	805-7684602575
	003-7004002373
	Under the lowe of what state or country is the private fund organized.
	Under the laws of what state or country is the <i>private fund</i> organized: State: Country:
	Cayman Islands
(d) (1)	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):
	Name of General Partner, Manager, Trustee or Director
	ELLIOTT CO-INVESTMENT GP LLC
(d) (2)	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund:
()	Filing Adviser/Relying Adviser Name
	ELLIOTT INVESTMENT MANAGEMENT L.P.
	EALL LLP
	EIMCT LLC
	EIMFL LLC
	EIMFL LLC EIMFL SUB LLC
	ELLIOTT ADVISORS (UK) LIMITED
	ELLIOTT FINANCIAL ITALIA S.R.L.
	ELLIOTT MANAGEMENT CORPORATION
	EVERGREEN COAST CAPITAL CORP.
	The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act 1940
	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed
	re filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1),
•	the feeder funds answer the following questions:
each of	
each of (a)	the feeder funds answer the following questions: Name of the <i>private fund</i> : ELLIOTT CO-INVESTMENT C L.P.
each of (a) (b)	Name of the <i>private fund</i> : ELLIOTT CO-INVESTMENT C L.P. <i>Private fund</i> identification number:
each of (a) (b)	Name of the <i>private fund</i> : ELLIOTT CO-INVESTMENT C L.P.
each of (a) (b)	Name of the <i>private fund</i> : ELLIOTT CO-INVESTMENT C L.P. <i>Private fund</i> identification number: (include the "805-" prefix also)
each of (a) (b) (c)	Name of the <i>private fund</i> : ELLIOTT CO-INVESTMENT C L.P. <i>Private fund</i> identification number: (include the "805-" prefix also) 805-1596541854
each of (a) (b) (c)	Name of the <i>private fund</i> : ELLIOTT CO-INVESTMENT C L.P. <i>Private fund</i> identification number: (include the "805-" prefix also) 805-1596541854 Under the laws of what state or country is the <i>private fund</i> organized: State: Country:
each of (a) (b) (c)	Name of the <i>private fund</i> : ELLIOTT CO-INVESTMENT C L.P. <i>Private fund</i> identification number: (include the "805-" prefix also) 805-1596541854 Under the laws of what state or country is the <i>private fund</i> organized: State: Country: Cayman Islands
each of (a) (b) (c)	Name of the <i>private fund</i> : ELLIOTT CO-INVESTMENT C L.P. <i>Private fund</i> identification number: (include the "805-" prefix also) 805-1596541854 Under the laws of what state or country is the <i>private fund</i> organized: State: Country: Cayman Islands Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity):

		ELLIOTT INVESTMENT MANAGEMENT L.P.		
		EALL LLP		
		EIMCT LLC		
		EIMFL LLC		
		EIMFL SUB LLC		
		ELLIOTT ADVISORS (UK) LIMITED		
		ELLIOTT FINANCIAL ITALIA S.R.L.		
		ELLIOTT MANAGEMENT CORPORATION		
		EVERGREEN COAST CAPITAL CORP.		
	(e)	The private fund (check all that apply; you must check at least one):		
		\Box (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company 1940	Act of	
		$\mathbf{\overline{C}}$ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company 1940	Act of	
	(f)	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.		
		No Information Filed		
	assets in a	ourposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it sses (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	issue	d
0			Yes	
8.	NOTE: For p	<i>private fund</i> a "fund of funds"? purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investmen gardless of whether they are also <i>private funds</i> or registered investment companies.	t t	o
		does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	~	~
	(b) 11 yes, (does the private fund invest in funds managed by you of by a related person:	0	O
0	During your	last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment	Yes	
7.		ct of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	O
10.	What type o	of fund is the <i>private fund</i> ?		
		and $^{m O}$ liquidity fund $^{m O}$ private equity fund $^{m O}$ real estate fund $^{m O}$ securitized asset fund $^{m O}$ venture capital fund $^{m O}$ Other <i>priva</i> MENT COMMITMENTS	te fun	d:
	NOTE: For a	definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gro \$ 137,169,0	ss asset value of the <i>private fund</i> : 004		
<u>Ow</u>	<u>nership</u>			
12.	Minimum in \$ 10,000,00	vestment commitment required of an investor in the <i>private fund</i> :		
	NOTE: Repo	ort the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the nal documents of the fund).	è	
13.	Approximate 52	e number of the <i>private fund's</i> beneficial owners:		
14.	What is the 3%	approximate percentage of the private fund beneficially owned by you and your related persons:		
15.		the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
	12%		Yes	No

(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to *qualified clients*?

16.	Wha 69%	t is the approximate percentage of the <i>private fund</i> beneficially owned by non-United States persons:		
<u>Yoı</u>	ur Adv	visory Services		
	<i>.</i> .		Ye	s No
17.	(b) I	Are you a subadviser to this <i>private fund</i> ? If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answ	C ver to	o
	(question 17.(a) is "no," leave this question blank.		
		No Information Filed		
18.	(a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	_	s No
10.	(b) I	If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the other advisers to the <i>private fund</i> . If the other advisers to the private fund. If the other advisers is the private fund. If the other advisers to the private fund.	C ne ans	
		No Information Filed		
	L		Ye	s No
19.	Are y	your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	o
	NOT	E: For purposes of this question, do not consider feeder funds of the private fund.	~	~
20.	Appr 0%	oximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
Priv	vate (Offering		
			Ye	s No
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	0	O
22.	If ye	s, provide the <i>private fund's</i> Form D file number (if any):		
		No Information Filed		
	ditors		Υe	es No
23.		(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	C	0
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	C	0
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audit you must complete questions (b) through (f) separately for each auditing firm.	ing fir	m,
		Additional Auditor Information : 1 Record(s) Filed.		
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
		(b) Name of the auditing firm: GRANT THORNTON LLP		
		(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
		City:State:Country:NEW YORKNew YorkUnited States		
		(d) Is the auditing firm an <i>independent public accountant</i> ?	Yes ©	No O
		(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	o	0
		If yes, Public Company Accounting Oversight Board-Assigned Number: 248		
		(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	©	0

	Are the <i>private fund's</i> audited financial statemer investors?	nts for the most recently comp	leted fiscal year distributed to the private runa's	\circ \circ
(h)		rm for the <i>private fund</i> since yc	our last annual updating amendment contain unqualifi	ed opinions?
	• Yes • No • Report Not Yet Received			
	·	t promptly file an amendment to	your Form ADV to update your response when the rep	ort is available.
Prime	Broker			
				Yes No
24. (a)	Does the <i>private fund</i> use one or more prime br If the answer to question 24.(a) is "yes," respo <i>fund</i> uses more than one prime broker, you mus	ond to questions (b) through (e	e) below for each prime broker the <i>private fund</i> uses. ugh (e) separately for each prime broker.	O O
		No Information	Filed	
0				
Custod	lan			Yes No
25. (a)	Does the private fund use any custodians (inclue	ding the prime brokers listed a	bove) to hold some or all of its assets?	• •
	If the answer to question 25.(a) is "yes," respo <i>fund</i> uses more than one custodian, you must c		g) below for each custodian the <i>private fund</i> uses. If t n (g) separately for each custodian.	he <i>private</i>
	Additional Custodian Information : 1 Record		a) below for each custodian the <i>private fund</i> uses. If	the <i>private</i>
		pond to questions (b) through	g) below for each custodian the <i>private fund</i> uses. If gh (g) separately for each custodian.	the <i>private</i>
	If the answer to question 25.(a) is "yes," resp fund uses more than one custodian, you must (b) Legal name of custodian:	pond to questions (b) through		the <i>private</i>
	If the answer to question 25.(a) is "yes," resp fund uses more than one custodian, you must (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian:	pond to questions (b) through t complete questions (b) throu	gh (g) separately for each custodian.	the <i>private</i>
	 If the answer to question 25.(a) is "yes," resp fund uses more than one custodian, you must (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian's office resp City: 	pond to questions (b) through t complete questions (b) throu bonsible for <i>custody</i> of the <i>priva</i> State:	gh (g) separately for each custodian. ate fund's assets (city, state and country): Country:	the <i>private</i>
	 If the answer to question 25.(a) is "yes," resp fund uses more than one custodian, you must (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian's office resp 	pond to questions (b) through t complete questions (b) throu bonsible for <i>custody</i> of the <i>priva</i>	gh (g) separately for each custodian. ate fund's assets (city, state and country):	the <i>private</i>
	 If the answer to question 25.(a) is "yes," resp fund uses more than one custodian, you must (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian's office resp City: 	pond to questions (b) through t complete questions (b) throu oonsible for <i>custody</i> of the <i>priva</i> State: California	gh (g) separately for each custodian. ate fund's assets (city, state and country): Country:	
	 If the answer to question 25.(a) is "yes," resp fund uses more than one custodian, you must (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian's office resp City: SAN FRANCISCO 	pond to questions (b) through t complete questions (b) throu bonsible for <i>custody</i> of the <i>priva</i> State: California	gh (g) separately for each custodian. ate fund's assets (city, state and country): Country: United States	Yes No
	 If the answer to question 25.(a) is "yes," resp fund uses more than one custodian, you must (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian's office resp City: SAN FRANCISCO (e) Is the custodian a <i>related person</i> of your formation of the custodian a <i>related person</i> of your formation. 	pond to questions (b) through t complete questions (b) throu bonsible for <i>custody</i> of the <i>priva</i> State: California	gh (g) separately for each custodian. ate fund's assets (city, state and country): Country: United States	Yes No

Yes No

Yes No

⊙ ○

26. (a) Does the *private fund* use an administrator other than your firm?

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

Additional Administrator Information : 1 Record(s) Filed.

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

	(b) Name of administrator:	
	HARMONIC FUND SERVICES	
	(c) Location of administrator (city, state and country):	
	City: State: Coun	trv
		ian Islands
		Yes No
	(d) Is the administrator a <i>related person</i> of your firm?	00
	(e) Does the administrator prepare and send investor account statements to the	
	• Yes (provided to all investors) • Some (provided to some but not all investor	ors) ONO (provided to no investors)
	(f) If the answer to question 26.(e) is "no" or "some," who sends the investor acc investors? If investor account statements are not sent to the (rest of the) <i>priv</i>	
you 0% Inc rele	uring your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was v ur <i>related person</i> ? 6 clude only those assets where (i) such <i>person</i> carried out the valuation procedure esta evant quotes, and (ii) the valuation used for purposes of investor subscriptions, reden ocations) was the valuation determined by such <i>person</i> .	blished for that asset, if any, including obtaining any
Market	ters	Yes N
28. (a)	Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i>	
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, f similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) thr uses. If the <i>private fund</i> uses more than one marketer you must complete questions	ough (g) below for each such marketer the private fund
	Additional Marketer Information : 1 Record(s) Filed.	
	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant or similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b <i>fund</i> uses. If the <i>private fund</i> uses more than one marketer, you must complete que) through (g) below for each such marketer the <i>private</i>
		Yes No
	(b) Is the marketer a <i>related person</i> of your firm?	00
	(c) Name of the marketer: MORGAN STANLEY	
	(d) If the marketer is registered with the SEC, its file number (<i>e.g.</i> , 801-, 8-, or 866 801 - 70103) -):
	and CRD Number (if any): 149777	
	(e) Location of the marketer's office used principally by the <i>private fund</i> (city, state	-
		untry: ted States
		Yes No
	(f) Does the marketer market the <i>private fund</i> through one or more websites?	0 0
	(g) If the answer to question 28.(f) is "yes," list the website address(es): No Information Filed	

A. PRIVATE FUND

 (b) Private fue (include) 805-1423 2. Under the law State: 3. (a) Name(s) Name of Gen HAMBLEDON (b) If filing a 	ws of what state or country is the <i>pro</i> Co Co Ca of General Partner, Manager, Trustee neral Partner, Manager, Trustee, or	untry: yman Islands e, or Directors (or <i>persons</i> serving in a similar capacity):
 (include 1) 805-1423 2. Under the law State: 3. (a) Name(s) Name of Generation (b) If filing a 	the "805-" prefix also) 3688771 ws of what state or country is the <i>pr</i> Co Ca of General Partner, Manager, Trustee	untry: yman Islands e, or Directors (or <i>persons</i> serving in a similar capacity):
 805-1423 2. Under the law State: 3. (a) Name(s) Name of Generation HAMBLEDON (b) If filing a 	8688771 ws of what state or country is the <i>pro</i> Co Ca of General Partner, Manager, Trustee neral Partner, Manager, Trustee, or	untry: yman Islands e, or Directors (or <i>persons</i> serving in a similar capacity):
 Under the law State: (a) Name(s) Name of Ger HAMBLEDON (b) If filing a 	ws of what state or country is the <i>pro</i> Co Co Ca of General Partner, Manager, Trustee neral Partner, Manager, Trustee, or	untry: yman Islands e, or Directors (or <i>persons</i> serving in a similar capacity):
State: 3. (a) Name(s) Name of Ger HAMBLEDON (b) If filing a	Co Ca of General Partner, Manager, Trustee neral Partner, Manager, Trustee, or	untry: yman Islands e, or Directors (or <i>persons</i> serving in a similar capacity):
State: 3. (a) Name(s) Name of Ger HAMBLEDON (b) If filing a	Co Ca of General Partner, Manager, Trustee neral Partner, Manager, Trustee, or	untry: yman Islands e, or Directors (or <i>persons</i> serving in a similar capacity):
 3. (a) Name(s) Name of Ger HAMBLEDON (b) If filing a 	Ca of General Partner, Manager, Trustee neral Partner, Manager, Trustee, or	yman Islands e, or Directors (or <i>persons</i> serving in a similar capacity):
Name of Gen HAMBLEDON (b) If filing a	of General Partner, Manager, Trustee neral Partner, Manager, Trustee, or	e, or Directors (or <i>persons</i> serving in a similar capacity):
Name of Gen HAMBLEDON (b) If filing a	neral Partner, Manager, Trustee, or	
HAMBLEDON (b) If filing a		Director
(b) If filing a	, INC.	
	n umbrella registration, identify the fil	ing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.
J J J J	er/Relying Adviser Name	
ELLIOTT INV	ESTMENT MANAGEMENT L.P.	
EALL LLP		
EIMCT LLC		
EIMFL LLC		
EIMFL SUB L	LC	
	'ISORS (UK) LIMITED	
	ANCIAL ITALIA S.R.L.	
	COAST CAPITAL CORP.	
	MANAGEMENT LLC	
• • •		neck at least one): on of investment company under section 3(c)(1) of the Investment Company Act of 1940 on of investment company under section 3(c)(7) of the Investment Company Act of 1940
🗹 (2) qualif	ies for the exclusion from the definiti ies for the exclusion from the definiti	on of investment company under section 3(c)(1) of the Investment Company Act of 1940
(2) qualif5. List the name	ies for the exclusion from the definiti ies for the exclusion from the definiti	on of investment company under section 3(c)(1) of the Investment Company Act of 1940 on of investment company under section 3(c)(7) of the Investment Company Act of 1940 eign financial regulatory authority with which the private fund is registered.
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 (2) qualif 5. List the name Name of Cou Cayman Isla 6. (a) Is this a 	ies for the exclusion from the definiti ies for the exclusion from the definiti e and country, in English, of each <i>fore</i> untry/English Name of <i>Foreign Fine</i> nds - Cayman Islands Monetary Auth	on of investment company under section 3(c)(1) of the Investment Company Act of 1940 on of investment company under section 3(c)(7) of the Investment Company Act of 1940 <i>eign financial regulatory authority</i> with which the <i>private fund</i> is registered. Incial Regulatory Authority ority
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 (2) qualif (2) qualif List the name Name of Cou Cayman Isla (a) Is this a (b) If yes, w Name of pri ELLIOTT INT (c) Is this a (d) If yes, w Name of Private fu (include field) 	ies for the exclusion from the definiti ies for the exclusion from the definiti e and country, in English, of each <i>fore</i> untry/English Name of Foreign Fina nds - Cayman Islands Monetary Auth "master fund" in a master-feeder arr hat is the name and <i>private fund</i> iden vate fund ERNATIONAL LIMITED "feeder fund" in a master-feeder arr hat is the name and <i>private fund</i> iden <i>private fund</i> : <i>und</i> identification number: the "805-" prefix also)	an of investment company under section 3(c)(1) of the Investment Company Act of 1940 on of investment company under section 3(c)(7) of the Investment Company Act of 1940 <i>sign financial regulatory authority</i> with which the <i>private fund</i> is registered. Incial Regulatory Authority ority Yes angement? Iffication number (if any) of the feeder funds investing in this <i>private fund</i> ? Private fund identification number 805-2174036634 Yes angement? Iffication number (if any) of the master fund in which this <i>private fund</i> invests?
 ✓ (2) qualif 5. List the name Name of Cou Cayman Isla 6. (a) Is this a (b) If yes, w Name of pri ELLIOTT INT (c) Is this a (d) If yes, w Name of Private fu (include signal NOTE: You methods 	ies for the exclusion from the definiti ies for the exclusion from the definiti e and country, in English, of each <i>fore</i> untry/English Name of Foreign Fina nds - Cayman Islands Monetary Auth "master fund" in a master-feeder arr hat is the name and <i>private fund</i> iden vate fund ERNATIONAL LIMITED "feeder fund" in a master-feeder arr hat is the name and <i>private fund</i> iden <i>private fund</i> : <i>und</i> identification number: the "805-" prefix also)	an of investment company under section 3(c)(1) of the Investment Company Act of 1940 on of investment company under section 3(c)(7) of the Investment Company Act of 1940 <i>sign financial regulatory authority</i> with which the <i>private fund</i> is registered. Incial Regulatory Authority ority Yes angement? tification number (if any) of the feeder funds investing in this <i>private fund</i> ? Private fund identification number 805-2174036634 Yes angement? tification number (if any) of the master fund in which this <i>private fund</i> invests?
 (2) qualif (2) qualif List the name Name of Cou Cayman Isla (a) Is this a (b) If yes, w Name of pri ELLIOTT INT (c) Is this a (d) If yes, w Name of Private fue (include a) NOTE: You m for the master 7. If you are fili 	ies for the exclusion from the definiti ies for the exclusion from the definiti ies for the exclusion from the definiti e and country, in English, of each <i>fore</i> untry/English Name of Foreign Fina nds - Cayman Islands Monetary Auth "master fund" in a master-feeder arr hat is the name and <i>private fund</i> iden vate fund ERNATIONAL LIMITED "feeder fund" in a master-feeder arr hat is the name and <i>private fund</i> iden <i>private fund</i> : und identification number: the "805-" prefix also)	an of investment company under section 3(c)(1) of the Investment Company Act of 1940 on of investment company under section 3(c)(7) of the Investment Company Act of 1940 <i>sign financial regulatory authority</i> with which the <i>private fund</i> is registered. Incial Regulatory Authority ority Yes angement? tification number (if any) of the feeder funds investing in this <i>private fund</i> ? Private fund identification number 805-2174036634 Yes angement? tification number (if any) of the master fund in which this <i>private fund</i> invests?

(a)	Name of the <i>private fund</i> :	
	ELLIOTT INTERNATIONAL LIMITED	
(b)	Private fund identification number:	
	(include the "805-" prefix also)	
	805-2174036634	
(c)	Under the laws of what state or country is the <i>private fund</i> organized:	
(C)	State: Country:	
	Cayman Islands	
(d) (1	Name(s) of General Partner, Manager, Trustee or Directors (or <i>persons</i> serving in a similar capacity): Name of General Partner, Manager, Trustee or Director	
	ALUN JOHN DAVIES	
	MYRON KAPLAN	
	PAUL SINGER	
(d) (2	If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private function	nd:
	Filing Adviser/Relying Adviser Name	
	ELLIOTT INVESTMENT MANAGEMENT L.P.	
	EIMCT LLC	
	EIMFL LLC	
	EIMFL SUB LLC	
	ELLIOTT ADVISORS (UK) LIMITED	
	ELLIOTT FINANCIAL ITALIA S.R.L.	
	ELLIOTT MANAGEMENT CORPORATION	
	EVERGREEN COAST CAPITAL CORP.	
	HAMBLEDON MANAGEMENT LLC	
(e)	The <i>private fund</i> (check all that apply; you must check at least one):	
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company	y Act of
	 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company 1940 	y Act of
(f)	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.	
	Name of Country/English Name of Foreign Financial Regulatory Authority	
	Cayman Islands - Cayman Islands Monetary Authority	
	ourposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially	
	single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if sses (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	it issued
		Voc N
le thic	private fund a "fund of funds"?	Yes No
		00
	purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investme gardless of whether they are also <i>private funds</i> or registered investment companies.	ent
n yes,	does the private fund invest in funds managed by you or by a related person?	0 0
		Yes No
0 5	Iast fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment ct of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	⊙ C
any P	et of 17 to tother than money market runds, to the extent provided in marketion o.e. j?	
	of fund is the <i>private fund</i> ?	
edge f		
	und $^{ m O}$ liquidity fund $^{ m O}$ private equity fund $^{ m O}$ real estate fund $^{ m O}$ securitized asset fund $^{ m O}$ venture capital fund $^{ m O}$ Other <i>pri</i>	vate fund:
E: For	definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.	vate fund:

8.

9.

11.		rent gross asset value of the <i>private fund</i> : 1,317,694,598		
<u>Ow</u>	ners	hip		
12.	\$ 5 NO	imum investment commitment required of an investor in the <i>private fund</i> : 000,000 FE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the anizational documents of the fund).		
13.	Арр 948	roximate number of the <i>private fund's</i> beneficial owners:		
14.	Wha 10%	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 6		
15.	(a) 229	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 6		
	(b)		es D	No O
16.	Wha 479	at is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 6		
<u> Yoı</u>	ır Ac	lvisory Services		
17.	(a)	Are very a subadvisar to this private fund	es D	No ⓒ
	(b)	If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer to question 17.(a) is "no," leave this question blank.		
		No Information Filed		
		Y	es	No
18.	(a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	\odot
	(b)	If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the art to question 18.(a) is "no," leave this question blank.	swe	۶r
		No Information Filed		
		Y	es	No
19.	Are	your clients solicited to invest in the private fund?	0	\odot
	NO	TE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Арр 0%	roximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
<u>Priv</u>	<u>vate</u>	Offering		
21.	Has		es J	No O
22.		es, provide the <i>private fund's</i> Form D file number (if any):	_	
		m D file number		
	02	1-370032		
B. SI	ERVI	CE PROVIDERS		
Auc	itor			

23. (a) (1) Are the *private fund's* financial statements subject to an annual audit?
 (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?

If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the *private fund* uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.

Yes No

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11	e answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one ting firm, you must complete questions (b) through (f) separately for each auditing firm.		
	Name of the auditing firm: GRANT THORNTON		
(c)	The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City: State: Country: GRAND CAYMAN Cayman Islands		
		Yes	No
(d)	Is the auditing firm an <i>independent public accountant</i> ?	⊙	0
(e)	Is the auditing firm registered with the Public Company Accounting Oversight Board?	o	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 5346		
	If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	0	©
	e answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one ting firm, you must complete questions (b) through (f) separately for each auditing firm.		
	Name of the auditing firm: GRANT THORNTON LLP		
	The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):City:State:NEW YORKNew YorkUnited States		
(d)	Is the auditing firm an independent public accountant?	Yes ©	No O
(e)	Is the auditing firm registered with the Public Company Accounting Oversight Board?	o	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 248		
	If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	۲	0
		Ye	es No
Are th nvest	e <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> ors?	G	
Do all	of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	opinic	ons?
	No C Report Not Yet Received		
'f you	check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	is ava	ilable.
oker		N.	
Does	he <i>private fund</i> use one or more prime brokers?	_	es No Di O
	answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If t ses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	_	

If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the *private fund* uses. If the *private fund* uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.

(b)	Name of the prime broker: BARCLAYS BANK PLC			
(c)	If the prime broker is registered with the SEC, its registration number:			
	- CRD Number (if any):			
(d)	Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):			
	City: State: Country:			
	LONDON United Kingdom	Υe	es	No
(e)	Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets?	۲		0
	ne answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. Pate fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	lf th	e	
(b)	Name of the prime broker: BARCLAYS CAPITAL INC.			
(c)	If the prime broker is registered with the SEC, its registration number: 8 - 41342			
	CRD Number (if any): 19714			
(d)	Location of prime broker's office used principally by the private fund (city, state and country):			
	City:State:Country:NEW YORKNew YorkUnited States			
		Υe	s	No
(e)	Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets?	0		0
	ne answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. <i>rate fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	lf th	e	
(b)	Name of the prime broker: BNP PARIBAS PRIME BROKERAGE INTERNATIONAL, LIMITED			
(c)	If the prime broker is registered with the SEC, its registration number:			
	- CRD Number (if any):			
(d)	Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):			
	City: State: Country: DUBLIN Ireland			
		Υe	s	No
(e)	Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets?	٥		0
	ne answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. Pate fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	lf th	e	
(b)	Name of the prime broker: BNP PARIBAS S.A.			
(c)	If the prime broker is registered with the SEC, its registration number:			
	- CRD Number (if any):			

		State:	Country:		
) Does	V YORK	New York	United States		
e) Does				Yes	5
	s this prime broker act a	is custodian for some or all of the j	private fund's assets?	O	
			through (e) below for each prime broker the <i>private fund</i> uses questions (b) through (e) separately for each prime broker.	s. If the	
	ne of the prime broker: PARIBAS SECURITIES CO	ORP.			
	ne prime broker is registe 32682	ered with the SEC, its registration	number:		
	Number (if any):				
		ffice used principally by the <i>private</i>			
City: NEW	: V YORK	State: New York	Country: United States		
				Yes	5
Does	s this prime broker act a	as custodian for some or all of the	private fund's assets?	\odot	
	ne of the prime broker: A SECURITIES, INC.				
8 - 6	69787 9 Number (if any):	ered with the SEC, its registration	number:		
8 - 6 CRD 2839 Loca	69787 9 Number (if any): 942 ation of prime broker's of	ffice used principally by the <i>private</i>	<i>fund</i> (city, state and country):		
8 - 6 CRD 2839 Loca City:	69787 9 Number (if any): 942 ation of prime broker's of			Yes	5
8 - 6 CRD 2839 Loca City: NEW	69787 9 Number (if any): 942 ation of prime broker's of : V YORK	ffice used principally by the <i>private</i> State:	<i>fund</i> (city, state and country): Country: United States	Yes	5
8 - 6 CRD 2834) Loca City: NEW) Does the an <i>ivate fi</i>	69787 9 Number (if any): 942 ation of prime broker's of : V YORK is this prime broker act a inswer to question 24.(a) fund uses more than one the of the prime broker: BANK, N.A.	ffice used principally by the <i>private</i> State: New York as custodian for some or all of the <i>p</i> is "yes," respond to questions (b) prime broker, you must complete	<i>fund</i> (city, state and country): Country: United States <i>private fund's</i> assets? through (e) below for each prime broker the <i>private fund</i> uses questions (b) through (e) separately for each prime broker.	٥	
8 - 6 CRD 2834) Loca City: NEW) Does the an <i>ivate fu</i> CITH If th -	69787 9 Number (if any): 942 ation of prime broker's of : V YORK is this prime broker act a inswer to question 24.(a) fund uses more than one the of the prime broker: BANK, N.A.	ffice used principally by the <i>private</i> State: New York as custodian for some or all of the p is "yes," respond to questions (b)	<i>fund</i> (city, state and country): Country: United States <i>private fund's</i> assets? through (e) below for each prime broker the <i>private fund</i> uses questions (b) through (e) separately for each prime broker.	٥	
8 - 6 CRD 2834) Loca City: NEW) Does the an <i>ivate fu</i>) Nam CITII) If th - CRD	69787 9 Number (if any): 942 ation of prime broker's of : V YORK is this prime broker act a inswer to question 24.(a) fund uses more than one the of the prime broker: BANK, N.A. he prime broker is register 9 Number (if any):	ffice used principally by the <i>private</i> State: New York as custodian for some or all of the <i>p</i> is "yes," respond to questions (b) prime broker, you must complete	fund (city, state and country): Country: United States orivate fund's assets? through (e) below for each prime broker the private fund uses questions (b) through (e) separately for each prime broker.	٥	
8 - 6 CRD 2834) Loca City: NEW) Does the an <i>ivate fu</i>) Nam CITII) If th - CRD	69787 9 Number (if any): 942 ation of prime broker's of : V YORK is this prime broker act a inswer to question 24.(a) fund uses more than one the of the prime broker: BANK, N.A. he prime broker is register 9 Number (if any): ation of prime broker's of	ffice used principally by the <i>private</i> State: New York as custodian for some or all of the <i>p</i> is "yes," respond to questions (b) prime broker, you must complete	fund (city, state and country): Country: United States orivate fund's assets? through (e) below for each prime broker the private fund uses questions (b) through (e) separately for each prime broker.	٥	

If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> user <i>private fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	s. If the	
(b) Name of the prime broker: CITICORP SECURITIES SERVICES, INC.		
 (c) If the prime broker is registered with the SEC, its registration number: 8 - 32117 		
CRD Number (if any): 15387		
(d) Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):		
City:State:Country:NEW YORKNew YorkUnited States		
(e) Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets?	Yes No ⓒ O	
If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> user <i>private fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	s. If the	
(b) Name of the prime broker: CITIGROUP GLOBAL MARKETS INC.		
(c) If the prime broker is registered with the SEC, its registration number:		
8 - 8177 CRD Number (if any):		
7059		
(d) Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):		
City:State:Country:NEW YORKNew YorkUnited States		
	Yes No	,
(e) Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets?	© 0	
		_
If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses <i>private fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	s. If the	
(b) Name of the prime broker: CITIGROUP GLOBAL MARKETS LIMITED		
(c) If the prime broker is registered with the SEC, its registration number:		
CRD Number (if any):		
(d) Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):		
City: State: Country: LONDON United Kingdom		
	Yes No	,
(e) Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets?	• •	
If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> user <i>private fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	s. If the	

(b) Name of the prime broker:

	CREDIT SUISSE AG			
(c)	If the prime broker is registered	with the SEC, its registration r	number:	
	- CRD Number (if any):			
(d)	Location of prime broker's office			
	City: DUBLIN	State:	Country: Ireland	
	DOBLIN		петани	Yes No
(e)	Does this prime broker act as cu	ustodian for some or all of the <i>c</i>	private fund's assets?	• •
	•	· · · · · · · ·	through (e) below for each prime broker the <i>priva</i> questions (b) through (e) separately for each prime	
(b)	Name of the prime broker: CREDIT SUISSE SECURITIES (US	A) LLC		
(c)	If the prime broker is registered	with the SEC, its registration r	number:	
	8 - 422			
	CRD Number (if any): 816			
(d)	Location of prime broker's office	used principally by the private	fund (city, state and country):	
	City:	State:	Country:	
	NEW YORK	New York	United States	
				Yes No
(e)	Does this prime broker act as cu	ustodian for some or all of the μ	private fund's assets?	• •
pri (b)	•	ne broker, you must complete	through (e) below for each prime broker the <i>priva</i> questions (b) through (e) separately for each prime	
	8 - 129			
	CRD Number (if any): 361			
(d)	Location of prime broker's office	used principally by the private	fund (city, state and country):	
	City:	State:	Country:	
	NEW YORK	New York	United States	
				Yes No
(e)	Does this prime broker act as cu	istodian for some or all of the μ	private fund's assets?	© 0
	vate fund uses more than one prir	· · · · · · ·	through (e) below for each prime broker the <i>priva</i> questions (b) through (e) separately for each prim	
	Nome of the omine of healton.			
(b)	Name of the prime broker: GOLDMAN SACHS INTERNATION	4L		
			number:	

(d) Location of prime bro	ker's office used principally by th	ne private fund (city, state and country):	
City:	State:	Country:	
LONDON		United Kingdom	
			Yes No
(e) Does this prime broke	er act as custodian for some or a	all of the <i>private fund's</i> assets?	0 0
		stions (b) through (e) below for each prime broker the <i>pri</i> complete questions (b) through (e) separately for each pri	
(b) Name of the prime br J.P. MORGAN SECURI			
(c) If the prime broker is 8 - 35008	registered with the SEC, its reg	istration number:	
CRD Number (if any): 79			
(d) Location of prime bro City:	ker's office used principally by th State:	ne <i>private fund</i> (city, state and country): Country:	
NEW YORK	New York	United States	
			Yes No
(e) Does this prime broke	er act as custodian for some or a	all of the <i>private fund's</i> assets?	\odot \circ
- CRD Number (if any):	registered with the SEC, its reg ker's office used principally by th State:	istration number: ne <i>private fund</i> (city, state and country): Country:	
LONDON	State.	United Kingdom	
			Yes No
(e) Does this prime broke	er act as custodian for some or a	all of the <i>private fund's</i> assets?	0
	an one prime broker, you must o oker:	stions (b) through (e) below for each prime broker the <i>pri</i> complete questions (b) through (e) separately for each pri	
(c) If the prime broker is	registered with the SEC, its reg	istration number:	
- CRD Number (if any):			
•	ker's office used principally by th State:	ne <i>private fund</i> (city, state and country): Country:	
City: LONDON	State:	United Kingdom	
			Yes No
(e) Does this prime broke	er act as custodian for some or a	all of the <i>private fund's</i> assets?	• •

-		through (e) below for each prime broker the questions (b) through (e) separately for each	
(b) Name of the prime broker: MERRILL LYNCH PROFESSIC	DNAL CLEARING CORP.		
(c) If the prime broker is regis 8 - 33359	tered with the SEC, its registration n	umber:	
CRD Number (if any): 16139			
	office used principally by the private a		
City: NEW YORK	State: New York	Country: United States	
(e) Does this prime broker act	as custodian for some or all of the p	rivate fund's assets?	Yes No © O
If the answer to question 24.(a) is "yes," respond to questions (b)	through (e) below for each prime broker the	<i>private fund</i> uses. If the
private fund uses more than one	e prime broker, you must complete o	questions (b) through (e) separately for each	n prime broker.
(b) Name of the prime broker: MORGAN STANLEY & CO. IN	ITERNATIONAL PLC		
(c) If the prime broker is regis	tered with the SEC, its registration n	umber:	
- CRD Number (if any):			
(d) Location of prime broker's of City:	office used principally by the <i>private</i> a State:	<i>fund</i> (city, state and country): Country:	
LONDON		United Kingdom	Yes No
(e) Does this prime broker act	as custodian for some or all of the p	rivate fund's assets?	• •
		through (e) below for each prime broker the questions (b) through (e) separately for each	
(b) Name of the prime broker: MORGAN STANLEY & CO. LL	.C		
(c) If the prime broker is regis 8 - 15869	tered with the SEC, its registration n	umber:	
CRD Number (if any): 8209			
	office used principally by the private i		
City: NEW YORK	State: New York	Country: United States	
(e) Does this prime broker act	as custodian for some or all of the p	rivate fund's assets?	Yes No
			© 0
If the answer to question 24.(a private fund uses more than one) is "ves " respond to questions (h)	through (a) below for each prime broker the	private fund uses. If the
		questions (b) through (e) separately for each	,

NOMURA INTERNATIONAL PLC

(c)	If the prime broker is registered with the SEC, its registration number:			
	- CRD Number (if any):			
(d)	Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):			
	City: State: Country:			
	LONDON United Kingdom			
		Yes	5 N	o
(e)	Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets?	\odot	(
	he answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. <i>Yate fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	If the		
(b)	Name of the prime broker: NOMURA SECURITIES INTERNATIONAL, INC.			
(C)	If the prime broker is registered with the SEC, its registration number: 8 - 15255			
	CRD Number (if any):			
	4297			
(d)	Location of prime broker's office used principally by the private fund (city, state and country):			
	City: State: Country:			
	NEW YORK New York United States		-	
	Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets?	Yes		
(e)	Dues this prime broker act as custodian for some or an or the private fund's assets?	\odot	(
priv	he answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. <i>vate fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker. Name of the prime broker: PERSHING LLC	If the		
(c)	If the prime broker is registered with the SEC, its registration number:			
	8 - 17574			
	CRD Number (if any): 7560			
(d)	Location of prime broker's office used principally by the <i>private fund</i> (city, state and country):			
	City: State: Country:			
	JERSEY CITY New Jersey United States			
		Yes	5 N	0
(e)	Does this prime broker act as custodian for some or all of the <i>private fund's</i> assets?	o	(
	he answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. <i>Yate fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	If the		
(b)	Name of the prime broker: UBS AG			
(C)	If the prime broker is registered with the SEC, its registration number:			
	- CRD Number (if any):			

City:	State:	Country:	
LONDON		United Kingdom	Vec Ne
(e) Does this prime broker	act as custodian for some or all c	of the <i>private fund's</i> assets?	Yes No
•		ons (b) through (e) below for each prime broker the pr	
private fund uses more than	i one prime broker, you must com	nplete questions (b) through (e) separately for each pr	ime broker.
(b) Name of the prime brok UBS SECURITIES LLC	er:		
•	egistered with the SEC, its registr	ration number:	
8 - 22651 CRD Number (if any):			
7654			
(d) Location of prime broke	r's office used principally by the μ	private fund (city, state and country):	
City:	State:	Country:	
NEW YORK	New York	United States	Yes No
(e) Does this prime broker	act as custodian for some or all c	of the <i>private fund's</i> assets?	© 0
WELLS FARGO SECURIT			
(c) If the prime broker is re8 - 65876CRD Number (if any):		ration number:	
 (c) If the prime broker is re 8 - 65876 CRD Number (if any): 126292 	TES, LLC		
 (c) If the prime broker is re 8 - 65876 CRD Number (if any): 126292 (d) Location of prime broke 	TES, LLC egistered with the SEC, its registr er's office used principally by the p	private fund (city, state and country):	
 (c) If the prime broker is re 8 - 65876 CRD Number (if any): 126292 	TES, LLC		
 (c) If the prime broker is reader as a 65876 CRD Number (if any): 126292 (d) Location of prime broke City: NEW YORK 	TES, LLC egistered with the SEC, its registr er's office used principally by the <i>p</i> State: New York	<i>private fund</i> (city, state and country): Country: United States	Yes No
 (c) If the prime broker is reader as a 65876 CRD Number (if any): 126292 (d) Location of prime broke City: NEW YORK 	TES, LLC egistered with the SEC, its registr er's office used principally by the <i>p</i> State:	<i>private fund</i> (city, state and country): Country: United States	Yes No © O
 (c) If the prime broker is real 8 - 65876 CRD Number (if any): 126292 (d) Location of prime broker City: NEW YORK (e) Does this prime broker 	TES, LLC egistered with the SEC, its registr er's office used principally by the <i>p</i> State: New York	<i>private fund</i> (city, state and country): Country: United States	
 (c) If the prime broker is real 8 - 65876 CRD Number (if any): 126292 (d) Location of prime broker City: NEW YORK (e) Does this prime broker 	TES, LLC egistered with the SEC, its registr er's office used principally by the <i>p</i> State: New York act as custodian for some or all c	<i>private fund</i> (city, state and country): Country: United States of the <i>private fund's</i> assets?	⊙ O Yes No
 (c) If the prime broker is real 8 - 65876 CRD Number (if any): 126292 (d) Location of prime broker City: NEW YORK (e) Does this prime broker 	TES, LLC egistered with the SEC, its registr er's office used principally by the <i>p</i> State: New York act as custodian for some or all c	brivate fund (city, state and country): Country: United States of the <i>private fund's</i> assets? brokers listed above) to hold some or all of its assets?	⊙ O Yes No ⊙ O
 (c) If the prime broker is real 8 - 65876 CRD Number (if any): 126292 (d) Location of prime broker City: NEW YORK (e) Does this prime broker 	TES, LLC egistered with the SEC, its registr er's office used principally by the <i>p</i> State: New York act as custodian for some or all c custodians (including the prime (a) is "yes," respond to questions	<i>private fund</i> (city, state and country): Country: United States of the <i>private fund's</i> assets?	⊙ O Yes No ⊙ O
 (c) If the prime broker is real 8 - 65876 CRD Number (if any): 126292 (d) Location of prime broker City: NEW YORK (e) Does this prime broker e oes the <i>private fund</i> use any of the answer to question 25.1 <i>ind</i> uses more than one cust 	TES, LLC egistered with the SEC, its registr er's office used principally by the <i>p</i> State: New York act as custodian for some or all c custodians (including the prime (a) is "yes," respond to questions	brivate fund (city, state and country): Country: United States of the <i>private fund's</i> assets? brokers listed above) to hold some or all of its assets?	⊙ O Yes No ⊙ O
 (c) If the prime broker is real 8 - 65876 CRD Number (if any): 126292 (d) Location of prime broker City: NEW YORK (e) Does this prime broker (e) Does this prime broker (f the answer to question 25.1) (f the answer to question 21.2) 	TES, LLC egistered with the SEC, its registr er's office used principally by the <i>p</i> State: New York act as custodian for some or all c (a) is "yes," respond to questions codian, you must complete questi nation : 39 Record(s) Filed.	brivate fund (city, state and country): Country: United States of the <i>private fund's</i> assets? brokers listed above) to hold some or all of its assets?	 ✓ ✓ ✓ Yes No ✓ ✓ ✓ ✓
 (c) If the prime broker is real 8 - 65876 CRD Number (if any): 126292 (d) Location of prime broker City: NEW YORK (e) Does this prime broker d) Location of use any of the answer to question 25.4 and uses more than one cust Additional Custodian Inform If the answer to question 2 fund uses more than one cust (b) Legal name of custodian 	TES, LLC egistered with the SEC, its registre er's office used principally by the principally by the principally by the principally by the principal state: New York act as custodian for some or all control of the prime state (a) is "yes," respond to questions codian, you must complete questions for a state is the prime state of the prime s	brokers listed above) to hold some or all of its assets? brokers listed above) to hold some or all of its assets? s (b) through (g) below for each custodian the <i>private</i> ons (b) through (g) below for each custodian.	 ✓ ✓ ✓ Yes No ✓ ✓ ✓ ✓
 (c) If the prime broker is real 8 - 65876 CRD Number (if any): 126292 (d) Location of prime broker City: NEW YORK (e) Does this prime broker (e) Does this prime broker (f) Location 1 none cust (c) Primary business name 	TES, LLC egistered with the SEC, its registres er's office used principally by the principally by the principally by the principal state: New York act as custodian for some or all control of the principal state of the principal state of the principal state. State: New York act as custodian for some or all control of the principal state. State: New York act as custodian for some or all control of the principal state. State: New York act as custodian for some or all control of the principal state. State: State: New York act as custodian for some or all control of the principal state. State: State: New York act as custodian for some or all control of the principal state. State: State: New York act as custodian for some or all control of the principal state. State: State: New York act as custodian for some or all control of the principal state. State: State: New York act as custodian; State: State: New York act as custodian for some or all control of the principal state. State: State: State: State: State: New York act as custodian; State: State: State: New York act as custodian; State: <p< td=""><td>brokers listed above) to hold some or all of its assets? brokers listed above) to hold some or all of its assets? s (b) through (g) below for each custodian the <i>private</i> ons (b) through (g) below for each custodian.</td><td> ✓ ✓ ✓ Yes No ✓ ✓ ✓ ✓</td></p<>	brokers listed above) to hold some or all of its assets? brokers listed above) to hold some or all of its assets? s (b) through (g) below for each custodian the <i>private</i> ons (b) through (g) below for each custodian.	 ✓ ✓ ✓ Yes No ✓ ✓ ✓ ✓
 (c) If the prime broker is real 8 - 65876 CRD Number (if any): 126292 (d) Location of prime broker City: NEW YORK (e) Does this prime broker (e) Does this prime broker (f) the answer to question 25 (c) Additional Custodian Inform (c) If the answer to question 21. (c) If the answer to question 22. (c) If the answer to question 23. (c) If the answer to question 24. (c) If the answer to question 25 (c) If the answer to question 24. (c) If the answer to question 25 (c) If the answer to question 24. (c) If the answer to quest	TES, LLC egistered with the SEC, its registres er's office used principally by the principally by the principally by the principal state: New York act as custodian for some or all control of the principal state of the principal state of the principal state. State: New York act as custodian for some or all control of the principal state. State: New York act as custodian for some or all control of the principal state. State: New York act as custodian for some or all control of the principal state. State: State: New York act as custodian for some or all control of the principal state. State: State: New York act as custodian for some or all control of the principal state. State: State: New York act as custodian for some or all control of the principal state. State: State: New York act as custodian for some or all control of the principal state. State: State: New York act as custodian; State: State: New York act as custodian for some or all control of the principal state. State: State: State: State: State: New York act as custodian; State: State: State: New York act as custodian; State: <p< td=""><td>brokers listed above) to hold some or all of its assets? brokers listed above) to hold some or all of its assets? s (b) through (g) below for each custodian the <i>private</i> ons (b) through (g) below for each custodian.</td><td> ✓ ✓ ✓ Yes No ✓ ✓ ✓ ✓</td></p<>	brokers listed above) to hold some or all of its assets? brokers listed above) to hold some or all of its assets? s (b) through (g) below for each custodian the <i>private</i> ons (b) through (g) below for each custodian.	 ✓ ✓ ✓ Yes No ✓ ✓ ✓ ✓

City:	State:	Country:	
NEW YORK	New York	United States	Yes No
e) Is the custodian a relate	ed person of your firm?		00
If the custodian is a bro	ker-dealer, provide its SEC registratior	n number (if any):	
- CRD Number (if any):			
) If the custodian is not a <i>identifier</i> (if any) B4TYDEB6GKMZO031MB		out does not have an SEC registration number, prov	ride its <i>legal entity</i>
•		b) through g) below for each custodian the <i>private fu</i> s (b) through (g) separately for each custodian.	und uses. If the private
) Legal name of custodiar BARCLAYS BANK PLC	1:		
) Primary business name BARCLAYS BANK PLC	of custodian:		
) The location of the custo City: LONDON	odian's office responsible for <i>custody</i> c State:	of the <i>private fund's</i> assets (city, state and country): Country: United Kingdom	
		5	Yes No
) Is the custodian a relate	ed person of your firm?		00
) If the custodian is a bro	ker-dealer, provide its SEC registratior	ח number (if any):	
- CRD Number (if any):			
g) If the custodian is not a <i>identifier</i> (if any) G5GSEF7VJP517OUK557		out does not have an SEC registration number, prov	ide its <i>legal entity</i>
		b) through g) below for each custodian the <i>private fu</i> s (b) through (g) separately for each custodian.	und uses. If the <i>private</i>
 b) Legal name of custodiar BARCLAYS CAPITAL INC. 			
c) Primary business name BARCLAYS CAPITAL INC.			
d) The location of the custo City:	odian's office responsible for <i>custody</i> c State:	of the <i>private fund's</i> assets (city, state and country): Country:	
NEW YORK	New York	United States	
	ed person of your firm?		Yes No
e) Is the custodian a relate			<u></u>
e) Is the custodian a <i>relate</i>			00
 (e) Is the custodian a <i>relate</i> (f) If the custodian is a brol 8 - 41342 	ker-dealer, provide its SEC registration	ח number (if any):	00
(f) If the custodian is a bro		n number (if any):	00

identii	er (if any)	
	er to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>priva</i> nore than one custodian, you must complete questions (b) through (g) separately for each custodian.	te
	ame of custodian: RIBAS PRIME BROKERAGE INTERNATIONAL, LIMITED	
	/ business name of custodian: RIBAS PRIME BROKERAGE INTERNATIONAL, LIMITED	
(d) The Ic City:	ation of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): State: Country:	
DUBLI	5	
	Yes	No
(e) Is the	custodian a <i>related person</i> of your firm?	•
(f) If the	ustodian is a broker-dealer, provide its SEC registration number (if any):	
- CRD N	imber (if any):	
-	ustodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity</i> er (if any)	
54930	0EGBAKFHIIT563	
	er to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>priva</i> nore than one custodian, you must complete questions (b) through (g) separately for each custodian.	te
	ame of custodian: RIBAS S.A.	
	v business name of custodian: RIBAS S.A.	
(d) The lo	ation of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):	
City: NEW `	State: Country: DRK New York United States	
	Yes	No
(e) Is the	custodian a <i>related person</i> of your firm?	0
(f) If the	ustodian is a broker-dealer, provide its SEC registration number (if any):	
-		
CRD N	imber (if any):	
	ustodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entity</i> er (if any)	
	er to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>priva</i> nore than one custodian, you must complete questions (b) through (g) separately for each custodian.	te
	ame of custodian: RIBAS SECURITIES CORP.	
	/ business name of custodian: RIBAS SECURITIES CORP.	

(d)			f the private fund's assets (city, state and country):
	City: NEW YORK	State: New York	Country: United States
	NEW TORK	New TOIK	Yes I
(e)	Is the custodian a related	<i>l person</i> of your firm?	0
(f)	If the custodian is a broke	er-dealer, provide its SEC registration	number (if any):
	8 - 32682		
	CRD Number (if any): 15794		
(g)	If the custodian is not a b <i>identifier</i> (if any)	broker-dealer, or is a broker-dealer bi	ut does not have an SEC registration number, provide its <i>legal entity</i>
	•		through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i>
⁻ un	d uses more than one cust	odian, you must complete questions	(b) through (g) separately for each custodian.
(b)	Legal name of custodian: BOFA SECURITIES, INC.		
(c)	Primary business name of BOFA SECURITIES, INC.	f custodian:	
(d)	The location of the custor	dian's office responsible for <i>custody</i> o	f the <i>private fund's</i> assets (city, state and country):
	City:	State:	Country:
	NEW YORK	New York	United States
e)	Is the custodian a related	<i>I person</i> of your firm?	Yes N
f)	If the custodian is a broke	er-dealer, provide its SEC registration	number (if any).
f)	8 - 69787	si-dealer, provide its SEC registration	
	CRD Number (if any):		
	283942		
(g)	If the custodian is not a b identifier (if any)	broker-dealer, or is a broker-dealer bi	ut does not have an SEC registration number, provide its <i>legal entity</i>
	•		through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> (b) through (g) separately for each custodian.
b)	Legal name of custodian: CITIBANK, N.A.		
(c)	Primary business name of CITIBANK, N.A.	f custodian:	
(d)			f the <i>private fund's</i> assets (city, state and country):
	City: NEW YORK	State: New York	Country: United States
		New Fork	Yes M
e)	Is the custodian a related	<i>l person</i> of your firm?	0
F)	If the custodian is a broke	er-dealer, provide its SEC registration	number (if any):
	- CRD Number (if any):		
(g)	If the custodian is not a k <i>identifier</i> (if any)	proker-dealer, or is a broker-dealer bu	ut does not have an SEC registration number, provide its <i>legal entity</i>

E570DZWZ7FF32TWEFA76			
•	•) through g) below for each custodian the <i>private fund</i> uses (b) through (g) separately for each custodian.	s. If the <i>private</i>
(b) Legal name of custodian: CITICORP SECURITIES SERVIC	ES, INC.		
(c) Primary business name of cus CITICORP SECURITIES SERVIC			
(d) The location of the custodian's City: NEW YORK	s office responsible for <i>custody</i> c State: New York	of the <i>private fund's</i> assets (city, state and country): Country: United States	
(e) Is the custodian a related pers	<i>on</i> of your firm?		Yes No
 (f) If the custodian is a broker-de 8 - 32117 CRD Number (if any): 15387 	aler, provide its SEC registration	ח number (if any):	
(g) If the custodian is not a broke <i>identifier</i> (if any)	r-dealer, or is a broker-dealer b	out does not have an SEC registration number, provide its <i>l</i>	legal entity
) through g) below for each custodian the <i>private fund</i> uses (b) through (g) separately for each custodian.	s. If the <i>private</i>
(b) Legal name of custodian: CITIGROUP GLOBAL MARKETS	INC.		
(c) Primary business name of cus CITIGROUP GLOBAL MARKETS			
City:	State:	of the <i>private fund's</i> assets (city, state and country): Country:	
NEW YORK	New York	United States	Yes No
(e) Is the custodian a <i>related pers</i>	<i>on</i> of your firm?		0 0
 (f) If the custodian is a broker-de 8 - 8177 CRD Number (if any): 7059 	aler, provide its SEC registration	ו number (if any):	
(g) If the custodian is not a broke <i>identifier</i> (if any)	r-dealer, or is a broker-dealer b	out does not have an SEC registration number, provide its <i>l</i>	legal entity
•	•) through g) below for each custodian the <i>private fund</i> uses ; (b) through (g) separately for each custodian.	s. If the <i>private</i>
(b) Legal name of custodian: CITIGROUP GLOBAL MARKETS	LIMITED		
(c) Primary business name of cust CITIGROUP GLOBAL MARKETS			

(d) The location of the custodian's office responsible for *custody* of the *private fund's* assets (city, state and country):

City:	State:	Country:	
LONDON		United Kingdom	Yes No
e) Is the custod	ian a related person of your firm?		o ⊙
) If the custod	an is a broker-dealer, provide its SEC reg	istration number (if any):	
- CRD Number	(if any):		
identifier (if a	ny)	dealer but does not have an SEC registration number, prov	vide its <i>legal entity</i>
XKZZ2JZF41I	IRH I R I V493		
		tions (b) through g) below for each custodian the <i>private fu</i> uestions (b) through (g) separately for each custodian.	<i>und</i> uses. If the <i>private</i>
(b) Legal name (CREDIT SUIS			
(c) Primary busi CREDIT SUIS	ness name of custodian: SE AG		
(d) The location City: DUBLIN	of the custodian's office responsible for <i>cu</i> State:	<i>ustody</i> of the <i>private fund's</i> assets (city, state and country): Country: Ireland	
/ 、			Yes No
e) Is the custor	ian a <i>related person</i> of your firm?		00
(f) If the custod	an is a broker-dealer, provide its SEC reg	istration number (if any):	
- CRD Number	(if any):		
(g) If the custod identifier (if a		dealer but does not have an SEC registration number, prov	vide its legal entity
ANGGYXNX0.			
		tions (b) through g) below for each custodian the <i>private fu</i> Jestions (b) through (g) separately for each custodian.	und uses. If the private
(b) Legal name (CREDIT SUIS	of custodian: SE SECURITIES (USA) LLC		
-	ness name of custodian: SE SECURITIES (USA) LLC		
		ustody of the private fund's assets (city, state and country):	:
City: NEW YORK	State: New York	Country: United States	
			Yes No
(e) Is the custod	ian a <i>related person</i> of your firm?		00
(f) If the custod	an is a broker-dealer, provide its SEC reg	istration number (if any):	
8 - 422			
CRD Number 816	(if any):		
2.0			
g) If the custod	an is not a broker-dealer, or is a broker-	dealer but does not have an SEC registration number, prov	vide its legal entity

<i>identifier</i> (if any)	
If the answer to question 25.(a) is "yes," respond to questions (b) through <i>fund</i> uses more than one custodian, you must complete questions (b) throu	
(b) Legal name of custodian: GOLDMAN SACHS & CO. LLC	
(c) Primary business name of custodian: GOLDMAN SACHS & CO. LLC	
(d) The location of the custodian's office responsible for <i>custody</i> of the <i>priva</i> City: State:	<i>ate fund's</i> assets (city, state and country): Country:
NEW YORK New York	United States
	Yes No
(e) Is the custodian a <i>related person</i> of your firm?	0 0
(f) If the custodian is a broker-dealer, provide its SEC registration number8 - 129	(if any):
CRD Number (if any):	
361	
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does n <i>identifier</i> (if any)	ot have an SEC registration number, provide its <i>legal entity</i>
If the answer to question 25.(a) is "yes," respond to questions (b) through	
fund uses more than one custodian, you must complete questions (b) throu	gh (g) separately for each custodian.
(b) Legal name of custodian: GOLDMAN SACHS INTERNATIONAL	
(c) Primary business name of custodian: GOLDMAN SACHS INTERNATIONAL	
(d) The location of the custodian's office responsible for <i>custody</i> of the <i>prive</i>	ate fund's assets (city_state and country).
City: State: Country	
LONDON United	Kingdom
	Yes No
(e) Is the custodian a <i>related person</i> of your firm?	00
(f) If the custodian is a broker-dealer, provide its SEC registration number	(if any):
CRD Number (if any):	
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does n <i>identifier</i> (if any)	ot have an SEC registration number, provide its <i>legal entity</i>
W22LROWP2IHZNBB6K528	
<u> </u>	
If the answer to question 25.(a) is "yes," respond to questions (b) through <i>fund</i> uses more than one custodian, you must complete questions (b) throu	
(b) Legal name of custodian: HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED	
(c) Primary business name of custodian: HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED	

(d)			e private fund's assets (city, state an	d country):
	City: HONG KONG	State:	Country: Hong Kong	
				Yes N
(e)	Is the custodian a related person of	of your firm?		00
(f)	If the custodian is a broker-dealer	, provide its SEC registration nur	nber (if any):	
	- CRD Number (if any):			
(g)	If the custodian is not a broker-de <i>identifier</i> (if any)	aler, or is a broker-dealer but d	pes not have an SEC registration nu	mber, provide its <i>legal entity</i>
	•	• • • • • •	ough g) below for each custodian th	
โนทง	d uses more than one custodian, y	ou must complete questions (b)	through (g) separately for each cus	odian.
(b)	Legal name of custodian: HSBC BANK BERMUDA			
(c)	Primary business name of custodi HSBC BANK BERMUDA	an:		
d)	The location of the custodian's off	ice responsible for <i>custody</i> of the	e <i>private fund's</i> assets (city, state an	d country):
	City: HAMILTON	State:	Country: Bermuda	
(۵	Is the custodian a related person of	of your firm?		Yes N
-,				00
(f)	If the custodian is a broker-dealer	, provide its SEC registration nur	nber (if any):	
	CRD Number (if any):			
(g)	If the custodian is not a broker-de <i>identifier</i> (if any)	aler, or is a broker-dealer but d	pes not have an SEC registration nu	mber, provide its <i>legal entity</i>
			ough g) below for each custodian th through (g) separately for each cus	
b)	Legal name of custodian: HSBC BANK MAURITIUS LTD			
c)	Primary business name of custodi HSBC BANK MAURITIUS LTD	an:		
(d)	City:	ice responsible for <i>custody</i> of the State:	e <i>private fund's</i> assets (city, state an Country:	d country):
	EBENE		Mauritius	Yes N
e)	Is the custodian a related person of	of your firm?		O G
f)	If the custodian is a broker-dealer	, provide its SEC registration nur	nber (if any):	
	- CRD Number (if any):			
(g)	If the custodian is not a broker-de <i>identifier</i> (if any)	aler, or is a broker-dealer but d	pes not have an SEC registration nu	mber, provide its <i>legal entity</i>

If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	fund uses. If the private
(b) Legal name of custodian: J.P. MORGAN SECURITIES LLC	
(c) Primary business name of custodian: J.P. MORGAN SECURITIES LLC	
(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country) City: State: Country:):
NEW YORK New York United States	
(e) Is the custodian a <i>related person</i> of your firm?	Yes No
 (f) If the custodian is a broker-dealer, provide its SEC registration number (if any): 8 - 35008 	
CRD Number (if any): 79	
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, pro identifier (if any)	ovide its <i>legal entity</i>
If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	fund uses. If the private
(b) Legal name of custodian: J.P. MORGAN SECURITIES PLC	
(c) Primary business name of custodian: J.P. MORGAN SECURITIES PLC	
(d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country)):
City: State: Country: LONDON United Kingdom	
LONDON Onited Kingdom	Yes No
(e) Is the custodian a <i>related person</i> of your firm?	00
(f) If the custodian is a broker-dealer, provide its SEC registration number (if any):	
- CRD Number (if any):	
(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, pro identifier (if any) K6Q0W1PS1L104IQL9C32	ovide its <i>legal entity</i>
If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	fund uses. If the private
(b) Legal name of custodian: JPMORGAN BANK LUXEMBOURG S.A.	
(c) Primary business name of custodian: JPMORGAN BANK LUXEMBOURG S.A.	

(d) The location of the custodian's office responsible for *custody* of the *private fund's* assets (city, state and country):

	City: SENNINGERBERG	State:	Country: Luxembourg	
	SEMMOENDERG		Luxenbourg	Yes
(e)	Is the custodian a related person o	f your firm?		0
)	If the custodian is a broker-dealer,	, provide its SEC registration numb	per (if any):	
	- CRD Number (if any):			
-	If the custodian is not a broker-de <i>identifier</i> (if any) 7W1GMC6J4KGLBBUSYP52	aler, or is a broker-dealer but doe	s not have an SEC registration number, provide its <i>l</i> e	egal entity
	•		igh g) below for each custodian the <i>private fund</i> uses rough (g) separately for each custodian.	. If the <i>privat</i>
	Legal name of custodian: JPMORGAN CHASE BANK, N.A.			
	Primary business name of custodia JPMORGAN CHASE BANK, N.A.	<u>ิ</u> มท:		
d)	The location of the custodian's offi	ice responsible for <i>custody</i> of the μ	private fund's assets (city, state and country):	
	City: NEW YORK	State: New York	Country: United States	
	NEW TORK	New TOLK	United States	Yes
;)	Is the custodian a related person o	f your firm?		0
)	If the custodian is a broker-dealer,	, provide its SEC registration numb	per (if any):	
	- CRD Number (if any):			
	identifier (if any)	aler, or is a broker-dealer but doe	s not have an SEC registration number, provide its <i>le</i>	egal entity
	7H6GLXDRUGQFU57RNE97			
	a answer to question 25 (a) is "ve		igh g) below for each custodian the <i>private fund</i> uses	If the privat
			rough (g) separately for each custodian.	
	Legal name of custodian: MERRILL LYNCH INTERNATIONAL			
	Primary business name of custodia MERRILL LYNCH INTERNATIONAL	an:		
			private fund's assets (city, state and country):	
	City: LONDON	State: Cour Unite	ntry: ed Kingdom	
				Yes
		f your firm?		
	Is the custodian a related person o			0
(e)	Is the custodian a <i>related person</i> of If the custodian is a broker-dealer,		per (if any):	0
(e)			per (if any):	0

identifier (if anv)

GGDZP1UYGU9STUH	RDP48	
L		
		ns (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> stions (b) through (g) separately for each custodian.
(b) Legal name of custo MERRILL LYNCH PRC	dian: FESSIONAL CLEARING CORP.	
(c) Primary business na MERRILL LYNCH PRC	me of custodian: FESSIONAL CLEARING CORP.	
(d) The location of the c	ustodian's office responsible for <i>cust</i> State:	<i>ody</i> of the <i>private fund's</i> assets (city, state and country): Country:
NEW YORK	New York	United States
		Yes No
(e) Is the custodian a re	elated person of your firm?	00
(f) If the custodian is a 8 - 33359	broker-dealer, provide its SEC regist	ration number (if any):
CRD Number (if any) 16139	:	
(g) If the custodian is n <i>identifier</i> (if any)	ot a broker-dealer, or is a broker-dea	aler but does not have an SEC registration number, provide its <i>legal entity</i>
		ns (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i>
rund uses more than one	s custodian, you must complete ques	stions (b) through (g) separately for each custodian.
(b) Legal name of custo MORGAN STANLEY &	dian: CO INTERNATIONAL PLC	
(c) Primary business na MORGAN STANLEY &	me of custodian: CO INTERNATIONAL PLC	
(d) The location of the c	ustodian's office responsible for <i>cust</i>	ody of the <i>private fund's</i> assets (city, state and country):
City:	State:	Country:
LONDON		United Kingdom
		Yes No
(e) Is the custodian a re	elated person of your firm?	00
(f) If the custodian is a	broker-dealer, provide its SEC regist	ration number (if any):
CRD Number (if any)	:	
(g) If the custodian is n <i>identifier</i> (if any) 4PQUHN3JPFGFNF3E		aler but does not have an SEC registration number, provide its <i>legal entity</i>
		ns (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> stions (b) through (g) separately for each custodian.
(b) Legal name of custo MORGAN STANLEY &		
(c) Primary business na MORGAN STANLEY &		

a) The location of the cu	stodian's office responsible for custod	y of the <i>private fund's</i> assets (city, state and country):	
City:	State:	Country:	
NEW YORK	New York	United States	X N
e) Is the custodian a <i>rel</i> a	ated person of your firm?		Yes No
			~ ~
f) If the custodian is a b 8 - 15869	roker-dealer, provide its SEC registrat	tion number (if any):	
CRD Number (if any):			
8209			
g) If the custodian is not <i>identifier</i> (if any)	a broker-dealer, or is a broker-deale	er but does not have an SEC registration number, provid	le its <i>legal entity</i>
		(b) through g) below for each custodian the <i>private fun</i> ons (b) through (g) separately for each custodian.	d uses. If the <i>private</i>
b) Legal name of custodi NOMURA INTERNATIO			
c) Primary business nam NOMURA INTERNATIO			
d) The leastion of the au	stadian's office responsible for sustad	he of the private fund's access (aity, state and equatery).	
City:	Stotian's office responsible for castoa	<i>ly</i> of the <i>private fund's</i> assets (city, state and country): Country:	
LONDON	State.	United Kingdom	
			Yes No
e) Is the custodian a <i>rel</i> a	ated person of your firm?		00
f) If the custodian is a b	roker-dealer, provide its SEC registrat	tion number (if any):	
- CRD Number (if any):			
g) If the custodian is not <i>identifier</i> (if any)	a broker-dealer, or is a broker-deale	r but does not have an SEC registration number, provic	le its <i>legal entity</i>
DGQCSV2PHVF712743	3539		
		(b) through g) below for each custodian the <i>private fun</i> ons (b) through (g) separately for each custodian.	nd uses. If the private
 b) Legal name of custodi NOMURA SECURITIES 			
c) Primary business nam	on of custodian.		
NOMURA SECURITIES			
d) The location of the cu	stadian's office responsible for custad	ly of the <i>private fund's</i> assets (city, state and country):	
City:	Stodian's office responsible for <i>custod</i> .	Country:	
NEW YORK	New York	United States	
e) Is the custodian a <i>rel</i> a	ated person of your firm?		Yes No
			00
	roker-dealer, provide its SEC registrat	tion number (if any):	
8 - 15255			
CRD Number (if any): 4297			

(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entity

<i>identifier</i> (if any)			
		through g) below for each custodian the <i>private fund</i> uses. I b) through (g) separately for each custodian.	f the <i>private</i>
(b) Legal name of custodian:			
NYDIG TRUST COMPANY LLC			
(c) Primary business name of cu NYDIG TRUST COMPANY LLC	stodian:		
(d) The location of the custodian City:	's office responsible for <i>custody</i> of State:	the <i>private fund's</i> assets (city, state and country): Country:	
NEW YORK	New York	United States	
			Yes No
(e) Is the custodian a related per	<i>son</i> of your firm?		00
(f) If the custodian is a broker-d	ealer, provide its SEC registration r	number (if any):	
- CRD Number (if any):			
	er-dealer, or is a broker-dealer but	t does not have an SEC registration number, provide its <i>leg</i>	al entity
<i>identifier</i> (if any)			
•		through g) below for each custodian the <i>private fund</i> uses.	f the <i>private</i>
fund uses more than one custodia	an, you must complete questions (I	b) through (g) separately for each custodian.	
(b) Legal name of custodian:			
PERSHING LLC			
(c) Primary business name of cu PERSHING LLC	stodian:		
PERSHING LLC			
(d) The location of the custodian	's office responsible for <i>custody</i> of	the <i>private fund's</i> assets (city, state and country):	
City:	State:	Country:	
JERSEY CITY	New Jersey	United States	
			Yes No
(e) Is the custodian a related per	'son of your firm?		\circ \circ
(f) If the custodian is a broker-d	ealer, provide its SEC registration r	number (if any).	
8 - 17574	caler, provide its see registration i		
CRD Number (if any):			
7560			
(g) If the custodian is not a brok identifier (if any)	er-dealer, or is a broker-dealer but	t does not have an SEC registration number, provide its <i>leg</i>	al entity
•		through g) below for each custodian the <i>private fund</i> uses. I b) through (g) separately for each custodian.	f the private
Tana ases more than one custour	, you must complete questions (i		
(b) Legal name of custodian:			
SOCIETE GENERALE BANK & 1	RUST		
(c) Primary business name of cu SOCIETE GENERALE BANK & 1			

(e) Is (f) If	IXEMBOURG CITY the custodian a <i>related pe</i>			Country:	
) If -	the custodian a related pe			Luxembourg	Yes I
-		erson of your firm?			0
- CR	the custodian is a broker-	dealer, provide its SEC regist	tration number (if any	') :	
	RD Number (if any):				
	the custodian is not a bro entifier (if any)	ker-dealer, or is a broker-de	aler but does not hav	e an SEC registration number, provi	de its <i>legal entity</i>
				ow for each custodian the <i>private fu</i> separately for each custodian.	nd uses. If the privat
	gal name of custodian: ATE STREET BANK AND TR	UST COMPANY			
	imary business name of co ATE STREET BANK AND TR				
Cit	ty:	State:	tody of the private fun	<i>d's</i> assets (city, state and country): Country: United States	
BC	DSTON	Massachusetts		United States	Yes I
:) Is	the custodian a <i>related pe</i>	erson of your firm?			0
) If	the custodian is a broker-	dealer, provide its SEC regist	tration number (if any):	
- CR	RD Number (if any):				
-	the custodian is not a bro entifier (if any)	ker-dealer, or is a broker-de	aler but does not hav	e an SEC registration number, provi	de its <i>legal entity</i>
<i>iu</i> c	1474TGEMMWANRLN572				
57					
57				low for each sustadian the private fu	
f the a		is "yes," respond to questio ian, you must complete ques		separately for each custodian.	<i>nd</i> uses. If the <i>privat</i>
f the a und us		ian, you must complete ques			<i>nd</i> uses. If the <i>privat</i>
f the a und us b) Le TH c) Pri	ses more than one custod gal name of custodian:	ian, you must complete ques LLON ustodian:			<i>nd</i> uses. If the <i>privat</i>
^F the a und us o) Lea TH C) Pri TH d) Th	ses more than one custod gal name of custodian: IE BANK OF NEW YORK ME imary business name of cu IE BANK OF NEW YORK ME ie location of the custodian	ian, you must complete ques LLON ustodian: LLON n's office responsible for <i>cus</i>	stions (b) through (g) <i>tody</i> of the <i>private fun</i>	separately for each custodian. d's assets (city, state and country):	<i>nd</i> uses. If the <i>privat</i>
the a und us b) Lea TH c) Pri TH d) Th Cit	ses more than one custod gal name of custodian: IE BANK OF NEW YORK ME imary business name of cu IE BANK OF NEW YORK ME ie location of the custodian	ian, you must complete ques LLON ustodian: LLON	stions (b) through (g) <i>tody</i> of the <i>private fun</i> C	separately for each custodian.	<i>nd</i> uses. If the <i>privat</i>
f the a und us o) Ley TH C) Pri TH d) Th Cit NE	ses more than one custod gal name of custodian: IE BANK OF NEW YORK ME imary business name of cu IE BANK OF NEW YORK ME te location of the custodian ty: EW YORK	ian, you must complete ques LLON ustodian: LLON n's office responsible for <i>cus</i> State: New York	stions (b) through (g) <i>tody</i> of the <i>private fun</i> C	d's assets (city, state and country):	Yes 1
f the a und us o) Ley TH C) Pri TH d) Th Cit NE	ses more than one custod gal name of custodian: IE BANK OF NEW YORK ME imary business name of cu IE BANK OF NEW YORK ME te location of the custodian ty:	ian, you must complete ques LLON ustodian: LLON n's office responsible for <i>cus</i> State: New York	stions (b) through (g) <i>tody</i> of the <i>private fun</i> C	d's assets (city, state and country):	
f the a fund us b) Le TH c) Pri TH d) Th Cit NE e) Is	ses more than one custod gal name of custodian: IE BANK OF NEW YORK ME imary business name of cu IE BANK OF NEW YORK ME te location of the custodian ty: EW YORK the custodian a <i>related pe</i>	ian, you must complete ques LLON ustodian: LLON n's office responsible for <i>cus</i> State: New York	stions (b) through (g) <i>tody</i> of the <i>private fun</i> C	of separately for each custodian. ad's assets (city, state and country): Country: Inited States	Yes 1
If the a					nd uses. If the privat
the a und us b) Lea TH C) Pri TH	ses more than one custod gal name of custodian: IE BANK OF NEW YORK ME imary business name of cu IE BANK OF NEW YORK ME	ian, you must complete ques LLON ustodian: LLON	stions (b) through (g)	separately for each custodian.	<i>nd</i> uses. If the <i>privat</i>
the a und us) Lea TH) Pri TH (1) TH (1)	ses more than one custod gal name of custodian: IE BANK OF NEW YORK ME imary business name of cu IE BANK OF NEW YORK ME te location of the custodian ty:	ian, you must complete ques LLON ustodian: LLON n's office responsible for <i>cus</i> State:	stions (b) through (g) <i>tody</i> of the <i>private fun</i> C	d's assets (city, state and country):	<i>nd</i> uses. If the <i>privat</i>
the a ind us) Lei TH) Pri TH () Th Cit	ses more than one custod gal name of custodian: IE BANK OF NEW YORK ME imary business name of cu IE BANK OF NEW YORK ME te location of the custodian ty:	ian, you must complete ques LLON ustodian: LLON n's office responsible for <i>cus</i> State:	stions (b) through (g) <i>tody</i> of the <i>private fun</i> C	d's assets (city, state and country):	
the a ind us) Ley TH) Pri TH () Th Cit NE	ses more than one custod gal name of custodian: IE BANK OF NEW YORK ME imary business name of cu IE BANK OF NEW YORK ME te location of the custodian ty: EW YORK	ian, you must complete ques LLON ustodian: LLON n's office responsible for <i>cus</i> State: New York	stions (b) through (g) <i>tody</i> of the <i>private fun</i> C	d's assets (city, state and country):	Yes 1
the a und us c) Le TH c) Pri TH d) Th Cit NE e) Is	ses more than one custod gal name of custodian: IE BANK OF NEW YORK ME imary business name of cu IE BANK OF NEW YORK ME the location of the custodian ty: EW YORK the custodian a <i>related pe</i>	ian, you must complete ques LLON ustodian: LLON n's office responsible for <i>cus</i> State: New York <i>erson</i> of your firm?	stions (b) through (g) <i>tody</i> of the <i>private fun</i> C	of separately for each custodian. ad's assets (city, state and country): Country: Inited States	Yes 1
f the a fund us b) Le TH c) Pri TH d) Th Cit NE e) Is	ses more than one custod gal name of custodian: IE BANK OF NEW YORK ME imary business name of cu IE BANK OF NEW YORK ME the location of the custodian ty: EW YORK the custodian a <i>related pe</i>	ian, you must complete ques LLON ustodian: LLON n's office responsible for <i>cus</i> State: New York <i>erson</i> of your firm?	stions (b) through (g) <i>tody</i> of the <i>private fun</i> C	of separately for each custodian. ad's assets (city, state and country): Country: Inited States	Yes 1

identifier (if any)			
HPFHU00Q28E4N0NFVk	.49		
		arough g) below for each custodian the <i>private fund</i> uses. If) through (g) separately for each custodian.	f the <i>private</i>
(b) Legal name of custodial THE NORTHERN TRUST I	n: NTERNATIONAL BANKING CORPORATION		
(c) Primary business name THE NORTHERN TRUST I	of custodian: NTERNATIONAL BANKING CORPORATION		
(d) The location of the cust City:	odian's office responsible for <i>custody</i> of th State:	ne <i>private fund's</i> assets (city, state and country): Country:	
JERSEY CITY	New Jersey	United States	
			Yes No
(e) Is the custodian a relate	ed person of your firm?		00
(f) If the custodian is a bro	ker-dealer, provide its SEC registration nu	umber (if any)	
-			
CRD Number (if any):			
(g) If the custodian is not a <i>identifier</i> (if any)	broker-dealer, or is a broker-dealer but	does not have an SEC registration number, provide its <i>lega</i>	l entity
		nrough g) below for each custodian the <i>private fund</i> uses. If) through (g) separately for each custodian.	f the <i>private</i>
(b) Legal name of custodial TRUIST SECURITIES, INC			
(c) Primary business name TRUIST SECURITIES, IN			
(d) The location of the cust	odian's office responsible for <i>custody</i> of th	ne private fund's assets (city, state and country):	
City: CHARLOTTE	State: North Carolina	Country: United States	
CHARLOTTE		United States	Yes No
(e) Is the custodian a relate	ed person of your firm?		00
	ker-dealer, provide its SEC registration nu	umber (if any):	
8 - 17212 CRD Number (if any):			
6271			
-	broker-dealer, or is a broker-dealer but	does not have an SEC registration number, provide its <i>lega</i>	l entity
identifier (if any)			
-	• • • •	nrough g) below for each custodian the <i>private fund</i> uses. If) through (g) separately for each custodian.	f the <i>private</i>
(b) Legal name of custodia UBS AG	ר:		
(c) Primary business name UBS AG	of custodian:		

	City:	State:	Country:	untry):	
	LONDON	σιαις.	United Kingdom		
				Yes	N
))	Is the custodian a related pe	<i>rson</i> of your firm?		0	0
Ē)	If the custodian is a broker-c	dealer, provide its SEC regis	stration number (if any):		
	- CRD Number (if any):				
(g)	If the custodian is not a brok <i>identifier</i> (if any) BFM8T61CT2L1QCEMIK50	<er-dealer, a="" broker-de<="" is="" or="" td=""><td>ealer but does not have an SEC registration number</td><td>r, provide its <i>legal entity</i></td><td></td></er-dealer,>	ealer but does not have an SEC registration number	r, provide its <i>legal entity</i>	
	•	• • •	ons (b) through g) below for each custodian the <i>pr</i> estions (b) through (g) separately for each custodia	-	ate
(b)	Legal name of custodian: UBS SECURITIES LLC				
(c)	Primary business name of cu UBS SECURITIES LLC	ıstodian:			
(d)	The location of the custodiar	י's office responsible for <i>cus</i>	stody of the private fund's assets (city, state and cou	untry):	
	City: NEW YORK	State: New York	Country: United States		
		NEW IUIN	United States	Yes	N
e)	Is the custodian a related pe	<i>rson</i> of your firm?		0	0
	If the custodian is a broker-c 8 - 22651 CRD Number (if any): 7654				
				r provida its lagal antity	
(g)	If the custodian is not a brok <i>identifier</i> (if any)	<er-dealer, a="" broker-de<="" is="" or="" td=""><td>ealer but does not have an SEC registration number</td><td></td><td></td></er-dealer,>	ealer but does not have an SEC registration number		
If the function of the functio	identifier (if any) he answer to question 25.(a) d uses more than one custodi Legal name of custodian: WELLS FARGO BANK, N.A. Primary business name of cu	is "yes," respond to questi ian, you must complete que	ealer but does not have an SEC registration number ons (b) through g) below for each custodian the <i>pri</i> estions (b) through (g) separately for each custodia	ivate fund uses. If the priva	ate
If ti fun (b)	<i>identifier</i> (if any) ne answer to question 25.(a) d uses more than one custodi Legal name of custodian: WELLS FARGO BANK, N.A.	is "yes," respond to questi ian, you must complete que	ons (b) through g) below for each custodian the <i>pr</i>	ivate fund uses. If the priva	
If ti fun (b)	identifier (if any) he answer to question 25.(a) d uses more than one custodi Legal name of custodian: WELLS FARGO BANK, N.A. Primary business name of cu WELLS FARGO BANK, N.A. The location of the custodian City:	is "yes," respond to questi ian, you must complete que ustodian: n's office responsible for <i>cus</i> Stat	ons (b) through g) below for each custodian the <i>pri</i> estions (b) through (g) separately for each custodia stody of the <i>private fund's</i> assets (city, state and cou te: Country:	ivate fund uses. If the priva n.	ate
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| (e) Is the custodian a <i>related person</i> of your firm? (f) If the custodian is a broker-dealer, provide its SEC registration number (if any):
8 - 65876
CRD Number (if any):
126292 (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal entil</i>
<i>identifier</i> (if any) | WELS FARGO SECURITIES, LLC (c) Primary business name of custodian: WELS FARGO SECURITIES, LLC (d) The location of the custodian's office responsible for custody of the private fund's assets (alty, state and country): City: State: Country: NEW YORK New York United States (e) Is the custodian a refuted person of your firm? (f) (f) If the custodian is a broker-dealer, provide its SEC registration number (if any): 8 - 68876 CRD Number (if any): 126292 (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entities identifier (if any) 126292 126292 (g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal entities identifier (if any) 126292 126292 (e) If the answer to question 26 (a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator unstates complete questions (b) through (f) separately for each administrator. Additional Administrator Information : 2 Record(s) Filed. If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator. (b) Name of administrator: HARMONIC FUND SERVICES (c) Incalion of administrator: <tr< th=""><th>wELLS FARGO SECURITIES, LLC (c) Primary business name of custodian:
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City: (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country):
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<i>identifier</i> (if any) ator </th><th>WELLS FARGO SECURITIES, LLC c) Primary business name of custodian:
WELLS FARGO SECURITIES, LLC d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country):
City: city: State: Country: NEW YORK New York United States e) Is the custodian a related person of your firm? f) If the custodian is a broker-dealer, provide its SEC registration number (if any):
a - 65876 CRN Number (if any): 126292 g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal en leentifier</i> (if any) tor sets the private fund use an administrator other than your firm? he answer to question 26. (a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator. ldtional Administrator Information : 2 Record(s) Filed. If the answer to question 26. (a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f) separately for each administrator. If the answer to question 26. (a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f) separately for each administrator. he answer to question 26. (a) is "yes," respond to questions (</th><th>WELLS FARGO SECURTIES, LLC Primary business name of custodian:
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Cayman islands</th><th>WELLS FARGO SECURITIES, LLC (c) Primary business name of custodian:
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Cayman Islands</th><th>WELLS FARGO SECURITIES, LLC (c) Primary business name of custodian:
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GRAND CAYMAN Cayman Islands</th><th>WELLS FARGO SECURITIES, LLC Primary business name of custodian: WELLS FARGO SECURITIES, LLC The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State: Country: NEW YORK New York United States Is the custodian is a broker-dealer, provide its SEC registration number (if any): 8 - 65876 CRD Number (if any): 126292 If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal er itentifier (if any) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal er itentifier (if any) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal er itentifier (if any) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its legal er itentifier (if any) If the custodian is not a broker-dealer than your firm? answer to question 26 (a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator. Itemative duministrator information : 2 Record(s) Filed. he answer to question 26 (a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f) b</th><th>WELS FARGO SECURITIES, LLC Primary business name of custodian: WELS FARGO SECURITIES, LLC The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State: Country: NEW YORK New York United States Is the custodian a related person of your Irm? If the custodian is a broker-dealer, provide ItS SEC registration number (if any): 8 - 65876 CRD Number (if any): 126292 If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide Its <i>legal et identifier</i> (if any) 126292 If the private fund use an administrator other than your firm? answer to question 26. (a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator, you must complete questions (b) through (f) separately for each administrator. Name of administrator: HARMONIC FUND SERVICES Location of administrator (city, state and country): City: State: Country: Cayman Islands</th><th>WELLS FARGO SECURITIES, LLC Primary business name of custodian:
WELLS FARGO SECURITIES, LLC I) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country):
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126292 - a) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal er</i>
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WELLS FARCO SECURITES, LLC Chy State: Cauntry:
NEW YORK New York United States) Is the custodian is a broker-dealer, provide its SEC registration number (if any):
a - 66376 CRD Number (it any):
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126292) Is the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its <i>legal er</i>
<i>identifier</i> (if any) ar st the private fund use an administrator other than your firm? e answer to question 26 (a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator. the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator. the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator. the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator. he answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more than one administrator. he answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the private fund uses more</th><th>WELLS FARCO SECURITIES, LLC Primary business name of custodian:
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City: State: Country:
United States Other custodian a related person of your firm? If the custodian is a broker-dealer, provide its SEC registration number (if any):
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City: State: Country:
United States If the custodian a related person of your firm? If the custodian is a broker-dealer, provide its SEC registration number (if any):
8 - 68376
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fininistrator, you must complete questions (b) through (f) separately for each administrator.) Name of administrator: HAMMONIC FUND SERVICES) Location of administrator (city, state and country):
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Location of administrator (city, state and country):
City: City: State: Country:
GRAND CAYMAN C SUB CAYMAN
 | 8 - 65876
CRD Number (if any):
126292
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	(e) Does the administrator p	repare and send investor account st	atements to the <i>private fund's</i> investors?	
	$^{ m O}$ Yes (provided to all inv	vestors) OSome (provided to some b	but not all investors) ${\overline{\odot}}$ No (provided to no invest	ors)
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	 milar <i>person</i>. If the answer to ease. If the <i>private fund</i> uses madditional Marketer Informat You must answer "yes" wheth or similar <i>person</i>. If the answer <i>fund</i> uses. If the <i>private fund</i> of the marketer a <i>related</i> (b) Is the marketer a <i>related</i> (c) Name of the marketer: MORGAN STANLEY (d) If the marketer is register 801 - 70103 and CRD Number (if any): 149777 (e) Location of the marketer's City: NEW YORK (f) Does the marketer marketer 	question 28. (a) is "yes," respond to ore than one marketer you must con ion : 2 Record(s) Filed. her the <i>person</i> acts as a placement a er to question 28. (a) is "yes," respon uses more than one marketer, you m <i>person</i> of your firm? red with the SEC, its file number (<i>e.g</i> s office used principally by the <i>private</i> State: New York	questions (b) through (g) below for each such memplete questions (b) through (g) separately for each such that the questions (b) through (g) below for each such that the questions (b) through (g) separate the nust complete questions (b) through (g) separate (g) sep	visor or other solicitor, ch marketer the <i>privat</i> ly for each marketer. Yes N Yes N

		(b) Is the marketer a <i>related person</i> of your firm?)	•
		(c) Name of the marketer: UBS FINANCIAL SERVICES INC.		
		 (d) If the marketer is registered with the SEC, its file number (<i>e.g.</i>, 801-, 8-, or 866-): 801 - 7163 and CRD Number (if any): 8174 		
		(e) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):		
		City:State:Country:WEEHAWKENNew JerseyUnited States		
		Y	es l	No
		(f) Does the marketer market the <i>private fund</i> through one or more websites?	5	•
		(g) If the answer to question 28.(f) is "yes," list the website address(es):		
		No Information Filed		
A. PI	RIVATE	E FUND		
<u>Info</u>	rmatio	on About the Private Fund		
1.	(a) N	lame of the <i>private fund</i> :		
1.		LLIOTT METRON CO-INVESTOR AGGREGATOR L.P.		
		Private fund identification number:		
		include the "805-" prefix also)		
	8	05-1665149401		
2.	Unde	r the laws of what state or country is the <i>private fund</i> organized:		
	S	itate: Country:		
	C	Delaware United States		
3.	(a) N	lame(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
Э.		e of General Partner, Manager, Trustee, or Director		
		DTT METRON AGGREGATOR GP LLC		
	(b) I	f filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	Filin	g Adviser/Relying Adviser Name		
	ELLI	DTT INVESTMENT MANAGEMENT L.P.		
		CT LLC		
		OTT MANAGEMENT CORPORATION RGREEN COAST CAPITAL CORP.		
4.	The r	private fund (check all that apply; you must check at least one):		
1.		1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
		2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List t	he name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
		No Information Filed		
			Y	es No
6.	(a) I	s this a "master fund" in a master-feeder arrangement?	Ċ	
		f yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?		~
		No Information Filed		
	L			

	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	\odot
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :		
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(1	1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	r each	ı of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially al assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	issued	d
8.	(a) Is this <i>private fund</i> a "fund of funds"?	Yes	
0.	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investmen vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	_	©
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0
		Yes	No
9.	During your last fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	O
10	. What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other priva	ite funi	d:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 175,496,813		
<u>0v</u>	vnership		
12.	. Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 0		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	È	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 20		
14.	. What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	. (a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 20%	X	
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes Ö	No Õ
16.	. What is the approximate percentage of the private fund beneficially owned by non-United States persons:		

Your Advisory Services

84%

			Yes	No
17.	(a)	Are you a subadviser to this private fund?	$^{\circ}$	\odot
	(b)	If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	to	
		No Information Filed		
			Yes	No
18.		Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	$^{\circ}$	\odot
	(b)	If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	Insv	ver
		No Information Filed		
			Yes	No
19.		e your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot
	NOT	TE: For purposes of this question, do not consider feeder funds of the private fund.		
	App 0%	proximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
Priv	vate	Offering		
			Yes	No
21.	Has	s the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	\odot	0
22.	If ye	ves, provide the <i>private fund's</i> Form D file number (if any):		
	For	rm D file number		
	021	1-460445		
	ERVI itors			
Aud	itors	 (1) Are the <i>private fund's</i> financial statements subject to an annual audit? 	Ye	s No O
Aud	itors	 (1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing 	0 0	0
Aud	itors	 (1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm. 	0 0	0
Aud	itors	 (1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing 	0 0	0
Aud	itors	 (1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm. 	0 0	0
Aud	itors	(1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm.	0 0	0
Aud	itors	 (1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one 	0 0	0
Aud	itors	 (1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: 	0 0	0
<u>Aud</u>	itors	 (1) Are the <i>private fund</i>'s financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: GRANT THORNTON LLP 	0 0	0
<u>Aud</u>	itors	(1) Are the <i>private fund</i> 's financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: GRANT THORNTON LLP (c) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state and country): City: State: Country: NEW YORK New York United States	€ firr	0 0
<u>\ud</u>	itors	(1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: GRANT THORNTON LLP (c) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state and country): City: New York United States (a) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state and country): (b) the use the use to the use	€ firr	0 0
<u>Aud</u>	itors	(1) Are the <i>private fund</i> 's financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: GRANT THORNTON LLP (c) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state and country): City: State: Country: NEW YORK New York United States	€ firr	0 0
<u>Aud</u>	itors	(1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: GRANT THORNTON LLP (c) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state and country): City: New York United States (a) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state and country): (b) the use the use to the use	• firr	0 0 0
Aud	itors	(1) Are the <i>private fund</i> 's financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing for unst complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: GRANT THORNTON LLP (c) The location of the auditing firm's office responsible for the <i>private fund</i> 's audit (city, state and country): City: State: Country: NEW YORK New York United States (d) Is the auditing firm an <i>independent public accountant</i> ? (f) Is the auditing firm an <i>independent public accountant</i> ?	• firr	0 0 0

Yes No

(h)	Do all of the reports prepared by	the auditing firm for	the private fund since	your last annual updatin	g amendment contain	unqualified opinions?
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○ Yes ○ No Report Not Yet Received

If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available.

Prime Broker

24. (a) Does the *private fund* use one or more prime brokers?

Yes No

0 O

If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the *private fund* uses. If the *private fund* uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.

No Information Filed

<u>Custodian</u>

Yes No

C

25. (a) Does the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets?

If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the *private fund* uses. If the *private fund* uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

Additional Custodian Information : 1 Record(s) Filed.

If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the *private fund* uses. If the *private fund* uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

- (b) Legal name of custodian: WELLS FARGO BANK, N.A.
- (c) Primary business name of custodian: WELLS FARGO BANK, N.A.

(d) The location of the custodian's office responsible for *custody* of the *private fund's* assets (city, state and country):

	City: SAN FRANCISCO	State: California	Country: United States		
2	SAN FRANCISCO	Camornia	United States	Yes	No
(e) Is the custodian a <i>related person</i> of your firm?				0	$oldsymbol{\circ}$
(f) -) If the custodian is a broker-dealer, provide its SEC registration number (if any):				
C	CRD Number (if any):				

(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its *legal entity identifier* (if any)
 KB1H1DSPRFMYMCUFXT09

Administrator

Yes No
 26. (a) Does the *private fund* use an administrator other than your firm?
 If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

Additional Administrator Information : 1 Record(s) Filed.

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

(b) Name of administrator:

HARMONIC FUND SERVICES

	`	c) Location of administrator (city, state and co	ountry):		
		City:	State:	Country:	
		GRAND CAYMAN		Cayman Islands	
					Yes No
	((d) Is the administrator a <i>related person</i> of you	r firm?		0 0
	(e) Does the administrator prepare and send i	nvestor account state	ments to the <i>private fund's</i> investors?	
		👩 Yes (provided to all investors) 👩 Some (provided to some but	not all investors) o No (provided to no inv	vestors)
		0			
	(1) If the answer to question 26.(e) is "no" or investors? If investor account statements a			· ·
27.	-	your last fiscal year, what percentage of the p lated person?	private fund's assets (b	y value) was valued by a <i>person</i> , such as a	n administrator, that is not
	Include relevan	only those assets where (i) such <i>person</i> carri t quotes, and (ii) the valuation used for purpo ons) was the valuation determined by such <i>pe</i>	oses of investor subscr		
	-				
Mai	rketers				
					Yes N
28.	(a) Doe	es the <i>private fund</i> use the services of someon	e other than you or yo	our <i>employees</i> for marketing purposes?	0 0
	sim	n must answer "yes" whether the <i>person</i> acts ilar <i>person</i> . If the answer to question 28.(a) is s. If the <i>private fund</i> uses more than one mark	s "yes," respond to que	estions (b) through (g) below for each such	n marketer the private fund
			No Inforr	nation Filed	
	RIVATE F				
A. PI	RIVALE F	מאר			
linfa		About the Drivete Fund			
Into	rmation	About the Private Fund			
1.	(a) Nar	ne of the <i>private fund</i> :			
	GIN	ISBERG AGGREGATOR LP			
	(b) Priv	vate fund identification number:			
	(inc	lude the "805-" prefix also)			
		ude the "805-" prefix also) -8512276986			
2.	805	5-8512276986	<i>fund</i> organized:		
2.	805 Under t	he laws of what state or country is the <i>private</i>	-		
2.	805 Under t Sta	he laws of what state or country is the <i>private</i>	Country:		
2.	805 Under t Sta	i-8512276986 he laws of what state or country is the <i>private</i> te:	-		
	805 Under t Sta Del	he laws of what state or country is the <i>private</i> te: aware	Country: United States		
2. 3.	805 Under t Sta Del (a) Nar	he laws of what state or country is the <i>private</i> te: aware ne(s) of General Partner, Manager, Trustee, or	Country: United States r Directors (or <i>persons</i>		
	805 Under t Sta Del (a) Nar	i-8512276986 he laws of what state or country is the <i>private</i> te: aware ne(s) of General Partner, Manager, Trustee, or of General Partner, Manager, Trustee, or Dir	Country: United States r Directors (or <i>persons</i>		
	805 Under t Sta Del (a) Nar	he laws of what state or country is the <i>private</i> te: aware ne(s) of General Partner, Manager, Trustee, or	Country: United States r Directors (or <i>persons</i>		
	805 Under t Sta Del (a) Nar	i-8512276986 he laws of what state or country is the <i>private</i> te: aware ne(s) of General Partner, Manager, Trustee, or of General Partner, Manager, Trustee, or Dir	Country: United States r Directors (or <i>persons</i>		
	Under t Sta Del (a) Nar GINSBI	i-8512276986 he laws of what state or country is the <i>private</i> te: aware ne(s) of General Partner, Manager, Trustee, or of General Partner, Manager, Trustee, or Dir	Country: United States r Directors (or <i>persons</i> rector	serving in a similar capacity):	s private fund.
	805 Under t Sta Del (a) Nar (a) Nar GINSBI	he laws of what state or country is the <i>private</i> te: aware ne(s) of General Partner, Manager, Trustee, or of General Partner, Manager, Trustee, or Dir ERG AGGREGATOR GP LLC	Country: United States r Directors (or <i>persons</i> rector	serving in a similar capacity):	s private fund.
	805 Under t Sta Del (a) Nar (a) Nar GINSBI (b) If fi Filing	i-8512276986 he laws of what state or country is the <i>private</i> te: aware ne(s) of General Partner, Manager, Trustee, or of General Partner, Manager, Trustee, or Dir ERG AGGREGATOR GP LLC ling an <i>umbrella registration</i> , identify the <i>filing</i> of	Country: United States r Directors (or <i>persons</i> rector	serving in a similar capacity):	s private fund.
	805 Under t Sta Del (a) Nar GINSBI (b) If fi Filing J ELLIOT	i-8512276986 he laws of what state or country is the <i>private</i> te: aware ne(s) of General Partner, Manager, Trustee, or of General Partner, Manager, Trustee, or Dir ERG AGGREGATOR GP LLC ling an <i>umbrella registration</i> , identify the <i>filing</i> of Adviser/Relying Adviser Name T INVESTMENT MANAGEMENT L.P.	Country: United States r Directors (or <i>persons</i> rector	serving in a similar capacity):	s private fund.
	805 Under t Sta Del (a) Nar GINSBI (b) If fi Filing J ELLIOT EIMCT	i-8512276986 he laws of what state or country is the <i>private</i> te: aware ne(s) of General Partner, Manager, Trustee, or of General Partner, Manager, Trustee, or Dir ERG AGGREGATOR GP LLC ling an <i>umbrella registration</i> , identify the <i>filing</i> of Adviser/Relying Adviser Name T INVESTMENT MANAGEMENT L.P. LLC	Country: United States r Directors (or <i>persons</i> rector	serving in a similar capacity):	s private fund.
	805 Under t Sta Del (a) Nar (a) Nar GINSBI (b) If fi Filing J ELLIOT EIMCT EIMFL	 aware be laws of what state or country is the <i>private</i> te: aware me(s) of General Partner, Manager, Trustee, or of General Partner, Manager, Trustee, or Director of General Partner, Manager, Trustee, or Director Adviser Adviser (Section 1998) ling an <i>umbrella registration</i>, identify the <i>filing</i> of Adviser/Relying Adviser Name T INVESTMENT MANAGEMENT L.P. LLC LLC 	Country: United States r Directors (or <i>persons</i> rector	serving in a similar capacity):	s private fund.
	805 Under t Sta Del (a) Nar GINSBE (b) If fi Filing J ELLIOT EIMFL EIMFL	 absolute the second state of the seco	Country: United States r Directors (or <i>persons</i> rector	serving in a similar capacity):	s private fund.
	805 Under t Sta Del (a) Nar GINSBI (b) If fi Filing A ELLIOT EIMFL EIMFL ELLIOT	 aware be laws of what state or country is the <i>private</i> te: aware me(s) of General Partner, Manager, Trustee, or of General Partner, Manager, Trustee, or Director of General Partner, Manager, Trustee, or Director Adviser Adviser (Section 1998) ling an <i>umbrella registration</i>, identify the <i>filing</i> of Adviser/Relying Adviser Name T INVESTMENT MANAGEMENT L.P. LLC LLC 	Country: United States r Directors (or <i>persons</i> rector	serving in a similar capacity):	s private fund.

4. The *private fund* (check all that apply; you must check at least one):

(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940

2 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. 5. No Information Filed Yes No (a) Is this a "master fund" in a master-feeder arrangement? 6. \circ \odot (b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund? No Information Filed Yes No (c) Is this a "feeder fund" in a master-feeder arrangement? $^{\circ}$ \odot (d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? Name of private fund: Private fund identification number: (include the "805-" prefix also) NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions: No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund. Yes No 8. (a) Is this private fund a "fund of funds"? $^{\circ}$ \odot NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also private funds or registered investment companies. (b) If yes, does the private fund invest in funds managed by you or by a related person? $^{\circ}$ 0 Yes No During your last fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment 9. О \odot Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)? 10. What type of fund is the private fund? O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other private fund: NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A. 11. Current gross asset value of the private fund: \$ 92,698,381 **Ownership** 12. Minimum investment commitment required of an investor in the private fund: \$ 0 NOTE: Report the amount routinely required of investors who are not your related persons (even if different from the amount set forth in the organizational documents of the fund).

13. Approximate number of the *private fund's* beneficial owners: 7

14. What is the approximate percentage of the private fund beneficially owned by you and your related persons:

0%

15.		What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
	1009		Yes	No
		If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	0	0
16.	Wha 73%	it is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> :		
Yoi	ır Adv	visory Services		
<u></u>			Yes	No
17.	(a) .	Are you a subadviser to this private fund?	0	\odot
		If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answer question 17.(a) is "no," leave this question blank.	to	
		No Information Filed		
			Yes	No
18.		Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	\odot
		If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	ansv	/er
		No Information Filed		
	_		Yes	No
19.		your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot
	NOT	<i>E: For purposes of this question, do not consider feeder funds of the private fund.</i>		
20.	Appr	roximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
	0%			
Pri	vate (Offering		
			Yes	No
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	0	\odot
22.	If ye	es, provide the <i>private fund's</i> Form D file number (if any):		
		No Information Filed		
B. S	ERVIC	CE PROVIDERS		
Aud	ditors			
			Yes	s No
23.	(a)	(1) Are the private fund's financial statements subject to an annual audit?	\odot	0
		(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	\odot	0
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm.	firr	٦,
		Additional Auditor Information : 1 Record(s) Filed.		
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one		
		auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
		(b) Name of the auditing firm: MARCUM LLP		
		(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
		City: State: Country:		
		NEW YORK New York United States	es	No
		(d) Is the auditing firm an <i>independent public accountant</i> ?		0
		(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	0	0

	688		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	o	0
		Y	es N
	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> nvestors?	0	
	Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified o	pinio	ons?
	• Yes • No • Report Not Yet Received		
	f you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report i	's ava	ilable
Br	<u>oker</u>	v	es N
	Does the <i>private fund</i> use one or more prime brokers?		
	f the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If th Fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	-	
	No Information Filed		
	 Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? f the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>j</i>	(0
	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed.	t briva	e (
	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? f the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	t briva	e (
	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the	t briva	e (
	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? f the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	t briva	e (
	 Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? f the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i>'s assets (city, state and country): 	t briva	e
	 Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? f the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. 	t briva	e (
	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? f the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: City: State:	t briva	ate
	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? f the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: City: State:	e priv	ate
	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? f the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private</i> fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: WELLS FARGO BANK, N.A. (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: State: Country: SAN FRANCISCO California	riva priv Yes	ne No
	Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? f the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>private fund</i> uses is more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: (b) Legal name of custodian: WELLS FARGO BANK, N.A. (c) Primary business name of custodian: (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: State: Country: SAN FRANCISCO California (e) Is the custodian a <i>related person</i> of your firm?	riva priv Yes	no No

Administrator

26. (a) Does the *private fund* use an administrator other than your firm?

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If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

	Additional Administrator Information : 1 Record(s) Filed.	
	If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the <i>private fund</i> uses more administrator, you must complete questions (b) through (f) separately for each administrator.	e than one
	(b) Name of administrator: HARMONIC FUND SERVICES	
	(c) Location of administrator (city, state and country):	
	City:State:Country:GRAND CAYMANCayman Islands	
		Yes No
	(d) Is the administrator a <i>related person</i> of your firm?	00
	(e) Does the administrator prepare and send investor account statements to the <i>private fund's</i> investors? • Yes (provided to all investors) • Some (provided to some but not all investors) • No (provided to no investors)	tors)
	(f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest or investors? If investor account statements are not sent to the (rest of the) private fund's investors, respond "n	
	 During your last fiscal year, what percentage of the <i>private fund's</i> assets (by value) was valued by a <i>person</i>, such as an your <i>related person</i>? 0% Include only those assets where (i) such <i>person</i> carried out the valuation procedure established for that asset, if any, in relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee allocations) was the valuation determined by such <i>person</i>. 	cluding obtaining any
Mar	<u>irketers</u>	
28	(a) Does the <i>private fund</i> use the services of someone other than you or your <i>employees</i> for marketing purposes?	Yes No
20.	You must answer "yes" whether the <i>person</i> acts as a placement agent, consultant, finder, introducer, municipal adv similar <i>person</i> . If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such n uses. If the <i>private fund</i> uses more than one marketer you must complete questions (b) through (g) separately for e	narketer the private fund
	No Information Filed	
	Funds per Page: 15 💌 Total Funds: 11	
SECTIO	ION 7.B.(2) <i>Private Fund</i> Reporting	
	No Information Filed	
ltem 8	8 Participation or Interest in <i>Client</i> Transactions	
conflic	is Item, we request information about your participation and interest in your <i>clients</i> ' transactions. This information identifients of interest may occur between you and your <i>clients</i> . Newly-formed advisers should base responses to these questions interest that you expect to engage in during the next year.	
Like It	tem 7, Item 8 requires you to provide information about you and your <i>related persons</i> , including foreign affiliates.	
-	rietary Interest in Client Transactions	
A. D	Do you or any <i>related person</i> :	Yes No
(1	(1) buy securities for yourself from advisory <i>clients</i> , or sell securities you own to advisory <i>clients</i> (principal transactions)?	00

- (2) buy or sell for yourself securities (other than shares of mutual funds) that you also recommend to advisory *clients*?
- (3) recommend securities (or other investment products) to advisory *clients* in which you or any *related person* has some other proprietary (ownership) interest (other than those mentioned in Items 8.A.(1) or (2))?

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В.	Do	you or any <i>related person</i> :	Yes	No
	(1)	as a broker-dealer or registered representative of a broker-dealer, execute securities trades for brokerage customers in which advisory client securities are sold to or bought from the brokerage customer (agency cross transactions)?	0	\odot
	(2)	recommend to advisory <i>clients</i> , or act as a purchaser representative for advisory <i>clients</i> with respect to, the purchase of securities for which you or any <i>related person</i> serves as underwriter or general or managing partner?	0	\odot
	(3)	recommend purchase or sale of securities to advisory <i>clients</i> for which you or any <i>related person</i> has any other sales interest (other than the receipt of sales commissions as a broker or registered representative of a broker-dealer)?	0	•
Inv	estm	nent or Brokerage Discretion		
C.	Do	you or any related person have discretionary authority to determine the:	Yes	No
	(1)	securities to be bought or sold for a <i>client's</i> account?	\odot	0
	(2)	amount of securities to be bought or sold for a <i>client's</i> account?	\odot	0
	(3)	broker or dealer to be used for a purchase or sale of securities for a <i>client's</i> account?	\odot	0
	(4)	commission rates to be paid to a broker or dealer for a <i>client's</i> securities transactions?	\odot	0
D.	If yo	ou answer "yes" to C.(3) above, are any of the brokers or dealers related persons?	0	\odot
E.	Do	you or any <i>related person</i> recommend brokers or dealers to <i>clients</i> ?	0	\odot
F.	lf y	ou answer "yes" to E. above, are any of the brokers or dealers related persons?	0	0
G.	(1)	Do you or any <i>related person</i> receive research or other products or services other than execution from a broker-dealer or a third party ("soft dollar benefits") in connection with <i>client</i> securities transactions?	\odot	0
	(2)	If "yes" to G.(1) above, are all the "soft dollar benefits" you or any <i>related persons</i> receive eligible "research or brokerage services" under section 28(e) of the Securities Exchange Act of 1934?	\odot	0
H.	(1)	Do you or any related person, directly or indirectly, compensate any person that is not an employee for client referrals?	0	\odot
	(2)	Do you or any <i>related person</i> , directly or indirectly, provide any <i>employee</i> compensation that is specifically related to obtaining <i>clients</i> for the firm (cash or non-cash compensation in addition to the <i>employee's</i> regular salary)?	0	•
I.	-	you or any related person, including any employee, directly or indirectly, receive compensation from any person (other than you or any related son) for client referrals?	0	$oldsymbol{\circ}$
	In y	our response to Item 8.1., do not include the regular salary you pay to an employee.		

In responding to Items 8.H. and 8.I., consider all cash and non-cash compensation that you or a related person gave to (in answering Item 8.H.) or received from (in answering Item 8.I.) any person in exchange for client referrals, including any bonus that is based, at least in part, on the number or amount of client referrals.

Item 9 Custody

In this Item, we ask you whether you or a *related person* has *custody* of *client* (other than *clients* that are investment companies registered under the Investment Company Act of 1940) assets and about your custodial practices.

A. (1)	Do you have <i>custody</i> of any advisory <i>clients'</i> :	Yes	No	
	(a) cash or bank accounts?	\odot	\circ	
	(b) securities?	\odot	\circ	

If you are registering or registered with the SEC, answer "No" to Item 9.A. (1)(a) and (b) if you have custody solely because (i) you deduct your advisory fees directly from your clients' accounts, or (ii) a related person has custody of client assets in connection with advisory services you provide to clients, but you have overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)-2(d)(5)) from the related person.

(2) If you checked "yes" to Item 9.A.(1)(a) or (b), what is the approximate amount of *client* funds and securities and total number of *clients* for which you have *custody*:

U.S. Dollar Amount	Total Number of Clients
(a) \$ 0	(b) 0

If you are registering or registered with the SEC and you have custody solely because you deduct your advisory fees directly from your clients' accounts, do not include the amount of those assets and the number of those clients in your response to Item 9.A.(2). If your related person has custody of client assets in connection with advisory services you provide to clients, do not include the amount of those assets and number of those clients in your response to 9.A.(2). Instead, include that information in your response to Item 9.B.(2).

B. (1) In connection with advisory services you provide to *clients*, do any of your *related persons* have *custody* of any of your advisory *clients*': Yes No

- (a) cash or bank accounts?
 - (b) securities?

0 0 0 0 You are required to answer this item regardless of how you answered Item 9.A. (1)(a) or (b).

(2) If you checked "yes" to Item 9.B.(1)(a) or (b), what is the approximate amount of *client* funds and securities and total number of *clients* for which your related persons have custody:

U.S. Dollar Amount	Total Number of Clients
(a) \$ 93,207,362,818	(b) 36

- C. If you or your related persons have custody of client funds or securities in connection with advisory services you provide to clients, check all the following that apply:
 - (1) A qualified custodian(s) sends account statements at least quarterly to the investors in the pooled investment vehicle(s) you manage.
 - ~ (2) An independent public accountant audits annually the pooled investment vehicle(s) that you manage and the audited financial statements are distributed to the investors in the pools.
 - (3) An independent public accountant conducts an annual surprise examination of *client* funds and securities.
 - (4) An independent public accountant prepares an internal control report with respect to custodial services when you or your related persons are gualified custodians for *client* funds and securities.

If you checked Item 9.C. (2), C. (3) or C. (4), list in Section 9.C. of Schedule D the accountants that are engaged to perform the audit or examination or prepare an internal control report. (If you checked Item 9.C.(2), you do not have to list auditor information in Section 9.C. of Schedule D if you already provided this information with respect to the private funds you advise in Section 7.B.(1) of Schedule D).

D.	Do you or your related person(s) act as qualified custodians for your clients in connection with advisory services you provide to clients?	Yes	No
	(1) you act as a qualified custodian	0	\odot
	(2) your related person(s) act as qualified custodian(s)	0	\odot

If you checked "yes" to Item 9.D.(2), all related persons that act as qualified custodians (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)) must be identified in Section 7.A. of Schedule D, regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advisers Act.

- E. If you are filing your annual updating amendment and you were subject to a surprise examination by an independent public accountant during your last fiscal year, provide the date (MM/YYYY) the examination commenced:
- F. If you or your related persons have custody of client funds or securities, how many persons, including, but not limited to, you and your related persons, act as qualified custodians for your *clients* in connection with advisory services you provide to *clients*? 39

SECTION 9.C. Independent Public Accountant

No Information Filed

Item 10 Control Persons

In this Item, we ask you to identify every person that, directly or indirectly, controls you. If you are filing an umbrella registration, the information in Item 10 should be provided for the *filing adviser* only.

If you are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your direct owners and executive officers. Schedule B asks for information about your indirect owners. If this is an amendment and you are updating information you reported on either Schedule A or Schedule B (or both) that you filed with your initial application or report, you must complete Schedule C.

A. Does any person not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, control your management or policies?

Yes No \odot

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If yes, complete Section 10.A. of Schedule D.

If any person named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Securities Exchange Act of 1934, please complete Section 10.B. of Schedule D.

SECTION 10.A. Control Persons

No Information Filed

Item 11 Disclosure Information

In this Item, we ask for information about your disciplinary history and the disciplinary history of all your *advisory affiliates*. We use this information to determine whether to grant your application for registration, to decide whether to revoke your registration or to place limitations on your activities as an investment adviser, and to identify potential problem areas to focus on during our on-site examinations. One event may result in "yes" answers to more than one of the questions below. In accordance with General Instruction 5 to Form ADV, "you" and "your" include the *filing adviser* and all *relying advisers* under an *umbrella registration*.

Your *advisory affiliates* are: (1) all of your current *employees* (other than *employees* performing only clerical, administrative, support or similar functions); (2) all of your officers, partners, or directors (or any *person* performing similar functions); and (3) all *persons* directly or indirectly *controlling* you or *controlled* by you. If you are a "separately identifiable department or division" (SID) of a bank, see the Glossary of Terms to determine who your *advisory affiliates* are.

If you are registered or registering with the SEC or if you are an exempt reporting adviser, you may limit your disclosure of any event listed in Item 11 to ten years following the date of the event. If you are registered or registering with a state, you must respond to the questions as posed; you may, therefore, limit your disclosure to ten years following the date of an event only in responding to Items 11.A.(1), 11.A.(2), 11.B.(1), 11.B.(2), 11.D.(4), and 11.H.(1)(a). For purposes of calculating this ten-year period, the date of an event is the date the final order, judgment, or decree was entered, or the date any rights of appeal from preliminary orders, judgments, or decrees lapsed.

You must complete the appropriate Disclosure Reporting Page ("DRP") for "yes" answers to the questions in this Item 11.

		Yes	No
Do	any of the events below involve you or any of your supervised persons?	\odot	0
For	r "yes" answers to the following questions, complete a Criminal Action DRP:		
Α.	In the past ten years, have you or any advisory affiliate:	Yes	No
	(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any felony?	0	\odot
	(2) been <i>charged</i> with any <i>felony</i> ?	0	\odot
	If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.A.(2) charges that are currently pending.	to	
B.	In the past ten years, have you or any advisory affiliate:		
	(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to a <i>misdemeanor</i> involving: investments or an <i>investment-related</i> business, or any fraud, false statements, or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses?	0	©
	(2) been <i>charged</i> with a <i>misdemeanor</i> listed in Item 11.B.(1)?	0	o
	If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.B.(2) charges that are currently pending.	to	
For	r "yes" answers to the following questions, complete a Regulatory Action DRP:		
C.	Has the SEC or the Commodity Futures Trading Commission (CFTC) ever:	Yes	No
	(1) found you or any advisory affiliate to have made a false statement or omission?	0	\odot

	0	
(2) found you or any advisory affiliate to have been involved in a violation of SEC or CFTC regulations or statutes?	0	\odot
(3) found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied,	0	\odot
suspended, revoked, or restricted?		

(4) entered an order against you or any advisory affiliate in connection with investment-related activity?

(5) imposed a civil money penalty on you or any advisory affiliate, or ordered you or any advisory affiliate to cease and desist from any activity? 👩 💿

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D. Has any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority:

(1) ever found you or any advisory affiliate to have made a false statement or omission, or been dishonest, unfair, or unethical?	\circ	6	0
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(2) ever found you or any advisory affiliate to have been involved in a violation of investment-related regulations or statutes?

(3) ever found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?

(4) in the past ten years, entered an order against you or any advisory affiliate in connection with an investment-related activity?

(5) ever denied, suspended, or revoked your or any *advisory affiliate's* registration or license, or otherwise prevented you or any *advisory affiliate*, by *order*, from associating with an *investment-related* business or restricted your or any *advisory affiliate's* activity?

E. Has any *self-regulatory organization* or commodities exchange ever:

(1) found you or any advisory affiliate to have made a false statement or omission?

(2) found you or any advisory affiliate to have been involved in a violation of its rules (other than a violation designated as a "minor rule violation" under a plan approved by the SEC)?

(3) found you or any advisory affiliate to have been the cause of an investment-related business having its authorization to do business denied, or suspended, revoked, or restricted?

	(4) disciplined you or any advisory affiliate by expelling or suspending you or the advisory affiliate from membership, barring or suspending you or the advisory affiliate from association with other members, or otherwise restricting your or the advisory affiliate's activities?	0	o
F.	Has an authorization to act as an attorney, accountant, or federal contractor granted to you or any advisory affiliate ever been revoked or suspended?	0	o
G.	Are you or any advisory affiliate now the subject of any regulatory proceeding that could result in a "yes" answer to any part of Item 11.C., 11.D., or 11.E.?	o	0
For	"yes" answers to the following questions, complete a Civil Judicial Action DRP:		
H.	(1) Has any domestic or foreign court:	Yes	No
	(a) in the past ten years, enjoined you or any advisory affiliate in connection with any investment-related activity?	\odot	0
	(b) ever found that you or any advisory affiliate were involved in a violation of investment-related statutes or regulations?	0	\odot
	(c) ever dismissed, pursuant to a settlement agreement, an <i>investment-related</i> civil action brought against you or any advisory affiliate by a state or foreign financial regulatory authority?	0	\odot

(2) Are you or any advisory affiliate now the subject of any civil proceeding that could result in a "yes" answer to any part of Item 11.H.(1)? 💿 👩

Item 12 Small Businesses

The SEC is required by the Regulatory Flexibility Act to consider the effect of its regulations on small entities. In order to do this, we need to determine whether you meet the definition of "small business" or "small organization" under rule 0-7.

Answer this Item 12 only if you are registered or registering with the SEC **and** you indicated in response to Item 5.F.(2)(c) that you have regulatory assets under management of less than \$25 million. You are not required to answer this Item 12 if you are filing for initial registration as a state adviser, amending a current state registration, or switching from SEC to state registration.

For purposes of this Item 12 only:

- Total Assets refers to the total assets of a firm, rather than the assets managed on behalf of *clients*. In determining your or another *person's* total assets, you may use the total assets shown on a current balance sheet (but use total assets reported on a consolidated balance sheet with subsidiaries included, if that amount is larger).
- *Control* means the power to direct or cause the direction of the management or policies of a *person*, whether through ownership of securities, by contract, or otherwise. Any *person* that directly or indirectly has the right to vote 25 percent or more of the voting securities, or is entitled to 25 percent or more of the profits, of another *person* is presumed to *control* the other *person*.

		Yes	No	
A. Di	d you have total assets of \$5 million or more on the last day of your most recent fiscal year?	0	\circ	
If "yes	" you do not need to answer Items 12.B. and 12.C.			
B. Do	o you:			
(1) control another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?	0	0	
(2) control another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?	0	0	
C. Ar	e you:			
(1) controlled by or under common control with another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?	0	0	
(2) controlled by or under common control with another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?	0	0	

Schedule A

Direct Owners and Executive Officers

- 1. Complete Schedule A only if you are submitting an initial application or report. Schedule A asks for information about your direct owners and executive officers. Use Schedule C to amend this information.
- 2. Direct Owners and Executive Officers. List below the names of:
 - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, Chief Compliance Officer(Chief Compliance Officer is required if you are registered or applying for registration and cannot be more than one individual), director, and any other individuals with similar status or functions;
 - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);
 Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
 - (c) if you are organized as a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or

have contributed, 5% or more of your capital;

- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- 3. Do you have any indirect owners to be reported on Schedule B? Yes No
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- 5. Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%

A - 5% but less than 10% C - 25% but less than 50% E - 75% or more

7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.

(b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.

(c) Complete each column.

		Title on Status	Data Titla ar	0	Control		
FULL LEGAL NAME (Individuals:	DE/FE/I	The or Status	Date Title or				CRD No. If None: S.S. No.
Last Name, First Name, Middle Name)			Status Acquired	Code	Person		and Date of Birth, IRS Tax No. or Employer ID No.
ELLIOTT CAPITAL ADVISORS, L.P.	DE	LIMITED PARTNER	09/2019	С	N	N	292232
ELLIOTT INTERNATIONAL	DE	LIMITED PARTNER	09/2019	D	N	N	
ADVISORS GP LLC							
ELLIOTT INVESTMENT	DE	GENERAL PARTNER	09/2019	NA	Y	N	xxx-xx-xxxx
MANAGEMENT GP LLC							
GREENBERG, ELLIOT	1	VICE PRESIDENT	09/2019	NA	N	Ν	1250067
SINGER, PAUL, ELLIOTT	1	CO-CHIEF EXECUTIVE OFFICER, CO-	09/2019	NA	Y	N	872317
		CHIEF INVESTMENT OFFICER,					
		PRESIDENT					
JOEL, EDWARD, THOMAS	1	CHIEF COMPLIANCE OFFICER	09/2019	NA	Υ	Ν	1878527
POLLOCK, JONATHAN, D	1	CO-CHIEF EXECUTIVE OFFICER, CO-	09/2019	NA	Y	N	1619709
		CHIEF INVESTMENT OFFICER, CHIEF					
		TRADING OFFICER					
SHOHET, ZION, MOSHE	1	CHIEF OPERATING OFFICER, VICE	09/2019	NA	Y	N	5730424
		PRESIDENT					
ZABEL, RICHARD, BENJAMIN	1	CHIEF LEGAL OFFICER, GENERAL	09/2019	NA	Y	N	6582384
		COUNSEL, VICE PRESIDENT					
MAGNAN, JEAN-YVES	1	CHIEF FINANCIAL OFFICER, VICE	09/2019	NA	Y	N	7242973
		PRESIDENT					

Schedule B

Indirect Owners

- 1. Complete Schedule B only if you are submitting an initial application or report. Schedule B asks for information about your indirect owners; you must first complete Schedule A, which asks for information about your direct owners. Use Schedule C to amend this information.
- 2. Indirect Owners. With respect to each owner listed on Schedule A (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- 3. Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- 5. Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: C 25% but less than 50% E 75% or more

D - 50% but less than 75% F - Other (general partner, trustee, or elected manager)

- 7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.

(c) Complete each column.

FULL LEGAL NAME (Individuals:	DF/FF/I	Entity in Which	Status	Date Status	Ownership	Control	PP	CRD No. If None: S.S. No. and
Last Name, First Name, Middle Name)		Interest is Owned		Acquired MM/YYYY	Code	Person		Date of Birth, IRS Tax No. or Employer ID No.
BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	09/1986	F	Y	N	
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	12/2018	F	Y	N	292231
ELLIOTT ASSET MANAGEMENT LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	02/2003	F	Y	N	
KAPLAN, MYRON	I	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5907834
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MEMBER	12/2018	E	N	N	
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	12/2019	С	N	N	
SINGER, GORDON, MATTHEW	I	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5908245
SINGER, PAUL, ELLIOTT	I	ELLIOTT INVESTMENT MANAGEMENT GP LLC	MANAGING MEMBER, PRESIDENT	09/2019	E	Y	N	872317
SINGER, PAUL, ELLIOTT	1	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	12/2018	F	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT ASSET MANAGEMENT LLC	MANAGING MEMBER, PRESIDENT	02/2003	E	Y	N	872317
SINGER, PAUL, ELLIOTT	1	BRAXTON ASSOCIATES, INC.	PRESIDENT, DIRECTOR, SHAREHOLDER	04/1997	E	Y	N	872317
SINGER, PAUL, ELLIOTT	1	ELLIOTT ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	03/2017	E	Y	N	872317

Schedule D - Miscellaneous

You may use the space below to explain a response to an Item or to provide any other information.

THE REGULATORY ASSETS UNDER MANAGEMENT PROVIDED IN ITEMS 5.D. AND 5.F., THE GROSS ASSETS FIGURES PROVIDED IN SECTION 7.B.(1) AND THE DOLLAR AMOUNTS PROVIDED IN ITEM 9 ARE BASED ON UNAUDITED FIGURES AS OF DECEMBER 31, 2022. AS OF DECEMBER 31, 2022, THE FILING ADVISER HAD APPROXIMATELY US\$58,739,060,816 OF NET ASSETS UNDER MANAGEMENT ON A DISCRETIONARY BASIS (EXCLUDING CERTAIN DEFERRED AMOUNTS AND ANY UNDRAWN CAPITAL COMMITMENTS). THE WEBSITE FOR THE FILING ADVISER CONTAINS A LINK TO "RELATED SITES." SUCH RELATED SITES LINK INCLUDES LINKS TO INVESTMENT RELATED WEBSITES AND SOCIAL MEDIA SITES FOR WHICH THE FILING ADVISER AND/OR THE RELYING ADVISERS (COLLECTIVELY, "ELLIOTT") CONTROL THE CONTENT. WITH RESPECT TO THE RESPONSE TO ITEMS 5.L.(1)(D) AND 5.L.(2), ELLIOTT DOES NOT COMPENSATE THIRD PARTIES FOR THE USE OF ENDORSEMENTS; HOWEVER, ELLIOTT DOES PARTICIPATE IN CERTAIN "CAPITAL INTRODUCTION" PROGRAMS (SEE THE DISCLOSURE REGARDING "CAPITAL INTRODUCTION" PROGRAMS SET FORTH IN THE FILING ADVISER'S FORM ADV PART 2A, ITEM 12 UNDER THE HEADING "BROKERAGE FOR CLIENT REFERRALS"). OF THE ENTITIES IDENTIFIED IN RESPONSE TO QUESTION 3(B) OF SECTION 7.B.(1) OF SCHEDULE D WITH RESPECT TO EACH OF ELLIOTT ASSOCIATES, L.P. ("EALP"), ELLIOTT INTERNATIONAL, L.P. ("EILP"), THE CIC INTERMEDIATE PARTNERSHIPS (AS DEFINED BELOW), ELLIOTT ALTO CO-INVESTOR AGGREGATOR L.P. ("ALTO") AND ELLIOTT METRON CO-INVESTOR AGGREGATOR L.P. ("METRON"), ONLY THE FILING ADVISER MANAGES EALP, EILP, THE CIC INTERMEDIATE PARTNERSHIPS, ALTO AND METRON; THE OTHER ENTITIES ARE RELYING ADVISERS THAT PROVIDE SERVICES EITHER DIRECTLY OR INDIRECTLY TO EALP, EILP, THE CIC INTERMEDIATE PARTNERSHIPS, ALTO AND METRON PURSUANT TO SERVICE AGREEMENTS. NONE OF THE ENTITIES IDENTIFIED IN RESPONSE TO QUESTION 3(B) OF SECTION 7.B.(1) OF SCHEDULE D WITH RESPECT TO GINSBERG AGGREGATOR LP ("GINSBERG") SPONSOR OR MANAGE GINSBERG; RATHER, THEY PROVIDE SERVICES EITHER DIRECTLY OR INDIRECTLY TO GINSBERG PURSUANT TO SERVICE AGREEMENTS. THE FILING ADVISER'S RESPONSE TO QUESTION 14 OF 7.B.(1) OF SCHEDULE D FOR EILP INCLUDES DEFERRED COMPENSATION. WITH RESPECT TO THE RESPONSE TO QUESTION 26 OF SECTION 7.B.(1) OF SCHEDULE D WITH RESPECT TO EALP AND EILP, NOTE THAT HARMONIC FUND SERVICES ("HARMONIC") SERVES AS THE REGISTRAR AND TRANSFER AGENT FOR EACH OF EALP AND EILP. IN ADDITION, BNYM HAS BEEN RETAINED TO PROVIDE SHADOW FULL ADMINISTRATION SERVICES FOR EALP AND EILP, INCLUDING MAINTAINING THE CUSTOMARY FINANCIAL AND ACCOUNTING BOOKS AND RECORDS IN SUPPORT THEREOF AND DAILY RECONCILIATION OF POSITIONS AND TRANSACTIONS. IN RESPONSE TO QUESTION 27 OF SECTION 7.B.(1) OF SCHEDULE D WITH RESPECT TO EALP AND EILP, AS WELL AS ELLIOTT INTERMEDIATE CO-INVESTMENT I L.P., ELLIOTT INTERMEDIATE CO-INVESTMENT II L.P., ELLIOTT INTERMEDIATE CO-INVESTMENT III L.P., ELLIOTT CIC 2 INTERMEDIATE A L.P., ELLIOTT CIC 2 INTERMEDIATE B L.P. AND ELLIOTT CIC 2 INTERMEDIATE C L.P. (TOGETHER, THE "CIC INTERMEDIATE PARTNERSHIPS"), THE FILING ADVISER REPORTED THAT DURING 2022, 0% OF THE ASSETS OF EALP, EILP AND THE CIC INTERMEDIATE PARTNERSHIPS WERE VALUED BY A PERSON THAT IS NOT A RELATED PERSON OF THE FILING ADVISER. NONETHELESS, DURING 2022: THE BANK OF NEW YORK MELLON ("BNYM"), KROLL (FORMERLY BRANDED AS DUFF & PHELPS) ("KROLL") AND EMPIRE VALUATION CONSULTANTS ("EMPIRE") PROVIDED CERTAIN PRICE VERIFICATION AND/OR POSITION AND TRANSACTION RECONCILIATION SERVICES WITH RESPECT TO THE PORTFOLIOS OF EALP AND EILP; AND KROLL PROVIDED CERTAIN PRICE VERIFICATION SERVICES WITH RESPECT TO THE PORTFOLIOS OF THE CIC INTERMEDIATE PARTNERSHIPS. THE SERVICES PROVIDED BY HARMONIC, BNYM, KROLL AND EMPIRE ARE MORE FULLY DESCRIBED IN THE FILING ADVISER'S FORM ADV PART 2A, ITEM 6. THE OWNERSHIP INFORMATION FOR HAMBLEDON, INC. AS REPORTED ON SCHEDULE R FOR EACH OF HAMBLEDON, INC. AND HAMBLEDON MANAGEMENT LLC, IS LISTED BY CLASS OF SHARES. THE FILING ADVISER'S AND ITS RELYING ADVISERS' CLIENTS ARE EALP, EILP, ELLIOTT INTERNATIONAL LIMITED ("EIL" AND TOGETHER WITH EALP AND EILP, THE "ELLIOTT FUNDS") AND OTHER CO-INVESTMENT VEHICLES FORMED TO INVEST ALONGSIDE THE ELLIOTT FUNDS, INCLUDING GINSBERG, ALTO AND METRON. THE OTHER CLIENTS LISTED IN RESPONSE TO ITEMS 5.C., 5.D., 5.F. AND 9.B.(2) ARE ENTITIES ASSOCIATED WITH CO-INVESTMENT COMMITMENTS (THE "CO-INVESTMENT COMMITMENTS") THAT INVEST ALONGSIDE THE ELLIOTT FUNDS IN CERTAIN U.S., CANADIAN AND

EUROPEAN PRIVATE EQUITY AND PRIVATE CREDIT INVESTMENTS. THE FILING ADVISER MANAGES THE CO-INVESTMENT COMMITMENTS. EACH PARTICIPANT IN THE CO-INVESTMENT COMMITMENTS (EACH, A "PARTICIPANT") HAS EXECUTED A COMMITMENT AGREEMENT PURSUANT TO WHICH EACH PARTICIPANT HAS COMMITTED TO INVEST IN THE CO-INVESTMENT OPPORTUNITIES DESCRIBED ABOVE THROUGH VARIOUS ENTITIES THAT IN TURN INVEST SUBSTANTIALLY ALL OF THEIR INVESTABLE ASSETS IN THE APPLICABLE CIC INTERMEDIATE PARTNERSHIPS. THE FILING ADVISER DOES NOT CONSIDER THE CIC INTERMEDIATE PARTNERSHIPS TO BE FUNDS, BUT RATHER VIEWS THE STRUCTURES AS A SERIES OF UNRELATED CO-INVESTMENTS ALONGSIDE THE ELLIOTT FUNDS. HOWEVER, IN AN ABUNDANCE OF CAUTION, THE FILING ADVISER HAS COMPLETED SECTION 7.B.(1) OF SCHEDULE D FOR EACH CIC INTERMEDIATE PARTNERSHIP. SUBJECT TO THE DISCLOSURES ABOVE REGARDING THE FILING ADVISER'S AND ITS RELYING ADVISERS' CLIENTS, WITH RESPECT TO THE RESPONSES TO ITEMS 5.C.(2), 5.D.(F), 5.F.(2)(D), 5.F.(2)(F) AND 9.B.(2)(B), THE FILING ADVISER HAD A TOTAL OF 36 CLIENTS AS OF THE FILING DATE OF ITS MOST RECENT FORM ADV ANNUAL AMENDMENT. FOR THE AVOIDANCE OF DOUBT, THE NOTICE FILING FOR THE STATE OF FLORIDA PURSUANT TO ITEM 2.C. APPLIES TO THE FILING ADVISER AND TO EIMFL LLC AND EIMFL SUB LLC, WHICH ARE RELYING ADVISERS INCLUDED IN SCHEDULE R.

<u> </u>		_
Sched	ule	e R

SECTION 1 Identifying Information

Responses to this Section tell us who you (the relying adviser) are, where you are doing business, and how we can contact you.

- A. Your full legal name: ELLIOTT MANAGEMENT CORPORATION
- B. Name under which you primarily conduct your advisory business, if different from Section 1.A. above or Item 1.A. of the *filing adviser's* Form ADV Part 1A.
- C. List any other business names and the jurisdictions in which you use them. Complete this question for each other business name.

No Information Filed

You do not have to include the names or jurisdictions of the filing adviser or other relying adviser(s) in response to this Section 1.C.

D. If you currently have, or ever had, a number ("CRD Number") assigned by the FINRA's CRD system or by the IARD system (other than the filing adviser's CRD number), your CRD number:
 156601

No Information Filed

If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the filing adviser).

- E. Principal Office and Place of Business
 - (1) Address (do not use a P.O. Box):

Same as the *filing adviser*.

Number and Street 1:Number and Street 2:40 WEST 57TH STREETCountry:ZIP+4/Postal Code:City:State:Country:ZIP+4/Postal Code:NEW YORKNew YorkUnited States10019If this address is a private residence, check this box:

(2) Days of week that you normally conduct business at your *principal office and place of business:* Monday - Friday Other:

Normal business hours at this location: 8:30AM-5:30PM

- (3) Telephone number at this location: (212) 974-6000
- (4) Facsimile number at this location, if any: 212-478-2476

	🗖 Sar	me as the <i>filing adv</i>	viser.			
	Numb	er and Street 1:		Numbe	r and Street 2:	
	City:		State:	Country	y:	ZIP+4/Postal Code:
	If this	address is a priva	te residence, check thi	is box: 🗖		
G.		e your <i>Legal Entity</i> VJ4TBNAH1YMQM07	<i>Identifier</i> if you have o 73	ne:		
	A legal identifi	•	a unique number that c	companies use to identif	y each other in the	e financial marketplace. You may not have a <i>legal entity</i>
H.		have Central Index umber	Key numbers assigne	d by the SEC ("CIK Nun	nbers"), all of your	CIK numbers:
	10484	145				
SECT	TION 2	SEC Registration				
				ine whether you are eli	gible to register wi	ith the SEC.
A.	Section each o	ns 2.A.(1) through a fitness the second s			•	ed) with the SEC. You must check at least one of the p you determine whether you may affirmatively respond to
		he relying adviser):				
	✓ (1		sory firm that either:			
			5	ement of \$100 million (more; or nore at the time of filing its most recent <i>annual updating</i>
			and is registered with		10.5. donars) or m	fore at the time of hing its most recent annual updating
	[(2		advisory firm that ha ollars) and you are eith		ler management of	f \$25 million (in U.S. dollars) or more but less than \$100
		(a) not required place of busir	•	adviser with the <i>state</i>	securities authority	y of the state where you maintain your principal office and
		(b) not subject to	o examination by the s	state securities authority	of the state where	e you maintain your <i>principal office and place of business</i> ;
		Click HERE fo authority.	or a list of states in whic	:h an investment adviser	, if registered, woul	ld not be subject to examination by the state securities
		3) Reserved				
) have your <i>princip</i>	bal office and place of b	usiness outside the Unit	ted States;	
		registered with t	he SEC, and your <i>prin</i> d	cipal office and place of b	ousiness is the same	nder common <i>control</i> with, an investment adviser that is e as the registered adviser;
	(6					SEC registration within 120 days;
			-	both of the representati		
						urities authority and I have a reasonable expectation that I gistration with the SEC becomes effective.
		Schedule R if	f, on the 120th day aft		mbrella registration	n amendment to this <i>umbrella registration</i> to remove this with the SEC becomes effective, I would be prohibited by
	[] (7	') are a multi-state	e adviser that is requi	red to register in 15 or	more states and is	relying on rule 203A-2(d);
		If this is your init	tial filing as a relying a	adviser, you must make	both of these repr	esentations:
				te and federal laws and with the <i>state securities</i>		nat I am required by the laws of 15 or more states to e states.
		updating ame		equired by the laws of fe		on to remove this Schedule R if, at the time of the <i>annual</i> es to register as an investment adviser with the <i>state</i>
		If you are submit	tting your <i>annual upda</i>	<i>ting amendment</i> , you m	ust make this repre	esentation:
						he applicable state and federal laws and have concluded nent adviser with the <i>state securities authorities</i> in those

	(8) have received an SEC following information:	Order exe	empting you fro	m the prohibition aga	ainst registra	ition with th	he	SEC. If you check this box, provide the
	Application Number: 8	03-	Date of <i>Order</i> :					
	(9) are no longer eligible	to remain	registered with	the SEC.				
SECT	FION 3 Form of Organization							
A.	How are you organized?							
	© Corporation							
	Sole Proprietorship							
	Limited Liability Partnershi	o (LLP)						
	o Partnership							
	Limited Liability Company	(LLC)						
	Limited Partnership (LP)							
	Other (specify):							
B.	In what month does your fiscal DECEMBER	year end	each year?					
C.	Under the laws of what state o	r country a	are you organiz	red?				
	State Country							
	Delaware United States							
	If you are a partnership, provide	the name	of the state or o	country under whose I	aws your par	tnership wa	as fo	ormed.
SECT	FION 4.A. Direct Owners and E	xecutive	Officers					
In th	nis Section 4, we ask you to ider	ntify each	other <i>person</i> tha	at, directly or indirect	y, controls y	ou.		
(2)	Section 4.A. asks for informatior Direct Owners and Executive Off (a) each Chief Executive Officer, status or functions;	icers. List	below the name	es of:		al Officer, d	ire	ctor and any other individuals with similar
	(b) if you are organized as a con public reporting company (a	company :	subject to Section	on 12 or 15(d) of the	Exchange A	ct);		ass of your voting securities, unless you are a er to sell or direct the sale of, 5% or more of
	a class of your voting securit grandchild, parent, steppare	ies. For pu nt, grandp idence; or	urposes of this s parent, spouse,	Section 4.A., a <i>persor</i> sibling, mother-in-lay	n beneficially w, father-in-	owns any s law, son-in	sec I-la	eurities: (i) owned by his/her child, stepchild, w, daughter-in-law, brother-in-law, or sister- ugh the exercise of any option, warrant, or
	(c) if you are organized as a pa or have contributed, 5% or r			ners and those limite	ed and specia	al partners	tha	at have the right to receive upon dissolution,
	(d) in the case of a trust that dir contributed, 5% or more of y			•	ng securities,	, or that ha	is t	he right to receive upon dissolution, or has
	5% or more of your capital,	and (ii) if r	managed by ele	cted managers, all el	ected manag	-	o r	eceive upon dissolution, or have contributed,
(3)	Do you have any indirect owners	s to be rep	ported on Section	on 4.B. below? • Ye	s oNo			
	In the DE/FE/I column below, er or "I" if the owner or executive o			domestic entity, "FE	' if the owne	r is an enti [.]	ty i	ncorporated or domiciled in a foreign country
(or member; and for shareholder	s or memb	pers, the class c	of securities owned (i	f more than	one is issue	ed)	
(6)		ess than 59		10% but less than 2				nan 75%
(7)	(a) In the <i>Control Person</i> column	, enter "Ye	es" if the <i>persor</i>		ed in the Glo	ssary of Te	rms	s to Form ADV, and enter "No" if the <i>person</i> general partners, elected managers, and
	trustees are control persons.		· ·)					
	(b) In the PR column, enter "PR' (c) Complete each column.	if the own	ner is a public r	eporting company un	der Sections	12 or 15(c	d) c	of the Exchange Act.
(Check this box if you are filing th	for your f	iling adviser. If	you check the box, t	ne system w	•	•	e-fill Schedule B with the same indirect owner e fields for you, but you will be able to
	L LEGAL NAME	· · ·	Title or Status	<u>_</u>	Ownership	Control	PR	CRD No.
	lividuals: Last Name, First ne, Middle Name)			Status Acquired MM/YYYY	Code	Person		If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
ELLI	OTT CAPITAL ADVISORS, L.P.	DE	SHAREHOLDER	06/1997	E	Y	N	292232

SINGER,	, PAUL, ELLIOTT		PRESIDENT, DIRECTOR	10/1994	NA	Y N	872317		
SECTION	N 4.B. Indirect Owners								
	tion 4.B. asks for information	n about yo	our indirect owner	s; you must first co	mplete Section	on 4.A., which	asks for i	nformation ab	out your direct
own	ners	-		-					-
	irect Owners. With respect t								
	in the case of an owner that direct the sale of, 25% or m	•				vowns, has the	e right to	vote, or has t	he power to sell or
	For purposes of this Section grandparent, spouse, siblin residence; or (ii) that he/sh security.	g, mother	-in-law, father-in	-law, son-in-law, da	aughter-in-lav	w, brother-in-la	aw, or sist	ter-in-law, sha	ring the same
	in the case of an owner that dissolution, or have contrib			•		nd special part	ners that	have the righ	t to receive upon
• ,	in the case of an owner tha								
	in the case of an owner that contributed, 25% or more c		• ·	•			-	eive upon diss	solution, or have
(3) Con ⁻	ntinue up the chain of owner d) of the Exchange Act) is re	ship listing	g all 25% owners	at each level. Once	e a public rep		-	pany subject to	o Sections 12 or
	he DE/FE/I column below, e ntry, or "I" if the owner is ar			domestic entity, "FE	E" if the owne	er is an entity i	ncorporat	ed or domicile	d in a foreign
	nplete the Status column by mbers, the class of securitie	-		•	ee, elected m	nanager, share	holder, or	r member; and	d for shareholders o
(6) Owr	1		s than 50% E s than 75% F	- 75% or more - Other (general pa	rtner, trustee	e, or elected m	anager)		
	In the <i>Control Person</i> colum does not have <i>control</i> . Note	e that unde	•			•			
	trustees are control persons	S.							
	trustees are <i>control persons</i> In the PR column, enter "PR		vner is a public re	eporting company u	nder Section	s 12 or 15(d) o	of the Excl	nange Act	
(b)	In the PR column, enter "PF		vner is a public re	eporting company u	nder Sections	s 12 or 15(d) c	of the Excl	nange Act.	
(b) (c)	In the PR column, enter "PR Complete each column.	R" if the ov						-	same indirect
(b) (c) Cheo own	In the PR column, enter "PR Complete each column. eck this box if you are filing t hers you have provided in So	R" if the ov his Form A chedule B	ADV through the for your filing adv	IARD system and wi	ant the IARD he box, the s	system to pre-	fill Sched	ule B with the	
(b) (c) Cheo own to m	In the PR column, enter "PR Complete each column. eck this box if you are filing t ners you have provided in So manually edit the information	R" if the ov his Form A chedule B after it is	ADV through the for your filing adv pre-filled and be	IARD system and wi viser. If you check the fore you submit you	ant the IARD he box, the s ur filing.	system to pre- ystem will pre-	fill Sched	ule B with the fields for you,	but you will be able
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(b) (c) Chea own to m FULL LEG Last Nam BRAXTON BRAXTON ELLIOTT ELLIOTT ELLIOTT KAPLAN, PAUL E. S SINGER,	In the PR column, enter "PF Complete each column. eck this box if you are filing theres you have provided in So manually edit the information EGAL NAME (Individuals: me, First Name, Middle ON ASSOCIATES, INC. TADVISORS GP LLC TASSET MANAGEMENT LLC , MYRON SINGER FAMILY TRUST IIH , GORDON, MATTHEW	R" if the ov his Form A chedule B after it is DE/FE/I DE DE DE	ADV through the for your filing adv pre-filled and be Entity in Which Interest is Owned ELLIOTT CAPITAL ADVISORS, L.P. ELLIOTT CAPITAL ADVISORS, L.P. ELLIOTT CAPITAL ADVISORS, L.P. PAUL E. SINGER FAMILY TRUST IIH ELLIOTT CAPITAL ADVISORS, L.P. PAUL E. SINGER FAMILY TRUST IIH ELLIOTT CAPITAL ADVISORS, L.P. PAUL E. SINGER FAMILY TRUST IIH ELLIOTT ADVISORS GP LLC BRAXTON ASSOCIATES,	IARD system and wa viser. If you check the fore you submit you Status GENERAL PARTNER GENERAL PARTNER GENERAL PARTNER GENERAL PARTNER ITRUSTEE LIMITED PARTNER TRUSTEE ITRUSTEE MANAGING MEMBER, PRESIDENT PRESIDENT, DIRECTOR,	ant the IARD he box, the s ar filing. Date Status Acquired MM/YYYY 09/1986 12/2018 02/2003 12/2018 12/2018 12/2018	system to pre- ystem will pre- Ownership Code F F F F C C F F F F	 Fill Sched fill these Control Person Y Y Y Y N Y 	ule B with the fields for you, PR CRD No. I Date of Bi Employer N 292231 N S907834 N S908245	but you will be able f None: S.S. No. and rth, IRS Tax No. or
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(b) (c) Chea own to m FULL LEG Last Nam BRAXTON BRAXTON ELLIOTT ELLIOTT ELLIOTT KAPLAN, PAUL E. S SINGER, SINGER,	In the PR column, enter "PF Complete each column. eck this box if you are filing theres you have provided in So manually edit the information EGAL NAME (Individuals: me, First Name, Middle ON ASSOCIATES, INC. TADVISORS GP LLC TASSET MANAGEMENT LLC TASSET MANAGEMENT LLC TASSET MANAGEMENT LLC SINGER FAMILY TRUST IIH , GORDON, MATTHEW , PAUL, ELLIOTT	R" if the ov his Form A chedule B after it is DE/FE/I DE DE DE	ADV through the for your filing adv pre-filled and be Entity in Which Interest is Owned ELLIOTT CAPITAL ADVISORS, L.P. ELLIOTT CAPITAL ADVISORS, L.P. ELLIOTT CAPITAL ADVISORS, L.P. PAUL E. SINGER FAMILY TRUST IIH ELLIOTT CAPITAL ADVISORS, L.P. PAUL E. SINGER FAMILY TRUST IIH ELLIOTT CAPITAL ADVISORS, L.P. PAUL E. SINGER FAMILY TRUST IIH ELLIOTT ADVISORS GP LLC BRAXTON ASSOCIATES, INC. ELLIOTT ASSET	IARD system and wave viser. If you check the fore you submit you Status GENERAL PARTNER GENERAL PARTNER GENERAL PARTNER GENERAL PARTNER ITRUSTEE LIMITED PARTNER TRUSTEE ITRUSTEE MANAGING MEMBER, PRESIDENT, DIRECTOR, SHAREHOLDER MANAGING	ant the IARD he box, the s or filing. Date Status Acquired MM/YYYY 09/1986 12/2018 02/2003 12/2018 12/2018 12/2018 03/2017 04/1997	system to pre- ystem will pre- Ownership Code F F F F C C F C C E E	 fill Sched fill these Control Person Y Y	ule B with the fields for you, PR <i>CRD</i> No. I Date of Bi Employer N 292231 N 292231 N 5907834 N 5908245 N 872317 N 872317	but you will be able f None: S.S. No. and rth, IRS Tax No. or

C.	Does	any person not named in Section 1.A., Sect	tion 4.A., or Section	on 4.B. directly or indirectly, <i>col</i>	ntrol your management or policies? O
	-	s, you must complete the information below ectly <i>controls</i> your management or policies.	v for each <i>control</i>	person not named in Section 1.	A., Section 4.A., or Section 4.B. that directly or
			No	Information Filed	
SEC	TION	4.D. Control Persons - Public Reporting C	Companies		
			-	Information Filed	
		1 Identifying Information			
		s to this Section tell us who you (the <i>relyin</i> full legal name:	<i>g adviser</i>) are, wr	nere you are doing business, ar	nd how we can contact you.
7.		DTT ADVISORS GP LLC			
B.	Name 1A.	e under which you primarily conduct your ac	dvisory business,	if different from Section 1.A. at	pove or Item 1.A. of the <i>filing adviser's</i> Form ADV Part
C.	List a	any other business names and the jurisdicti	ons in which you	use them. Complete this ques	tion for each other business name.
			1	No Information Filed	
	You c	to not have to include the names or jurisdicti	ons of the filing ac	dviser or other relying adviser(s)	in response to this Section 1.C.
D.	advis	er's CRD number), your CRD number:	RD Number") ass	signed by the <i>FINRA's CRD</i> syste	em or by the IARD system (other than the <i>filing</i>
	2922	231	1	No Information Filed	
	lf you advis		n 1.D. Do not prov	vide the CRD number of one of yo	our officers, employees, or affiliates (including the filing
E.	Princi	ipal Office and Place of Business			
	(1)	Address (do not use a P.O. Box):			
		Same as the <i>filing adviser</i> .			
		Number and Street 1:		Number and Street 2:	
		360 S. ROSEMARY AVE City:	State:	18TH FLOOR Country:	ZIP+4/Postal Code:
		WEST PALM BEACH	Florida	United States	33401
		If this address is a private residence, chec	ck this box: 🗖		
	(2)	Days of week that you normally conduct to Monday - Friday Other:	business at your μ	principal office and place of busin	ess:
		Normal business hours at this location: 8:30AM-5:30PM			
	(3)	Telephone number at this location: (212) 974-6000			
	(4)	Facsimile number at this location, if any:			
F.	Mailir	ng address, if different from your <i>principal o</i>	ffice and place of l	business address:	

		Same as the <i>filing ad</i> v	viser.			
	Nur City	mber and Street 1: y:	State:	Number and S Country:	treet 2: ZIP+4/Postal Code:	
	lf t	his address is a priva	ate residence, check this	s box: 🗖		
G.	Pro	vide your <i>Legal Entity</i>	<i>Identifier</i> if you have or	ne:		
		gal entity identifier is a htifier.	a unique number that c	ompanies use to identify each	other in the financial marketplace. You may not	have a <i>legal entity</i>
H.	lf yo	ou have Central Index	x Key numbers assigned	d by the SEC ("CIK Numbers") No Informatic		
SECT		2 SEC Registration				
				ine whether you are eligible to	pregister with the SEC	
					-	least one of the
	Sect eact				ain registered) with the SEC. You must check at l ation to help you determine whether you may aff	
	V		isory firm that either:			
			2	ement of \$100 million (in U.S.	dollars) or more: or	
		(b) has regulator		ement of \$90 million (in U.S. d	Iollars) or more at the time of filing its most recei	nt <i>annual updating</i>
	Γ		advisory firm that has dollars) and you are eith		nagement of \$25 million (in U.S. dollars) or more	but less than \$100
		(a) not required place of busin	0	adviser with the state securit	<i>ies authority</i> of the state where you maintain you	ur principal office and
		(b) not subject t	to examination by the s	state securities authority of the	state where you maintain your principal office an	nd place of business;
		Click HERE fo authority.	or a list of states in whic	h an investment adviser, if regi	stered, would not be subject to examination by the	e state securities
		(3) Reserved				
		(4) have your <i>princi</i> µ	pal office and place of bu	usiness outside the United Sta	tes;	
		• •			by, or is under common <i>control</i> with, an investm is the same as the registered adviser;	ent adviser that is
		(6) are an adviser r	relying on rule 203A-2(d	c) because you expect to be e	ligible for SEC registration within 120 days;	
		If you check this	s box, you must make b	both of the representations be	low:	
					a state securities authority and I have a reasona date my registration with the SEC becomes effec	
		Schedule R if	f, on the 120th day afte		kes to file an amendment to this <i>umbrella registra</i> <i>registration</i> with the SEC becomes effective, I wo	
		(7) are a multi-state	e adviser that is requir	red to register in 15 or more st	tates and is relying on rule 203A-2(d);	
		If this is your ini	itial filing as a relying a	dviser, you must make both of	f these representations:	
				e and federal laws and have c with the <i>state securities author</i>	concluded that I am required by the laws of 15 o <i>ities</i> in those states.	r more states to
		updating ame		quired by the laws of fewer th	<i>a registration</i> to remove this Schedule R if, at the an 15 states to register as an investment advise	
		If you are submi	itting your <i>annual updat</i>	<i>ting amendment</i> , you must mal	ke this representation:	
					reviewed the applicable state and federal laws as an investment adviser with the state securities	
				g you from the prohibition aga	ainst registration with the SEC. If you check this I	box, provide the

Application Number: 803- Date of Order:

 \square (9) are **no longer eligible** to remain registered with the SEC.

SECTION 3 Form of Organization

- A. How are you organized?
 - Corporation
 - o Sole Proprietorship
 - o Limited Liability Partnership (LLP)
 - o Partnership
 - Limited Liability Company (LLC)
 - o Limited Partnership (LP)
 - O Other (specify):
- B. In what month does your fiscal year end each year? DECEMBER
- C. Under the laws of what state or country are you organized?
 - State Country
 - Delaware United States

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other *person* that, directly or indirectly, *controls* you.

- (1) Section 4.A. asks for information about your direct owners and executive officers.
- (2) Direct Owners and Executive Officers. List below the names of:
 - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
 - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);
 Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of

a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (3) Do you have any indirect owners to be reported on Section 4.B. below? OYes oNo
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%
 - A 5% but less than 10% C 25% but less than 50% E 75% or more
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or Status	Date Title or	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First			Status Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS
Name, Middle Name)			ΜΜ/ΥΥΥΥ				Tax No. or Employer ID No.
SINGER, PAUL, ELLIOTT	I	MANAGING	03/2017	E	Y	Ν	872317
		MEMBER,					
		PRESIDENT					

SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more

D - 50% but less than 75% F - Other (general partner, trustee, or elected manager)

- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.

(c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

No Information Filed

Yes No

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SECTION 4.C. Control Persons

C. Does any *person* not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, *control* your management or policies?

If yes, you must complete the information below for each *control person* not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly *controls* your management or policies.

No Information Filed

SECTION 4.D. Control Persons - Public Reporting Companies

No Information Filed

SECTION 1 Identifying Information

Responses to this Section tell us who you (the relying adviser) are, where you are doing business, and how we can contact you.

A. Your full legal name: ELLIOTT CAPITAL ADVISORS, L.P.

B. Name under which you primarily conduct your advisory business, if different from Section 1.A. above or Item 1.A. of the *filing adviser's* Form ADV Part 1A.

C. List any other business names and the jurisdictions in which you use them. Complete this question for each other business name.

No Information Filed

You do not have to include the names or jurisdictions of the filing adviser or other relying adviser(s) in response to this Section 1.C.

	292232
	adviser's CRD number), your CRD number:
D.	If you currently have, or ever had, a number ("CRD Number") assigned by the FINRA's CRD system or by the IARD system (other than the filing

No Information Filed

If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the filing adviser).

E.	Principal	Office	and	Place	of	Business
<u> </u>	i i ii ioipai	011100	ana	1 1000	0,	Dusinioss

(1) Address (do not use a P.O. Box):

Same as the filing adviser.

Number and Street 1:		Number and Street 2:	
360 S. ROSEMARY AVE		18TH FLOOR	
City:	State:	Country:	ZIP+4/Postal Code:
WEST PALM BEACH	Florida	United States	33401

If this address is a private residence, check this box: 🗖

(2) Days of week that you normally conduct business at your *principal office and place of business:* Monday - Friday Other:
 Normal business hours at this location:

8: 30AM-5: 30PM(3) Telephone number at this location:

(212) 974-6000

(4) Facsimile number at this location, if any:

F. Mailing address, if different from your *principal office and place of business* address:

	Same as the filing ad	lviser.		
	Number and Street 1:		Number and Street 2:	
	City:	State:	Country:	ZIP+4/Postal Code:
	If this address is a prive	ate residence, check this box: 🗖		
G.	Provide your <i>Legal Entity</i> 5493005TQOP9MM11WS	/ Identifier if you have one: 546		

A *legal entity identifier* is a unique number that companies use to identify each other in the financial marketplace. You may not have a *legal entity identifier*.

H. If you have Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers:

No Information Filed

SECTION 2 SEC Registration

Responses to this Section help us (and you) determine whether you are eligible to register with the SEC.

А.	To be a relying adviser, you must be independently eligible to register (or remain registered) with the SEC. You must check at least one of the
	Sections 2.A.(1) through 2.A.(8), below. Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to
	each of these items.

You (the *relying adviser*):

(1) are a large advisory firm that either:

- (a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or
- (b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent *annual updating amendment* and is registered with the SEC:

		(2) are a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either:
		(a) not required to be registered as an adviser with the state securities authority of the state where you maintain your principal office and place of business; or
		(b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;
		Click HERE for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.
		(3) Reserved
	Γ	(4) have your principal office and place of business outside the United States;
	Γ	(5) are a related adviser under rule 203A-2(b) that <i>controls</i> , is <i>controlled</i> by, or is under common <i>control</i> with, an investment adviser that is registered with the SEC, and your <i>principal office and place of business</i> is the same as the registered adviser;
		(6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;
		If you check this box, you must make both of the representations below:
		I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
		By submitting this Form ADV to the SEC, the filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, on the 120th day after this application for umbrella registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
	Γ	(7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);
		If this is your initial filing as a relying adviser, you must make both of these representations:
		I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
		The filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, at the time of the annual updating amendment, I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states.
		If you are submitting your annual updating amendment, you must make this representation:
		Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the state securities authorities in those states.
		(8) have received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:
		Application Number: 803- Date of <i>Order</i> :
	Γ	(9) are no longer eligible to remain registered with the SEC.
		N 3 Form of Organization w are you organized?
A.	0	
	0	
	0	Limited Liability Partnership (LLP)
	Ö	
	õ	Limited Liability Company (LLC)
	ē	Limited Partnership (LP)
	c	Other (specify):
В.		what month does your fiscal year end each year? CEMBER
C.		der the laws of what state or country are you organized? ate Country
	De	elaware United States
	lfy	you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

In this Section 4, we ask you to identify each other person that, directly or indirectly, controls you.

- (1) Section 4.A. asks for information about your direct owners and executive officers.
- (2) Direct Owners and Executive Officers. List below the names of:
- (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;

(b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);

Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (3) Do you have any indirect owners to be reported on Section 4.B. below? Yes No
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%
 - A 5% but less than 10% C 25% but less than 50% E 75% or more
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)		Title or Status	Date Title or Status Acquired MM/YYYY	Ownership Code	Control Person	PR	CRD No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
ANDREW F. MORRIS-SINGER 1981 TRUST	DE	LIMITED PARTNER	03/2021	В	N	N	
ANDREW F. MORRIS-SINGER 1990 TRUST	DE	LIMITED PARTNER	07/2009	В	N	N	
BRAXTON ASSOCIATES, INC.	DE	GENERAL PARTNER	09/1986	NA	Y	N	
ELLIOTT ADVISORS GP LLC	DE	GENERAL PARTNER	12/2018	NA	Y	N	292231
ELLIOTT ASSET MANAGEMENT LLC	DE	GENERAL PARTNER	02/2003	NA	Y	N	
GORDON M. SINGER 1983 TRUST	DE	LIMITED PARTNER	06/2006	В	N	N	
GORDON M. SINGER 1983 TRUST II	DE	LIMITED PARTNER	09/2011	В	N	N	
KAPLAN, MYRON	1	TRUSTEE OF THE ANDREW F. MORRIS-SINGER 1990 TRUST	07/2009	В	N	N	5907834
KAPLAN, MYRON	1	TRUSTEE OF THE ANDREW F. MORRIS-SINGER 1981 TRUST	03/2021	В	N	N	5907834
KAPLAN, MYRON	1	TRUSTEE OF THE GORDON M. SINGER 1983 TRUST	06/2006	В	N	N	5907834
KAPLAN, MYRON	1	TRUSTEE OF THE GORDON M. SINGER 1983 TRUST II	09/2011	В	N	N	5907834
KAPLAN, MYRON	I	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIH	12/2019	С	N	N	5907834
LEVINE, JOSHUA	1	CHIEF FINANCIAL OFFICER	09/2019	NA	N	N	6025009
PAUL E. SINGER FAMILY TRUST IIH	DE	LIMITED PARTNER	12/2019	С	N	N	
SINGER, GORDON, MATTHEW	1	TRUSTEE OF THE PAUL E. SINGER FAMILY TRUST IIH	12/2019	С	N	N	5908245
SINGER, GORDON, MATTHEW	I	TRUSTEE OF THE GORDON M. SINGER 1983 TRUST	06/2006	В	N	N	5908245
SINGER, GORDON, MATTHEW	I	TRUSTEE OF THE GORDON M. SINGER 1983 TRUST II	09/2011	В	N	N	5908245

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more

D - 50% but less than 75% F - Other (general partner, trustee, or elected manager)

(7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.

(b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.

(c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Entity in Which Interest is Owned	Status	Date Status Acquired MM/YYYY	Ownership Code	Control Person		<i>CRD</i> No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
KAPLAN, MYRON	I	ANDREW F. MORRIS-SINGER 1990 TRUST	TRUSTEE	03/2000	F	Y	N	5907834
KAPLAN, MYRON	I	ANDREW F. MORRIS-SINGER 1981 TRUST	TRUSTEE	01/2021	F	Y	N	5907834
KAPLAN, MYRON	1	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5907834
KAPLAN, MYRON	I	GORDON M. SINGER 1983 TRUST II	TRUSTEE	09/2011	F	Y	N	5907834
KAPLAN, MYRON	1	GORDON M. SINGER 1983 TRUST	TRUSTEE	06/2006	F	Y	N	5907834
SINGER, GORDON, MATTHEW	1	GORDON M. SINGER 1983 TRUST	TRUSTEE	06/2006	F	Y	N	5908245
SINGER, GORDON, MATTHEW	I	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5908245
SINGER, GORDON, MATTHEW	1	GORDON M. SINGER 1983 TRUST II	TRUSTEE	09/2011	F	Y	N	5908245
SINGER, PAUL, ELLIOTT	I	BRAXTON ASSOCIATES, INC.	PRESIDENT, DIRECTOR, SHAREHOLDER	04/1997	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT ASSET MANAGEMENT LLC	MANAGING MEMBER, PRESIDENT	02/2003	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	03/2017	E	Y	N	872317

C.	Does	any person not named in Section 1.A., Sect	ion 4.A., or Section	on 4.B. directly or indirectly, <i>col</i>	ntrol your management or policies?
	-	s, you must complete the information below ectly <i>controls</i> your management or policies.	for each <i>control</i>	person not named in Section 1.	A., Section 4.A., or Section 4.B. that directly or
			No	Information Filed	
SEC	TION	4.D. Control Persons - Public Reporting C	ompanies		
		<u></u>		Information Filed	
SEC	ΓΙΟΝ	1 Identifying Information			
		s to this Section tell us who you (the relying	<i>g adviser</i>) are, wł	nere you are doing business, ar	nd how we can contact you.
A.		full legal name: DTT SPECIAL GP, LLC			
B.	Name 1A.	e under which you primarily conduct your ad	visory business,	if different from Section 1.A. at	ove or Item 1.A. of the <i>filing adviser's</i> Form ADV Part
C.	List a	any other business names and the jurisdiction	ons in which you	use them. Complete this ques	tion for each other business name.
			1	No Information Filed	
	Vou	to not have to include the names or jurisdiction	ons of the filing a	dvisor or other relying advisor(s)	in response to this Section 1 C
	iou c	to not have to include the hames of julisdiction	ns or the ming ac		in response to this Section T.C.
D.	-	er's CRD number), your CRD number:	RD Number") ass	signed by the <i>FINRA's CRD</i> syste	em or by the IARD system (other than the <i>filing</i>
			1	No Information Filed	
	lf yοι advis		n 1.D. Do not prov	vide the CRD number of one of ye	our officers, employees, or affiliates (including the filing
E.	Princi	ipal Office and Place of Business			
	(1)	Address (do not use a P.O. Box):			
		Same as the <i>filing adviser</i> .			
		Number and Street 1: 360 S. ROSEMARY AVE		Number and Street 2: 18TH FLOOR	
		City: WEST PALM BEACH	State: Florida	Country: United States	ZIP+4/Postal Code: 33401
		If this address is a private residence, chec			
	(2)	Days of week that you normally conduct b Monday - Friday Other:	usiness at your µ	principal office and place of busin	ess:
		Normal business hours at this location: 8:30AM-5:30PM			
	(3)	Telephone number at this location: (212) 974-6000			
	(4)	Facsimile number at this location, if any:			
F.	Mailir	ng address, if different from your <i>principal of</i>	fice and place of l	business address:	

	🗖 Sa	ame as the <i>filing</i> a	adviser.			
	Num City:	ber and Street 1:	: State:		Number and Street 2: Country:	ZIP+4/Postal Code:
	lf thi	is address is a pr	ivate residence, check t	this box: 🗖		
G.	Provid	do vour Logal Ent	tity Identifier if you have			
G.	FIOVIC	de your <i>Legar Lin</i> t		one.		
	A lega identii		is a unique number that	t companies use t	o identify each other in t	he financial marketplace. You may not have a <i>legal entit</i> y
- I.		u have Central Ind Number	dex Key numbers assigr	ned by the SEC ("	CIK Numbers"), all of yo	ur CIK numbers:
	1313					
ЕСТ		2 SEC Registrati	on			
lesp	onses	s to this Section h	nelp us (and you) deter	mine whether you	u are eligible to register	with the SEC.
	Section each o		gh 2.A.(8), below. Part 7		• • •	ered) with the SEC. You must check at least one of the elp you determine whether you may affirmatively respon
			dvisory firm that either	r:		
	,	-	-		million (in U.S. dollars) c	or more; or
			atory assets under mana and is registered with	0	nillion (in U.S. dollars) or	more at the time of filing its most recent annual updating
	[((2) are a mid-siz		nas regulatory ass	sets under management	of \$25 million (in U.S. dollars) or more but less than \$10
		(a) not requir	-		he state securities author	ity of the state where you maintain your principal office a
		(b) not subjec	ct to examination by the	e state securities a	authority of the state wh	ere you maintain your principal office and place of busines:
		Click HERI authority.	E for a list of states in wh	hich an investment	t adviser, if registered, wo	ould not be subject to examination by the state securities
	((3) Reserved				
	[((4) have your <i>prir</i>	ncipal office and place of	business outside	the United States;	
	[] (under common <i>control</i> with, an investment adviser that is me as the registered adviser;
	[((6) are an advise	r relying on rule 203A-:	2(c) because you	expect to be eligible fo	r SEC registration within 120 days;
		If you check t	his box, you must make	e both of the repr	resentations below:	
						ecurities authority and I have a reasonable expectation tregistration with the SEC becomes effective.
		Schedule	-	after this application	on for <i>umbrella registratio</i>	an amendment to this <i>umbrella registration</i> to remove th on with the SEC becomes effective, I would be prohibited
	[] ((7) are a multi-s t	tate adviser that is requ	uired to register in	n 15 or more states and	is relying on rule 203A-2(d);
		If this is your	initial filing as a relying	j adviser, you mu:	st make both of these re	presentations:
					aws and have concluded ecurities authorities in the	that I am required by the laws of 15 or more states to ose states.
		updating a		required by the la		<i>tion</i> to remove this Schedule R if, at the time of the <i>annua</i> tes to register as an investment adviser with the <i>state</i>
		If you are sub	mitting your annual upc	dating amendment	t, you must make this re	presentation:
						the applicable state and federal laws and have conclude tment adviser with the <i>state securities authorities</i> in thos
	[((8) have receive	d an SEC <i>Order</i> exemp ^r	ting you from the	prohibition against regis	tration with the SEC. If you check this box, provide the

following information:

Application Number: 803- Date of Order:

(9) are no longer eligible to remain registered with the SEC.

SECTION 3 Form of Organization

- How are you organized?
 - Corporation

A.

- Sole Proprietorship
- C Limited Liability Partnership (LLP)
- Partnership
- Limited Liability Company (LLC)
- C Limited Partnership (LP)
- O Other (specify):
- B. In what month does your fiscal year end each year? DECEMBER

C. Under the laws of what state or country are you organized?

State Country Delaware United States

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other *person* that, directly or indirectly, *controls* you.

- (1) Section 4.A. asks for information about your direct owners and executive officers.
- (2) Direct Owners and Executive Officers. List below the names of:
 - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
 - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);
 Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of

a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (3) Do you have any indirect owners to be reported on Section 4.B. below? Yes No
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%
 - A 5% but less than 10% C 25% but less than 50% E 75% or more
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

F	ULL LEGAL NAME	DE/FE/I	Title or Status	Date Title or	Ownership	Control	PR	CRD No.	
(Individuals: Last Name, First			Status Acquired	Code	Person		If None: S.S. No. and Date of Birth,	1
	lame, Middle Name)			ΜΜ/ΥΥΥΥ				IRS Tax No. or Employer ID No.	1
E	ELLIOTT CAPITAL ADVISORS,	DE	MANAGING MEMBER	09/2004	NA	Y	Ν	292232	1
L	P.								1

HOBBEHEYDAR, JAIME	I	MEMBER	04/2010	A	N	N	6775500
KAPLAN, MYRON	I	TRUSTEE OF THE SAMUEL AND	10/2011	В	N	N	5907834
		SOPHIE SINGER 2011 TRUST					
SAMUEL AND SOPHIE SINGER	DE	MEMBER	10/2011	В	N	N	xxx-xx-xxxx
2011 TRUST							
SINGER, GORDON, MATTHEW	1	MEMBER	01/2010	С	N	Ν	5908245
SINGER, PAUL, ELLIOTT	1	MANAGING MEMBER, PRESIDENT	09/2004	NA	Υ	Ν	872317
YUSTEIN, ROSS, LEONARD	1	TRUSTEE OF THE SAMUEL AND	10/2011	В	N	Ν	6904717
		SOPHIE SINGER 2011 TRUST					

SECTION 4.B. Indirect Owners

(1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners

(2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:

(a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more
 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals:	DE/FE/I	Entity in Which	Status	Date Status	Ownership	Control	PR	CRD No. If None: S.S. No.
Last Name, First Name, Middle Name)		Interest is Owned		Acquired MM/YYYY	Code	Person		and Date of Birth, IRS Tax No. or Employer ID No.
BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	09/1986	F	Y	N	
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	12/2018	F	Y	N	292231
ELLIOTT ASSET MANAGEMENT LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	02/2003	F	Y	N	
KAPLAN, MYRON	I	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5907834
KAPLAN, MYRON	1	SAMUEL AND SOPHIE SINGER 2011 TRUST	TRUSTEE	09/2011	F	Y	N	5907834
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	12/2019	С	N	N	
SINGER, GORDON, MATTHEW	1	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5908245
SINGER, PAUL, ELLIOTT	1	BRAXTON ASSOCIATES, INC.	PRESIDENT, DIRECTOR, SHAREHOLDER	04/1997	E	Y	N	872317
SINGER, PAUL, ELLIOTT	1	ELLIOTT ASSET MANAGEMENT LLC	MANAGING MEMBER, PRESIDENT	02/2003	E	Y	N	872317
SINGER, PAUL, ELLIOTT	1	ELLIOTT ADVISORS GP LLC	MANAGING MEMBER,	03/2017	E	Y	N	872317

					PRESIDENT					
YUS	TEIN,	ROSS, LEONARD	I	SAMUEL AND SOPHIE SINGER 2011 TRUST	TRUSTEE	09/2011	F	Y	N	6904717
SECT		4.C. Control Persons								
C.	Does	any <i>person</i> not named in	Section 1	A., Section 4.A., or	Section 4.B. direct	y or indirectly,	<i>control</i> your m	nanagem	nent	or policies?
	-	s, you must complete the i ectly <i>controls</i> your manage			ontrol person not na	med in Sectior	n 1.A., Section	4.A., or	Sec	tion 4.B. that directly or
					No Information	Filed				
SECT		4.D. <i>Control Persons</i> - Pu	ublic Rep	orting Companies						
					No Information	Filed				
		1 Identifying Information				defect to the				
		s to this Section tell us wh	no you (th	ie relying adviser) ar	e, where you are	doing business	, and how we	can con	tact	you.
A.		full legal name: BLEDON, INC.								
B.	Name 1A.	e under which you primaril	y conduct	your advisory busir	ness, if different fro	om Section 1.A	. above or Iter	n 1.A. of	th€	e filing adviser's Form ADV Part
C.	List a	ny other business names	and the j	urisdictions in which	n you use them. Co	omplete this qu	uestion for eac	h other	bus	iness name.
					No Informatio	n Filed				
D.	lf you	lo not have to include the n u currently have, or ever h er's CRD number), your CR 242	ad, a nun	nber (" <i>CRD</i> Number'	-	FINRA's CRD sy				
	lf you advise		er, skip thi	s Section 1.D. Do no	t provide the CRD r	number of one c	of your officers,	employe	ees,	or affiliates (including the filing
E.	Princi	pal Office and Place of Busi	ness							
	(1)	Address (do not use a P.(O. Box):							
		Same as the filing advi	iser.							
		Number and Street 1: 360 S. ROSEMARY AVE			Number a 18TH FLO	nd Street 2: OR				
		City: WEST PALM BEACH		State: Florida	Country: United St	ates	ZIP+ 3340	4/Postal 1	Co	de:
		If this address is a privat	e residen	ce, check this box:						
	(2)	Days of week that you no ⊙Monday - Friday ⊙Oth	-	onduct business at y	our principal office	and place of bu	usiness:			
		Normal business bours of	t this less	tion						

	(3)		ephone number a 2) 974-6000	at this location:		
	(4)	Fac	simile number at	t this location, if any:		
F.	Mail	ing a	ddress, if differen	nt from your <i>principal office and pla</i>	ce of business address:	
		Same	as the filing advis	iser.		
	Nur	nber	and Street 1:		Number and Street 2:	
	City	/:		State:	Country:	ZIP+4/Postal Code:
	lf t	his ac	ddress is a private	e residence, check this box: $lacksquare$		
G.	Pro	vide y	our <i>Legal Entity I</i>	Identifier if you have one:		
		gal er tifier.	tity identifier is a	unique number that companies u	se to identify each other in th	ne financial marketplace. You may not have a <i>legal entity</i>
H.	lf yo	bu ha	ve Central Index	Key numbers assigned by the SEC	C ("CIK Numbers"), all of you No Information Filed	r CIK numbers:
SEC	τιον	2 SE	C Registration			
Res	ponse	es to	this Section help	o us (and you) determine whether	you are eligible to register v	vith the SEC.
A.	Sect	ions				red) with the SEC. You must check at least one of the Ip you determine whether you may affirmatively respond to
			relying adviser):			
	~		Ū	sory firm that either:		
				y assets under management of \$1		
		(y assets under management of \$9 ind is registered with the SEC;	0 million (in U.S. dollars) or r	more at the time of filing its most recent annual updating
				advisory firm that has regulatory ollars) and you are either:	assets under management o	of \$25 million (in U.S. dollars) or more but less than \$100
		(a) not required t place of busine	-	h the state securities authori	ty of the state where you maintain your principal office and
		(b) not subject to	examination by the state securit	<i>ies authority</i> of the state whe	re you maintain your principal office and place of business;
			Click HERE for authority.	r a list of states in which an investr	nent adviser, if registered, wou	uld not be subject to examination by the state securities
		(3) F	Reserved			
		(4) ł	nave your <i>principa</i>	al office and place of business outs	ide the United States;	
				viser under rule 203A-2(b) that co ne SEC, and your <i>principal office al</i>		under common <i>control</i> with, an investment adviser that is ne as the registered adviser;
		(6) a	are an adviser re	elying on rule 203A-2(c) because y	you expect to be eligible for	SEC registration within 120 days;
		I	f you check this I	box, you must make both of the r	representations below:	
		ſ				curities authority and I have a reasonable expectation that I egistration with the SEC becomes effective.
		ſ	Schedule R if,		cation for umbrella registration	an amendment to this <i>umbrella registration</i> to remove this <i>n</i> with the SEC becomes effective, I would be prohibited by
		(7) a	are a multi-state	e adviser that is required to regist	er in 15 or more states and is	s relying on rule 203A-2(d);
		I	f this is your initi	ial filing as a relying adviser, you	must make both of these rep	resentations:
		ſ		ved the applicable state and feder n investment adviser with the <i>stat</i>		that I am required by the laws of 15 or more states to se states.
		ſ	updating amer			ion to remove this Schedule R if, at the time of the annual test to register as an investment adviser with the state

If you are submitting your *annual updating amendment*, you must make this representation:

Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the *state securities authorities* in those states.

(8) have received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:

Application Number: 803- Date of Order:

(9) are **no longer eligible** to remain registered with the SEC.

SECTION 3 Form of Organization

- A. How are you organized?
 - O Corporation
 - O Sole Proprietorship
 - O Limited Liability Partnership (LLP)
 - O Partnership
 - Limited Liability Company (LLC)
 - Limited Partnership (LP)
 - Other (specify): CAYMAN ISLANDS EXEMPTED COMPANY
- B. In what month does your fiscal year end each year? DECEMBER
- C. Under the laws of what state or country are you organized?
 - State Country
 - Cayman Islands

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other person that, directly or indirectly, controls you.

(1) Section 4.A. asks for information about your direct owners and executive officers.

- (2) Direct Owners and Executive Officers. List below the names of:
 - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;

(b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);
 Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of

a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (3) Do you have any indirect owners to be reported on Section 4.B. below?
 [•] Yes [•] No
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%
 - A 5% but less than 10% C 25% but less than 50% E 75% or more
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to

manually edit the information after it is pre-filled and before you submit your filing.

DE/FE/I	Title or Status	Date Title or	Ownership	Control	PR	CRD No.
		Status Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS
		ΜΜ/ΥΥΥΥ				Tax No. or Employer ID No.
1	DIRECTOR	01/2021	NA	Y	N	7300944
DE	CLASS C	11/2019	E	N	N	292232
	SHAREHOLDER					
DE	DIRECTOR	12/2010	NA	Y	N	
FE	CLASS B	12/2010	E	N	N	
	SHAREHOLDER					
FE	CLASS A	11/2019	E	Y	N	
	SHAREHOLDER					
1	DIRECTOR	07/2002	NA	Υ	Ν	5907834
1	PRESIDENT	08/2005	NA	Y	N	872317
	I DE DE FE	IDIRECTORIDIRECTORDECLASS C SHAREHOLDERDEDIRECTORFECLASS B SHAREHOLDERFECLASS A SHAREHOLDERIDIRECTOR	IDIRECTOR01/2021DECLASS C SHAREHOLDER11/2019DEDIRECTOR12/2010FECLASS B SHAREHOLDER12/2010FECLASS A SHAREHOLDER11/2019FECLASS A SHAREHOLDER11/2019IDIRECTOR07/2002	Status Acquired MM/YYYYCodeIDIRECTOR01/2021NADECLASS C SHAREHOLDER11/2019EDEDIRECTOR12/2010NAFECLASS B SHAREHOLDER12/2010EFECLASS A SHAREHOLDER11/2019EFECLASS A SHAREHOLDER11/2019EFECLASS A SHAREHOLDER11/2019NAIDIRECTOR07/2002NA	Status Acquired MM/YYYYCodePersonIDIRECTOR01/2021NAYDECLASS C SHAREHOLDER11/2019ENDEDIRECTOR12/2010NAYFECLASS B SHAREHOLDER12/2010ENFECLASS A SHAREHOLDER11/2019ENIDIRECTOR07/2002NAY	Status Acquired MM/YYYYCodePersonIDIRECTOR01/2021NAYNDECLASS C SHAREHOLDER11/2019ENNDEDIRECTOR12/2010NAYNFECLASS B SHAREHOLDER12/2010ENNFECLASS A SHAREHOLDER11/2019ENNIDIRECTOR07/2002NAYN

SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and

(d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.

- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more

D - 50% but less than 75% F - Other (general partner, trustee, or elected manager)

(7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.

(b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.

(c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Entity in Which Interest is Owned	Status	Date Status Acquired MM/YYYY		Control Person		<i>CRD</i> No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	09/1986	F	Y	N	
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	12/2018	F	Y	N	292231
ELLIOTT ASSET MANAGEMENT LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	02/2003	F	Y	N	
ELLIOTT INTERNATIONAL ADVISORS GP LLC	DE	ELLIOTT INTERNATIONAL SPECIAL GP, LLC	MANAGER, CLASS A MEMBER	12/2018	D	Y	N	
KAPLAN, MYRON	I	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5907834
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	12/2019	C	N	N	
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MEMBER	12/2018	E	N	N	
SINGER, GORDON, MATTHEW	I	PAUL E. SINGER	TRUSTEE	12/2018	F	Y	Ν	5908245

	FAMILY TRUST IIH						
SINGER, PAUL, ELLIOTT	I BRAXTON ASSOCIATES, INC	PRESIDENT, DIRECTOR, SHAREHOLDER	04/1997	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I ELLIOTT ASSET MANAGEMENT LLC	MANAGING	02/2003	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I ELLIOTT ADVISOR GP LLC		03/2017	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I ELLIOTT INTERNATIONAL SPECIAL GP, LLC	MEMBER, PRESIDENT	01/2019	F	Y	N	872317
SINGER, PAUL, ELLIOTT	I ELLIOTT INTERNATIONAL ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	12/2018	F	Y	N	872317
ECTION 4.C. Control Persons							
C. Does any <i>person</i> not name	d in Section 1.A., Section 4.A., o	r Section 4.B. direc	tly or indirectly,	control yo	ur manage	men	t or policies? O O
					ar manage		00
If yes, you must complete indirectly <i>controls</i> your mar	the information below for each a agement or policies.	<i>control person</i> not n	amed in Section	n 1.A., Sec	tion 4.A., o	r Se	ction 4.B. that directly or
		No Information	Filed				
ECTION 4.D. Control Persons	- Public Reporting Companies						
		No Information	Filed				
ECTION 1 Identifying Informa	ation						
Responses to this Section tell us		are, where you are	doing business	s, and how	we can co	ntac	t you.
A. Your full legal name: EVERGREEN COAST CAPITA	L CORP.						
	narily conduct your advisory bus	iness, if different fr	rom Section 1.A	. above or	Item 1.A. o	of th	e <i>filing adviser's</i> Form ADV Part
1A. ELLIOTT PRIVATE EQUITY							
C. List any other business nar	nes and the jurisdictions in whi	ch you use them. (Complete this q	uestion for	each othe	r bus	siness name.
		No Informatio					
You do not have to include t	he names or jurisdictions of the i	filing adviser or othe	er relying advise	r(s) in resp	onse to this	s Sec	tion 1.C.
<i>adviser's CRD</i> number), you	er had, a number (" <i>CRD</i> Numbe r <i>CRD</i> number:	r") assigned by the	e FINRA's CRD s	ystem or b	y the IARD	sys	tem (other than the filing
292243		No Informatio	on Filed				
If you do not have a CRD nu adviser).	mber, skip this Section 1.D. Do r	ot provide the CRD	number of one	of your offic	cers, emplog	yees	, or affiliates (including the filing
E. Principal Office and Place of	Business						
(1) Address (do not use	a P.O. Box):						
Same as the <i>filing</i>							

Same as the filing adviser.

		Number and Street 1: 2420 SAND HILL ROAD		Number and Street 2 SUITE 300	:
		City:	State:	Country:	ZIP+4/Postal Code:
		MENLO PARK	California	United States	94025
		If this address is a private r	esidence, check this bo	эх: 🗖	
	(2)	Days of week that you norn Monday - Friday Other	•	at your principal office and pl	ace of business:
		Normal business hours at th 8:30AM-5:30PM	nis location:		
	(3)	Telephone number at this lo (650) 233-7000	ocation:		
	(4)	Facsimile number at this loc	ation, if any:		
F.	Maili	ng address, if different from y	our principal office and	place of business address:	
	n S	ame as the <i>filing adviser</i> .			
	Num	nber and Street 1:		Number and Street 2	
	City	State:		Country:	ZIP+4/Postal Code:
	lf th	is address is a private reside	nce, check this box: 🗖		
G.	Prov	de your <i>Legal Entity Identifier</i>	if you have one:		
	A leg ident		number that companie	s use to identify each other i	n the financial marketplace. You may not have a <i>legal entity</i>
H.	lf yo	u have Central Index Key nur	nbers assigned by the	SEC ("CIK Numbers"), all of	your CIK numbers:
				No Information Filed	
SEC	TION	2 SEC Registration			
Res	ponse	s to this Section help us (and	I you) determine wheth	her you are eligible to regist	er with the SEC.
A.	Secti				stered) with the SEC. You must check at least one of the help you determine whether you may affirmatively respond to
	You	(the <i>relying adviser</i>):			
	V	(1) are a large advisory firn	h that either:		
		(a) has regulatory assets	under management of	\$100 million (in U.S. dollars) or more; or
			under management of gistered with the SEC;	\$90 million (in U.S. dollars)	or more at the time of filing its most recent annual updating
		(2) are a mid-sized advisory million (in U.S. dollars) ar	-	ory assets under manageme	nt of \$25 million (in U.S. dollars) or more but less than \$100
		(a) not required to be rep place of business; or	gistered as an adviser	with the state securities auth	pority of the state where you maintain your principal office and
		(b) not subject to examir	nation by the <i>state secu</i>	urities authority of the state v	where you maintain your <i>principal office and place of business</i> ;
		Click HERE for a list of authority.	f states in which an inve	estment adviser, if registered,	would not be subject to examination by the state securities
		(3) Reserved			
		(4) have your principal office	and place of business o u	utside the United States;	
					is under common <i>control</i> with, an investment adviser that is
		registered with the SEC,	and your <i>principal office</i>	e and place of business is the	same as the registered adviser;
		(6) are an adviser relying or	rule 203A-2(c) becaus	se you expect to be eligible	for SEC registration within 120 days;
		If you check this box, you	u must make both of th	ne representations below:	
		I am not registered o	r required to be regist	ered with the SEC or a state	securities authority and I have a reasonable expectation that I

will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.

- By submitting this Form ADV to the SEC, *the filing adviser* undertakes to file an amendment to this *umbrella registration* to remove this Schedule R if, on the 120th day after this application for *umbrella registration* with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
- (7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);

If this is your initial filing as a relying adviser, you must make both of these representations:

- I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the *state securities authorities* in those states.
- The *filing adviser* undertakes to file an amendment to this *umbrella registration* to remove this Schedule R if, at the time of the *annual updating amendment*, I would be required by the laws of fewer than 15 states to register as an investment adviser with the *state securities authorities* of those states.

If you are submitting your *annual updating amendment*, you must make this representation:

- Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the *state securities authorities* in those states.
- (8) have **received an SEC** *Order* exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:

Application Number: 803- Date of Order:

(9) are **no longer eligible** to remain registered with the SEC.

SECTION 3 Form of Organization

A. How are you organized?

- Corporation
- O Sole Proprietorship
- o Limited Liability Partnership (LLP)
- O Partnership
- Limited Liability Company (LLC)
- C Limited Partnership (LP)
- O Other (specify):
- B. In what month does your fiscal year end each year? DECEMBER

C. Under the laws of what state or country are you organized?

State Country

Delaware United States

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other person that, directly or indirectly, controls you.

(1) Section 4.A. asks for information about your direct owners and executive officers.

(2) Direct Owners and Executive Officers. List below the names of:

(a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;

(b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);
Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-

in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

(c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;

(d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and

(e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.

(3) Do you have any indirect owners to be reported on Section 4.B. below? • Yes • No

- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%
 - A 5% but less than 10% C 25% but less than 50% E 75% or more
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or Status	Date Title or	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First			Status Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS
Name, Middle Name)			ΜΜ/ΥΥΥΥ				Tax No. or Employer ID No.
ELLIOTT MANAGEMENT CORPORATION	DE	SHAREHOLDER	07/2013	C	Y	N	156601
GREENBERG, ELLIOT	I	DIRECTOR, SHAREHOLDER	07/2013	В	Y	N	1250067
KIM, ISAAC, YOUNGHOON	I	MANAGING DIRECTOR	11/2015	NA	N	N	4551446
MAGNAN, JEAN-YVES	I	SHAREHOLDER	08/2020	В	N	Ν	7242973
POLLOCK, JONATHAN, D	1	SHAREHOLDER	07/2015	В	N	N	1619709

SECTION 4.B. Indirect Owners

(1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners

(2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:

(a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more

D - 50% but less than 75% F - Other (general partner, trustee, or elected manager)

(7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.

(b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.

(c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals:	DE/FE/I	Entity in Which	Status	Date Status	Ownership	Control	PR	CRD No. If None: S.S. No. and
Last Name, First Name, Middle		Interest is		Acquired	Code	Person		Date of Birth, IRS Tax No. or
Name)		Owned		ΜΜ/ΥΥΥΥ				Employer I D No.
BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL	GENERAL	09/1986	F	Y	Ν	
		ADVISORS, L.P.	PARTNER					
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL	GENERAL	12/2018	F	Y	N	292231
		ADVISORS, L.P.	PARTNER					
ELLIOTT ASSET MANAGEMENT LLC	DE	ELLIOTT CAPITAL	GENERAL	02/2003	F	Y	N	
		ADVISORS, L.P.	PARTNER					
ELLIOTT CAPITAL ADVISORS, L.P.	DE	ELLIOTT	SHAREHOLDER	10/1994	E	Y	N	292232

		MANAGEMENT CORPORATION						
KAPLAN, MYRON	1	PAUL E. SINGER	TRUSTEE	12/2018	F	Y	N	5907834
		FAMILY TRUST						
PAUL E. SINGER FAMILY TRUST IIH	DE	IIH ELLIOTT CAPITAL		12/2019	С	N	N	
AUE E. SINGERTAMIET INUST III		ADVISORS, L.P.	PARTNER	12/2017				
SINGER, GORDON, MATTHEW	I	PAUL E. SINGER	TRUSTEE	12/2018	F	Y	N	5908245
		FAMILY TRUST						
SINGER, PAUL, ELLIOTT	1	BRAXTON	PRESIDENT,	04/1997	E	Y	N	872317
		ASSOCIATES,	DIRECTOR,					
		INC.	SHAREHOLDER					
SINGER, PAUL, ELLIOTT	1	ELLIOTT ASSET MANAGEMENT	MANAGING MEMBER,	02/2003	E	Y	N	872317
		LLC	PRESIDENT					
SINGER, PAUL, ELLIOTT	I	ELLIOTT	MANAGING	03/2017	E	Y	N	872317
		ADVISORS GP	MEMBER, PRESIDENT					
ECTION 4.C. Control Persons								
								Yes No
C. Does any <i>person</i> not named in	Section 1	.A., Section 4.A., or	Section 4.B. direc	ctly or indirectly	y, <i>control</i> you	ur manag	leme	nt or policies? O 💿
If yes, you must complete the			<i>ontrol person</i> not r	named in Section	on 1.A., Sect	ion 4.A.,	or Se	ection 4.B. that directly or
indirectly controls your manage	ement or p	policies.						
			No Information	ı Filed				
ECTION 4.D. Control Persons - P	ublic Rep	orting Companies						
			No Information	۱ Filed				
ECTION 1 Identifying Information								
Responses to this Section tell us wh		no rolving advisor) a			s and how	wo can c	onta	ct you
							onta	
A. Your full legal name: ELLIOTT ADVISORS (UK) LIMITE	ED							
B. Name under which you primaril	ly conduc ⁴	t your advisory busi	ness, if different f		A. above or	Item 1.A	. of t	he filing adviser's Form ADV Part
1A.		5		rom Section 1.				
17.0		5		rom Section 1.				
	and the j		h you use them. (each oth	er bı	usiness name.
	and the j		h you use them. (No Informati	Complete this o		each oth	er bı	usiness name.
	and the j		-	Complete this o		each oth	er bı	usiness name.
	and the j		-	Complete this o		each oth	er bı	usiness name.
	and the j		-	Complete this o		each oth	er bı	usiness name.
	and the j		-	Complete this o		each oth	er bı	usiness name.
		jurisdictions in whic	No Informati	Complete this o	question for			
C. List any other business names		jurisdictions in whic	No Informati	Complete this o	question for			
 C. List any other business names <i>You do not have to include the r</i> D. If you currently have, or ever h 	names or J nad, a nur	jurisdictions in whic jurisdictions of the fi mber (" <i>CRD</i> Number	No Informati	Complete this of ion Filed	question for	onse to th	nis Se	ection 1.C.
 C. List any other business names You do not have to include the r D. If you currently have, or ever h adviser's CRD number), your CR 	names or J nad, a nur	jurisdictions in whic jurisdictions of the fi mber (" <i>CRD</i> Number	No Informati	Complete this of ion Filed	question for	onse to th	nis Se	ection 1.C.
 C. List any other business names <i>You do not have to include the r</i> D. If you currently have, or ever h 	names or J nad, a nur	jurisdictions in whic jurisdictions of the fi mber (" <i>CRD</i> Number	No Informati	Complete this o ion Filed er relying adviso e FINRA's CRD	question for	onse to th	nis Se	ection 1.C.
 C. List any other business names You do not have to include the r D. If you currently have, or ever h adviser's CRD number), your CR 	names or J nad, a nur	jurisdictions in whic jurisdictions of the fi mber (" <i>CRD</i> Number	No Informati iling adviser or othe ") assigned by the	Complete this o ion Filed er relying adviso e FINRA's CRD	question for	onse to th	nis Se	ection 1.C.
 C. List any other business names You do not have to include the r D. If you currently have, or ever h adviser's CRD number), your CR 	names or J nad, a nur	jurisdictions in whic jurisdictions of the fi mber (" <i>CRD</i> Number	No Informati iling adviser or othe ") assigned by the	Complete this o ion Filed er relying adviso e FINRA's CRD	question for	onse to th	nis Se	ection 1.C.
 C. List any other business names You do not have to include the r D. If you currently have, or ever h adviser's CRD number), your CR 292248 	names or j nad, a nur RD numbe	jurisdictions in whic jurisdictions of the fi mber (" <i>CRD</i> Number r:	No Informati iling adviser or othe ") assigned by the No Informati	Complete this of ion Filed er relying adviso e FINRA's CRD ion Filed	question for er(s) in respo system or by	onse to th y the IAR	nis Se	ection 1.C.
 C. List any other business names You do not have to include the r D. If you currently have, or ever h adviser's CRD number), your CR 292248 	names or j nad, a nur RD numbe	jurisdictions in whic jurisdictions of the fi mber (" <i>CRD</i> Number r:	No Informati iling adviser or othe ") assigned by the No Informati	Complete this of ion Filed er relying adviso e FINRA's CRD ion Filed	question for er(s) in respo system or by	onse to th y the IAR	nis Se	ection 1.C. stem (other than the filing
 C. List any other business names You do not have to include the r D. If you currently have, or ever h adviser's CRD number), your CR 292248 If you do not have a CRD number adviser). 	names or J nad, a nur RD numbe	jurisdictions in whic jurisdictions of the fi mber (" <i>CRD</i> Number r:	No Informati iling adviser or othe ") assigned by the No Informati	Complete this of ion Filed er relying adviso e FINRA's CRD ion Filed	question for er(s) in respo system or by	onse to th y the IAR	nis Se	ection 1.C. stem (other than the filing
 C. List any other business names You do not have to include the r D. If you currently have, or ever h adviser's CRD number), your CR 292248 If you do not have a CRD number adviser). 	names or J nad, a nur RD numbe	jurisdictions in whic jurisdictions of the fi mber (" <i>CRD</i> Number r:	No Informati iling adviser or othe ") assigned by the No Informati	Complete this of ion Filed er relying adviso e FINRA's CRD ion Filed	question for er(s) in respo system or by	onse to th y the IAR	nis Se	ection 1.C. stem (other than the filing
 C. List any other business names You do not have to include the r D. If you currently have, or ever h adviser's CRD number), your CR 292248 If you do not have a CRD number adviser). 	names or j nad, a nur RD numbe er, skip thi iness	jurisdictions in whic jurisdictions of the fi mber (" <i>CRD</i> Number r:	No Informati iling adviser or othe ") assigned by the No Informati	Complete this of ion Filed er relying adviso e FINRA's CRD ion Filed	question for er(s) in respo system or by	onse to th y the IAR	nis Se	ection 1.C. stem (other than the filing

		Same as the <i>filing adviser</i> .				
		Number and Street 1:		Number and Street 2:		
		PARK HOUSE, 6TH FLOOR		116 PARK STREET		
		City: LONDON	State:	Country:	ZIP+4/Postal Code:	
		LUNDON		United Kingdom	W1K 6AF	
		If this address is a private re	sidence, check this	box: 🗖		
	(2)	Days of week that you norma Monday - Friday Other:	ally conduct busines	s at your principal office and place of	business:	
		Normal business hours at thi 8:30AM-5:30PM	s location:			
	(3)	Telephone number at this loc 442030091818	cation:			
	(4)	Facsimile number at this loca 442030091888	tion, if any:			
F.	Mailir	ng address, if different from yc	our principal office an	d place of business address:		
	🗖 S	ame as the <i>filing adviser</i> .				
	N					
	City	ber and Street 1: State:		Number and Street 2: Country:	ZIP+4/Postal Code:	
	City			Country.		
	lf th	is address is a private residen	ce, check this box:			
G.		de your <i>Legal Entity Identifier</i> i 00330P0LU4REML462	f you have one:			
	A leg ident	5	umber that compani	es use to identify each other in the t	financial marketplace. You may not have a <i>legal entity</i>	
	luein	mer.				
H.	If yo	u have Central Index Key num	bers assigned by the	e SEC ("CIK Numbers"), all of your C No Information Filed	IK numbers:	
SEC	TION	2 SEC Registration				
Res	ponse	s to this Section help us (and	you) determine whe	ether you are eligible to register with	the SEC.	
А.	Secti) with the SEC. You must check at least one of the you determine whether you may affirmatively respond to	
		(the <i>relying adviser</i>):				
		(1) are a large advisory firm	that either:			
				of \$100 million (in U.S. dollars) or m	ore: or	
			-			
		(b) has regulatory assets t amendment and is regi	•		re at the time of filing its most recent annual updating	
		(2) are a mid-sized advisory million (in U.S. dollars) and	-	atory assets under management of \$	525 million (in U.S. dollars) or more but less than \$100	
		 (a) not required to be reginate place of business; or 	istered as an advise	r with the state securities authority of	of the state where you maintain your principal office and	
		(b) not subject to examination	ation by the <i>state se</i>	curities authority of the state where	you maintain your <i>principal office and place of business</i> ;	
		Click HERE for a list of s authority.	states in which an inv	vestment adviser, if registered, would	not be subject to examination by the state securities	
		(3) Reserved				
	_	(4) have your principal office a	nd place of husiness	nutside the United States		
	_		1		er common <i>control</i> with, an investment adviser that is	
		registered with the SEC, a	nd your <i>principal offi</i>	ice and place of business is the same	as the registered adviser;	
		(6) are an adviser relying on I	rule 203A-2(c) beca	use you expect to be eligible for SE	C registration within 120 days;	

		I 4.A. Direct Owners and Executive Officers
	If yo	ou are a partnership, provide the name of the state or country under whose laws your partnership was formed.
		United Kingdom
	Sta	ate Country
C.	Und	er the laws of what state or country are you organized?
B.		vhat month does your fiscal year end each year? EMBER
	\odot	Other (specify): PRIVATE COMPANY LIMITED BY SHARES
	0	Limited Partnership (LP)
	0	Limited Liability Company (LLC)
	0	Partnership
	0	Limited Liability Partnership (LLP)
	0	Sole Proprietorship
	0	Corporation
Α.		/ are you organized?
SEC		I 3 Form of Organization
		(9) are no longer eligible to remain registered with the SEC.
		Application Number: 803- Date of <i>Order</i> :
		(8) have received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:
		Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the state securities authorities in those states.
		If you are submitting your annual updating amendment, you must make this representation:
		The filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, at the time of the annual updating amendment, I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states.
		I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
		If this is your initial filing as a relying adviser, you must make both of these representations:
		(7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);
		By submitting this Form ADV to the SEC, <i>the filing adviser</i> undertakes to file an amendment to this <i>umbrella registration</i> to remove this Schedule R if, on the 120th day after this application for <i>umbrella registration</i> with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
		I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
		If you check this box, you must make both of the representations below:

In this Section 4, we ask you to identify each other person that, directly or indirectly, controls you.

(1) Section 4.A. asks for information about your direct owners and executive officers.

(2) Direct Owners and Executive Officers. List below the names of:

- (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
- (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);
- Direct owners include any person that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a person beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sisterin-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
- (c) if you are organized as a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and

- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (3) Do you have any indirect owners to be reported on Section 4.B. below? Yes No
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%
 - A 5% but less than 10% C 25% but less than 50% E 75% or more
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Title or Status	Date Title or Status Acquired MM/YYYY	Ownership Code	Control Person	PR	<i>CRD</i> No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
ELLIOTT ADVISORS HOLDINGS LLC	DE	SHAREHOLDER	06/2015	В	N	N	xxx-xx-xxxx
GUNN, IAIN, ANDREW	I	SHAREHOLDER	10/2004	В	N	N	6024276
HAWKSFORD TRUSTEES JERSEY LIMITED	FE	TRUSTEE OF HUDOS SETTLEMENT	06/2016	В	N	N	
HUDOS SETTLEMENT	FE	SHAREHOLDER	06/2016	В	N	Ν	
LEONARD, CHRISTOPHER, PHILLIP	1	DIRECTOR	02/2020	NA	Υ	Ν	7243038
LEVINE, MARK, NATHAN	I	DIRECTOR, SHAREHOLDER	12/2001	В	N	N	2768206
POLLOCK, JONATHAN, D	1	DIRECTOR, SHAREHOLDER	12/2001	В	N	N	1619709
SINGER, GORDON, MATTHEW	I	CHIEF EXECUTIVE OFFICER	03/2009	NA	Y	N	5908245

SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more
 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals:	DE/FE/I Entity in Which	Status	Date Status	Ownership	Control PF	CRD No. If None: S.S. No. and
Last Name, First Name, Middle	Interest is		Acquired	Code	Person	Date of Birth, IRS Tax No. or

	Owned		ΜΜ/ΥΥΥΥ				Employer ID No.
FE	HUDOS	TRUSTEE	06/2016	F	Y	٦	N
1			02/2015		V		N 872317
	ADVISORS HOLDINGS LLC	MEMBER, PRESIDENT	0372013				N 072317
							Yes
n Section 1	.A., Section 4.A., o	r Section 4.B. c	lirectly or indirec	tly, <i>control</i>	your mar	nage	ment or policies?
		control person r	ot named in Sec	tion 1.A., S	Section 4.	A., o	r Section 4.B. that directly or
NT L.P.							
	Termination [Date					
	Chata		OR	-			
	Florida	•	ates			star c	ode
nce, check	this box: 🗖						
ES HEREIN	I TO EAUK ARE DEE	MED TO BE QU				RIVE	S SUBSTANTIALLY ALL OF ITS
	or ting companies		tion Filed				
/ho you (tł	he <i>relying adviser</i>) a	are, where you	are doing busin	ess, and h	ow we ca	n co	ntact you.
ily conduct	t your advisory bus	iness, if differe	nt from Section	1.A. above	or Item 1	1.A. (of the <i>filing adviser's</i> Form ADV Pa
s and the j	jurisdictions in whi	ch you use the	m. Complete thi	s question	for each	othe	r business name.
		No Inforr	nation Filed				
names or ,	jurisdictions of the t	filing adviser or	other relying adv	riser(s) in re	esponse to	o this	Section 1.C.
	•	r") assigned by	the FINRA's CR	D system o	r by the I	ARD	system (other than the <i>filing</i>
		No Inforr	nation Filed				
	I I I	FE HUDOS I ELLIOTT ADVISORS HOLDINGS LLC I ELLIOTT ADVISORS HOLDINGS LLC I Section 1.A., Section 4.A., or I Information below for each or Import Fermination Information below for each or Import Fermination Import Fermination	FE HUDOS SETTLEMENT TRUSTEE I ELLIOTT ADVISORS HOLDINGS LLC MANAGING MEMBER, PRESIDENT n Section 1.A., Section 4.A., or Section 4.B. or information below for each control person memers or policies. Number a 18 NT L.P. Termination Date Number a 18TH FLOI State Countrol: ("EAUK") IS A RELATED PERSON OF THE FILLI VISER AND/OR ONE OR MORE OF ITS AFFILIA ES HEREIN TO EAUK ARE DEEMED TO BE QUA State Florida Number a 18 Public Reporting Companies No Informa and the jurisdictions in which you use the No Informa No Informa and the jurisdictions of the filing adviser or had, a number (" <i>CRD</i> Number") assigned by <i>CRD</i> number:	FE HUDOS TRUSTEE 06/2016 I ELLIOTT MANAGING 03/2015 ADVISORS MEMBER, PRESIDENT 03/2015 I ELLIOTT MANAGING 03/2015 I ELLIOTT MANAGING 03/2015 I Decision PRESIDENT 03/2015 I ELLIOTT MANAGING 03/2015 Information 1.A., Section 4.A., or Section 4.B. directly or indirect Information below for each control person not named in Section in policies. Information below for each control person not named in Section in policies. Number and Street 2 IST L.P. Termination Date Number and Street 2 IST L.P. Termination Date Country Florida United States Country IST A RELATED PERSON OF THE FILING ADVISER AND/OR ONE OR MORE OF ITS AFFILIATES PURSUANT ES HEREIN TO EAUK ARE DEEMED TO BE QUALIFIED BY THIS Public Reporting Companies No Information Filed Date Ity conduct your advisory business, if different from Section s and the jurisdictions in which you use them. Complete thi No Information Filed Ity conduct your advisory business, if different from Section Stand the jurisdictions of the filing adviser o	FE HUDOS TRUSTEE 06/2016 F I ELLIOTT MANAGING 03/2015 E ADVISORS MEMBER, PRESIDENT Colored and an anticle and anticle anticle anticle and anticle anti	FE HUDOS TRUSTEE 06/2016 F Y 1 ELITOTT MANAGING 02/2015 F Y absolution ADVISORS MEMBER, P 02/2015 F Y absolution ADVISORS MERSION ADVISOR ADVISORS ADVISOR absolution Advisor Advisor <td< td=""><td>FE HUDOS SETTIEMENT TRUSTEE 06/2016 F Y 1 I ELLIOTT ADVISORS MANAGING ADVISORS 03/2015 E Y I I Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your manage information below for each control person not named in Section 1.A., Section 4.A., o period or policies. INT L.P. Termination Date III FLOOR State Country 21P=4/Postal C Florida United States 33401 nee, check this box: E III FLOOR CAUKY IS A RELATED PERSON OF THE FILING ADVISER AND A RELYING ADVISER BY VISER AND/OR ONE OR MORE OF ITS AFFILIATES PURSUANT TO WHICH EAUK DERIVE IS HEREIN TO EAUK ARE DEEMED TO BE QUALIFIED BY THIS STATEMENT. Public Reporting Companies III Formation Filed III your advisory business, If different from Section 1.A. above or Hem 1.A. of a and the jurisdictions in which you use them. Complete this question for each other No Information Filed III required adviser(s) in response to this and the jurisdictions of the filing adviser or other relying adviser(s) in response to this RP number:</td></td<>	FE HUDOS SETTIEMENT TRUSTEE 06/2016 F Y 1 I ELLIOTT ADVISORS MANAGING ADVISORS 03/2015 E Y I I Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your manage information below for each control person not named in Section 1.A., Section 4.A., o period or policies. INT L.P. Termination Date III FLOOR State Country 21P=4/Postal C Florida United States 33401 nee, check this box: E III FLOOR CAUKY IS A RELATED PERSON OF THE FILING ADVISER AND A RELYING ADVISER BY VISER AND/OR ONE OR MORE OF ITS AFFILIATES PURSUANT TO WHICH EAUK DERIVE IS HEREIN TO EAUK ARE DEEMED TO BE QUALIFIED BY THIS STATEMENT. Public Reporting Companies III Formation Filed III your advisory business, If different from Section 1.A. above or Hem 1.A. of a and the jurisdictions in which you use them. Complete this question for each other No Information Filed III required adviser(s) in response to this and the jurisdictions of the filing adviser or other relying adviser(s) in response to this RP number:

If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the filing adviser).

E.	Princi	ipal Office and Place of Busin	iess				
	(1)	Address (do not use a P.C). Box):				
		Same as the filing advis	ser.				
		Number and Street 1: 500 FIFTH AVENUE		Number and Street 2:			
		City: NEW YORK	State: New York	Country: United States	ZIP+4/Pc 10110	ostal Code:	
		If this address is a private	e residence, check this b	box:			
	(2)	Days of week that you no ⊙Monday - Friday ⊖Oth	•	s at your <i>principal office and</i> p	place of business:		
		Normal business hours at 8:30AM-5:30PM	this location:				
	(3)	Telephone number at this (212) 974-6000	location:				
	(4)	Facsimile number at this lo	ocation, if any:				
F.	Mailir	ng address, if different from	your principal office and	<i>f place of business</i> address:			
	🗖 S	ame as the <i>filing adviser</i> .					
	Num	nber and Street 1:		Number and Street 2	2:		
	City:	stat	e:	Country:	ZIP+4/Postal Co	ode:	
	lf th	is address is a private resid	dence, check this box: 🕻	5			
G.	Provi	de your <i>Legal Entity Identifi</i>	<i>ier</i> if you have one:				
	A leg ident	3	e number that companie	es use to identify each other	in the financial marketp	place. You may not have a <i>legal</i>	l entity
H.	lf you	u have Central Index Key n	umbers assigned by the	SEC ("CIK Numbers"), all of No Information File	•		
SEC	TION	2 SEC Registration					
Res	ponse	s to this Section help us (a	nd you) determine whe	ther you are eligible to regis	ter with the SEC.		
Α.	Secti					You must check at least one of vhether you may affirmatively re	
	You ((the <i>relying adviser</i>):					
	V	(1) are a large advisory fi	rm that either:				
		(a) has regulatory asse	ts under management o	f \$100 million (in U.S. dollar	s) or more; or		
			ts under management o egistered with the SEC) or more at the time of	f filing its most recent <i>annual up</i>	dating
		(2) are a mid-sized adviso million (in U.S. dollars)		tory assets under managem	ent of \$25 million (in U.	.S. dollars) or more but less that	n \$100
		(a) not required to be r place of business; or	•	with the <i>state securities au</i>	<i>thority</i> of the state wher	re you maintain your <i>principal o</i> i	ffice and
		(b) not subject to exam	nination by the <i>state sec</i>	curities authority of the state	where you maintain yo	our principal office and place of bu	usiness;
		Click HERE for a list	of states in which an inv	estment adviser, if registered	, would not be subject to	o examination by the state secur	ities

authority.

(3)	Reserved
(3)	Reserveu

- (4) have your principal office and place of business outside the United States;
- (5) are a related adviser under rule 203A-2(b) that controls, is controlled by, or is under common control with, an investment adviser that is registered with the SEC, and your principal office and place of business is the same as the registered adviser;
- (6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;

If you check this box, you must make both of the representations below:

- I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
- By submitting this Form ADV to the SEC, *the filing adviser* undertakes to file an amendment to this *umbrella registration* to remove this Schedule R if, on the 120th day after this application for *umbrella registration* with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
- (7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);

If this is your initial filing as a relying adviser, you must make both of these representations:

- I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
- The *filing adviser* undertakes to file an amendment to this *umbrella registration* to remove this Schedule R if, at the time of the *annual updating amendment*, I would be required by the laws of fewer than 15 states to register as an investment adviser with the *state securities authorities* of those states.
- If you are submitting your annual updating amendment, you must make this representation:
- Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the *state securities authorities* in those states.
- (8) have received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:

Application Number: 803- Date of Order:

(9) are no longer eligible to remain registered with the SEC.

SECTION 3 Form of Organization

- A. How are you organized?
 - O Corporation
 - Sole Proprietorship
 - Limited Liability Partnership (LLP)
 - O Partnership
 - C Limited Liability Company (LLC)
 - Limited Partnership (LP)
 - Other (specify):
- B. In what month does your fiscal year end each year? DECEMBER

C. Under the laws of what state or country are you organized? State Country Delaware United States

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other *person* that, directly or indirectly, *controls* you.

(1) Section 4.A. asks for information about your direct owners and executive officers.

- (2) Direct Owners and Executive Officers. List below the names of:
 - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
 - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);
 Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild,

grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sisterin-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (3) Do you have any indirect owners to be reported on Section 4.B. below? OYes oNo
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5%

 NA - less than 5%
 B - 10% but less than 25%
 D - 50% but less than 75%

 A - 5% but less than 10%
 C - 25% but less than 50%
 E - 75% or more

- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.

(c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or	Date Title or Status	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First		Status	Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS Tax
Name, Middle Name)			ΜΜ/ΥΥΥΥ				No. or Employer ID No.
KAPLAN, MYRON	1	MANAGER	02/2011	NA	Y	Ν	5907834
LEDLEY, JAMES, R	1	MANAGER	02/2011	NA	Y	Ν	5715222
SINGER, GORDON, MATTHEW	Ι	PARTNER	02/2011	E	Υ	Ν	5908245

SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more

D - 50% but less than 75% F - Other (general partner, trustee, or elected manager)

- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

No Information Filed

C. Does any *person* not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, *control* your management or policies?

If yes, you must complete the information below for each *control person* not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly *controls* your management or policies.

Firm or Organization Name ELLIOTT INVESTMENT MANAGEMENT L.P. CRD Number (if any) 307151 Effective Date Termination Date 09/16/2019 Business Address: Number and Street 1 Number and Street 2 360 S. ROSEMARY AVE 18TH FLOOR Citv State Country ZIP+4/Postal Code WEST PALM BEACH Florida United States 33401 If this address is a private residence, check this box: \square Briefly describe the nature of the control: EALL LLP ("EALL") IS A RELATED PERSON OF THE FILING ADVISER AND A RELYING ADVISER BY VIRTUE OF A SERVICES AGREEMENT WITH THE FILING ADVISER AND/OR ONE OR MORE OF ITS AFFILIATES PURSUANT TO WHICH EALL DERIVES SUBSTANTIALLY ALL OF ITS REVENUE STREAM. ALL REFERENCES HEREIN TO EALL ARE DEEMED TO BE QUALIFIED BY THIS STATEMENT. SECTION 4.D. Control Persons - Public Reporting Companies No Information Filed SECTION 1 Identifying Information Responses to this Section tell us who you (the relying adviser) are, where you are doing business, and how we can contact you. Α. Your full legal name: GINSBERG AGGREGATOR GP LLC B. Name under which you primarily conduct your advisory business, if different from Section 1.A. above or Item 1.A. of the *filing adviser's* Form ADV Part 1A. C. List any other business names and the jurisdictions in which you use them. Complete this question for each other business name. No Information Filed You do not have to include the names or jurisdictions of the filing adviser or other relying adviser(s) in response to this Section 1.C. D. If you currently have, or ever had, a number ("CRD Number") assigned by the FINRA's CRD system or by the IARD system (other than the filing adviser's CRD number), your CRD number: 292265 No Information Filed If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the filing adviser). E. Principal Office and Place of Business (1) Address (do not use a P.O. Box):

• •

		Same as the filir	ng adviser.			
		Number and Street 360 S. ROSEMARY			Number and Street 2 18TH FLOOR	
		City: WEST PALM BEACH	ł	State: Florida	Country: United States	ZIP+4/Postal Code: 33401
		If this address is a	private residence, check	< this box: 🗖		
	(2)	⊙ Monday - Friday	• •	usiness at your µ	principal office and place of	f business:
	(3)	Telephone number (212) 974-6000	at this location:			
	(4)	Facsimile number a	at this location, if any:			
F.	Mail	ing address, if differe	nt from your <i>principal off</i>	fice and place of l	business address:	
		Same as the <i>filing ad</i> v	viser.			
	Nur	mber and Street 1:		Ν	umber and Street 2:	
	City		State:		ountry:	ZIP+4/Postal Code:
	lf t	his address is a priva	te residence, check this	box: 🗖		
G.	Prov	vide your <i>Legal Entity</i>	Identifier if you have one	e:		
		gal entity identifier is a tifier.	a unique number that co	mpanies use to i	identify each other in the	e financial marketplace. You may not have a <i>legal entity</i>
H.	lf yo	ou have Central Index	K Key numbers assigned	•	K Numbers"), all of your No Information Filed	CIK numbers:
SEC	TION	2 SEC Registration				
Res	ponse	es to this Section help	p us (and you) determin	e whether you a	are eligible to register wi	th the SEC.
A.	Sect					ed) with the SEC. You must check at least one of the o you determine whether you may affirmatively respond to
		(the relying adviser):				
	\checkmark	(1) are a large advi	sory firm that either:			
		(a) has regulator	ry assets under manager	ment of \$100 mi	llion (in U.S. dollars) or	more; or
			ry assets under manager and is registered with th		ion (in U.S. dollars) or m	ore at the time of filing its most recent annual updating
			advisory firm that has ollars) and you are eithe		ts under management of	\$25 million (in U.S. dollars) or more but less than \$100
		(a) not required place of busin	•	adviser with the	state securities authority	of the state where you maintain your principal office and
		(b) not subject t	o examination by the st	ate securities au	thority of the state wher	e you maintain your principal office and place of business;
		Click HERE fo authority.	or a list of states in which	an investment a	dviser, if registered, wou	d not be subject to examination by the state securities
		(3) Reserved				
		(4) have your princip	pal office and place of bus	iness outside th	e United States;	
		• •			3	der common <i>control</i> with, an investment adviser that is e as the registered adviser;
		(6) are an adviser r	elying on rule 203A-2(c)	because you ex	spect to be eligible for S	EC registration within 120 days;

If you check this box, you must make both of the representations below:

- I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
- By submitting this Form ADV to the SEC, *the filing adviser* undertakes to file an amendment to this *umbrella registration* to remove this Schedule R if, on the 120th day after this application for *umbrella registration* with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
- (7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);

If this is your initial filing as a relying adviser, you must make both of these representations:

- I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the *state securities authorities* in those states.
- The *filing adviser* undertakes to file an amendment to this *umbrella registration* to remove this Schedule R if, at the time of the *annual updating amendment*, I would be required by the laws of fewer than 15 states to register as an investment adviser with the *state securities authorities* of those states.
- If you are submitting your *annual updating amendment*, you must make this representation:
- Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the *state securities authorities* in those states.
- (8) have received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:

Application Number: 803- Date of Order:

 \square (9) are **no longer eligible** to remain registered with the SEC.

SECTION 3 Form of Organization

- A. How are you organized?
 - Corporation
 - O Sole Proprietorship
 - Limited Liability Partnership (LLP)
 - O Partnership
 - Limited Liability Company (LLC)
 - C Limited Partnership (LP)
 - Other (specify):
- B. In what month does your fiscal year end each year? DECEMBER
- C. Under the laws of what state or country are you organized?
 - State Country

Delaware United States

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other *person* that, directly or indirectly, *controls* you.

(1) Section 4.A. asks for information about your direct owners and executive officers.

- (2) Direct Owners and Executive Officers. List below the names of:
 - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;

(b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);
 Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of

Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed,

5% or more of your capital, and (ii) if managed by elected managers, all elected managers.

- (3) Do you have any indirect owners to be reported on Section 4.B. below? Yes No
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B - 10% but less than 25% D - 50% but less than 75%
 - A 5% but less than 10% C 25% but less than 50% E 75% or more
- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or	Date Title or Status	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First		Status	Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS Tax
Name, Middle Name)			ΜΜ/ΥΥΥΥ				No. or Employer ID No.
ELLIOTT ADVISORS HOLDINGS LLC	DE	MANAGING	12/2017	E	Y	N	xxx-xx-xxxx
		MEMBER					
SINGER, PAUL, ELLIOTT	I	PRESIDENT	12/2017	NA	Υ	Ν	872317

SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a person beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are:
- C 25% but less than 50% E 75% or more
- D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle	DE/FE/I	Entity in Which Interest is	Status	Date Status Acquired	-	Control Person		<i>CRD</i> No. If None: S.S. No. and Date of Birth, IRS Tax No. or
Name)		Owned		ΜΜ/ΥΥΥΥ				Employer ID No.
SINGER, PAUL, ELLIOTT	I	ELLIOTT ADVISORS	MANAGING MEMBER,	03/2015	E	Y	N	872317
		HOLDINGS LLC	PRESIDENT					

SECTION 4.C. Control Persons

Does any person not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies? C.

Yes No \odot

0

			N	o Information Filed	
EC	TION	4.D. Control Persons - Public Report	ing Companies		
			N	lo Information Filed	
EC	TION	1 Identifying Information			
les	ponse	s to this Section tell us who you (the r	elying adviser) are, v	where you are doing busine	ss, and how we can contact you.
A .		full legal name: BLEDON MANAGEMENT LLC			
3.	Name 1A.	e under which you primarily conduct yo	ur advisory business	s, if different from Section 1.	A. above or Item 1.A. of the <i>filing adviser's</i> Form ADV Pa
.	List a	any other business names and the juris	sdictions in which ye	ou use them. Complete this	question for each other business name.
				No Information Filed	
	advis		ection 1.D. Do not pr	No Information Filed ovide the CRD number of one	of your officers, employees, or affiliates (including the fil
	(1)	Address (do not use a P.O. Box):			
		Same as the <i>filing adviser</i> .			
				Number and Street 2:	
		Number and Street 1: 360 S. ROSEMARY AVE City:	State:	18TH FLOOR Country:	ZIP+4/Postal Code:
		360 S. ROSEMARY AVE	Florida		ZIP+4/Postal Code: 33401
	(2)	360 S. ROSEMARY AVE City: WEST PALM BEACH	Florida check this box: 🗖 luct business at your	Country: United States	33401
	(2)	 360 S. ROSEMARY AVE City: WEST PALM BEACH If this address is a private residence, Days of week that you normally cond Monday - Friday Other: Normal business hours at this location 	Florida check this box: 🗖 luct business at your	Country: United States	33401
		 360 S. ROSEMARY AVE City: WEST PALM BEACH If this address is a private residence, Days of week that you normally cond Monday - Friday Other: Normal business hours at this location 8: 30AM-5: 30PM Telephone number at this location: 	Florida check this box: luct business at your n:	Country: United States	33401
	(3) (4)	 360 S. ROSEMARY AVE City: WEST PALM BEACH If this address is a private residence, Days of week that you normally cond Monday - Friday Other: Normal business hours at this location 8: 30AM-5: 30PM Telephone number at this location: (212) 974-6000 	Florida check this box: luct business at your n: ny:	Country: United States	33401
	(3) (4) Mailir	 360 S. ROSEMARY AVE City: WEST PALM BEACH If this address is a private residence, Days of week that you normally cond Monday - Friday Other: Normal business hours at this location 8: 30AM-5: 30PM Telephone number at this location: (212) 974-6000 Facsimile number at this location, if an another strain of the strain of the	Florida check this box: luct business at your n: ny:	Country: United States	33401
F.	(3) (4) Maillin	 360 S. ROSEMARY AVE City: WEST PALM BEACH If this address is a private residence, Days of week that you normally cond Monday - Friday Other: Normal business hours at this location 8: 30AM-5: 30PM Telephone number at this location: (212) 974-6000 Facsimile number at this location, if an ng address, if different from your princip 	Florida check this box: luct business at your n: ny: pal office and place of	Country: United States	33401

If this address is a private residence, check this box: \square

G. Provide your *Legal Entity Identifier* if you have one:

A *legal entity identifier* is a unique number that companies use to identify each other in the financial marketplace. You may not have a *legal entity identifier*.

H. If you have Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers: No Information Filed

SECTION 2 SEC Registration

Responses to this Section help us (and you) determine whether you are eligible to register with the SEC.

A. To be a *relying adviser*, you must be independently eligible to register (or remain registered) with the SEC. You must check **at least one** of the Sections 2.A.(1) through 2.A.(8), below. Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to each of these items.

You (the *relying adviser*):

(1) are a **large advisory firm** that either:

- (a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or
- (b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent *annual updating amendment* and is registered with the SEC;
- (2) are a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either:
 - (a) not required to be registered as an adviser with the *state securities authority* of the state where you maintain your *principal office and place of business*; or
 - (b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;

Click **HERE** for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.

(3) Reserved

- (4) have your principal office and place of business outside the United States;
- (5) are a related adviser under rule 203A-2(b) that controls, is controlled by, or is under common control with, an investment adviser that is registered with the SEC, and your principal office and place of business is the same as the registered adviser;
- (6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;

If you check this box, you must make both of the representations below:

- I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
- By submitting this Form ADV to the SEC, *the filing adviser* undertakes to file an amendment to this *umbrella registration* to remove this Schedule R if, on the 120th day after this application for *umbrella registration* with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
- (7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);

If this is your initial filing as a relying adviser, you must make both of these representations:

- □ I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the *state securities authorities* in those states.
- The *filing adviser* undertakes to file an amendment to this *umbrella registration* to remove this Schedule R if, at the time of the *annual updating amendment*, I would be required by the laws of fewer than 15 states to register as an investment adviser with the *state securities authorities* of those states.
- If you are submitting your annual updating amendment, you must make this representation:
- Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the *state securities authorities* in those states.
- (8) have received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:

Application Number: 803- Date of Order:

(9) are no longer eligible to remain registered with the SEC.

SECTION 3 Form of Organization

- How are you organized?
 - O Corporation
 - Sole Proprietorship
 - C Limited Liability Partnership (LLP)
 - O Partnership
 - Limited Liability Company (LLC)
 - Limited Partnership (LP)
 - O Other (specify):
- B. In what month does your fiscal year end each year? DECEMBER
- C. Under the laws of what state or country are you organized? State Country

Delaware United States

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

SECTION 4.A. Direct Owners and Executive Officers

In this Section 4, we ask you to identify each other person that, directly or indirectly, controls you.

- (1) Section 4.A. asks for information about your direct owners and executive officers.
- (2) Direct Owners and Executive Officers. List below the names of:

(a) each Chief Executive Officer	, Chief Financial Officer,	Chief Operations Off	cer, Chief Legal Officer	, director and any other	individuals with similar
status or functions;					

(b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);

Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (3) Do you have any indirect owners to be reported on Section 4.B. below? Yes No
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).

(6) Ownership codes are: NA - less than 5% B - 10% but less than 25% D - 50% but less than 75%

- A 5% but less than 10% C 25% but less than 50% E 75% or more
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.

(b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.

(c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Title or Status	Date Title or Status Acquired MM/YYYY	•	Control Person		<i>CRD</i> No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
HAMBLEDON, INC.	FE	MANAGING MEMBER	09/2019	E	Y	N	292242
SINGER, PAUL, ELLIOTT	I	PRESIDENT	09/2019	NA	Υ	Ν	872317

SECTION 4.B. Indirect Owners

(1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners

- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25%
- C 25% but less than 50% E 75% or more
 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Entity in Which Interest is Owned		Date Status Acquired MM/YYYY	Ownership Code	Control Person		<i>CRD</i> No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	09/1986	F	Y	N	
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	12/2018	F	Y	N	292231
ELLIOTT ASSET MANAGEMENT LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	02/2003	F	Y	N	
ELLIOTT CAPITAL ADVISORS, L.P.	DE	HAMBLEDON, INC.	CLASS C SHAREHOLDER	11/2019	E	N	N	292232
ELLIOTT INTERNATIONAL ADVISORS GP LLC	DE	ELLIOTT INTERNATIONAL SPECIAL GP, LLC	MANAGER, CLASS A MEMBER	12/2018	D	Y	N	
ELLIOTT INTERNATIONAL LIMITED	FE	HAMBLEDON, INC.	CLASS B SHAREHOLDER	12/2010	E	N	N	
ELLIOTT INTERNATIONAL SPECIAL GP, LLC	FE	HAMBLEDON, INC.	CLASS A SHAREHOLDER	11/2019	E	Y	N	
KAPLAN, MYRON	I	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5907834
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MEMBER	12/2018	E	N	N	
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	12/2019	С	N	N	
SINGER, GORDON, MATTHEW	1	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5908245
SINGER, PAUL, ELLIOTT	1	ELLIOTT INTERNATIONAL SPECIAL GP, LLC	MEMBER, PRESIDENT	01/2019	F	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	12/2018	F	Y	N	872317
SINGER, PAUL, ELLIOTT	1	BRAXTON ASSOCIATES, INC.	PRESIDENT, DIRECTOR, SHAREHOLDER	04/1997	E	Y	N	872317
SINGER, PAUL, ELLIOTT	1	ELLIOTT ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	03/2017	E	Y	N	872317

SINGER,	PAUL, ELLIOTT	I ELLIOTT AS		MANAGING MEMBER, PRESIDENT	02/2003	E	Y	N 8	872317
SECTION	N 4.C. Control Persons								
									Yes No
C. Doe	es any <i>person</i> not named	in Section 1.A., Section	4.A., or 3	Section 4.B. directly	or indirectly, c	<i>ontrol</i> your m	nanagem	nent (or policies? O O
-	es, you must complete th rectly <i>controls</i> your mana		r each <i>co</i>	<i>ntrol person</i> not na	med in Section ?	1.A., Section	4.A., or	Secti	ion 4.B. that directly or
				No Information F	iled				
SECTION	N 4.D. Control Persons -	Public Reporting Com	panies						
				No Information F	iled				
SECTION	1 Identifying Informat	ion							
•	es to this Section tell us	who you (the <i>relying ac</i>	dviser) ar	e, where you are d	oing business,	and how we	can con	tact y	you.
	r full legal name: IFL LLC								
B. Nan 1A.	ne under which you prima	rily conduct your advis	ory busin	ess, if different fro	m Section 1.A. a	above or Iten	n 1.A. of	f the	filing adviser's Form ADV Part
C. List	any other business name	es and the jurisdictions	in which	n you use them. Co	mplete this que	stion for eac	h other	busir	ness name.
				No Information	Filed				
D. If yo adv	do not have to include the ou currently have, or even diser's CRD number), your 1380	had, a number (" <i>CRD</i>		-	FINRA's CRD sys				
				NO INIOIMATION	Flied				
-	ou do not have a CRD num iser).	ber, skip this Section 1.	D. Do noi	t provide the CRD n	Imber of one of	your officers,	employe	ees, c	or affiliates (including the filing
E. Prin	ncipal Office and Place of Bu	usiness							
(1)	Address (do not use a	P.O. Box):							
	Same as the filing a	dviser.							
	Number and Street 1: 360 S. ROSEMARY AVE			18TH FLOC	nd Street 2: DR				
	City: WEST PALM BEACH		State: Florida	Country: United Sta	tes	ZIP+ 3340	4/Postal 1	l Cod	e:
	If this address is a priv	vate residence, check tl	his box: I						
(2)	Days of week that you ⓒ Monday - Friday ⓒ (5	ness at y	our principal office	and place of bus	iness:			
	Normal business hours 8: 30AM-5: 30PM	at this location:							
(3)	Telephone number at t	this location:							

(3) Telephone number at this location: (561) 884-8800

	(4)	Fa	acsimile number at	t this location, if any:	
F.	Mail	ing	address, if differen	nt from your <i>principal office a</i>	and place of business address:
		Sam	e as the <i>filing advi</i>	iser.	
	Nu City		r and Street 1:	State:	Number and Street 2:Country:ZIP+4/Postal Code:
	lft	his	address is a privat	te residence, check this box	
G.	Pro	∕ide	your <i>Legal Entity I</i>	Identifier if you have one:	
	A leg iden	0	5	unique number that compa	nies use to identify each other in the financial marketplace. You may not have a <i>legal entity</i>
H.	lf yo	ou h	ave Central Index	Key numbers assigned by t	he SEC ("CIK Numbers"), all of your CIK numbers: No Information Filed
			SEC Registration		
					hether you are eligible to register with the SEC.
A.	Sect eact	tion: h of			igible to register (or remain registered) with the SEC. You must check at least one of the fuction 2 provides information to help you determine whether you may affirmatively respond to
	V	(1)	are a large advis	sory firm that either:	
					t of \$100 million (in U.S. dollars) or more; or
			(b) has regulatory		t of \$90 million (in U.S. dollars) or more at the time of filing its most recent annual updating
		(2)		advisory firm that has reguoilars) and you are either:	ulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100
			(a) not required t place of busine	-	ser with the state securities authority of the state where you maintain your principal office and
			(b) not subject to	o examination by the <i>state</i> s	securities authority of the state where you maintain your principal office and place of business;
			Click HERE for authority.	r a list of states in which an i	investment adviser, if registered, would not be subject to examination by the state securities
		(3)	Reserved		
		(4)	have your principa	al office and place of busines.	s outside the United States;
		(5)			that <i>controls</i> , is <i>controlled</i> by, or is under common <i>control</i> with, an investment adviser that is <i>office and place of business</i> is the same as the registered adviser;
	Γ	(6)	are an adviser re	elying on rule 203A-2(c) bec	ause you expect to be eligible for SEC registration within 120 days;
			If you check this	box, you must make both c	of the representations below:
					gistered with the SEC or a state securities authority and I have a reasonable expectation that I vithin 120 days after the date my registration with the SEC becomes effective.
			Schedule R if,	-	<i>the filing adviser</i> undertakes to file an amendment to this <i>umbrella registration</i> to remove this application for <i>umbrella registration</i> with the SEC becomes effective, I would be prohibited by registering with the SEC.
		(7)	are a multi-state	e adviser that is required to	register in 15 or more states and is relying on rule 203A-2(d);
			If this is your initi	ial filing as a relying advise	r, you must make both of these representations:
					I federal laws and have concluded that I am required by the laws of 15 or more states to
					ne state securities authorities in those states.
			updating ame		nendment to this <i>umbrella registration</i> to remove this Schedule R if, at the time of the <i>annual</i> d by the laws of fewer than 15 states to register as an investment adviser with the <i>state</i>
			If you are submitt	ting your annual updating ar	mendment, you must make this representation:

	Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the state securities authorities in those states.
	(8) have received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:
	Application Number: 803- Date of Order:
	(9) are no longer eligible to remain registered with the SEC.
SEC	TION 3 Form of Organization
A.	How are you organized?
	Corporation
	Sole Proprietorship
	C Limited Liability Partnership (LLP)
	O Partnership
	Limited Liability Company (LLC)
	C Limited Partnership (LP)
	Other (specify):
B.	In what month does your fiscal year end each year? DECEMBER
C.	Under the laws of what state or country are you organized?
	State Country
	Delaware United States
	If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.
	CTION 4.A. Direct Owners and Executive Officers
In t	this Section 4, we ask you to identify each other <i>person</i> that, directly or indirectly, <i>controls</i> you.
	Section 4.A. asks for information about your direct owners and executive officers. Direct Owners and Executive Officers. List below the names of:
	(a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
	 (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act); Direct owners include any <i>person</i> that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a <i>person</i> beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
	(c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
	(d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
(0)	 (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
	Do you have any indirect owners to be reported on Section 4.B. below? [•] Yes [•] No
(4)	In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
(5)	Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder,
(6)	or member; and for shareholders or members, the class of securities owned (if more than one is issued). Ownership codes are: NA - less than 5% B - 10% but less than 25% D - 50% but less than 75%
(7)	A - 5% but less than 10% C - 25% but less than 50% E - 75% or more (a) In the Captral Person column, onter "Vec" if the person has captral as defined in the Classony of Terms to Form ADV, and onter "Ne" if the person
(7)	(a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
	(b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
	(c) Complete each column.
	Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

	FULL LEGAL NAME	DE/FE/I	Title or	Date Title or Status	Ownership	Control	PR	CRD No.	Π
	(Individuals: Last Name, First		Status	Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS Tax	
	Name, Middle Name)			ΜΜ/ΥΥΥΥ				No. or Employer ID No.	
	ELLIOTT INVESTMENT MANAGEMENT	DE	MANAGING	10/2020	E	Y	Ν	307151	
	L.P.		MEMBER						
	SINGER, PAUL, ELLIOTT	I	PRESIDENT	10/2020	NA	Y	Ν	872317	
ľ									1
	SECTION 4.B. Indirect Owners								1

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a person beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).

(6) Ownership codes are: C - 25% but less than 50% E - 75% or more

- D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle Name)	DE/FE/I	Entity in Which Interest is Owned	Status	Date Status Acquired MM/YYYY	•	Control I Person		<i>CRD</i> No. If None: S.S. No. and Date of Birth, IRS Tax No. or Employer ID No.
BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	09/1986	F	Y	N	
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	12/2018	F	Y	N	292231
ELLIOTT ASSET MANAGEMENT LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	02/2003	F	Y	N	
ELLIOTT CAPITAL ADVISORS, L.P.	DE	ELLIOTT INVESTMENT MANAGEMENT L.P.	LIMITED PARTNER	09/2019	С	N	N	292232
ELLIOTT INTERNATIONAL ADVISORS GP LLC	DE	ELLIOTT INVESTMENT MANAGEMENT L.P.	LIMITED PARTNER	09/2019	D	N	N	
ELLIOTT INVESTMENT MANAGEMENT GP LLC	DE	ELLIOTT INVESTMENT MANAGEMENT L.P.	GENERAL PARTNER	09/2019	F	Y	N	XXX-XX-XXXX
KAPLAN, MYRON	1	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5907834
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MEMBER	12/2018	E	N	N	
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	12/2019	С	N	N	
SINGER, GORDON, MATTHEW	1	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5908245
SINGER, PAUL, ELLIOTT	1	ELLIOTT INTERNATIONAL	MANAGING MEMBER,	12/2018	F	Y	N	872317

SINGER, PAUL, ELLIOTT	ADVISORS GP	MANAGING MEMBER,	09/2019	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I ELLIOTT ASSE MANAGEMENT		02/2003	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I BRAXTON ASSOCIATES,	PRESIDENT,	04/1997	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I ELLIOTT ADVIS	SORS MANAGING MEMBER, PRESIDENT	03/2017	E	Y	N	872317
ECTION 4.C. Control Person							
	ed in Section 1.A., Section 4.A e the information below for ea anagement or policies.			-	-		
		No Information I	Filed				
ECTION 4.D. Control Person	as - Public Penarting Compo	nies					
-CITON 4.D. Control Person	s - Public Reporting Compa	No Information I	Filed				
			Filed				
ECTION 1 Identifying Inform	nation						
 A. Your full legal name: EIMCT LLC B. Name under which you pri 1A. 	marily conduct your advisory	business, if different fro	om Section 1.	A. above or I	tem 1.A.	of th	e <i>filing adviser's</i> Form ADV Par
C. List any other business na	ames and the jurisdictions in	which you use them. C	omplete this c	question for e	each othe	er bus	siness name.
		No Informatio	n Filed				
 D. If you currently have, or e adviser's CRD number), yo 	<i>the names or jurisdictions of</i> ever had, a number (" <i>CRD</i> Num our <i>CRD</i> number:	-					
312162		No Informatio	n Filod				
If you do not have a CRD n adviser).	umber, skip this Section 1.D.	Do not provide the CRD r	number of one	of your office	ers, emplo	oyees,	, or affiliates (including the filin
. Principal Office and Place of	f Business						
(1) Address (do not use	e a P.O. Box):						
Same as the filing	g adviser.						
Number and Street							
600 STEAMBOAT RO City:		Number and 3RD FLOOR Country:		חוק	+4/Posta		10.

		GREENWICH	Connecticut	United States	06830	
	Ŀ	f this address is a private	residence, check this box	🗖		
(2	•	Days of week that you nor Monday - Friday Othe	5	t your principal office and pla	ce of business:	
	N	Normal business hours at 1 3:30AM-5:30PM				
(3		Telephone number at this (212) 974-6000	location:			
(4	4) F	acsimile number at this lo	cation, if any:			
F. Ma	lailing	address, if different from	your principal office and pl	lace of business address:		
Γ	Sam	ne as the <i>filing adviser</i> .				
Ν	Numbe	er and Street 1:		Number and Street 2:		
	City:	State	2:	Country:	ZIP+4/Postal Code:	
11	f this	address is a private reside	ence, check this box: \square			
G. Pr	rovide	e your <i>Legal Entity Identifie</i>	er if you have one:			
	legal Ientifie		e number that companies ι	use to identify each other ir	n the financial marketplace. You may not have a <i>legal entity</i>	
H. If	you h	nave Central Index Key nu	imbers assigned by the SE	EC ("CIK Numbers"), all of y No Information Filed	our CIK numbers:	
		SEC Registration				
		• •		er you are eligible to registe	r with the SEC.	
	o be a					
	ection				stered) with the SEC. You must check at least one of the help you determine whether you may affirmatively respond	to
ea	ection ach of	is 2.A.(1) through 2.A.(8),				to
ea	ection ach of ou (th	s 2.A.(1) through 2.A.(8), f these items.	below. Part 1A Instruction			l to
ea Yo	ection ach of ou (th	ns 2.A.(1) through 2.A.(8), f these items. ne <i>relying adviser</i>):) are a large advisory fir e	below. Part 1A Instruction m that either:		help you determine whether you may affirmatively respond	l to
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ea Yc	ection ach of ou (th Z (1)	 as 2.A.(1) through 2.A.(8), f these items. are a large advisory fire (a) has regulatory assets (b) has regulatory assets <i>amendment</i> and is re are a mid-sized advisor million (in U.S. dollars) a 	below. Part 1A Instruction m that either: s under management of \$ s under management of \$ egistered with the SEC; ry firm that has regulator; and you are either:	n 2 provides information to 100 million (in U.S. dollars) 90 million (in U.S. dollars) o ry assets under managemen	help you determine whether you may affirmatively respond or more; or or more at the time of filing its most recent <i>annual updating</i>)
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	ection ach of ou (th (1) (2) (2) (3) (4) (5)	 as 2.A. (1) through 2.A. (8), f these items. are a large adviser): are a large advisory firm (a) has regulatory assets: (b) has regulatory assets: (b) has regulatory assets: (c) not subject to be regulate of business; or (c) not subject to examine <i>Click HERE for a list of authority.</i> (c) Reserved (c) have your <i>principal office</i> (c) are a related adviser un registered with the SEC, (c) are an adviser relying of the sec of t	below. Part 1A Instruction m that either: s under management of \$ egistered with the SEC; ry firm that has regulator; and you are either: egistered as an adviser with ination by the <i>state securi</i> of <i>states in which an invest</i> e and place of business outs hder rule 203A-2(b) that a and your <i>principal office a</i>	n 2 provides information to 100 million (in U.S. dollars) 90 million (in U.S. dollars) o ry assets under managemen ith the <i>state securities auth</i> <i>ities authority</i> of the state w <i>tment adviser, if registered, o</i> side the United States ; <i>controls,</i> is <i>controlled</i> by, or i <i>and place of business</i> is the s you expect to be eligible f	help you determine whether you may affirmatively respond or more; or or more at the time of filing its most recent <i>annual updating</i> at of \$25 million (in U.S. dollars) or more but less than \$100 pority of the state where you maintain your <i>principal office ar</i> here you maintain your <i>principal office and place of business</i> would not be subject to examination by the state securities s under common <i>control</i> with, an investment adviser that is same as the registered adviser;) nd ;
	ection ach of ou (th (1) (2) (2) (3) (4) (5)	 as 2.A. (1) through 2.A. (8), f these items. are a large advisory firm (a) has regulatory assets: (a) has regulatory assets: (b) has regulatory assets: (c) has regulatory assets: (d) has regulatory assets: (e) has regulatory assets: (f) has regulatory assets: (f) has regulatory assets: (g) has regulatory assets: (h) has regul	below. Part 1A Instruction m that either: s under management of \$ egistered with the SEC; ry firm that has regulator; and you are either: egistered as an adviser with ination by the state security of states in which an invest and place of business outs and your principal office a and your principal office a on rule 203A-2(c) because ou must make both of the or required to be registered	n 2 provides information to 100 million (in U.S. dollars) 90 million (in U.S. dollars) of ry assets under managemen ith the <i>state securities auth</i> <i>ities authority</i> of the state w <i>tment adviser, if registered, of</i> side the United States ; <i>controls,</i> is <i>controlled</i> by, or if <i>and place of business</i> is the s you expect to be eligible f representations below: red with the SEC or a state	help you determine whether you may affirmatively respond or more; or or more at the time of filing its most recent <i>annual updating</i> at of \$25 million (in U.S. dollars) or more but less than \$100 pority of the state where you maintain your <i>principal office ar</i> here you maintain your <i>principal office and place of business</i> would not be subject to examination by the state securities s under common <i>control</i> with, an investment adviser that is same as the registered adviser;) d ;

	Section 203A(a) of the Advisers Act from registering with the SEC.
[(7) a	are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);
	If this is your initial filing as a relying adviser, you must make both of these representations:
1	I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
1	The filing adviser undertakes to file an amendment to this umbrella registration to remove this Schedule R if, at the time of the annual updating amendment, I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states.
	If you are submitting your annual updating amendment, you must make this representation:
1	Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the state securities authorities in those states.
	have received an SEC <i>Order</i> exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:
	Application Number: 803- Date of Order:
(9) a	are no longer eligible to remain registered with the SEC.
SECTION 2 E	orm of Organization
	you organized?
	poration
n Sole	e Proprietorship
~	ited Liability Partnership (LLP)
~	tnership
~	ited Liability Company (LLC)
~	ited Partnership (LP)
~	er (specify):
0 0	
B. In what i DECEMBI	month does your fiscal year end each year? ER
C. Under th	e laws of what state or country are you organized?
State	Country
Delawar	re United States
lf you are	e a partnership, provide the name of the state or country under whose laws your partnership was formed.
0-0-	
	. Direct Owners and Executive Officers
	n 4, we ask you to identify each other <i>person</i> that, directly or indirectly, <i>controls</i> you.
	A. asks for information about your direct owners and executive officers.
	mers and Executive Officers. List below the names of: Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar
	s or functions;

- (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act); Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.
- (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (3) Do you have any indirect owners to be reported on Section 4.B. below? Yes No
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder,

or member; and for shareholders or members, the class of securities owned (if more than one is issued).

- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%
 - A 5% but less than 10% C 25% but less than 50% E 75% or more
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.(c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or	Date Title or Status	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First		Status	Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS Tax
Name, Middle Name)			ΜΜ/ΥΥΥΥ				No. or Employer ID No.
ELLIOTT INVESTMENT MANAGEMENT	DE	MANAGING	10/2020	E	Υ	N	307151
L.P.		MEMBER					
SINGER, PAUL, ELLIOTT	1	PRESIDENT	10/2020	NA	Y	N	872317

SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and

(d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.

- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more
 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the Control Person column, enter "Yes" if the person has control as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have control. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are control persons.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals:	DE/FE/I	Entity in Which	Status	Date Status	Ownership	Control	PR	CRD No. If None: S.S. No.
Last Name, First Name, Middle		Interest is Owned		Acquired	Code	Person		and Date of Birth, IRS Tax
Name)				ΜΜ/ΥΥΥΥ				No. or Employer ID No.
BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL	GENERAL	09/1986	F	Y	N	
		ADVISORS, L.P.	PARTNER					
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL	GENERAL	12/2018	F	Y	N	292231
		ADVISORS, L.P.	PARTNER					
ELLIOTT ASSET MANAGEMENT LLC	DE	ELLIOTT CAPITAL	GENERAL	02/2003	F	Y	N	
		ADVISORS, L.P.	PARTNER					
ELLIOTT CAPITAL ADVISORS, L.P.	DE	ELLIOTT	LIMITED	09/2019	С	N	N	292232
		INVESTMENT	PARTNER					
		MANAGEMENT L.P.						
ELLIOTT INTERNATIONAL	DE	ELLIOTT	LIMITED	09/2019	D	N	N	
ADVISORS GP LLC		INVESTMENT	PARTNER					
		MANAGEMENT L.P.						
ELLIOTT INVESTMENT	DE	ELLIOTT	GENERAL	09/2019	F	Y	Ν	xxx-xx-xxxx
MANAGEMENT GP LLC		INVESTMENT	PARTNER					
		MANAGEMENT L.P.						
	1	1	1	1	1	1	1	1

KAPI	AN, MYRON	1	PAUL E. SINGER	TRUSTEE	12/2018	F	Y	N	5907834
PAU	E. SINGER FAMILY TRUST IIH	DE	FAMILY TRUST IIH ELLIOTT INTERNATIONAL ADVISORS GP LLC	MEMBER	12/2018	E	N	N	
PAU	E. SINGER FAMILY TRUST IIH	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	12/2019	С	N	N	
SING	GER, GORDON, MATTHEW	1	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5908245
SING	GER, PAUL, ELLIOTT	I	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	12/2018	F	Y	N	872317
SING	GER, PAUL, ELLIOTT	I	ELLIOTT INVESTMENT MANAGEMENT GP LLC	MANAGING MEMBER, PRESIDENT	09/2019	E	Y	N	872317
SINC	GER, PAUL, ELLIOTT	I	ELLIOTT ASSET MANAGEMENT LLC	MANAGING MEMBER, PRESIDENT	02/2003	E	Y	N	872317
SIN	GER, PAUL, ELLIOTT	I	BRAXTON ASSOCIATES, INC.	PRESIDENT, DIRECTOR, SHAREHOLDER	04/1997	E	Y	N	872317
SIN	GER, PAUL, ELLIOTT	I	ELLIOTT ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	03/2017	E	Y	N	872317
	If yes, you must complete the indirectly <i>controls</i> your manage			<i>ntrol person</i> not na	med in Section	1.A., Section	14.A., or	Sec	ction 4.B. that directly or
		ement or		No Information F	Filed				
SECT				No Information F	Filed				
SECT	TON 4.D. Control Persons - P			No Information F					
		ublic Rep							
SEC1 Resp	TION 4.D. <i>Control Persons</i> - P TION 1 Identifying Informatio	Public Rep n	porting Companies	No Information F	Filed	, and how we	e can con	tact	t you.
SEC1 Resp	TON 4.D. <i>Control Persons</i> - P	Public Rep n	porting Companies	No Information F	Filed	, and how we	e can con	tact	t you.
SEC1 Resp A.	TION 4.D. Control Persons - P TION 1 Identifying Informatio Ponses to this Section tell us will Your full legal name:	Public Rep n ho you (t	porting Companies	No Information F	Filed				-
SECI Resp A. B.	TION 4.D. Control Persons - P TION 1 Identifying Informatio conses to this Section tell us will Your full legal name: EIMFL SUB LLC Name under which you primari	<mark>Public Rep</mark> n ho you (t	porting Companies	No Information F e, where you are o ess, if different fro	Filed doing business om Section 1.A.	above or Ite	m 1.A. of	f the	e <i>filing adviser's</i> Form ADV Part
SECI Resp A. B.	TION 4.D. Control Persons - P TION 1 Identifying Informatio ponses to this Section tell us will Your full legal name: EIMFL SUB LLC Name under which you primari 1A.	<mark>Public Rep</mark> n ho you (t	porting Companies	No Information F e, where you are o ess, if different fro	Filed doing business om Section 1.A.	above or Ite	m 1.A. of	f the	e <i>filing adviser's</i> Form ADV Part
SECI Resp A. B.	TION 4.D. Control Persons - P TION 1 Identifying Informatio ponses to this Section tell us will Your full legal name: EIMFL SUB LLC Name under which you primari 1A.	Public Rep n ho you (t ly conduc	porting Companies	No Information F e, where you are o ess, if different fro you use them. Co No Information	Filed doing business om Section 1.A. omplete this qu	above or Ite	m 1.A. of	f the	e <i>filing adviser's</i> Form ADV Part siness name.
SECT Resp A. B.	TON 4.D. Control Persons - P TON 1 Identifying Informatio ponses to this Section tell us will Your full legal name: EIMFL SUB LLC Name under which you primari 1A. List any other business names	Public Rep n ho you (t and the and the names or	porting Companies	No Information F e, where you are o ess, if different fro you use them. Co No Information ng adviser or other) assigned by the	Filed doing business om Section 1.A. omplete this qu n Filed <i>relying adviser</i> <i>FINRA's CRD</i> sy	above or Ite lestion for ea	m 1.A. of ch other	f the bus	e filing adviser's Form ADV Part siness name.
SECT Resp A. B.	TION 4.D. Control Persons - P TION 1 Identifying Informatio ionses to this Section tell us will Your full legal name: EIMFL SUB LLC Name under which you primari 1A. List any other business names You do not have to include the normalization If you currently have, or ever hadviser's CRD number), your Characterization	Public Rep n ho you (t and the and the names or	porting Companies	No Information F e, where you are o ess, if different fro you use them. Co No Information	Filed doing business om Section 1.A. omplete this qu n Filed <i>relying adviser</i> <i>FINRA's CRD</i> sy	above or Ite lestion for ea	m 1.A. of ch other	f the bus	e filing adviser's Form ADV Part siness name.

If you do not have a CRD number, skip this Section 1.D. Do not provide the CRD number of one of your officers, employees, or affiliates (including the filing

	advis	er).			
E.	Princ	ipal Office and Place of Business			
	(1)	Address (do not use a P.O. Box):			
		Same as the <i>filing adviser</i> .			
		Number and Street 1: 360 S. ROSEMARY AVE City:	State:	Number and Street 2 18TH FLOOR Country:	2: ZIP+4/Postal Code:
		WEST PALM BEACH	Florida	United States	33401
		If this address is a private residence	e, check this box: 🗖		
	(2)	Days of week that you normally cor Monday - Friday Other:	nduct business at you	r principal office and place of	of business:
		Normal business hours at this locati 8:30AM-5:30PM	ion:		
	(3)	Telephone number at this location: (561) 884-8800			
	(4)	Facsimile number at this location, if	any:		
F.	Mailir	ng address, if different from your <i>prin</i>	cipal office and place c	of business address:	
	S	ame as the <i>filing adviser</i> .			
		ber and Street 1:		Number and Street 2:	
	City			Country:	ZIP+4/Postal Code:
	If th	is address is a private residence, che	ck this box: 🗖		
G.	Provi	de your <i>Legal Entity Identifier</i> if you h	ave one:		
	A leg ident		that companies use t	o identify each other in th	e financial marketplace. You may not have a <i>legal entity</i>
H.	lf yo	u have Central Index Key numbers as	signed by the SEC ("(CIK Numbers"), all of your No Information Filed	CIK numbers:
SEC	TION	2 SEC Registration			
Res	ponse	s to this Section help us (and you) de	etermine whether you	u are eligible to register w	ith the SEC.
A.	Secti each	ons 2.A.(1) through 2.A.(8), below. Pa of these items.			ed) with the SEC. You must check at least one of the p you determine whether you may affirmatively respond to
		(the <i>relying adviser</i>):			
	V	(1) are a large advisory firm that ei			
		(a) has regulatory assets under n	-		
		(b) has regulatory assets under m <i>amendment</i> and is registered	-	illion (in U.S. dollars) or m	nore at the time of filing its most recent annual updating
		(2) are a mid-sized advisory firm th million (in U.S. dollars) and you an		sets under management o	f \$25 million (in U.S. dollars) or more but less than \$100
		(a) not required to be registered place of business; or	as an adviser with th	ne state securities authorit	y of the state where you maintain your principal office and
		(b) not subject to examination by	the state securities a	<i>authority</i> of the state wher	e you maintain your <i>principal office and place of business</i> ;
		Click HERE for a list of states in authority.	n which an investment	t adviser, if registered, wou	ld not be subject to examination by the state securities
		(2) Pasaryad			

(3) Reserved

		(4) have your principal office and place of business outside the United States;
		(5) are a related adviser under rule 203A-2(b) that <i>controls</i> , is <i>controlled</i> by, or is under common <i>control</i> with, an investment adviser that is registered with the SEC, and your <i>principal office and place of business</i> is the same as the registered adviser;
		(6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;
		If you check this box, you must make both of the representations below:
		I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
		By submitting this Form ADV to the SEC, <i>the filing adviser</i> undertakes to file an amendment to this <i>umbrella registration</i> to remove this Schedule R if, on the 120th day after this application for <i>umbrella registration</i> with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
		(7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);
		If this is your initial filing as a relying adviser, you must make both of these representations:
		I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
		The <i>filing adviser</i> undertakes to file an amendment to this <i>umbrella registration</i> to remove this Schedule R if, at the time of the <i>annual updating amendment</i> , I would be required by the laws of fewer than 15 states to register as an investment adviser with the <i>state securities authorities</i> of those states.
		If you are submitting your annual updating amendment, you must make this representation:
		Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the state securities authorities in those states.
		(8) have received an SEC Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:
		Application Number: 803- Date of Order:
		(9) are no longer eligible to remain registered with the SEC.
SEC	TION	N 3 Form of Organization
Α.	How	v are you organized?
	0	Corporation
	0	Sole Proprietorship
	0	Limited Liability Partnership (LLP)
	0	Partnership
	\odot	Limited Liability Company (LLC)
	0	Limited Partnership (LP)
	0	Other (specify):
B.		vhat month does your fiscal year end each year? CEMBER
C.	Und	ler the laws of what state or country are you organized?
C.	Sta	
		laware United States
	lf yo	ou are a partnership, provide the name of the state or country under whose laws your partnership was formed.
SEC	TION	V 4.A. Direct Owners and Executive Officers
In t	his S	ection 4, we ask you to identify each other person that, directly or indirectly, controls you.
		ion 4.A. asks for information about your direct owners and executive officers.
	(a) e	ct Owners and Executive Officers. List below the names of: each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;
	(b) i1	f you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);

Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or

right to purchase the security.

- (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (3) Do you have any indirect owners to be reported on Section 4.B. below? Yes No
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%
 - A 5% but less than 10% C 25% but less than 50% E 75% or more
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or Status	Date Title or	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First			Status Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS Tax
Name, Middle Name)			ΜΜ/ΥΥΥΥ				No. or Employer ID No.
DAGATA, MANDA, JEAN	1	DIRECTOR	01/2021	NA	Y	Ν	2296404
EIMFL LLC	DE	MEMBER	01/2021	E	Y	Ν	311380
GREENBERG, ELLIOT	I	DIRECTOR	01/2021	NA	Y	Ν	1250067
MAGNAN, JEAN-YVES	I	DIRECTOR	01/2021	NA	Y	Ν	7242973
RAJAN, SRIKRISHNAN, M	I	DIRECTOR	01/2021	NA	Y	Ν	4924108
SINGER, PAUL, ELLIOTT	I	DIRECTOR,	01/2021	NA	Y	N	872317
		PRESIDENT					

SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
- (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are: C 25% but less than 50% E 75% or more
 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the person does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME (Individuals:	DE/FE/I	Entity in Which	Status	Date Status	Ownership	Control PR	CRD No. If None: S.S. No.
Last Name, First Name, Middle		Interest is Owned		Acquired	Code	Person	and Date of Birth, IRS Tax
Name)				ΜΜ/ΥΥΥΥ			No. or Employer ID No.

BRAXTON ASSOCIATES, INC.	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	09/1986	F	Y	N	
ELLIOTT ADVISORS GP LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	12/2018	F	Y	N	292231
ELLIOTT ASSET MANAGEMENT LLC	DE	ELLIOTT CAPITAL ADVISORS, L.P.	GENERAL PARTNER	02/2003	F	Y	N	
ELLIOTT CAPITAL ADVISORS, L.P.	DE	ELLIOTT INVESTMENT MANAGEMENT L.P.	LIMITED PARTNER	09/2019	С	N	N	292232
ELLIOTT INTERNATIONAL ADVISORS GP LLC	DE	ELLIOTT INVESTMENT MANAGEMENT L.P.	LIMITED PARTNER	09/2019	D	N	N	
ELLIOTT INVESTMENT MANAGEMENT GP LLC	DE	ELLIOTT INVESTMENT MANAGEMENT L.P.	GENERAL PARTNER	09/2019	F	Y	N	xxx-xx-xxxx
ELLIOTT INVESTMENT MANAGEMENT L.P.	DE	EIMFL LLC	MANAGING MEMBER	10/2020	E	Y	N	307151
KAPLAN, MYRON	I	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5907834
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT CAPITAL ADVISORS, L.P.	LIMITED PARTNER	12/2019	С	N	N	
PAUL E. SINGER FAMILY TRUST IIH	DE	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MEMBER	12/2018	E	N	N	
SINGER, GORDON, MATTHEW	I	PAUL E. SINGER FAMILY TRUST IIH	TRUSTEE	12/2018	F	Y	N	5908245
SINGER, PAUL, ELLIOTT	I	ELLIOTT ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	03/2017	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I	BRAXTON ASSOCIATES, INC.	PRESIDENT, DIRECTOR, SHAREHOLDER	04/1997	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT ASSET MANAGEMENT LLC	MANAGING MEMBER, PRESIDENT	02/2003	E	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT INTERNATIONAL ADVISORS GP LLC	MANAGING MEMBER, PRESIDENT	12/2018	F	Y	N	872317
SINGER, PAUL, ELLIOTT	I	ELLIOTT INVESTMENT MANAGEMENT GP LLC	MANAGING MEMBER, PRESIDENT	09/2019	E	Y	N	872317

SECTION 4.C. Control Persons

C. Does any person not named in Section 1.A., Section 4.A., or Section 4.B. directly or indirectly, control your management or policies?

If yes, you must complete the information below for each *control person* not named in Section 1.A., Section 4.A., or Section 4.B. that directly or indirectly *controls* your management or policies.

Yes No

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 $^{\circ}$

No Information Filed

SECTION 4.D. Control Persons - Public Reporting Companies

No Information Filed

SECTION 1 Identifying Information

Responses to this Section tell us who you (the *relying adviser*) are, where you are doing business, and how we can contact you.

A. Your full legal name: ELLIOTT FINANCIAL ITALIA S.R.L.

B. Name under which you primarily conduct your advisory business, if different from Section 1.A. above or Item 1.A. of the *filing adviser's* Form ADV Part 1A.

C. List any other business names and the jurisdictions in which you use them. Complete this question for each other business name.

				I	No Information File	ed		
	You d	do not have to include	e the names or jurisdiction	ons of the filing ad	dviser or other rely.	ing advise	er(s) in response to this Section 1.C.	
	-	<i>er's CRD</i> number), yc		RD Number") ass	signed by the FINR	RA's CRD s	system or by the IARD system (other than the <i>filing</i>	
	510	704		I	No Information File	ed		
	lf you advis		number, skip this Sectior	n 1.D. Do not prov	vide the CRD numb	per of one	of your officers, employees, or affiliates (including the fil	ng
E.	Princ	ipal Office and Place o	of Business					
	(1)	Address (do not use	e a P.O. Box):					
		Same as the filin	ng adviser.					
		Number and Street CORSO ITALIA, 8	1:		Number and Str	reet 2:		
		City: MILAN	State:		Country: Italy		ZIP+4/Postal Code: 20122	
		If this address is a	private residence, chec	k this box: 🗖				
	(2)	Days of week that yo Monday - Friday	you normally conduct b Other:	ousiness at your ,	orincipal office and	place of b	pusiness:	
		Normal business ho 8: 30AM-5: 30PM	ours at this location:					
	(3)	Telephone number 442030091818	at this location:					
	(4)	Facsimile number a	t this location, if any:					
F.	Mailir	ng address, if differer	nt from your <i>principal of</i>	ffice and place of I	business address:			
	🗖 S	ame as the <i>filing adv</i>	iser.					
		ber and Street 1:			lumber and Street			
	City		State: te residence, check this		ountry:	Z	ZIP+4/Postal Code:	
G.	Provi	de your <i>Legal Entity</i> :	Identifier if you have or	ne:				
	A leg ident	-	n unique number that co	ompanies use to	identify each othe	r in the fi	nancial marketplace. You may not have a <i>legal entity</i>	
H.	lf yoi	u have Central Index	Key numbers assigned	-	K Numbers"), all c No Information File	-	K numbers:	
		2 SEC Registration		no whather	aro oligible to see '	otor with	the SEC	
			o us (and you) determin				with the SEC. You must check at least one of the	

A. To be a *relying adviser*, you must be independently eligible to register (or remain registered) with the SEC. You must check **at least one** of the Sections 2.A.(1) through 2.A.(8), below. Part 1A Instruction 2 provides information to help you determine whether you may affirmatively respond to each of these items.

You (the relying adviser)	You	(the	relying	adviser)):
---------------------------	-----	------	---------	----------	----

- (1) are a large advisory firm that either:
 - (a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or
 - (b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent *annual updating amendment* and is registered with the SEC;
- (2) are a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either:
 - (a) not required to be registered as an adviser with the *state securities authority* of the state where you maintain your *principal office and place of business*; or
 - (b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;

Click **HERE** for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.

- (3) Reserved
- (4) have your principal office and place of business outside the United States;
- (5) are a related adviser under rule 203A-2(b) that controls, is controlled by, or is under common control with, an investment adviser that is registered with the SEC, and your principal office and place of business is the same as the registered adviser;
- (6) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;

If you check this box, you must make both of the representations below:

- I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
- By submitting this Form ADV to the SEC, *the filing adviser* undertakes to file an amendment to this *umbrella registration* to remove this Schedule R if, on the 120th day after this application for *umbrella registration* with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.
- (7) are a multi-state adviser that is required to register in 15 or more states and is relying on rule 203A-2(d);

If this is your initial filing as a relying adviser, you must make both of these representations:

- □ I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the *state securities authorities* in those states.
- The *filing adviser* undertakes to file an amendment to this *umbrella registration* to remove this Schedule R if, at the time of the *annual updating amendment*, I would be required by the laws of fewer than 15 states to register as an investment adviser with the *state securities authorities* of those states.
- If you are submitting your annual updating amendment, you must make this representation:
- Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the *state securities authorities* in those states.
- (8) have **received an SEC** Order exempting you from the prohibition against registration with the SEC. If you check this box, provide the following information:

Application Number: 803- Date of Order:

(9) are no longer eligible to remain registered with the SEC.

SECTION 3 Form of Organization

A. How are you organized?

- Corporation
- O Sole Proprietorship
- Limited Liability Partnership (LLP)
- O Partnership
- C Limited Liability Company (LLC)
- C Limited Partnership (LP)
- Other (specify): ITALIAN SOCIETÀ A RESPONSABILITÀ LIMITATA
- B. In what month does your fiscal year end each year? DECEMBER
- C. Under the laws of what state or country are you organized?
 - State Country
 - Italy

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed.

SECTION 4.A. Direct Owners and Executive Officers In this Section 4, we ask you to identify each other *person* that, directly or indirectly, *controls* you. (1) Section 4.A. asks for information about your direct owners and executive officers.

- (2) Direct Owners and Executive Officers. List below the names of:
 - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, director and any other individuals with similar status or functions;

(b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);
Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a class of your voting securities. For purposes of this Section 4.A., a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- (3) Do you have any indirect owners to be reported on Section 4.B. below? OYes ONo
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- (5) Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- (6) Ownership codes are:
 NA less than 5%
 B 10% but less than 25%
 D 50% but less than 75%

 A 5% but less than 10%
 C 25% but less than 50%
 E 75% or more
- (7) (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

Check this box if you are filing this Form ADV through the IARD system and want the IARD system to pre-fill Schedule B with the same indirect owners you have provided in Schedule B for your filing adviser. If you check the box, the system will pre-fill these fields for you, but you will be able to manually edit the information after it is pre-filled and before you submit your filing.

FULL LEGAL NAME	DE/FE/I	Title or Status	Date Title or	Ownership	Control	PR	CRD No.
(Individuals: Last Name, First			Status Acquired	Code	Person		If None: S.S. No. and Date of Birth, IRS
Name, Middle Name)			ΜΜ/ΥΥΥΥ				Tax No. or Employer ID No.
ELLIOTT ADVISORS (UK) LIMITED	FE	SOLE SHAREHOLDER	12/2021	E	Y	N	292248
LEONARD, CHRISTOPHER, PHILLIP	1	DIRECTOR	02/2022	NA	Y	Ν	7243038
RUGGIERI, MASSIMO	1	DIRECTOR	12/2021	NA	Y	Ν	7495291

SECTION 4.B. Indirect Owners

- (1) Section 4.B. asks for information about your indirect owners; you must first complete Section 4.A., which asks for information about your direct owners
- (2) Indirect Owners. With respect to each owner listed in Section 4.A. (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Section, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, all general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- (3) Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- (4) In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- (5) Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).

	C - 25% but less than 50% E - D - 50% but less than 75% F -		e or elected manager)	
			ossary of Terms to Form ADV, and enter "No"	if the person
	d. Note that under this definition, r		25% owners, general partners, elected manag	•
(b) In the PR column, en	ter "PR" if the owner is a public re	porting company under Section	s 12 or 15(d) of the Exchange Act.	
(c) Complete each colum				
owners you have provide		iser. If you check the box, the s	system to pre-fill Schedule B with the same ir ystem will pre-fill these fields for you, but you	
		No Information Filed		
SECTION 4.C. Control Perso	ns			
C. Does any <i>person</i> not nam	ned in Section 1 A., Section 4 A., o	r Section 4.B. directly or indirect	ly, <i>control</i> your management or policies?	Yes No
				• •
If yes, you must complet indirectly <i>controls</i> your m		<i>control person</i> not named in Sect	tion 1.A., Section 4.A., or Section 4.B. that dire	ectly or
Firm or Organization Name ELLIOTT INVESTMENT MANAG	GEMENT L.P.			
CRD Number (if any)				
307151				
Effective Date	Termination [Date		
12/30/2021				
Business Address:				
Number and Street 1		Number and Street 2		
360 S. ROSEMARY AVE	Chata	18TH FLOOR		
City WEST PALM BEACH	State Florida	Country United States	ZIP+4/Postal Code 33401	
If this address is a private re	esidence, check this box: 🗖			
Briefly describe the nature of			ED ("EAUK") AND IS A RELYING ADVISER OF T	
ADVISER. PLEASE SEE SECTIO	ON 4.C. OF EAUK'S SCHEDULE R FC Y OF EAUK, EFI IS ALSO A RELATEE	OR DISCLOSURE REGARDING EA	UK'S RELATION TO THE FILING ADVISER. THEF	EFORE, AS A
SECTION 4.D. Control Person	ns - Public Reporting Companies			
		No Information Filed		
P Pages				
RIMINAL DISCLOSURE REPO				
o Information Filed				
GULATORY ACTION DISCLO	OSURE REPORTING PAGE (ADV)			
his Disclosure Departing Dag		GENERAL INSTRUCTIONS	anart datails for affirmative reasonable to them	c 11 C 11 D
	•	AWENDED response used to h	eport details for affirmative responses to Item	з н.с., н.D
1.E., 11.F. or 11.G. of Form AD	JV.			

		Regulatory Act	ion	
item(s) bein	g responded to:			
.C(1)	1 1.C(2)	1 1.C(3)	🗖 11.C(4)	🗖 11.C(5)
.D(1)	☑ 11.D(2)	1 1.D(3)	✓ 11.D(4)	🗖 11.D(5)
.E(1)	🗖 11.E(2)	🗖 11.E(3)	🗖 11.E(4)	
.F.	🗖 11.G.			
•	P for each event or <i>proceeding</i> . Execution Page.	The same event or <i>proceeding</i> may	y be reported for more than or	ne <i>person</i> or entity using one DRP. F
•		answer to Items 11.C., 11.D., 11 ore than one regulator, provide de	•	e DRP to report details related to th arate DRP.
	or entity(ies) for whom this DRI	P is being filed is (are):		
O You (the a				
o You and or	ne or more of your advisory affili	ates		
	ore of your advisory affiliates			
-				
	-	e, give the full name of the <i>advisor</i> vide that number. If not, indicate	-	, Last name, First name, Middle nar he appropriate box.
ADV DRP - <i>AL</i>	DVISORY AFFILIATE			
CRD		This <i>advisory affiliate</i> is ¹ a Firm	O an Individual	
Number:		,	-	
Registered:	O Yes O No			
Name:	ELLIOTT INTERNATIONAL, L.P.			
	(For individuals, Last, First,			
	Middle)			
CRD		This <i>advisory affiliate</i> is ⁶ a Firm		
Number:				
Registered:	O Yes 💿 No			
	MANSFIELD (MAURITIUS)			
	LIMITED			
	(For individuals, Last, First,			
	Middle)			
CRD		This solutions offiliate is 🙆 a Firms	O on Individual	
Number:		This <i>advisory affiliate</i> is ⁶ a Firm	• an Individual	
Registered:	O Yes O No			
	THE LIVERPOOL LIMITED			
	PARTNERSHIP			
	(For individuals, Last, First,			
	Middle)			
CRD				
Number:		This <i>advisory affiliate</i> is ⁶ a Firm	✓ an maiviaual	
Registered:	O Yes O No			
	SUFFOLK (MAURITIUS) LIMITED			
	(For individuals, Last, First,			
	Middle)			
<i>CRD</i> Number:		This <i>advisory affiliate</i> is 💿 a Firm	O an Individual	
	<u> </u>			
	O yes O No			
	ELLIOTT ASSOCIATES, L.P.			
	(For individuals, Last, First,			
	Middle)			
This DRP s	should be removed from the AD	/ record because the <i>advisory affilia</i>	ate(s) is no longer associated v	with the adviser.
This DRP s	should be removed from the ADV	/ record because the <i>advisory affili</i> / record because: (1) the event or h the SEC or reporting as an <i>exem</i>	proceeding occurred more than	n ten years ago or (2) the adv

If you are registered or registering with a *state securities authority*, you may remove a DRP for an event you reported only in response to Item 11.D(4), and only if that event occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any event listed in Item 11 that occurred more than ten years ago.

This DRP should be removed from the ADV record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the circumstances:

B. If the *advisory affiliate* is registered through the IARD system or *CRD* system, has the *advisory affiliate* submitted a DRP (with Form ADV, BD or U-4) to the IARD or *CRD* for the event? If the answer is "Yes," no other information on this DRP must be provided.

O Yes O No

NOTE: The completion of this form does not relieve the *advisory affiliate* of its obligation to update its IARD or *CRD* records.

PART II

 Regulatory Action initiated by: SEC Other Federal State SRO Foreign
 (Full name of regulator, *foreign financial regulatory authority*, federal, state, or SRO) SECURITIES AND EXCHANGE BOARD OF INDIA

2. Principal Sanction:

Other Sanctions:

- Date Initiated (MM/DD/YYYY):
 12/09/2016 Exact Explanation If not exact, provide explanation:
- 4. Docket/Case Number: WTM/SM/IVD/ID1/7863/2020-21
- 5. Advisory Affiliate Employing Firm when activity occurred which led to the regulatory action (if applicable):
- Principal Product Type: Equity Listed (Common & Preferred Stock) Other Product Types:
- 7. Describe the allegations related to this regulatory action (your response must fit within the space provided):

ON DECEMBER 9, 2016, THE SECURITIES AND EXCHANGE BOARD OF INDIA ("SEBI") ISSUED A "SHOW CAUSE NOTICE" (THE "NOTICE") UNDER SECTIONS 11, 11B AND 11(4) OF THE SEBI ACT, 1992, AND REGULATIONS 3(B), (C), (D) AND 4(1) OF THE SEBI (PROHIBITION OF FRAUDULENT AND UNFAIR PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003, ADDRESSED TO ELLIOTT ADVISORS (HK) LIMITED ("EAHK"), ELLIOTT MANAGEMENT CORPORATION, ELLIOTT ASSOCIATES, L.P., ELLIOTT INTERNATIONAL, L.P., THE LIVERPOOL LIMITED PARTNERSHIP, MANSFIELD (MAURITIUS) LIMITED, SUFFOLK (MAURITIUS) LIMITED (TOGETHER "ELLIOTT") AND ASTRAZENECA PHARMACEUTICALS AB SWEDEN ("AZ SWEDEN"). THE NOTICE WAS ISSUED PURSUANT TO SEBI'S INVESTIGATION INTO MATTERS CONCERNING A SALE BY AZ SWEDEN OF SHARES IN ASTRAZENECA PHARMA INDIA LIMITED ("AZ INDIA") IN A PUBLIC OFFER FOR SALE PROCESS (THE "OFS") CONDUCTED IN MAY 2013, AND PERCEIVED CONNECTIONS WITH THE SUBSEQUENT INTENDED PRIVATIZATION AND DELISTING OF AZ INDIA THAT WAS ANNOUNCED ON MARCH 3, 2014 BUT WAS NOT EXECUTED. THE NOTICE SET FORTH CERTAIN ALLEGATIONS, INCLUDING THAT (I) ELLIOTT AND AZ SWEDEN EMPLOYED MANIPULATIVE AND DECEPTIVE DEVICES BY CONDUCTING FRAUDULENT NEGOTIATIONS TO INFLUENCE THE DELISTING PRICE OF AZ INDIA; AND (II) MATERIAL INFORMATION CONCERNING SUCH NEGOTIATIONS, THE SIZE OF ELLIOTT'S OVERALL EXPOSURE TO AZ INDIA, AND ITS ABILITY TO INFLUENCE THE INTENDED DELISTING PROCESS WAS CONCEALED FROM RETAIL INVESTORS.

- 8. Current Status? C Pending C On Appeal C Final
- 9. If on appeal, regulatory action appealed to (SEC, SRO, Federal or State Court) and Date Appeal Filed:

If Final or On Appeal, complete all items below. For Pending Actions, complete Item 13 only.

10. How was matter resolved: Order

11. Resolution Date (MM/DD/YYYY):

06/05/2020 C Exact C Explanation If not exact, provide explanation: DATE ORDER RECEIVED BY ELLIOTT.

A.	Were ar	ny of the following Sanctions Ord	dered (check all appropriate ite	ms)?					
	🗖 Mor	netary/Fine Amount: \$							
	🗖 Rev	ocation/Expulsion/Denial		Disgorgement/Restitution					
	🔽 Cer	isure		Cease and Desist/Injunction	1				
	🗖 Bar			Suspension					
B.	Other S	anctions Ordered:							
 Sanction detail: if suspended, <i>enjoined</i> or barred, provide duration including start date and capacities affected (General Securities Principal, Financial Operations Principal, etc.). If requalification by exam/retraining was a condition of the sanction, provide length of time given to requalify/retrain, type of exam required and whether condition has been satisfied. If disposition resulted in a fine, penalty, restitution, disgorgement or monetary compensation, provide total amount, portion levied against you or an <i>advisory affiliate</i>, date paid and if any portion of penalty was waived: SEE ITEM 13 BELOW. 13. Provide a brief summary of details related to the action status and (or) disposition and include relevant terms, conditions and dates (your response must fit within the space provided). ON JUNE 5, 2020, ELLIOTT AND AZ SWEDEN RECEIVED A WRITTEN ORDER IN AN ADMINISTRATIVE PROCEEDING CONDUCTED UNDER SECTIONS 11(1), 11(4) AND 11(8) OF THE SEBI ACT 1992 BY SEBI. THE ORDER RELATES TO ALLEGATIONS BY SEBI THAT ELLIOTT AND AZ SWEDEN EMPLOYED MANIPULATIVE AND DECEPTIVE DEVICES BY CONDUCTING FRAUDULENT NEGOTIATIONS TO INFLUENCE THE DELISTING PRICE OF SHARES IN AZ INDIA THE DELISTING OF AZ INDIA WAS PROPOSED BY AZ SWEDEN IN EARLY 2014 BUT DID NOT TAKE PLACE. AS NOTED ABOVE, THE SEBI ORDER CAN BE INTERPRETED AS SAVING THAT ELLIOTT AND AZ SWEDEN DID NOT COMPLY WITH REQUIREMENTS UNDER THE SEBI (PROHIBITION OF FRAUDULENT ANI UNFAIR PRACTICE RELATING TO SECURITIES MARKET) REGULATIONS, 2003. ELLIOTT AND AZ SWEDE NER CENSURED AND DIRECTED TO REFRAIN FROM SIMILAR ACTIONS IN THE FUTURE. NO MONETARY PENALTY WAS IMPOSED. ELLIOTT AND AZ SWEDEN WITH THE ORDER SWITH THE ORDER AND THE FINDINGS CONSIDERS THEM TO BE WHOLLY WITHOUT FACTUAL AND LEGAL FOUNDATION, AND IT REMAINS ELLIOTT 'S POSITION THAT THE ACTIVITIES OF 									
ELL	IOTT WEF	RE LAWFUL AT ALL TIMES.							
			GENERAL INST						
			INITIAL OR I AMENDED res	oonse used to report details for af	firmative responses to Items 11.C., 11.D.,				
11.E., 11	.F. or 11.0	G. of Form ADV.							
			Regulatory	Action					
Check ite	m(s) bei	ng responded to:	Regulatory	Action					
1 1.C(□ 11.C(2)	[11.C(3)	1 1.C(4)	[11.C(5)				
1 1.D(■ 11.0(2)	□ 11.C(3)	□ 11.C(4)	□ 11.D(5)				
					L 11.D(5)				
[11.E(1)	□ 11.E(2)	🗖 11.E(3)	□ 11.E(4)					
🗖 11.F.		🗖 11.G.							
with a co One ever same ever	ompleted	Execution Page. sult in more than one affirmative	e answer to Items 11.C., 11.D.		ne <i>person</i> or entity using one DRP. File the DRP to report details related to the arate DRP.				
PART I									
O`	You (the a) or entity(ies) for whom this DR advisory firm)							
0	You and c	one or more of your advisory affil	lates						
		ore of your advisory affiliates							
			-	visory affiliate below (for individuals ate "non-registered" by checking	s, Last name, First name, Middle name). the appropriate box.				
AD	V DRP - A	DVISORY AFFILIATE							
	umber:	292232	This <i>advisory affiliate</i> is $ullet$ a F	irm O an Individual					
		⊙ Yes ○ No							
Na	ame:	ELLIOTT CAPITAL ADVISORS,							
		L.P. (For individuals, Last, First, Middle)							

12. Resolution Detail:

	CRD 292248 This advisory affiliate is a Firm an Individual	
	Registered: • Yes • No	
	Name: ELLIOTT ADVISORS (UK)	
	LIMITED	
	(For individuals, Last, First, Middle)	
	 This DRP should be removed from the ADV record because the <i>advisory affiliate(s)</i> is no longer associated with the adviser. This DRP should be removed from the ADV record because: (1) the event or <i>proceeding</i> occurred more than ten years ago or (2) the adviser is registered or applying for registration with the SEC or reporting as an <i>exempt reporting adviser</i> with the SEC and the event was resolved in the adviser's or <i>advisory affiliate's</i> favor. 	
	If you are registered or registering with a <i>state securities authority</i> , you may remove a DRP for an event you reported only in response to Item 11.D(4), and only if that event occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any event listed in Item 11 that occurred more than ten years ago.	
	This DRP should be removed from the ADV record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the circumstances:	
B.	If the <i>advisory affiliate</i> is registered through the IARD system or <i>CRD</i> system, has the <i>advisory affiliate</i> submitted a DRP (with Form ADV, BD or U-4) to the IARD or <i>CRD</i> for the event? If the answer is "Yes," no other information on this DRP must be provided.)
	O Yes 💿 No	
	NOTE: The completion of this form does not relieve the <i>advisory affiliate</i> of its obligation to update its IARD or <i>CRD</i> records.	
PAR	П	
1.	Regulatory Action initiated by: SEC Other Federal OState OSRO Foreign	
	(Full name of regulator, <i>foreign financial regulatory authority</i> , federal, state, or <i>SRO</i>) AUTORITÉ DES MARCHÉS FINANCIERS	
2.	Principal Sanction:	
	Other Sanctions:	
3.	Date Initiated (MM/DD/YYYY):	
	12/23/2019 ^O Exact [©] Explanation	
	If not exact, provide explanation: SEE ITEM 7 BELOW FOR MORE INFORMATION REGARDING INITIATION DATES.	
4.	Docket/Case Number: PROCEDURE NO. 2019-03	
5.	Advisory Affiliate Employing Firm when activity occurred which led to the regulatory action (if applicable):	
6.	Principal Product Type: Derivative(s)	
	Other Product Types: EQUITY LISTED (COMMON & PREFERRED STOCK)	
7.	Describe the allegations related to this regulatory action (your response must fit within the space provided):	
	ON DECEMBER 23, 2019, ELLIOTT CAPITAL ADVISORS, L.P. ("ECALP") RECEIVED, AND SUBSEQUENTLY ON DECEMBER 31, 2019, ELLIOTT ADVISORS (UK) LIMITED ("EAUK") RECEIVED, A COPY OF AN AUTORITÉ DES MARCHÉS FINANCIERS (THE "AMF") INVESTIGATIVE REPORT (THE "REPORT") TO THE SANCTIONS COMMISSION OF THE AMF IN FRANCE. (ECALP AND EAUK ARE TOGETHER REFERRED TO BELOW AS "ELLIOTT".) THE REPORT REFLECTS NON-BINDING RECOMMENDATIONS AS TO CERTAIN ALLEGATIONS SET FORTH BY THE AMF AS A RESULT OF THEIR INVESTIGATION INTO ELLIOTT'S ACTIVITY CONCERNING A TENDER OFFER CONDUCTED BY XPO LOGISTICS, INC. AND XPO LOGISTICS FRANCE (COLLECTIVELY "XPO") FOR THE SHARE OF NORBERT DENTRESSANGLE SA ("NDSA") IN 2015. THE RECOMMENDATIONS SET FORTH IN THE REPORT ARE THAT (I) ALTHOUGH ELLIOTT'S PUBLIC DISCLOSURE OF ITS POSITION IN NDSA WAS NOT MISLEADING OR MANIPULATIVE, IT INACCURATELY DESCRIBED ITS DERIVATIVE HOLDINGS AS "CONTRACTS FOR DIFFERENCE" RATHER THAN AS "EQUITY SWAPS"; (II) ELLIOTT DID NOT DECLARE ITS INTENTION TO NOT TENDER ITS HOLDINGS IN NDSA INTO XPO'S TENDER OFFER IN A TIMELY MANNER; AND (III) DESPITE RESPONDING TO EACH OF THE AMF'S REQUESTS FOR INFORMATION IN A TIMELY MANNER; AND CUUNTARILY AGREED TO ANSWER REQUESTS IT WAS NOT COMPELLED TO ANSWER, EAUK IMPEDED THE AMF'S INVESTIGATION BY MAKING A DELAYED PRODUCTION OF DOCUMENTS THAT ELLIOTT, IN THE AMF'S VIEW, SHOULD HAVE UNDERSTOOD	S S

WERE BEING SOUGHT BY THE AMF.

9.	If on appeal, regulatory action appealed to (SEC, SRO, Federal or	State Court) and Date Appeal Filed:	
	THE FRENCH SUPREME COURT, THE COURT DE CASSATION		
If F	Final or On Appeal, complete all items below. For Pending Actions, cc	mplete Item 13 only.	
10.). How was matter resolved:		
	Decision		
11.	. Resolution Date (MM/DD/YYYY):		
	03/24/2022 💿 Exact 🖸 Explanation		
	If not exact, provide explanation:		
12.	2. Resolution Detail:		
	A. Were any of the following Sanctions Ordered (check all appro	priate items)?	
	Monetary/Fine Amount: \$ 20,345,000.00		
	Revocation/Expulsion/Denial	Disgorgement/Restitution	
	Censure	Cease and Desist/Injunction	
	E Bar	Suspension	
	B. Other Sanctions Ordered:		

Sanction detail: if suspended, *enjoined* or barred, provide duration including start date and capacities affected (General Securities Principal, Financial Operations Principal, etc.). If requalification by exam/retraining was a condition of the sanction, provide length of time given to requalify/retrain, type of exam required and whether condition has been satisfied. If disposition resulted in a fine, penalty, restitution, disgorgement or monetary compensation, provide total amount, portion levied against you or an *advisory affiliate*, date paid and if any portion of penalty was waived:

THE SANCTIONS COMMISSION OF THE AMF ASSESSED A FINE OF EUR 15 MILLION (APPROXIMATELY \$16,500,000) AGAINST EAUK AND EUR 5 MILLION (APPROXIMATELY \$5,500,000) AGAINST ECALP. THE PARIS COURT OF APPEALS REDUCED THE FINE AGAINST EAUK BY EUR 1 MILLION (APPROXIMATELY \$1,100,000) AND AGAINST ECALP BY EUR 0.5 MILLION (APPROXIMATELY \$555,000).

13. Provide a brief summary of details related to the action status and (or) disposition and include relevant terms, conditions and dates (your response must fit within the space provided).

ON APRIL 22, 2020, ELLIOTT RECEIVED A WRITTEN DECISION IN AN ADMINISTRATIVE PROCEEDING FROM THE SANCTIONS COMMISSION OF THE AMF IN FRANCE RELATING TO ELLIOTT'S ACTIVITY CONCERNING A TENDER OFFER CONDUCTED BY XPO FOR THE SHARES OF NDSA IN 2015. IN THE WRITTEN DECISION THE AMF FOUND (I) THAT ELLIOTT INACCURATELY DESCRIBED THEIR DERIVATIVE HOLDINGS AS "CONTRACTS FOR DIFFERENCE" RATHER THAN AS "EQUITY SWAPS" IN THEIR PUBLIC DISCLOSURE OF THEIR POSITION IN NDSA; (II) THAT ELLIOTT DID NOT DECLARE THEIR INTENTION TO NOT TENDER THEIR HOLDINGS IN NDSA INTO XPO'S TENDER OFFER IN A TIMELY MANNER; AND (III) THAT EAUK IMPEDED THE AMF'S INVESTIGATION BY MAKING A DELAYED PRODUCTION OF DOCUMENTS THAT ELLIOTT, IN THE AMF'S VIEW, SHOULD HAVE UNDERSTOOD WERE BEING SOUGHT BY THE AMF. ALTHOUGH THE SANCTIONS COMMISSION ACCEPTED THAT ELLIOTT'S DECLARATIONS RELATING TO XPO'S TENDER OFFER DID NOT IN FACT MISLEAD OR CAUSE MARKET PARTICIPANTS TO MODIFY THEIR STRATEGIES CONCERNING THE TENDER OFFER, AND FOUND NO INTENT BY EAUK TO IMPEDE THE AMF'S INVESTIGATION THROUGH THE DELAYED PRODUCTION OF MATERIALS, IT NEVERTHELESS IMPOSED A FINE OF EUR 15 MILLION AGAINST EAUK AND A FINE OF EUR 5 MILLION AGAINST ECALP. ELLIOTT STRONGLY DISAGREES WITH THE FINDINGS AGAINST ELLIOTT IN THIS ADMINISTRATIVE PROCEEDING, CONSIDERS THEM WHOLLY WITHOUT FOUNDATION, VIGOROUSLY OPPOSES ANY SUGGESTION OF WRONGDOING, AND APPEALED THE MATTER TO THE PARIS COURT OF APPEALS. ON MARCH 24, 2022 THE PARIS COURT OF APPEALS DETERMINED THAT ELLIOTT WAS NOT IN FACT REQUIRED IN ITS DECLARATIONS OF INTENT TO DISTINGUISH THE TYPE OF ITS DERIVATIVE HOLDINGS AND REDUCED THE FINE AGAINST EAUK BY EUR 1 MILLION AND AGAINST ECA BY EUR 0.5 MILLION. HOWEVER, THE COURT OF APPEALS UPHELD THE AMF'S FINDINGS THAT: (I) ELLIOTT WAS NEVERTHELESS REQUIRED TO DISTINGUISH THE TYPE OF ITS DERIVATIVE HOLDINGS IN ITS OTHER FILINGS; (II) ELLIOTT DID NOT DECLARE ITS INTENTION TO NOT TENDER ITS HOLDINGS IN NDSA INTO XPO'S TENDER OFFER IN A TIMELY MANNER; AND (III) EAUK IMPEDED THE AMF'S INVESTIGATION BY MAKING A DELAYED PRODUCTION OF DOCUMENTS THAT ELLIOTT, IN THE AMF'S VIEW, SHOULD HAVE UNDERSTOOD WERE BEING SOUGHT BY THE AMF. ELLIOTT DISAGREES WITH THE DECISION OF THE PARIS COURT OF APPEALS AND IT REMAINS ELLIOTT'S POSITION THAT THE ACTIVITIES OF ELLIOTT WERE LAWFUL AT ALL TIMES. ON JULY 19, 2022, ELLIOTT FILED A DECLARATION OF APPEAL BEFORE THE FRENCH SUPREME COURT, THE COUR DE CASSATION. NONE OF THE COSTS ASSOCIATED WITH THIS MATTER (INCLUDING THE PENALTY ASSESSED BY THE SANCTIONS COMMISSION AND THE COST OF THE APPEAL) HAVE BEEN OR WILL BE BORNE BY THE ELLIOTT FUNDS, AND ELLIOTT CONTINUES TO BELIEVE THAT THIS MATTER WILL NOT HAVE AN ADVERSE IMPACT ON THE FUNDS.

GENERAL INSTRUCTIONS

This Disclosure Reporting Page (DRP ADV) is an O INITIAL OR O AMENDED response used to report details for affirmative responses to Items 11.C., 11.D., 11.E., 11.F. or 11.G. of Form ADV.

	Regulatory Action							
Check item(s) being re	Check item(s) being responded to:							
🗖 11.C(1)	🗖 11.C(2)	1 1.C(3)	1 1.C(4)	1 1.C(5)				
🗖 11.D(1)	🗖 11.D(2)	🗖 11.D(3)	🗖 11.D(4)	1 1.D(5)				
🗖 11.E(1)	🗖 11.E(2)	🗖 11.E(3)	1 1.E(4)					
[11.F.	☑ 11.G.							

Use a separate DRP for each event or proceeding. The same event or proceeding may be reported for more than one person or entity using one DRP. File with a completed Execution Page.

One event may result in more than one affirmative answer to Items 11.C., 11.D., 11.E., 11.F. or 11.G. Use only one DRP to report details related to the same event. If an event gives rise to actions by more than one regulator, provide details for each action on a separate DRP.

PART I

B.

1.

2.

- The *person(s)* or entity(ies) for whom this DRP is being filed is (are): А.
 - You (the advisory firm)
 - O You and one or more of your advisory affiliates
 - One or more of your advisory affiliates

If this DRP is being filed for an advisory affiliate, give the full name of the advisory affiliate below (for individuals, Last name, First name, Middle name). If the advisory affiliate has a CRD number, provide that number. If not, indicate "non-registered" by checking the appropriate box.

ADV DRP - ADVISORY AFFILIATE CRD This *advisory affiliate* is ^(C) a Firm ^(C) an Individual Number: Registered: O Yes 💿 No ELLIOTT INTERNATIONAL, L.P. Name: (For individuals, Last, First, Middle) CRD This advisory affiliate is 💿 a Firm 🕺 an Individual Number: Registered: O Yes 💿 No THE LIVERPOOL LIMITED Name: PARTNERSHIP (For individuals, Last, First, Middle) This DRP should be removed from the ADV record because the *advisory affiliate(s)* is no longer associated with the adviser. This DRP should be removed from the ADV record because: (1) the event or proceeding occurred more than ten years ago or (2) the adviser is registered or applying for registration with the SEC or reporting as an exempt reporting adviser with the SEC and the event was resolved in the adviser's or advisory affiliate's favor. If you are registered or registering with a state securities authority, you may remove a DRP for an event you reported only in response to Item 11.D(4), and only if that event occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any event listed in Item 11 that occurred more than ten years ago. This DRP should be removed from the ADV record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the circumstances: If the advisory affiliate is registered through the IARD system or CRD system, has the advisory affiliate submitted a DRP (with Form ADV, BD or U-4) to the IARD or CRD for the event? If the answer is "Yes," no other information on this DRP must be provided. O Yes 💿 No NOTE: The completion of this form does not relieve the advisory affiliate of its obligation to update its IARD or CRD records. PART II Regulatory Action initiated by: OSEC OOther Federal OState OSRO OForeign (Full name of regulator, foreign financial regulatory authority, federal, state, or SRO) HELLENIC CAPITAL MARKET COMMISSION (GREECE) Principal Sanction: Other Sanctions: 3. Date Initiated (MM/DD/YYYY): 02/11/2015 ^O Exact [©] Explanation

If not exact, provide explanation: DATE ELLIOTT INTERNATIONAL, L.P. RECEIVED INQUIRY LETTER. THE LIVERPOOL LIMITED PARTNERSHIP RECEIVED A SUBSTANTIALLY IDENTICAL INQUIRY LETTER ON AUGUST 14, 2015.

4.	Docket/Case Number: 132/15.1.2015; 2317/19.6.2015					
5.	Advisory Affiliate Employing Firm when activity occurred which led to the regulatory action (if applicable):					
6.	Principal Product Type: Equity Listed (Common & Preferred Stock) Other Product Types:					
7.	Describe the allegations related to this regulatory action (your response must fit within the space provided): CERTAIN PRIVATE INVESTMENT FUND ENTITIES REFERENCED ABOVE IN PART I.A OF THIS DRP (EACH SUCH FUND, AN "ELLIOTT FUND") EACH SEPARATELY RECEIVED A LETTER FROM THE HELLENIC CAPITAL MARKET COMMISSION (THE "HCMC") REGARDING SHORT-SALE ORDERS FOR A SECURITY PLACED ON MAY 6, 2014. HCMC HAS ALLEGED THAT, IN ITS VIEW, EACH ELLIOTT FUND VIOLATED AN EU SHORT-SELLING RULE BY PLACING THE SHORT- SALE ORDER ON THE DAY BEFORE THE SECURITY WAS APPROVED FOR TRADING, WITHOUT ADEQUATELY ENSURING THAT THE SECURITY WOULD BE AVAILABLE FOR SETTLEMENT WHEN DUE.					
8.	Current Status? 💿 Pending 🔍 On Appeal 🔍 Final					
9.	If on appeal, regulatory action appealed to (SEC, SRO, Federal or State Court) and Date Appeal Filed:					
lf	Final or On Appeal, complete all items below. For Pending Actions, complete Item 13 only.					
10	. How was matter resolved:					
11	. Resolution Date (MM/DD/YYYY):					
	O Exact O Explanation					
	If not exact, provide explanation:					
12	. Resolution Detail:					
	A. Were any of the following Sanctions <i>Ordered</i> (check all appropriate items)?					
	Monetary/Fine Amount: \$					
	Revocation/Expulsion/Denial Disgorgement/Restitution					
	Censure					
	🗖 Bar 🗖 Suspension					
	B. Other Sanctions Ordered:					
	Sanction detail: if suspended, <i>enjoined</i> or barred, provide duration including start date and capacities affected (General Securities Principal, Financial Operations Principal, etc.). If requalification by exam/retraining was a condition of the sanction, provide length of time given to requalify/retrain, type of exam required and whether condition has been satisfied. If disposition resulted in a fine, penalty, restitution, disgorgement or monetary compensation, provide total amount, portion levied against you or an <i>advisory affiliate</i> , date paid and if any portion of penalty was waived:					
13	. Provide a brief summary of details related to the action status and (or) disposition and include relevant terms, conditions and dates (your response must fit within the space provided).					
	THE HCMC REQUESTED THE ELLIOTT FUNDS' VIEWS ON THE FOREGOING ALLEGATIONS BEFORE MAKING ANY FINAL DETERMINATION. THE ELLIOTT FUNDS EACH RESPONDED TO THE HCMC AND CONTESTED THE ALLEGATIONS. IN PARTICULAR, THE ELLIOTT FUNDS STATED THAT THEY DID IN FACT ENSURE THAT THE RELEVANT SECURITY WOULD BE AVAILABLE FOR SETTLEMENT WHEN DUE IN ACCORDANCE WITH THE RELEVANT EU SHORT-SELLING RULE. EACH ELLIOTT FUND DID SO BY ENTERING INTO A BINDING AGREEMENT, BEFORE EFFECTING THE SHORT SALE, TO ACQUIRE THE SECURITY TO BE DELIVERED PRIOR TO THE SETTLEMENT DATE. IN FACT, IN ACCORDANCE WITH SUCH AGREEMENT, THE SHARES WERE ACQUIRED PRIOR TO THE SETTLEMENT DATE. AND WERE DELIVERED TO THE BUYER ON THE SETTLEMENT DATE. THESE MATTERS REMAIN PENDING BEFORE THE HCMC. ON NOVEMBER 28, 2017, ELLIOTT'S COUNSEL RECEIVED AN EMAIL FROM THE HCMC STATING THAT THE HCMC DECIDED TO IMPOSE A FINE OF EURO 64,500 ON THE LIVERPOOL LIMITED PARTNERSHIP RELATING TO THE ABOVE-REFERENCED ACTIVITY. ON FEBRUARY 21, 2018, ELLIOTT'S COUNSEL RECEIVED A SUBSTANTIALLY IDENTICAL EMAIL FROM THE HCMC IMPOSING A FINE OF EURO 116,500 ON ELLIOTT INTERNATIONAL, L.P. THESE DECISIONS ARE NOT CONSIDERED FINAL AND ARE SUBJECT TO APPEAL.					

GENERAL INSTRUCTIONS

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🗖 11.C(1)	🗖 11.C(2)	🗖 11.C(3)	🗖 11.C(4)	🗖 11.C(5)
🗖 11.D(1)	☑ 11.D(2)	🗖 11.D(3)	[11.D(4)	🗖 11.D(5)
🗖 11.E(1)	🗖 11.E(2)	🗖 11.E(3)	1 1.E(4)	
🗖 11.F.	🗖 11.G.			

Use a separate DRP for each event or *proceeding*. The same event or *proceeding* may be reported for more than one *person* or entity using one DRP. File with a completed Execution Page.

One event may result in more than one affirmative answer to Items 11.C., 11.D., 11.E., 11.F. or 11.G. Use only one DRP to report details related to the same event. If an event gives rise to actions by more than one regulator, provide details for each action on a separate DRP.

PART I

- A. The *person(s)* or entity(ies) for whom this DRP is being filed is (are):
 - $_{igcolor}$ You (the advisory firm)
 - O You and one or more of your advisory affiliates
 - One or more of your *advisory affiliates*

If this DRP is being filed for an *advisory affiliate*, give the full name of the *advisory affiliate* below (for individuals, Last name, First name, Middle name). If the *advisory affiliate* has a *CRD* number, provide that number. If not, indicate "non-registered" by checking the appropriate box.

<i>CRD</i> Number:	292248 This <i>advisory affiliate</i> is 💿 a Firm O an Individual						
	• Yes • No						
Name:	ELLIOTT ADVISORS (UK)						
Nume.	LIMITED						
	(For individuals, Last, First,						
	Middle)						
This DRP	 This DRP should be removed from the ADV record because the advisory affiliate(s) is no longer associated with the adviser. This DRP should be removed from the ADV record because: (1) the event or proceeding occurred more than ten years ago or (2) the adviser is 						
-	d or applying for registration with the SEC or reporting as an <i>exempt reporting adviser</i> with the SEC and the event was resolved in the or <i>advisory affiliate's</i> favor.						
11.D(4), and	gistered or registering with a <i>state securities authority</i> , you may remove a DRP for an event you reported only in response to Item I only if that event occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any in Item 11 that occurred more than ten years ago.						
This DRP circumsta	should be removed from the ADV record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the nces:						
	y affiliate is registered through the IARD system or CRD system, has the advisory affiliate submitted a DRP (with Form ADV, BD or U-4) CRD for the event? If the answer is "Yes," no other information on this DRP must be provided.						
	CRD for the event? If the answer is "Yes," no other information on this DRP must be provided.						
the IARD or 0	CRD for the event? If the answer is "Yes," no other information on this DRP must be provided.						
the IARD or 0	CRD for the event? If the answer is "Yes," no other information on this DRP must be provided. No						
the IARD or (Yes NOTE: The co II Regulatory A	CRD for the event? If the answer is "Yes," no other information on this DRP must be provided. No ompletion of this form does not relieve the <i>advisory affiliate</i> of its obligation to update its IARD or <i>CRD</i> records.						
the IARD or 0 Yes NOTE: The co II Regulatory A SEC O	CRD for the event? If the answer is "Yes," no other information on this DRP must be provided. No ompletion of this form does not relieve the <i>advisory affiliate</i> of its obligation to update its IARD or <i>CRD</i> records. ction initiated by: ther Federal OState OSRO OForeign						
the IARD or (Ves NOTE: The co II Regulatory A SEC O (Full name of	CRD for the event? If the answer is "Yes," no other information on this DRP must be provided. No ompletion of this form does not relieve the <i>advisory affiliate</i> of its obligation to update its IARD or <i>CRD</i> records.						
the IARD or (Yes NOTE: The co II Regulatory A SEC O (Full name of AUTORITÉ DE Principal San	No empletion of this form does not relieve the <i>advisory affiliate</i> of its obligation to update its IARD or <i>CRD</i> records. etion initiated by: ther Federal O State O <i>SRO</i> O Foreign ir regulator, <i>foreign financial regulatory authority</i> , federal, state, or <i>SRO</i>) es MARCHÉS FINANCIERS etion:						
the IARD or (Yes NOTE: The co II Regulatory A SEC O (Full name of AUTORITÉ DE Principal San	<i>RD</i> for the event? If the answer is "Yes," no other information on this DRP must be provided. No ompletion of this form does not relieve the advisory affiliate of its obligation to update its IARD or <i>CRD</i> records. ction initiated by: ther Federal OState OSRO Foreign regulator, foreign financial regulatory authority, federal, state, or <i>SRO</i>) cs MARCHÉS FINANCIERS						
the IARD or (Ves NOTE: The co NOTE: The co II Regulatory A SEC OO (Full name of AUTORITÉ DE Principal San Civil and Adm Other Sanctio	<i>RD</i> for the event? If the answer is "Yes," no other information on this DRP must be provided. No ompletion of this form does not relieve the advisory affiliate of its obligation to update its IARD or <i>CRD</i> records. ction initiated by: ther Federal OState OSRO Foreign regulator, foreign financial regulatory authority, federal, state, or <i>SRO</i>) cs MARCHÉS FINANCIERS						
the IARD or (Yes NOTE: The co II Regulatory A SEC O (Full name of AUTORITÉ DE Principal San Civil and Adm Other Sanction Date Initiated	<i>RD</i> for the event? If the answer is "Yes," no other information on this DRP must be provided. No pompletion of this form does not relieve the advisory affiliate of its obligation to update its IARD or <i>CRD</i> records. ection initiated by: ther Federal OState OSRO OForeign regulator, foreign financial regulatory authority, federal, state, or <i>SRO</i>) SS MARCHÉS FINANCIERS ection: inistrative Penalt(ies) /Fine(s) pns:						
the IARD or (Ves NOTE: The co NOTE: The co II Regulatory A SEC OO (Full name of AUTORITÉ DE Principal San Civil and Adm Other Sanctio Date Initiated 12/27/2012	<i>RD</i> for the event? If the answer is "Yes," no other information on this DRP must be provided. No ampletion of this form does not relieve the <i>advisory affiliate</i> of its obligation to update its IARD or <i>CRD</i> records. ction initiated by: ther Federal State SRO <i>SRO</i> Foreign 'regulator, <i>foreign financial regulatory authority</i> , federal, state, or <i>SRO</i>) S MARCHÉS FINANCIERS ction: inistrative Penalt(ies) /Fine(s) pns: (MM/DD/YYYY):						
the IARD or (Ves NOTE: The co NOTE: The co II Regulatory A SEC OO (Full name of AUTORITÉ DE Principal San Civil and Adm Other Sanctio Date Initiated 12/27/2012	<i>RD</i> for the event? If the answer is "Yes," no other information on this DRP must be provided. No ompletion of this form does not relieve the <i>advisory affiliate</i> of its obligation to update its IARD or <i>CRD</i> records. etton initiated by: ther Federal State						

5.	Advisory Affiliate Employing Firm when activit	y occurred which led to the regulatory action (if applicable):

- Principal Product Type: Equity Listed (Common & Preferred Stock) Other Product Types:
- 7. Describe the allegations related to this regulatory action (your response must fit within the space provided):

ON DECEMBER 28, 2012, ELLIOTT ADVISORS (UK) LIMITED ("EAUK") RECEIVED A LETTER OF GRIEVANCE FROM THE FRENCH FINANCIAL MARKET REGULATOR, THE AUTORITÉ DES MARCHÉS FINANCIERS (THE "AMF"), STATING THAT EAUK MAY HAVE PURCHASED THE STOCK OF AUTOROUTES PARIS RHIN-RHÔNE ("APRR") BASED ON MATERIAL NONPUBLIC INFORMATION RELATING TO A POTENTIAL SALE OF ELLIOTT'S APRR STAKE TO EIFFARIE, APRR'S MAJORITY OWNER. THE AMF ALSO STATED THAT ELLIOTT'S APRR PURCHASES DURING THE RELEVANT PERIOD MAY HAVE CAUSED THE APRR STOCK PRICE TO BE ARTIFICIALLY INFLATED. ON JANUARY 31, 2013, ELLIOTT MANAGEMENT CORPORATION ("EMC") RECEIVED A SUBSTANTIALLY IDENTICAL LETTER OF GRIEVANCE FROM THE AMF. THE LETTER TO EMC DID NOT ASSERT ANY NEW STATEMENTS OR SET FORTH ANY NEW FACTS.

- 8. Current Status? O Pending O On Appeal O Final
- 9. If on appeal, regulatory action appealed to (SEC, SRO, Federal or State Court) and Date Appeal Filed:

If Final or On Appeal, complete all items below. For Pending Actions, complete Item 13 only.

- 10. How was matter resolved: Decision
- 11. Resolution Date (MM/DD/YYYY):

03/27/2019 ^O Exact [©] Explanation

If not exact, provide explanation:

THE FRENCH SUPREME COURT, THE COUR DE CASSATION AFFIRMED THE DECISION OF THE PARIS COURT OF APPEALS.

- 12. Resolution Detail:
 - A. Were any of the following Sanctions Ordered (check all appropriate items)?
 - Monetary/Fine Amount: \$ 22,000,000.00
 - Revocation/Expulsion/Denial
 Censure
 Bar
 Disgorgement/Restitution
 Cease and Desist/Injunction
 Suspension
 - B. Other Sanctions Ordered:

Sanction detail: if suspended, *enjoined* or barred, provide duration including start date and capacities affected (General Securities Principal, Financial Operations Principal, etc.). If requalification by exam/retraining was a condition of the sanction, provide length of time given to requalify/retrain, type of exam required and whether condition has been satisfied. If disposition resulted in a fine, penalty, restitution, disgorgement or monetary compensation, provide total amount, portion levied against you or an *advisory affiliate*, date paid and if any portion of penalty was waived:

THE SANCTIONS COMMISSION OF THE AMF ASSESSED A PENALTY OF 8 MILLION EUROS AGAINST EMC (APPROXIMATELY \$11 MILLION) AND 8 MILLION EUROS AGAINST EAUK.

13. Provide a brief summary of details related to the action status and (or) disposition and include relevant terms, conditions and dates (your response must fit within the space provided).

ON MAY 5, 2014, EAUK AND EMC RECEIVED A WRITTEN DECISION IN AN ADMINISTRATIVE PROCEEDING FROM THE SANCTIONS COMMISSION OF THE AMF IN FRANCE. THE WRITTEN DECISION (THE "AMF DECISION") RELATED TO ALLEGATIONS BY THE AMF THAT ELLIOTT PURCHASED STOCK OF APRR BASED ON MATERIAL NONPUBLIC INFORMATION AND THAT ELLIOTT MANIPULATED THE STOCK OF APRR. IN THE AMF DECISION, THE SANCTIONS COMMISSION FOUND THAT ELLIOTT USED MATERIAL NONPUBLIC INFORMATION IN THE PURCHASE OF APRR SHARES BETWEEN MAY 28, 2010 AND JUNE 11, 2010 BUT HELD THAT ELLIOTT DID NOT COMMIT MARKET MANIPULATION OR ARTIFICIALLY INFLATE THE PRICE OF APRR SHARES. THE SANCTIONS COMMISSION ASSESSED A PENALTY OF 8 MILLION EUROS AGAINST EMC AND 8 MILLION EUROS AGAINST EAUK. ELLIOTT DISAGREES WITH THE FINDINGS AGAINST EMC AND EAUK IN THIS ADMINISTRATIVE PROCEEDING. IT REMAINS ELLIOTT'S POSITION THAT ITS TRADING IN APRR'S SECURITIES WAS LAWFUL AT ALL TIMES. ELLIOTT'S PURCHASES OF APRR STOCK WERE MADE AS PART OF A LONGSTANDING TRADING STRATEGY DATING BACK TO 2005. ELLIOTT PURCHASED APRR STOCK ON OVER 300 TRADING DAYS BETWEEN DECEMBER 2005 AND JUNE 2010. ELLIOTT HAS LONGSTANDING POLICIES AND PROCEDURES IN PLACE TO PREVENT THE MISUSE OF MATERIAL NONPUBLIC INFORMATION. CONSISTENT WITH THOSE PROCEDURES, ELLIOTT HAD A CHINESE WALL IN PLACE WITH RESPECT TO APRR DURING THE PERIOD IN QUESTION, AND NO MATERIAL NONPUBLIC INFORMATION WAS TRANSMITTED TO THE PERSONNEL WHO DIRECTED THE PURCHASES OF APRR STOCK. DESPITE AN INVESTIGATION WHICH INCLUDED EXTENSIVE REVIEWS OF EMAILS, AUDIOTAPED TRADING LINES AND INTERVIEWS WITH WITNESSES, THE AMF OFFERED NO EVIDENCE THAT ELLIOTT'S CHINESE WALL WAS BREACHED, BUT INSTEAD SIMPLY CONCLUDED THAT THE CIRCUMSTANCES SUPPORTED THEIR INFERENCE THAT THE CHINESE WALL WAS BREACHED. EMC AND EAUK APPEALED THE AMF DECISION TO THE PARIS COURT OF APPEALS. ON JANUARY 14, 2016, THE PARIS COURT OF APPEALS AFFIRMED THE AMF DECISION. ELLIOTT DISAGREES WITH THE DECISION OF THE PARIS COURT OF APPEALS AND EMC AND EAUK APPEALED THE DECISION TO THE FRENCH SUPREME COURT, THE COUR DE CASSATION. ON MARCH 27, 2019, THE COUR DE CASSATION AFFIRMED THE AMF DECISION. ELLIOTT DISAGREES WITH THE DECISION OF THE COUR DE CASSATION. ON FEBRUARY 6, 2020, THE EUROPEAN COURT OF HUMAN RIGHTS DECLINED TO HEAR THE APPLICATION OF EMC AND EAUK. EMC AND EAUK SUBSEQUENTLY SUBMITTED AN APPLICATION TO THE EUROPEAN COMMISSION REGARDING, AMONG OTHER THINGS, THE INCORRECT APPLICATION OF EU LAW, WITH A VIEW TO APPEALING THE DECISION OF THE FRENCH COURTS.

ON JUNE 21, 2021, ELLIOTT WAS NOTIFIED THAT THE EUROPEAN COMMISSION HAD REJECTED THE APPLICATION. NONE OF THE COSTS ASSOCIATED WITH THIS MATTER (INCLUDING THE PENALTY ASSESSED BY THE SANCTIONS COMMISSION AND THE COST OF THE APPEAL) HAVE BEEN OR WILL BE BORNE BY THE ELLIOTT FUNDS, AND ELLIOTT CONTINUES TO BELIEVE THAT THIS MATTER DID NOT HAVE AN ADVERSE IMPACT ON THE FUNDS.

GENERAL INSTRUCTIONS

This Disclosure Reporting Page (DRP ADV) is an O INITIAL OR O AMENDED response used to report details for affirmative responses to Items 11.C., 11.D., 11.E., 11.F. or 11.G. of Form ADV.

		Regulatory Ac	tion	
Check item(s) being re	esponded to:			
🗖 11.C(1)	🗖 11.C(2)	1 1.C(3)	1 1.C(4)	🗖 11.C(5)
🗖 11.D(1)	☑ 11.D(2)	🗖 11.D(3)	🗖 11.D(4)	🗖 11.D(5)
🗖 11.E(1)	🗖 11.E(2)	🗖 11.E(3)	🗖 11.E(4)	
🗖 11.F.	🗖 11.G.			

Use a separate DRP for each event or *proceeding*. The same event or *proceeding* may be reported for more than one *person* or entity using one DRP. File with a completed Execution Page.

One event may result in more than one affirmative answer to Items 11.C., 11.D., 11.E., 11.F. or 11.G. Use only one DRP to report details related to the same event. If an event gives rise to actions by more than one regulator, provide details for each action on a separate DRP.

PART I

- A. The *person(s)* or entity(ies) for whom this DRP is being filed is (are):
 - O You (the advisory firm)
 - You and one or more of your advisory affiliates
 - One or more of your advisory affiliates

If this DRP is being filed for an *advisory affiliate*, give the full name of the *advisory affiliate* below (for individuals, Last name, First name, Middle name). If the *advisory affiliate* has a *CRD* number, provide that number. If not, indicate "non-registered" by checking the appropriate box.

ADV DRP - A	ADV DRP - ADVISORY AFFILIATE								
<i>CRD</i> Number:	292248	This advisory affiliate is 💿 a Firm 🗢 an Individual							
Registered:	• Yes • No								
Name:	ELLIOTT ADVISORS (UK) LIMITED (For individuals, Last, First, Middle)								

This DRP should be removed from the ADV record because the *advisory affiliate(s)* is no longer associated with the adviser.

This DRP should be removed from the ADV record because: (1) the event or *proceeding* occurred more than ten years ago or (2) the adviser is registered or applying for registration with the SEC or reporting as an *exempt reporting adviser* with the SEC and the event was resolved in the adviser's or *advisory affiliate's* favor.

If you are registered or registering with a *state securities authority*, you may remove a DRP for an event you reported only in response to Item 11.D(4), and only if that event occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any event listed in Item 11 that occurred more than ten years ago.

This DRP should be removed from the ADV record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the circumstances:

B. If the *advisory affiliate* is registered through the IARD system or *CRD* system, has the *advisory affiliate* submitted a DRP (with Form ADV, BD or U-4) to the IARD or *CRD* for the event? If the answer is "Yes," no other information on this DRP must be provided.

○ Yes ⊙ No

NOTE: The completion of this form does not relieve the advisory affiliate of its obligation to update its IARD or CRD records.

PART II

1.	Regulatory Action initiated by:
	⊙SEC OOther Federal OState OSRO ⊙Foreign
	(Full name of regulator, foreign financial regulatory authority, federal, state, or SRO)
	SWISS FEDERAL DEPARTMENT OF FINANCE

2.	Principal Sanction: Civil and Administrative Penalt(ies) /Fine(s) Other Sanctions:					
3.	Date Initiated (MM/DD/YYYY):					
	05/14/2012 • Exact • Explanation If not exact, provide explanation:					
4.	Docket/Case Number: 442.2-053					
5.	Advisory Affiliate Employing Firm when activity occurred which led to the regulatory action (if applicable):					
6.	Principal Product Type: Equity Listed (Common & Preferred Stock) Other Product Types:					
7.	Describe the allegations related to this regulatory action (your response must fit within the space provided): THE SWISS FEDERAL DEPARTMENT OF FINANCE (THE "FDF") ISSUED A SUMMARY PENALTY ORDER ON MAY 23, 2013 (THE "ORDER") IN WHICH IT FINED ELLIOTT ADVISORS (UK) LIMITED ("EAUK") 25,000 SWISS FRANCS (PLUS PROCEDURAL COSTS OF 1,590 SWISS FRANCS) FOR NOT CAUSING THE ELLIOTT FUNDS AND/OR THEIR SUBSIDIARIES (COLLECTIVELY, THE "FUNDS") TO SEPARATELY REPORT ON A TIMELY BASIS CHANGES IN THE FUNDS' OWNERSHIP OF SHARES OF A COMPANY LISTED ON THE SIX SWISS EXCHANGE AG ("SIXSE") AS IT HAD DONE FOR THE FUNDS' AGGREGATE POSITION IN THE SECURITIES OF SUCH COMPANY. (THE FDF DID NOT DISPUTE THAT EAUK TIMELY MADE ALL REQUISITE FILINGS SHOWING CHANGES IN THE FUNDS' AGGREGATE POSITION IN THE SECURITIES OF SUCH COMPANY.)					
8.	Current Status? O Pending O On Appeal O Fina	Ι				
9.	If on appeal, regulatory action appealed to (SEC, SRO, Feder	al or State Court) and Date Appeal Filed:				
10.	D. How was matter resolved: Order					
11.	1. Resolution Date (MM/DD/YYYY):					
	05/23/2013 ^O Exact © Explanation					
	If not exact, provide explanation: THE ORDER WAS ISSUED ON MAY 23, 2013. EAUK DETERMINE	D NOT TO CHALLENGE THE ORDER.				
12.	2. Resolution Detail:					
	A. Were any of the following Sanctions Ordered (check all a	appropriate items)?				
	Monetary/Fine Amount: \$ 26,140.00					
	Revocation/Expulsion/Denial	Disgorgement/Restitution				
	Censure Bar	 Cease and Desist/Injunction Suspension 				
	B. Other Sanctions <i>Ordered:</i>	L Suspension				
	Financial Operations Principal, etc.). If requalification by requalify/retrain, type of exam required and whether co disgorgement or monetary compensation, provide total of penalty was waived:	de duration including start date and capacities affected (General Securities Principal, exam/retraining was a condition of the sanction, provide length of time given to ndition has been satisfied. If disposition resulted in a fine, penalty, restitution, amount, portion levied against you or an <i>advisory affiliate</i> , date paid and if any portion (PLUS PROCEDURAL COSTS OF 1,590 SWISS FRANCS), WHICH REPRESENTS 2.5% OF THE				
13.	 Provide a brief summary of details related to the action statu must fit within the space provided). 	is and (or) disposition and include relevant terms, conditions and dates (your response				
	must fit within the space provided). EAUK TIMELY MADE ALL REQUISITE FILINGS REGARDING CHANGES IN THE FUNDS' AGGREGATE POSITION IN THE SECURITIES OF THE SIXSE-LISTED COMPANY, BUT INADVERTENTLY DID NOT ALSO SEPARATELY REPORT CHANGES IN THE FUNDS' OWNERSHIP OF SHARES OF SUCH COMPANY. UPON DISCOVERING THAT SUCH INFORMATION HAD NOT BEEN TIMELY REPORTED, EAUK PROMPTLY NOTIFIED THE SIXSE AND REPORTED THE INFORMATION.					

			GENERAL INSTRUC	TIONS	
This	Disclosure Reporting Page	e (DRP ADV) is an 👩 I	NITIAL OR (AMENDED respons	e used to report details for a	ffirmative responses to Items 11.C., 11.D.,
	E., 11.F. or 11.G. of Form A				
			Regulatory Acti	on	
	ck item(s) being responde				
1	11.C(1)	🗖 11.C(2)	[11.C(3)	🗖 11.C(4)	1 1.C(5)
[] 1	11.D(1)	🗖 11.D(2)	🗖 11.D(3)	🗖 11.D(4)	🗖 11.D(5)
[] 1	11.E(1)	☑ 11.E(2)	1 1.E(3)	1 1.E(4)	
	11.F.	🗖 11.G.			
with One	event may result in more	age. than one affirmative a		E., 11.F. or 11.G. Use only o	one <i>person</i> or entity using one DRP. File ne DRP to report details related to the parate DRP.
DAD	- 1				
PAR [®] A.	The <i>person(s)</i> or entity(ie O You (the advisory firm		is being filed is (are):		
	${f O}$ You and one or more	of your advisory affilia	tes		
	One or more of your 2				
		advisory anniates			
	•	•	, give the full name of the <i>advisor</i> ide that number. If not, indicate		ls, Last name, First name, Middle name). the appropriate box.
	ADV DRP - ADVISORY AF	FILIATE			
	CRD 156601 Number: Registered: • Yes		This <i>advisory affiliate</i> is 💿 a Firm	O an Individual	
	Name: ELLIOTT M/ CORPORAT	ANAGEMENT			
	This DRP should be re	emoved from the ADV g for registration with		proceeding occurred more that	with the adviser. an ten years ago or (2) the adviser is SEC and the event was resolved in the
	· ·	event occurred more	than ten years ago. If you are re		u reported only in response to Item he SEC, you may remove a DRP for any
	This DRP should be re circumstances:	emoved from the ADV	record because it was filed in erro	or, such as due to a clerical o	r data-entry mistake. Explain the
B.	the IARD or CRD for the e		e IARD system or <i>CRD</i> system, ha s "Yes," no other information on t	-	ed a DRP (with Form ADV, BD or U-4) to
	💿 Yes 🔘 No				
	NOTE: The completion of	this form does not rel	lieve the <i>advisory affiliate</i> of its ob	ligation to update its IARD or	CRD records.
PAR	тп				
1.	SEC Other Federal	I O ^{State} OSRO foreign financial regulat	OForeign Fory authority, federal, state, or SI	<i>RO</i>)	
	NEW YORK MERCANTILE	EXCHANGE			
2.	I				
	Other Other Sanctions:				
	MONETARY FINE				

3.	Date Initiated (MM/DD/Y	YYY):			
	10/28/2014 💿 Exact	C Explanation			
	If not exact, provide ex	•			
		1			
4.	Docket/Case Number:				
	NYMEX 14-9820-BC				
5.	Advisory Affiliate Employ	ing Firm when activity or	curred which led to the rea	ulatory action (if applicable):	
	5 1 5	5	5		
6.	Principal Product Type:				
	Futures - Commodity				
	Other Product Types:				
7.	Describe the allegations	s related to this regulator	ry action (your response m	ust fit within the space provided):	
		-			JLTED IN THE ELLIOTT FUNDS HOLDING A
				I LIMIT UNDER NEW YORK MERCA	
	TUTURES EQUIVALENT P	OSTITON IN EXCLOS OF		EIVIT ONDER NEW TORK WERCA	NTTEL EXCHANGE ROLL 502.
8.	Current Status? O	Pending On Appea	al 💿 Final		
0.		renaing = on Appea			
9.	If on appeal, regulatory	action appealed to (SEC	C, SRO, Federal or State Cou	rt) and Date Appeal Filed:	
	inclar On Annacl commu	oto ollitomo bolovu. For F	londing Astigns, separate It		
	inal of On Appeal, comple	ete all items below. For P	ending Actions, complete It	em 13 only.	
10.	How was matter resolve	ed:			
	Settled				
11.	Resolution Date (MM/DD	/YYYY):			
	10/28/2014 🙆 Exact	O Explanation			
	If not exact, provide ex	planation:			
12	Resolution Detail:				
12.					
	A. Were any of the fo	Ilowing Sanctions Ordere	ed (check all appropriate ite	ms)?	
	Monetary/Fine	Amount: \$ 30,000.00			
	Revocation/Ex			Disgorgement/Restitution	
		puision/Demai			
	🗖 Censure			Cease and Desist/Injunction	n
	🗖 Bar			Suspension	
	B. Other Sanctions O)rdered·			
	D. Other Suffictions C				
	Sanction dotails if	suspanded aniainad or k	arrod provide duration inc	luding start data and canacities a	ffected (General Securities Principal,
			•	ng was a condition of the sanctior	· · · · ·
	•		•	en satisfied. If disposition resulte	
		• • •			
		•	provide total amount, porti	on levied against you of all advise	<i>bry affiliate</i> , date paid and if any portion
	of penalty was wa				
	ELLIUTT MANAGEN	IENT CORPORATION (EM	IC) PAID A FINE IN THE AM	OUNT OF \$30,000 PROMPTLY FOLL	OWING SETTLEMENT OF THIS ACTION.
13.	Provide a brief summar	y of details related to the	e action status and (or) disp	position and include relevant term	s, conditions and dates (your response
	must fit within the spac	•			
		•	D IN TRANSACTIONS IN NA	TURAL GAS FUTURES WHICH RESI	JLTED IN THE ELLIOTT FUNDS HOLDING A
					NTILE EXCHANGE RULE 562. ON OCTOBER
					PURSUANT TO WHICH EMC AGREED TO
				IVING ANY RULE VIOLATION. EMC	
			ELLIOTT FUNDS WERE NOT		
		TO THIS ACTION. THE	LEIGTTIUNDS WERE NUT	AUTIML.	
			GENERAL INST	RUCTIONS	
This	Disclosure Reporting Pag	je (DRP ADV) is an 👩 IN	ITIAL OR I AMENDED resp	ponse used to report details for al	ffirmative responses to Items 11.C., 11.D.,
	., 11.F. or 11.G. of Form .				
· · · L		v .			
			Regulatory	Action	
Char	ck item(s) being respond	ed to:	Kegulatol y	Actor	
	1.C(1)	1 1.C(2)	1 1.C(3)	□ 11.C(4)	1 1.C(5)
🚺 1	1.D(1)	🗖 11.D(2)	🗖 11.D(3)	🗖 11.D(4)	🗖 11.D(5)

[11.E(1)	☑ 11.E(2)	🗖 11.E(3)	□ 11.E(4)
🗖 11.F.	[11.G.		

Use a separate DRP for each event or *proceeding*. The same event or *proceeding* may be reported for more than one *person* or entity using one DRP. File with a completed Execution Page.

One event may result in more than one affirmative answer to Items 11.C., 11.D., 11.E., 11.F. or 11.G. Use only one DRP to report details related to the same event. If an event gives rise to actions by more than one regulator, provide details for each action on a separate DRP.

PART I

- A. The *person(s)* or entity(ies) for whom this DRP is being filed is (are):
 - O You (the advisory firm)
 - You and one or more of your advisory affiliates
 - ⊙ One or more of your *advisory affiliates*

If this DRP is being filed for an *advisory affiliate*, give the full name of the *advisory affiliate* below (for individuals, Last name, First name, Middle name). If the *advisory affiliate* has a *CRD* number, provide that number. If not, indicate "non-registered" by checking the appropriate box.

<i>CRD</i> Number:	156601 This <i>advisory affiliate</i> is [•] a Firm [•] an Individual		
	• Yes • No		
Name:	ELLIOTT MANAGEMENT CORPORATION (For individuals, Last, First,		
	Middle)		
This DRP s	should be removed from the ADV record because the <i>advisory affiliate(s)</i> is no longer associated with the adviser. should be removed from the ADV record because: (1) the event or <i>proceeding</i> occurred more than ten years ago or (2) the adviser is I or applying for registration with the SEC or reporting as an <i>exempt reporting adviser</i> with the SEC and the event was resolved in the or <i>advisory affiliate's</i> favor.		
11.D(4), and	gistered or registering with a <i>state securities authority</i> , you may remove a DRP for an event you reported only in response to Item only if that event occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for ar in Item 11 that occurred more than ten years ago.		
This DRP s	should be removed from the ADV record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the nces:		
If the <i>advisory affiliate</i> is registered through the IARD system or <i>CRD</i> system, has the <i>advisory affiliate</i> submitted a DRP (with Form ADV, BD or U-4) to the IARD or <i>CRD</i> for the event? If the answer is "Yes," no other information on this DRP must be provided.			
• Yes •	No		
NOTE: The co	empletion of this form does not relieve the <i>advisory affiliate</i> of its obligation to update its IARD or <i>CRD</i> records.		
П			
• •	ction initiated by: ther Federal 👩 State 💿 _{SRO} Õ Foreign		
(Full name of	regulator, <i>foreign financial regulatory authority</i> , federal, state, or <i>SRO</i>) ARD OF TRADE		
Principal Sand			
Disgorgemen Other Sanctio			
MONETARY FI			
Date Initiated	(MM/DD/YYYY):		
10/28/2014	• Exact • Explanation		
If not exact,	provide explanation:		
Docket/Case CBOT 14-983			

- Principal Product Type: Futures - Commodity Other Product Types:
- 7. Describe the allegations related to this regulatory action (your response must fit within the space provided): ON MAY 8 AND MAY 9, 2014, THE ELLIOTT FUNDS ENGAGED IN TRANSACTIONS IN SOYBEAN MEAL FUTURES CONTRACTS THAT RESULTED IN THE ELLIOTT FUNDS HOLDING A FUTURES EQUIVALENT POSITION IN EXCESS OF THE STANDARD ALL MONTHS LIMIT UNDER CHICAGO BOARD OF TRADE RULE 562.
- 8. Current Status? C Pending C On Appeal C Final

9. If on appeal, regulatory action appealed to (SEC, *SRO*, Federal or State Court) and Date Appeal Filed:

If Final or On Appeal, complete all items below. For Pending Actions, complete Item 13 only.

- How was matter resolved: Settled
- 11. Resolution Date (MM/DD/YYYY):

10/28/2014 • Exact • Explanation If not exact, provide explanation:

- 12. Resolution Detail:
 - A. Were any of the following Sanctions Ordered (check all appropriate items)?
 - Monetary/Fine Amount: \$ 20,000.00
 - Revocation/Expulsion/Denial
 - 🗖 Censure
 - 🔲 Bar
 - Other Sanctions Ordered:

- Disgorgement/Restitution
- Cease and Desist/Injunction
- **C** Suspension
- Sanction detail: if suspended, *enjoined* or barred, provide duration including start date and capacities affected (General Securities Principal, Financial Operations Principal, etc.). If requalification by exam/retraining was a condition of the sanction, provide length of time given to requalify/retrain, type of exam required and whether condition has been satisfied. If disposition resulted in a fine, penalty, restitution, disgorgement or monetary compensation, provide total amount, portion levied against you or an *advisory affiliate*, date paid and if any portion of penalty was waived:

ELLIOTT MANAGEMENT CORPORATION ("EMC") PAID A FINE IN THE AMOUNT OF \$20,000 AND PAID \$125,082.24 REPRESENTING DISGORGEMENT OF PROFITS PROMPTLY FOLLOWING SETTLEMENT OF THIS ACTION.

13. Provide a brief summary of details related to the action status and (or) disposition and include relevant terms, conditions and dates (your response must fit within the space provided).

ON MAY 8 AND MAY 9, 2014, THE ELLIOTT FUNDS ENGAGED IN TRANSACTIONS IN SOYBEAN MEAL FUTURES CONTRACTS THAT RESULTED IN THE ELLIOTT FUNDS HOLDING A FUTURES EQUIVALENT POSITION IN EXCESS OF THE STANDARD ALL MONTHS LIMIT UNDER CHICAGO BOARD OF TRADE RULE 562. ON OCTOBER 28, 2014, A PANEL OF THE CHICAGO BOARD OF TRADE BUSINESS CONDUCT COMMITTEE ACCEPTED EMC'S OFFER OF SETTLEMENT PURSUANT TO WHICH EMC AGREED TO PAY A FINE IN THE AMOUNT OF \$20,000 AND PAY \$125,082.24 REPRESENTING DISGORGEMENT OF PROFITS WHILE NEITHER ADMITTING OR DENYING ANY RULE VIOLATION. EMC PAID THESE AMOUNTS PROMPTLY FOLLOWING SETTLEMENT OF THIS ACTION. THE ELLIOTT FUNDS WERE NOT ASSESSED ANY FINE AND DID NOT MAKE ANY DISGORGEMENT.

CIVIL JUDICIAL ACTION DISCLOSURE REPORTING PAGE (ADV)

GENERAL INSTRUCTIONS

This Disclosure Reporting Page (DRP ADV) is an O INITIAL OR O AMENDED response used to report details for affirmative responses to Item 11.H. of Part
1A or Item 2.F. of Part 1B of Form ADV.
Civil Iudicial

Check Part 1A item(s) being responded to:					
☑ 11.H(1)(a)	🗖 11.H(1)(b)		□ 11.H(1)(c)	□ 11.H(2)	
Check Part 1B item(s) being responded to:					
□ 2.F(1)	2.F(2)	2 .F(3)	2 .F(4)	2 .F(5)	

Use a separate DRP for each event or proceeding. The same event or proceeding may be reported for more than one person or entity using one DRP. File

with a completed Execution Page.

One event may result in more than one affirmative answer to Item 11.H. of Part 1A or Item 2.F. of Part 1B. Use only one DRP to report details related to the same event. Unrelated civil judicial actions must be reported on separate DRPs.

PART I

- A. The *person(s)* or entity(ies) for whom this DRP is being filed is (are):
 - 👩 You (the advisory firm)
 - O You and one or more of your advisory affiliates
 - One or more of your advisory affiliates

If this DRP is being filed for an *advisory affiliate*, give the full name of the *advisory affiliate* below (for individuals, Last name, First name, Middle name). If the *advisory affiliate* has a *CRD* number, provide that number. If not, indicate "non-registered" by checking the appropriate box.

ADV DRP - ADVISORY AFFILIATE CRD This *advisory affiliate* is [•] a Firm [•] an Individual Number: Registered: O Yes 💿 No ELLIOTT INTERNATIONAL, L.P. Name: (For individuals, Last, First, Middle) CRD This *advisory affiliate* is [©] a Firm [©] an Individual Number: Registered: O Yes 💿 No ELLIOTT ASSOCIATES, L.P. Name: (For individuals, Last, First, Middle) CRD 292232 This *advisory affiliate* is [•] a Firm [•] an Individual Number: Registered: 💿 Yes 🗴 No ELLIOTT CAPITAL ADVISORS, Name: L.P. (For individuals, Last, First, Middle) CRD This *advisory affiliate* is ^(C) a Firm ^(C) an Individual Number: Registered: O Yes 💿 No THE LIVERPOOL LIMITED Name: PARTNERSHIP (For individuals, Last, First, Middle) This DRP should be removed from the ADV record because the *advisory affiliate(s)* is no longer associated with the adviser. This DRP should be removed from the ADV record because: (1) the event or proceeding occurred more than ten years ago or (2) the adviser is registered or applying for registration with the SEC or reporting as an exempt reporting adviser with the SEC and the event was resolved in the adviser's or advisory affiliate's favor.

If you are registered or registering with a *state securities authority*, you may remove a DRP for an event you reported only in response to Item 11.H. (1)(a), and only if that event occurred more than ten years ago. If you are registered or registering with the SEC, you may remove a DRP for any event listed in Item 11 that occurred more than ten years ago.

This DRP should be removed from the ADV record because it was filed in error, such as due to a clerical or data-entry mistake. Explain the circumstances:

B. If the *advisory affiliate* is registered through the IARD system or *CRD* system, has the *advisory affiliate* submitted a DRP (with Form ADV, BD or U-4) to the IARD or *CRD* for the event? If the answer is "Yes," no other information on this DRP must be provided.

O Yes 🖸 No

NOTE: The completion of this form does not relieve the advisory affiliate of its obligation to update its IARD or CRD records.

PART II

XPO LOGISTICS, INC. AND XPO LOGISTICS FRANCE

- Principal Relief Sought: Injunction Other Relief Sought: MONEY DAMAGES
- Filing Date of Court Action (MM/DD/YYYY):
 07/08/2015 Exact Explanation If not exact, provide explanation:
- Principal Product Type: Equity Listed (Common & Preferred Stock) Other Product Types:
- Formal Action was brought in (include name of Federal, State or Foreign Court, Location of Court City or County and State or Country, Docket/Case Number):
 PARIS COMMERCIAL COURT; PARIS, FRANCE; 2015000402
- 6. Advisory Affiliate Employing Firm when activity occurred which led to the civil judicial action (if applicable):
- 7. Describe the allegations related to this civil action (your response must fit within the space provided): THIS DRP DESCRIBES CIVIL LITIGATION RELATED TO ELLIOTT'S (AS HEREINAFTER DEFINED) DECISION NOT TO TENDER ITS SHARES OF NORBERT DENTRESSANGLE SA ("ND") IN A TENDER OFFER CONDUCTED BY XPO LOGISTICS, INC. AND XPO LOGISTICS FRANCE (COLLECTIVELY, "XPO"). XPO HAS ALLEGED THAT ELLIOTT CAPITAL ADVISORS, L.P. AND CERTAIN FUNDS MANAGED BY ELLIOTT MANAGEMENT CORPORATION (TOGETHER WITH THEIR AFFILIATES, "ELLIOTT") ARE IN VIOLATION OF FRENCH LAW SURROUNDING TENDER OFFERS AND THAT XPO WOULD SUFFER SIGNIFICANT DAMAGE AS A RESULT. ELLIOTT HELD APPROXIMATELY 7.6% OF THE OUTSTANDING SHARES OF ND. ELLIOTT HAS ALLEGED THAT XPO LIKELY HAD TAKEN OR HAD IMMINENT PLANS TO TAKE ACTION THAT WAS MANIFESTLY CONTRARY TO THE CORPORATE INTERESTS OF ND AND THE MINORITY SHAREHOLDERS OF ND IN ORDER TO PURSUE THE PROPOSED MERGER AND THEREBY IGNORE THAT XPO DOES NOT OWN 100% OF ND'S SHARES.
- 8. Current Status? ^O Pending ^O On Appeal [©] Final
- 9. If on appeal, action appealed to (provide name of court) and Date Appeal Filed (MM/DD/YYYY):
- 10. If pending, date notice/process was served (MM/DD/YYYY):

• Exact • Explanation If not exact, provide explanation:

If Final or On Appeal, complete all items below. For Pending Actions, complete Item 14 only.

11. How was matter resolved: Settled

12. Resolution Date (MM/DD/YYYY):
11/28/2019 • Exact • Explanation
If not exact, provide explanation:

- 13. Resolution Detail:
 - A. Were any of the following Sanctions Ordered or Relief Granted(check appropriate items)?
 - Monetary/Fine Amount: \$
 - Revocation/Expulsion/Denial
 - 🗖 Censure
 - 🗖 Bar

- Disgorgement/Restitution
- Cease and Desist/Injunction
- Suspension

- B. Other Sanctions: SETTLED.
- C. Sanction detail: if suspended, *enjoined* or barred, provide duration including start date and capacities affected (General Securities Principal, Financial Operations Principal, etc.). If requalification by exam/retraining was a condition of the sanction, provide length of time given to requalify/retrain, type of exam required and whether condition has been satisfied. If disposition resulted in a fine, penalty, restitution, disgorgement, or monetary compensation, provide total amount, portion levied against you or an *advisory affiliate*, date paid and if any portion of penalty was waived:
 SETTLED.
- 14. Provide a brief summary of circumstances related to the action(s), allegation(s), disposition(s) and/or finding(s) disclosed above (your response must fit within the space provided).

ON JULY 8, 2015, THE PARIS COMMERCIAL COURT ISSUED AN EX PARTE ORDER IN RESPONSE TO A CIVIL COMPLAINT FILED BY XPO THAT, AMONG OTHER THINGS, TEMPORARILY BARRED ELLIOTT FROM TRANSFERRING ITS EQUITY INTEREST IN ND TO ANY THIRD PARTY OTHER THAN XPO, UNTIL THE COURT ISSUED A FURTHER ORDER AT OR FOLLOWING A HEARING ON JULY 23, 2015. ON JULY 16, 2015, THE PARIS COMMERCIAL COURT ISSUED AN EX PARTE ORDER AT THE REQUEST OF ELLIOTT THAT, AMONG OTHER THINGS, TEMPORARILY BARRED XPO FROM CARRYING OUT ANY OF ITS CONTEMPLATED TRANSACTIONS WITH ND, INCLUDING PURSUING THE PROPOSED MERGER WITH ND, UNTIL THE COURT ISSUED A FURTHER ORDER AT OR FOLLOWING THE JULY 23 HEARING. ON JULY 29, 2015, THE PARIS COMMERCIAL COURT LIFTED THE RESTRICTIONS CONTAINED IN BOTH EX PARTE ORDERS, AND ADDED ND TO AND REMOVED THE LIVERPOOL LIMITED PARTNERSHIP FROM THE PROCEEDINGS, AND DENIED CERTAIN OTHER RELIEF REQUESTED BY THE PARTIES. ON MAY 2, 2016, ELLIOTT FILED AN ACTION "UT SINGULI," IN THE NATURE OF A DERIVATIVE ACTION, ON BEHALF OF ND (RENAMED "XPO LOGISTICS EUROPE") AGAINST CERTAIN PRESENT AND FORMER EXECUTIVES AND/OR DIRECTORS OF ND. ON NOVEMBER 28, 2019, ELLIOTT SETTLED THESE PROCEEDINGS AND OBTAINED A FULL AND COMPLETE RELEASE. THE MATTER IS NOW CONCLUDED.

Part 2

Exemption from brochure delivery requirements for SEC-registered advisers

SEC rules exempt SEC-registered advisers from delivering a firm brochure to some kinds of clients. If these exemptions excuse you from delivering a brochure to *all* of your advisory clients, you do not have to prepare a brochure.

Yes No

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Are you exempt from delivering a brochure to all of your clients under these rules?

If no, complete the ADV Part 2 filing below.

Amend, retire or file new brochures:

Part 3					
CRS	Type(s)	Affiliate Info	Retire		
There are no CRS filings to display.					

Execution Pages

DOMESTIC INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint the Secretary of State or other legally designated officer, of the state in which you maintain your *principal office and place of business* and any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such *persons* may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding*, or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of the state in which you maintain your *principal office and place of business* or of any state in which you are submitting a *notice filing*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature:		
PAUL E. SINGER		
Printed Name:		
PAUL E. SINGER		
Adviser <i>CRD</i> Number: 307151		
307131		

Date: MM/DD/YYYY 04/10/2023 Title: CO-CEO, CO-CHIEF INVESTMENT OFFICER, PRESIDENT You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

1. Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint each of the Secretary of the SEC, and the Secretary of State or other legally designated officer, of any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such persons may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding* or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of any state in which you are submitting a *notice filing*.

2. Appointment and Consent: Effect on Partnerships

If you are organized as a partnership, this irrevocable power of attorney and consent to service of process will continue in effect if any partner withdraws from or is admitted to the partnership, provided that the admission or withdrawal does not create a new partnership. If the partnership dissolves, this irrevocable power of attorney and consent shall be in effect for any action brought against you or any of your former partners.

3. Non-Resident Investment Adviser Undertaking Regarding Books and Records

By signing this Form ADV, you also agree to provide, at your own expense, to the U.S. Securities and Exchange Commission at its principal office in Washington D.C., at any Regional or District Office of the Commission, or at any one of its offices in the United States, as specified by the Commission, correct, current, and complete copies of any or all records that you are required to maintain under Rule 204-2 under the Investment Advisers Act of 1940. This undertaking shall be binding upon you, your heirs, successors and assigns, and any *person* subject to your written irrevocable consents or powers of attorney or any of your general partners and *managing agents*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the *non-resident* investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature: Printed Name:

Adviser *CRD* Number: 307151

Date: MM/DD/YYYY Title: