



Security Council

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Letter dated 18 December 2023 from the Chair of the Security Council Committee established pursuant to resolution 1718 (2006) addressed to the President of the Security Council

I have the honour to transmit herewith the report of the Security Council Committee established pursuant to resolution 1718 (2006), containing an account of the Committee's activities from 1 January to 31 December 2023. The report, which was approved by the Committee, is being submitted in accordance with the note by the President of the Security Council of 29 March 1995 (S/1995/234).

I should be grateful if the present letter and the report were brought to the attention of the members of the Security Council and issued as a document of the Council.

(Signed) Pascale **Baeriswyl**
Chair

Security Council Committee established pursuant to
resolution 1718 (2006)



Report of the Security Council Committee established pursuant to resolution 1718 (2006)

I. Introduction

1. The present report of the Security Council Committee established pursuant to resolution 1718 (2006) covers the period from 1 January to 31 December 2023.
2. The Bureau of the Committee consisted of Pascale Baeriswyl (Switzerland) as Chair and representatives of Albania and Japan as Vice-Chairs.

II. Background

3. The Committee is mandated to oversee the implementation, examine and take appropriate action regarding alleged sanctions violations and make recommendations to strengthen the effectiveness of the measures adopted by the Security Council in its resolutions 1718 (2006), 1874 (2009), 2087 (2013), 2094 (2013), 2270 (2016), 2321 (2016), 2356 (2017), 2371 (2017), 2375 (2017) and 2397 (2017). The measures include an arms embargo, an embargo relating to nuclear, ballistic missile and other weapons of mass destruction programmes, sectoral bans on coal, minerals and fuel, a ban on the export of luxury goods, a travel ban and/or asset freeze on designated individuals and entities, a ban on the provision of financial services, a ban on specialized teaching and training in disciplines that could contribute to prohibited activities and programmes, and cargo inspection and maritime procedures. The measures should not impede the activities of diplomatic or consular missions in the Democratic People's Republic of Korea pursuant to the Vienna Convention on Diplomatic Relations and the Vienna Convention on Consular Relations. The Committee is further mandated to examine and take appropriate action regarding requests for exemptions relating to the sanctions measures, taking into account that they are not intended, inter alia, to have adverse humanitarian consequences for the civilian population of the Democratic People's Republic of Korea. Similarly, the Committee is to determine additional items, materials, equipment, goods and technology to be specified for the purpose of paragraphs 8 (a) (i) and (ii) of resolution 1718 (2006).
4. A panel of experts, established pursuant to resolution 1874 (2009), acts under the direction of and assists the Committee in implementing its mandate to monitor, promote and facilitate the implementation of the measures imposed in the resolutions.
5. The membership of the Panel of Experts initially consisted of seven experts, but was increased to eight experts under resolution 2094 (2013). The mandate of the Panel was extended most recently by the Security Council in its resolution 2680 (2023).
6. Further background information on the Democratic People's Republic of Korea sanctions regime can be found in the previous annual reports of the Committee.

III. Summary of the activities of the Committee

7. The Committee met four times in informal consultations, on 22 February, 14 June, 29 August and 6 December, in addition to conducting its work through written procedures.
8. The Committee convened two briefings for Member States, on 20 April and 13 November.

9. During the informal consultations held on 22 February, the Committee heard a presentation by the Panel of Experts on its final report (S/2023/171), submitted pursuant to paragraph 2 of resolution 2627 (2022), and held a general discussion on the report.
10. During the informal consultations held on 14 June, the Committee heard a briefing by the Office for the Coordination of Humanitarian Affairs.
11. During the informal consultations held on 29 August, the Committee heard a presentation by the Panel of Experts on its midterm report (S/2023/656), submitted pursuant to paragraph 2 of resolution 2680 (2023), and held a general discussion on the report.
12. During the informal consultations held on 6 December, the Committee heard the regular briefing by the Office for the Coordination of Humanitarian Affairs as well as its briefing, on behalf of the Under-Secretary-General for Humanitarian Affairs and Emergency Relief Coordinator, pursuant to paragraph 5 of resolution 2664 (2022).
13. On 27 February, 24 May, 30 August and 29 November, the Chair gave a briefing to the Security Council during closed consultations on the activities of the Committee, pursuant to paragraph 12 (g) of resolution 1718 (2006).
14. To date, the Committee has received 117 reports from Member States on the implementation of resolution 2270 (2016), 109 reports on the implementation of resolution 2321 (2016), 92 reports on the implementation of resolution 2371 (2017) and 96 reports on the implementation of resolution 2375 (2017), as well as 83 reports on the implementation of resolution 2397 (2017) as a whole and 68 reports on the implementation of paragraph 8 of the same resolution.
15. The Committee continued to assist Member States and international organizations in implementing their obligations under the relevant Security Council resolutions. On 20 April and 13 November, the Committee held briefings for Member States on the implementation of sanctions measures and Member States' obligations under the relevant resolutions.
16. The Committee also continued to receive an update on the efforts of the Secretariat to revive the banking channel for humanitarian activities in the Democratic People's Republic of Korea.
17. The Committee sent 102 communications to 32 recipients comprising Member States and other stakeholders with reference to the implementation of the sanctions measures.

IV. Exemptions

18. Exemptions to the arms embargo are contained in paragraph 10 of resolution 1874 (2009) and paragraph 8 of resolution 2270 (2016).
19. Exemptions to the asset freeze are contained in paragraph 9 of resolution 1718 (2006), paragraph 32 of resolution 2270 (2016) and paragraph 26 of resolution 2371 (2017).
20. Exemptions to the travel ban are contained in paragraph 10 of resolution 1718 (2006) and paragraph 10 of resolution 2094 (2013).
21. Exemptions relating to the provision of bunkering services are contained in paragraph 17 of resolution 1874 (2009).
22. Exemptions relating to proliferation networks are contained in paragraphs 13 and 14 of resolution 2270 (2016).

23. Exemptions relating to interdiction and transportation measures are contained in paragraph 21 of resolution [2270 \(2016\)](#), paragraphs 8, 9 and 22 of resolution [2321 \(2016\)](#), paragraphs 6 and 12 of resolution [2375 \(2017\)](#) and paragraph 9 of resolution [2397 \(2017\)](#).
24. Exemptions relating to the supply, sale or transfer of new or used vessels are contained in paragraph 14 of resolution [2397 \(2017\)](#). Exemptions relating to the ban on providing insurance or reinsurance services to vessels are contained in paragraph 11 of the resolution. Exemptions relating to deregistering vessels are contained in paragraph 12 of the same resolution.
25. Exemptions relating to the coal, iron and iron ore ban are contained in paragraph 8 of resolution [2371 \(2017\)](#) and paragraph 16 of resolution [2397 \(2017\)](#), and exemptions relating to the fuel ban (aviation, rocket and jet fuel) are contained in paragraph 31 of resolution [2270 \(2016\)](#).
26. Exemptions relating to scientific and technical cooperation are contained in paragraph 11 of resolution [2321 \(2016\)](#).
27. Exemptions relating to financial measures are contained in paragraph 19 of resolution [1874 \(2009\)](#), paragraph 33 of resolution [2270 \(2016\)](#), paragraphs 31 to 33 of resolution [2321 \(2016\)](#) and paragraph 18 of resolution [2375 \(2017\)](#).
28. Exemptions relating to statues and new helicopters and vessels are contained in paragraphs 29 and 30 of resolution [2321 \(2016\)](#).
29. Exemptions relating to the ban on all refined petroleum products are contained in paragraph 14 of resolution [2375 \(2017\)](#) and paragraph 5 of resolution [2397 \(2017\)](#). Exemptions relating to the supply, sale or transfer of an excess amount of crude oil are contained in paragraph 15 of resolution [2375 \(2017\)](#) and paragraph 4 of resolution [2397 \(2017\)](#).
30. Exemptions relating to the ban on the supply, sale or transfer of all industrial machinery (Harmonized System codes 84 and 85), transportation vehicles (Harmonized System codes 86 to 89) and iron, steel and other metals (Harmonized System codes 72 to 83) are contained in paragraph 7 of resolution [2397 \(2017\)](#).
31. Exemptions relating to the ban on seafood are contained in paragraph 9 of resolution [2371 \(2017\)](#).
32. Exemptions relating to the ban on the supply, sale or transfer of textiles are contained in paragraph 16 of resolution [2375 \(2017\)](#).
33. Exemptions relating to the ban on workers of the Democratic People's Republic of Korea abroad are contained in paragraph 17 of resolution [2375 \(2017\)](#) and exemptions relating to the repatriation of workers are contained in paragraph 8 of resolution [2397 \(2017\)](#).
34. Exemptions relating to assistance and relief activities are contained in paragraph 25 of resolution [2397 \(2017\)](#).
35. The Committee received four notifications pursuant to paragraph 4 and seven pursuant to paragraph 5 of resolution [2397 \(2017\)](#) concerning transfers of crude oil and refined petroleum products.
36. The Committee received three notifications from two Member States pursuant to paragraph 11 (b) of resolution [2321 \(2016\)](#). The Committee also approved the periodic renewal of an exemption granted in 2021 to a Member State pursuant to paragraph 18 of resolution [2375 \(2017\)](#) and paragraph 16 of resolution [2397 \(2017\)](#).

37. The Committee approved eight new requests from Member States, United Nations entities and other international organizations for humanitarian exemptions in accordance with paragraph 25 of resolution [2397 \(2017\)](#).

38. On 15 June, the Committee approved updates to Implementation Assistance Notice No. 7: Guidelines for Obtaining Exemptions to Deliver Humanitarian Assistance to the Democratic People's Republic of Korea. Through those updates, the Committee specifies the application of resolution [2664 \(2022\)](#) in the context of humanitarian assistance to the Democratic People's Republic of Korea. It explains that, consistent with paragraph 4 of resolution [2664 \(2022\)](#), a case-by-case Committee exemption is not required with respect to the asset freeze imposed by paragraph 8 (d) of resolution [1718 \(2006\)](#), which was subsequently expanded by paragraph 27 of resolution [2094 \(2013\)](#) and paragraph 32 of resolution [2270 \(2016\)](#) and clarified by paragraph 12 of resolution [2270 \(2016\)](#), for activities permitted by resolution [2664 \(2022\)](#). The Committee also recalls that, because the exception set forth in paragraphs 1 and 4 of resolution [2664 \(2022\)](#) applies only to the asset freeze, a case-by-case Committee exemption is required to engage in activity prohibited by any other measure imposed by the relevant resolutions, including but not limited to sectoral measures. Paragraph 6 of resolution [2664 \(2022\)](#) directs the Committee, assisted by its Panel of Experts, to monitor the implementation of paragraph 1 of the resolution, including any risk of diversion. The Committee therefore encourages Member States and international and non-governmental organizations carrying out humanitarian assistance and relief activities for the benefit of the civilian population of the Democratic People's Republic of Korea to provide the Committee and its Panel of Experts with any information relevant to the risk of diversion. In accordance with the updated Implementation Assistance Notice No. 7, the Committee continued the practice of considering pandemic-related humanitarian exemption requests, as well as requests for time extensions to exemption periods, under expedited no-objection procedures.

V. Sanctions list

39. The criteria for the designation of individuals and entities as subject to the travel ban and asset freeze are set out in paragraphs 8 (d) and (e) of resolution [1718 \(2006\)](#), paragraph 12 of resolution [2087 \(2013\)](#) and paragraph 27 of resolution [2094 \(2013\)](#). The procedures for requesting listing and delisting are described in the Committee's guidelines for the conduct of its work.

40. No entries were added to or removed from the list. As at the end of the reporting period, there were 80 individuals and 75 entities on the sanctions list of the Committee. In addition, there were 59 vessels on the Committee's list of vessels designated pursuant to various provisions of relevant resolutions.

41. Pursuant to paragraph 45 of resolution [2270 \(2016\)](#) and in accordance with the practice of the Committee, the Committee issued a note verbale to Member States dated 10 March to seek their input for the purpose of updating the sanctions list pursuant to resolution [1718 \(2006\)](#). Based on the responses to that note verbale, on 30 June, the Committee approved the amendment of entries for 16 individuals on its sanctions list. On 16 August, the Committee approved amendments to nine entries, comprising eight individuals and one entity, on its sanctions list.

VI. Panel of Experts

42. On 3 February, in accordance with paragraph 2 of resolution [2627 \(2022\)](#), the Panel of Experts provided its final report to the Committee, which was transmitted to

the Security Council on 3 March and issued as a document of the Council (S/2023/171).

43. On 11 May, following the adoption by the Security Council of resolution 2680 (2023), the Secretary-General appointed eight individuals to the Panel, with expertise in missile issues and other technologies, non-proliferation and regional security, customs and export controls, finance and economics, nuclear issues, maritime transport, non-proliferation, procurement and trade, and other weapons of mass destruction and conventional arms. The mandate of the Panel expires on 30 April 2024.

44. On 26 May, in accordance with paragraph 3 of resolution 2680 (2023), the Panel of Experts presented its programme of work to the Committee.

45. On 4 August, in accordance with paragraph 2 of resolution 2680 (2023), the Panel of Experts provided its midterm report to the Committee, which was transmitted to the Security Council on 8 September and issued as a document of the Council (S/2023/656).

46. The Panel of Experts continued its investigations into incidents of non-compliance and alleged violations.

47. The Panel of Experts conducted visits to Austria, Cyprus, France, Japan, the Republic of Korea, the Russian Federation, Singapore, Switzerland, Thailand, the United Kingdom of Great Britain and Northern Ireland and the United States of America. The Panel also held informal consultations with government officials and national experts of Member States and with representatives of several international organizations and entities, including the International Atomic Energy Agency and the International Maritime Organization. It also participated in relevant international meetings, conferences, workshops and seminars.

48. In pursuance of its mandate, the Panel of Experts, through the Secretariat, sent 680 letters to 485 recipients, comprising Member States, the Committee and international and national entities.

VII. Secretariat administrative and substantive support

49. The Security Council Affairs Division provided substantive and procedural support to the Chair and the members of the Committee. Advisory support was also provided to Member States to promote understanding of the sanctions regime and facilitate the implementation of the sanctions measures. Induction briefings were also provided to incoming members of the Council to familiarize them with the specific issues relevant to the sanctions regime. To complement those briefings, from 1 to 3 December, the Secretariat conducted the third training session on the design, implementation, monitoring, evaluation, adjustment and redesign of sanctions for incoming members of the Council.

50. To support the Committee in its recruitment of well-qualified experts to serve on sanctions monitoring groups, teams and panels, the Division has begun to brief regional groups and held public outreach events on 21 June and 26 October to attract a more geographically diverse applicant pool. On 13 December, a note verbale was sent to all Member States to request the nomination of qualified candidates for the pool of experts. In addition, a note verbale was sent to all Member States on 19 January, notifying them of upcoming vacancies on the Panel of Experts and providing information on recruitment timelines, areas of expertise and pertinent requirements. On 17 January, vacancy announcements were also made available online at the United Nations career portal (<https://careers.un.org>).

51. The Division continued to provide support to the Panel of Experts, assisting in the preparation of the Panel's final report, submitted to the Committee in February, and its midterm report, submitted to the Committee in August. The Secretariat facilitated travel by Panel members to deliver their mandate, which included meetings with Member States and other stakeholders. The Secretariat organized an inter-panel workshop from 5 to 7 December, which was focused on providing additional tools to enhance expert investigations and reporting and to foster inter-panel cooperation.

52. The Secretariat continued to update and maintain the United Nations Security Council Consolidated List and the committee-specific sanctions lists in the six official languages and the three technical formats. Furthermore, the Secretariat implemented improvements concerning the effective utilization of and access to the lists, as well as further developing, in all official languages, the data model approved in 2011 by the Committee pursuant to resolutions [1267 \(1999\)](#), [1989 \(2011\)](#) and [2253 \(2015\)](#) concerning ISIL/Da'esh, Al-Qaida and associated individuals, groups, undertakings and entities, as requested by the Council in paragraph 54 of its resolution [2368 \(2017\)](#).
